

13. Basics of Information Gathering (Reading, Screening, Strategy, Literature Analysis)

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<u>http://st.inf.tu-</u> <u>dresden.de/teaching/acse</u>

- 1) Data, Information, Knowledge
- 2) Reading Process RIK
 - 1) Checking Relevance of Texts
 - 2) Information Acquisition
 - 3) Knowledge Acquisition
- 3) Other Reading Methods
- 4) Methods of Recite
- 5) Information Gathering
- 6) Writing literature analysis papers



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Obligatory Literature

- Philip W.L. Fong. 2009. Reading a computer science research paper. SIGCSE Bull. 41, 2 (June 2009), 138-140. DOI=10.1145/1595453.1595493
 <u>http://doi.acm.org/10.1145/1595453.1595493</u>
- Joseph D. Novak, Alberto J. Cañas. The Theory Underlying Concept Maps and How to Construct and Use Them. Technical Report. CmapTools 2006-01 Rev 01-2008, Florida Institute for Human and Machine Cognition (IHMC) <u>http://cmap.ihmc.us/docs/theory-of-concept-maps</u>
- William G. Griswold: How to Read an Engineering Research Paper. Online: <u>http://cseweb.ucsd.edu/users/wgg/CSE210/howtoread.html</u>





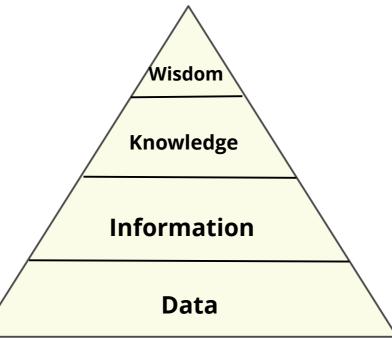
13.1. Data, Information, Knowledge Aquisition in Science



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Science is about DIKW (Data, Information, Knowledge, Wisdom)

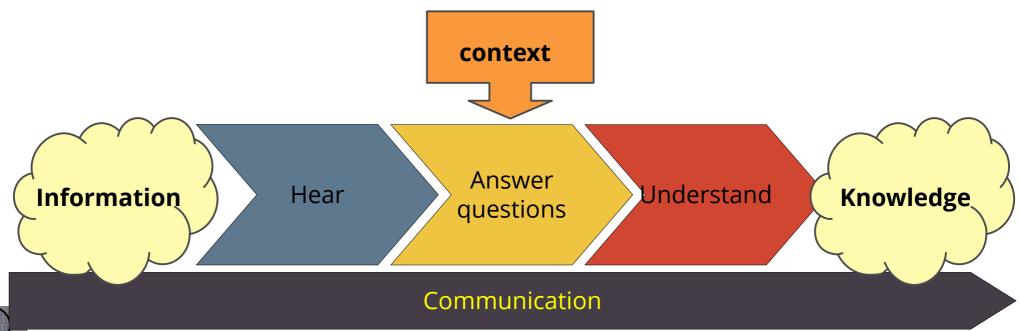
- > Philosophy of Science quarrels about the right model for DIKW.
- The relationship of DIK and W is important for science, because
 - Natural science finds data in the world and has to interpret them to knowledge
 - Technical science should use knowledge to solve problems, but needs to be wise, because technology can be dangerous (e.g., see the use of nuclear energy)
- One DIKW model is the DIKW pyramid:





The Knowledge Aquisition Model from Spinner

- Knowledge is context-dependent and gained from information by interpretation
- Every human being judges on a message immediately, answering 10-15 questions immediately
- Answering the questions creates knowledge
- What do I think about information such as:
 - "This tastes good."
 - "This is interesting."
 - "You idiot."
 - "You are smart."



Typical Questions for Interpretation

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About the sender:

- In which emotional state is the sender? (angry, sad, happy, joking, serious)
- Is the sender trustworthy? (unknown, friend, competitor, enemy, have I been disappointed by him already?)
- Which personality has the sender? (serious human being, funny, thinker, superficial type, depressive,...)
- which channel has the sender used previously (facts, emotions, relations, etc.)?

About the receiver:

- What are my current expectations? Which channel do I expect?
- My emotional state

About the context:

- In which state is the relationship (peace, quarrel, ..)
- the communication? (stress, hurry, joking, ..)



How Information Becomes Knowledge

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- How do you interpret the remarks
 - "This tastes good."
 - "This is interesting."
 - "You idiot."
 - "You are smart."
- from your partner? from your friend? from your mother?
- from your competitor?
- from your boss?

Knowledge is what remains after answering questions.





13.2. "Lazy" and Efficient Reading Process with Relevance Check, Information and Knowledge Aquisition (RIK)



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Problems with Reading

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Motivation

Bored, unfocusedness, tired

Bad habits

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- Read word by word
- Jump back (regression)
- Talk while reading
- Listening to distracting music while reading

Good Steps

- Increase your width of focus
- Try to read fast
- Read slower, if text is hard to understand

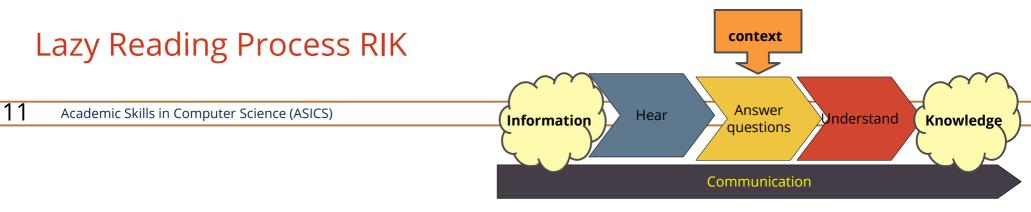
Good steps

- Cover read lines or unread by paper sheet or ruler
- Jump-Stop movement
- Do Summaries
- Write Questions

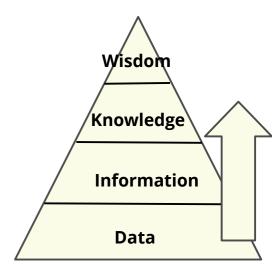
Problems with Pertinence

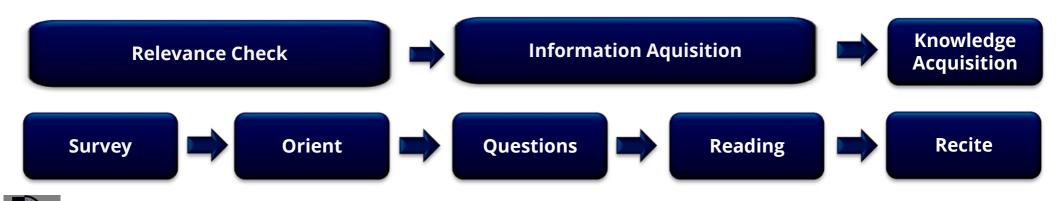
- Try to read everything, instead of finding the thesis statement of the paragraph
- Only read, never draw



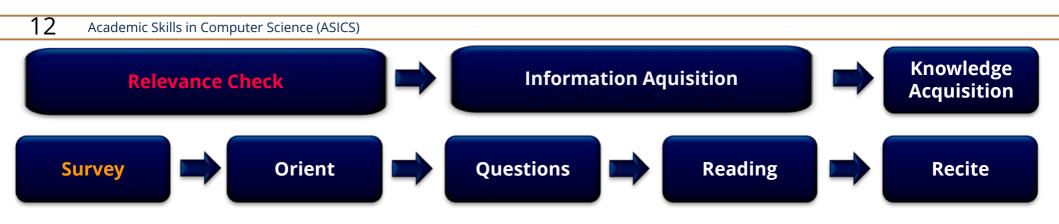


- RIK is a simple reading process allowing for stopping all the way and not wasting time
- The RIK process is structured along the DIKW pyramid and the Spinner IK acquisition process:
 - without questions no knowledge from information
 - without recitation no knowledge: no embedding of the information in your own knowledge (self-context)





Lazy Reading Process RIK (Survey)

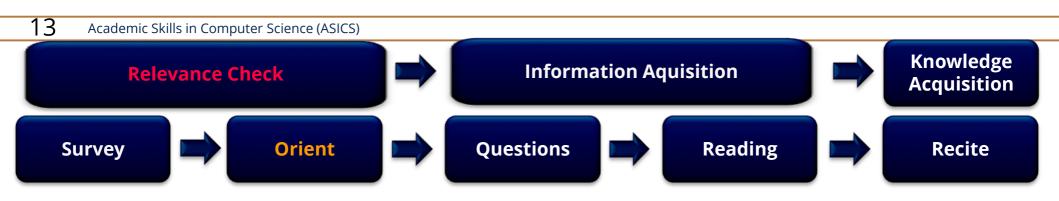


- Analyse Paratext: Table of Contents
 - Find out focus of work
 - Separate background from the author's work
 - Skip analysis: Find out chapters you know already and decide to skip them
- Paging through
 - How long are main chapters?
 - What is side material?

- Analyze Eye Catchers
 - Figures, tables
 - Central definitions
 - Other structuring aids



Lazy Reading Process RIK (Orient)



- Analyze the abstract and the introduction
 - Research question? Research Hypothesis? Research Method? Research Validation?
 - Relevance, Positioning into the research landscape
 - Find out Assumptions of the paper
 - Find out Restrictions (Limits) of the paper
- Analyze summary or conclusion
 - Central points, results
 - What should be read more intensively? what are the main sections to be read?
- Read the skeleton (the first sentence of each section)
 - Relevance check of the sections
 - "Points" of the sections
 - Get an overview about the argumentative structure of the paper





13.2.1. Relevance Check



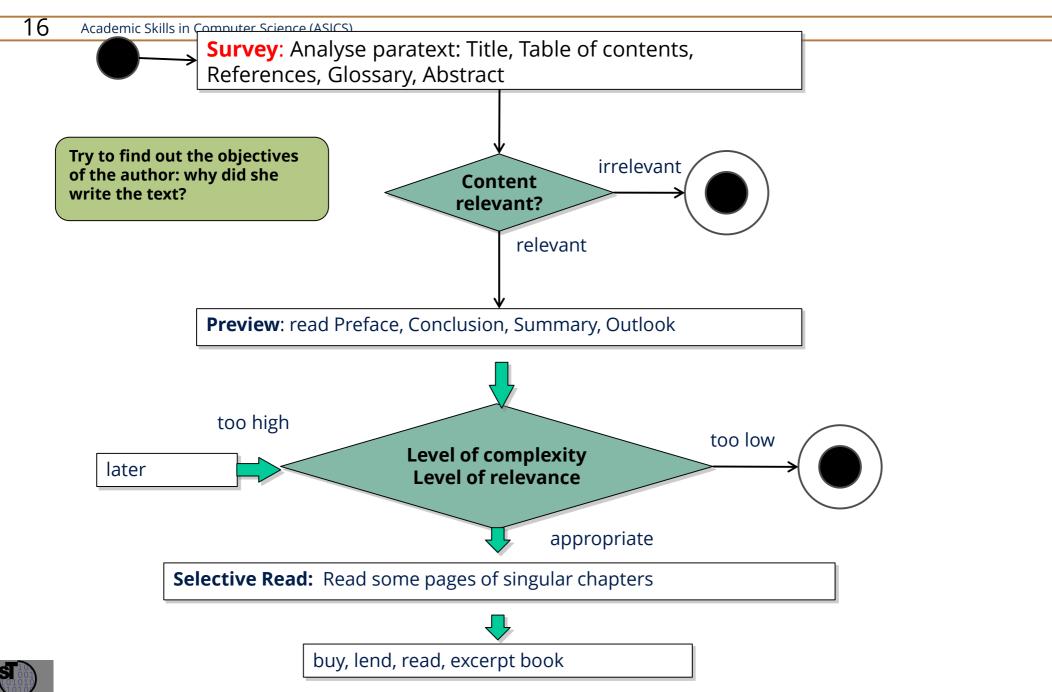
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Use Paratext to Check for Relevance

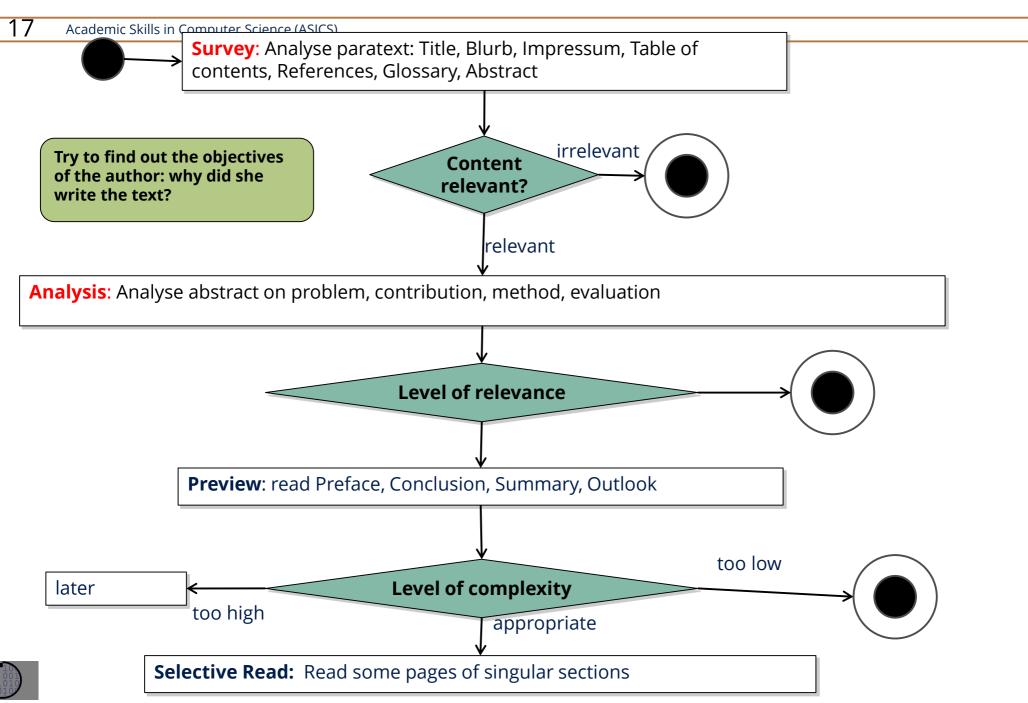
- Find out goal of the author and the relevance of research topic for you
- Main text: Title, preface, introduction, table of contents, summary, ...
- **Secondary text**: Envelope, Recensions, Amazon comments, ...
- **Computer reviews**: a journal with reviews of papers
- Abstract: read the abstract and analyze it
- **Search on the internet** about the paper or book
 - summaries, reviews



Relevance Check: Survey, Preview, and Selective Reading of Books



Relevance Check Specific for Research Papers: Survey, Fong Analysis, Preview, and Selective Reading



Abstract Analysis

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Analyze the abstract (and intro, conclusion) on

- Research Problem
- Research Contribution
- Research Method and Evaluation
 - Scientific paper: Proofs? Experiments? Empirical studies?
 - Essay: Opinions and arguments?
- Conclusions
- Problem and contribution together are often also called:
 - Research question if formulated as a question
 - Research hypothesis if formulated as hypothesis





13.2.1.2 Relevance Analyses for Research

- Not all research is *relevant*
- Often, decisions have to be made about which way to go in research. Several general analysis for strategy can be used.



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Different Classes of Research Results

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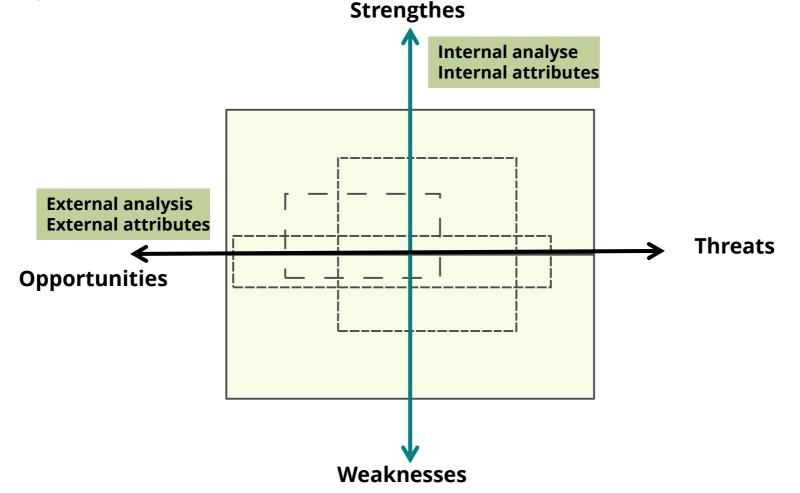
Try to classify every paper you read according to the following classes: [Fong]

- Relevant research: Somebody, the research stakeholder, needs the result.
 - Significant problem?
 - Significant result?
- **High innovation depth**: research result lies much beyond the state of the art
- Narrow result: the research result will not influence many applications, products, or markets, nor other research.
- Disruptive result: The research result will change many technologies, products, markets, value chains.
- Epsilon-result: The research result is not far away from the state of the art, but contains a definite improvement.
- "low hanging fruit"-result: the research result is quite easy to achieve or to document



What are the Strategic Aspects of a Paper? SWOT Analysis as a 4-D Analysis

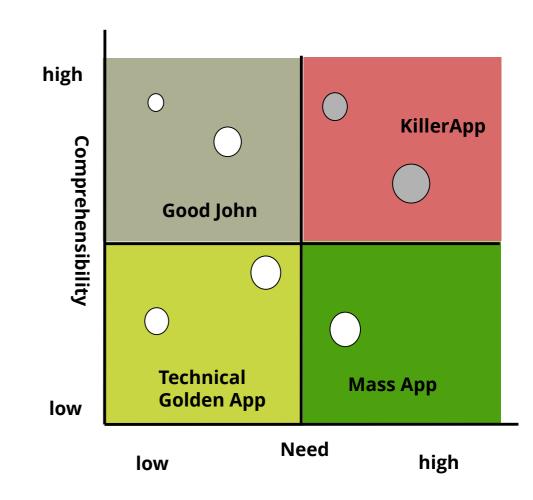
- SWOT is a 4-dimensional attribute analysis for the development of a strategy for a project [Albert Humphrey]
- ► For strategic decisions of your thesis and your research
- ▶ Try to combine with the 6 honest men!





"KillerApp" Analysis (Attractivity Portfolio)

- "KillerApp"-Analysis investigates for a product or a research paper
 - whether it is needed
 - whether it is comprehensible
- the Attractivity Product is a Utilityutility-product:
 - Attractivity = Need * Comprehensibility
- Most attractive papers or projects are "KillerApps", because they are easy to comprehend and useful for many





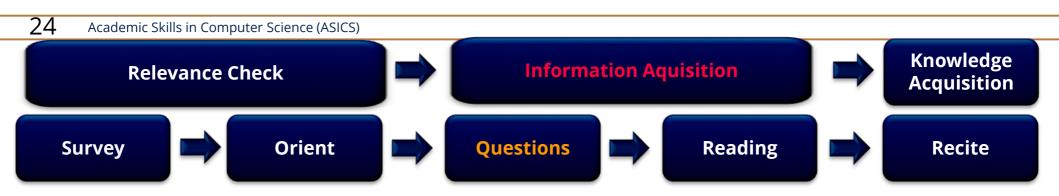


13.2.2. Information Aquisition



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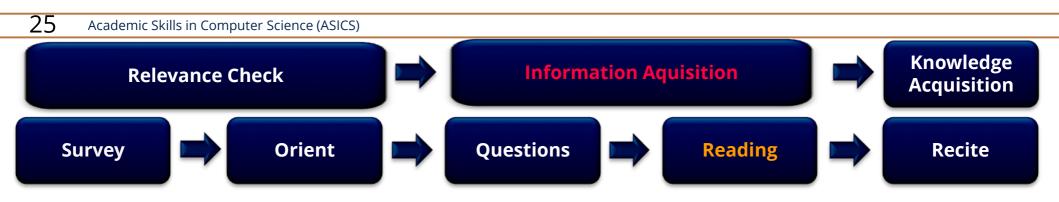
Lazy Reading Process RIK - Information Aquisition



- Overview about preexisting knowledge
 - What do I know already about the subject? Important other related papers?
- Formulate questions before reading
 - Use the 7W questions to find valid good questions



Lazy Reading Process RIK



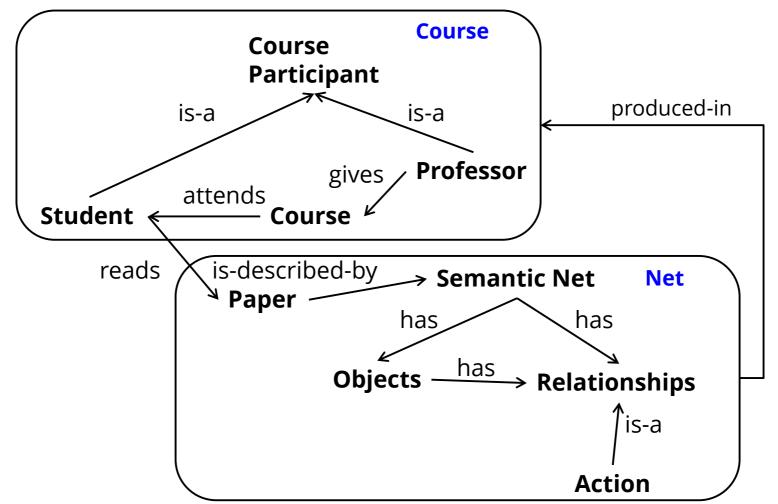
- Work yourself systematically through the text
 - Focus on most important sections
- Mark up central terms and paragraphs
 - Find out the main point (main thesis) of the paper
 - Mark it up, excerpt it: memory aid for later
 - Relate (by arrows) different important sections and topics
- Formulate questions while reading
 - Note the questions on first page of the paper
- **Record** your ideas
 - Remarks, critical comments, ideas into the bibtex-entry or citation database
 - Write the central main point on top of the paper
- **Structure** your ideas by a *semantic net or concept map*
 - finding out central concepts and their relationships



Grouping in Semantic Nets and Concept Maps

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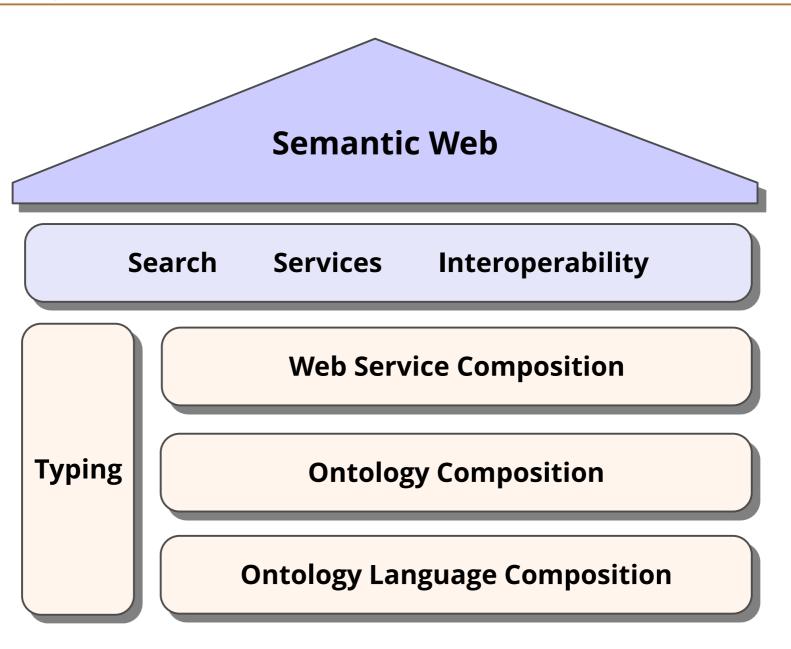
- Remember important operations to create knowledge from information:
- Grouping, Hierarchizing, Re-drawing, Dualizing



http://en.wikipedia.org/wiki/Semantic_network http://de.wikipedia.org/wiki/Concept-Map

House Concept Map







Abstract House Concept Map - Showing Own and Foreign Research Works

- An Advance Map is a concept map showing the advance of an approach, the gap to the state of the art, by visualizing 2 or 3 phases or layers
 - Comparing the advantage of approach B over approach A and C
- Clearly distinguished
 - Own and foreign research
 - State of the art and research agenda
 - Yesterday, today and tomorrow
- Advance maps are very useful for research papers and research proposals.



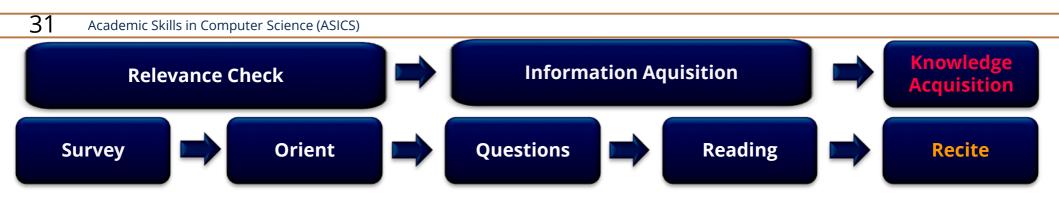


13.2.3. Knowledge Aquisition



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Lazy Reading Process RIK



- You must embed the new information into your (old) knowledge
 - Look at your old summaries, record cards, semantic nets, mindmaps, concept maps how to change them?
- Write a text summary: Pose and answer questions
 - What is the main thesis?
 - Rephrase the main results
 - What is the skeleton of the paper?
- **Talk** about the paper to somebody else (your mate, your wife, your colleagues...)
- Repeat Information Acquisition in details, per section
 - Structure tree per section, Record cards
 - Mindmap, semantic net, concept ma per section
- Relation to own previous work
 - What extends your knowledge? What contradicts your knowledge?
 - What is interesting?



Reading – the Lazy Process RIK (Rpt.)

	RIK Method		
1	Relevance Check - Survey : Structure (table of contents, paratext) Orientation/Preview : Abstract, Intro, Conclusion Selective Reading		
2	Questions		
	Reading – headlines, main theses, bold text parts, definitions, graphics		
3	Recite - mind mapping - summary writing		





13.3. Other Reading Methods



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Before-Reading and After-Reading Questions

Before-Reading

- What do I know already? (previous knowledge)
- What would I like to know?
- What do I know about the author?
- What is my goal?
- Apply the 6+1 honest serving men

After-Reading

Impression:

- [PMI-Method of de Bono]
- What was positive (P)?
- What was negative, minus? (M)
- What disappointed me?
- What surprised me? (I)

Content:

- What was the main thesis?
- Supporting points?



Other Reading Methods

SQ3R Method	PQ4R Method	S2QAR Method
Robinson 1961	Thomas & Robinson 1972	Smith 1977
SQ3R is reflection-oriented. Uses mindmaps, concept maps and summaries in the "recite phase"	improved SQ3R (could be called SQ4R) with additional phase "reflect"	oriented towards active answering of questions
Survey, Questions, Read, Recite and Review	Preview, Questions, Read, Reflect, Recite and Review	Survey, Summary, Questions, Answer and Review





13.4. Methods to Recite for Knowledge Acquisition

and the Sustainability of Reading



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How to Recite and Summarize

- Objectives of Recite phase:
 - Personalize the information (-->data to knowledge)
 - Learn actively by reformulation
 - Abstract from unnecessary details
 - Easy way to find focused information again
- Methods for summary
 - 1) Underlining
 - 2) Margin notes
 - 3) Excerpting
 - 4) Mindmapping
 - 5) Structure Trees
 - 6) Cracking Sentences



13.4.1. Underlining

- ► Goals:
 - Use a personal color scheme
 - Underline for later re-reading
 - Underline for comprehension
- What:
 - Underline main theses of text (.. skeleton..)
 - Underline research results
 - Underline surprising things
- Good to read the text passage first, then underline



13.4.2. Margin Notes

- > Put your own outline on the margin of the paper you read
- A. Topic outline
 - Content structure
 - Orientation based on paragraphs
- ► B. Logic (argumentative) outline
 - thesis statements, skeletons
 - Topic changes
 - Coherent sequences of paragraphs
 - Summaries



13.4.3. Excerpting

- Bottom-up process
- Excerpting can have a specific or global question in mind
- Step 1: Orientation
 - Overview to understand the structure of the paper
- Step 2: Excerpting all paragraphs
 - What are the topics? theses?
- Step 3: Excerpt all sections
 - Do summaries for sections
- Step 4: Excerpt a summary sentence for whole text

Use Mindmapping, Structure Trees, ...





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13.5 Information Gathering



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Search Machines

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- Google Scholar (<u>https://scholar.google.de</u>)
- bib search engine (<u>https://liinwww.ira.uka.de/bibliography/index.html</u>)
- DBLP at Trier University (<u>https://dblp.uni-trier.de/</u>)
- Microsoft Academic Search (<u>https://academic.microsoft.com/</u>)
- ACM Digital Library (<u>https://dl.acm.org</u>)
- IEEE Explore (<u>https://ieeexplore.ieee.org/</u>)
- SpringerLink (<u>https://www.springerlink.com</u>)
- Elsevier SCOPUS (<u>https://scopus.com/</u>)
- ResearchGate (<u>https://www.researchgate.net</u>)

Who tried what already? Experiences?



(Collaborative) Literature Management and Search Tools

- Saving Bibliographic Meta-Data
 - From structured sources (BibTex)
 - From webpages (collaborative parsers for important sites: GoogleScholar, ACM, SLUB Dresden ...)
- Organizing your References
 - Tags, Folders
- Sharing References with others
- Adding Notes
- Exporting BibTex (e.g., for LaTeX)
- Examples
 - OpenSource: Zotero, JabRef
 - Commercial: Mendeley, Citavi, RefWorks, EndNote



Zotero

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- Free to use (but limited free storage)
- Firefox Add-On/Standalone/Web-based
- OpenSource

https://www.zotero.org/

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Mendeley

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All Documents	☆ ●	Afsari, Kereshmeh; Eastman, Charles; Shelden, Dennis	Building Information Modeling data interoperability for Cloud-based collaboration: Limitations and opportunities	2017 Internation Journal of A		or
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JabRef

- Free to use
- Standalone Client
- OpenSource
- https://www.jabref.org/

Status: JabRef is up-to-date.

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2		Article	Andriamamonjy et al.	An automated IFC-based workflow for building 2018	Automation i	. andriam			
3		Article	Davtalab et al.	Perspectives on a BIM-integrated software platf 2018	Automation i	. davtalab			
4		Article	Kang and Hong	IFC-CityGML LOD mapping automation using 2018	KSCE Journ	. kang201			
5		Article	Lee et al.	Logic for ensuring the data exchange integrity o 2018	Automation i	. lee2018l			
6		Article	Li and Lu	Integrating geometric models, site images and 2018	Automation i	. li2018int			
7		Article	Lin and Yang	A Framework for Collaboration Management of 2018	Journal of A	lin2018fr			
8		Article	Su et al.	Integrated Temporal-Spatial Model for Construc 2018	Journal of C	. su2018in			
9		Article	Vonthron et al.	Removing duplicated geometries in IFC model 2018	Visualizatio	vonthron			
10		InProc	. Xue et al.	An optimization-based semantic building mode 2018	Proceeding	xue2018			
11		Article	Yang et al.	Automating Parametric Modelling From Reality		yangauto			
12		Article	Zhou et al.	Cross-platform online visualization system for 2018	Multimedia	zhou201			

Dagstuhl Workshops

- http://www.dagstuhl.de/
- Schloss Dagstuhl is the German meeting centre for computer scientists.
- It organizes very interesting seminars on diverse topics in software engineering
 - All abstracts and many papers are online
- Extremely valuable to understand the State of the Art in an area!
- List of 2017:
 - http://www.dagstuhl.de/de/programm/kalender/?dag_type=12&dag_year=20
 <u>17</u>



Information Gathering (Recherche)

- Most often, literature is found today on the internet.
 - Google scholar
 - ResearchGate
 - DBLP search engine
- ▶ Use the SLUB license to find papers with Springer, ACM, IEEE, Elsevier.
- For non-licensed papers, use the SLUB search engine
 - http://www.slub-dresden.de/
 - Go and lend a paper copy
- Search for "sci-hub"
- Saxony stores most of its Master's thesis and PhD theses on "Quality Content of Saxony", our permanent pdf server
 - http://www.qucosa.de/
 - Here you can find most of the Master's theses of the chair of Software Engineering.



- Distinguish primary from secondary sources
 - Read and cite primary sources!
 - If you found a good pedagogic overview article interesting for others, too, you
 may also cite this secondary source
- Important journals in Software Engineering
 - ACM Transactions on Software Engineering and Methodology (TOSEM)
 - IEEE Transactions on Software Engineering (TSE)
 - Springer Software and Systems Modeling Journal (SoSyM)
 - Elsevier Journal on Systems and Software (JSS)
- Overview journals or bibliographies for certain topics
 - ACM Computing Surveys





Fakultät Informatik - Institut Software- und Multimediatechnik - Softwaretechnologie - Academic Skills in Computer Science (ASICS)

13.6 Writing Literature Analysis Papers

Literature analysis papers can be written:

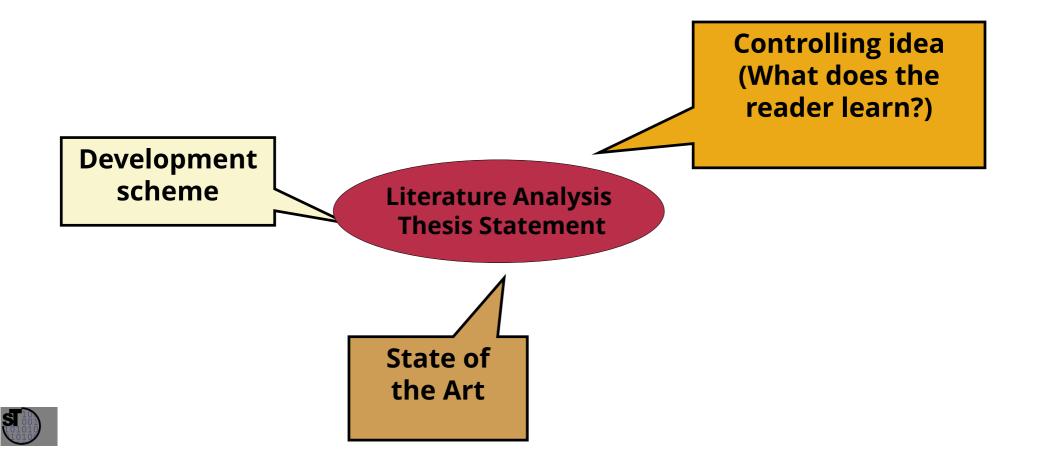
- standalone for overview journals such as ACM computing surveys
- as Background chapter for your Master or PhD thesis



DRESDEN concept Exzellenz aus Wissenschaft und Kultur

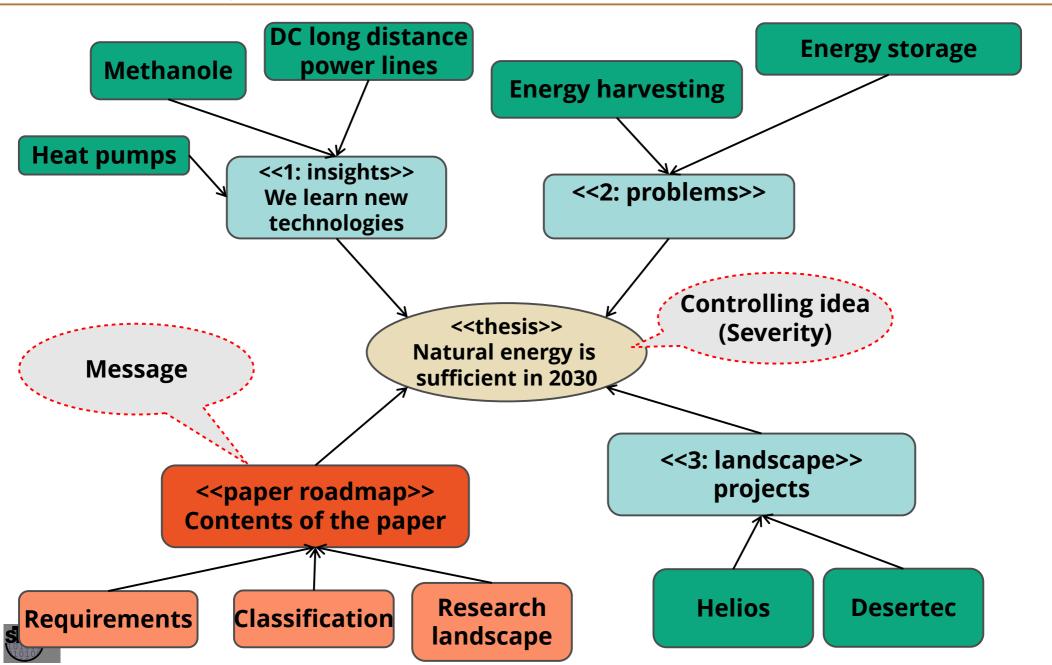
Thesis Statements about Literature Analysis

- A literature analysis thesis statement is a thesis statement showing the state of the art of the literature with regard to a certain area of knowledge.
- Classification Thesis: State-of-the-Art-in-Area + Controlling idea (what does the reader learn?) + Development Scheme



Example: Mindmap for Introductory Paragraph of Literature Analysis Paper

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Literature Analysis Paragraphs in 1-3-1 Structure (May be as an introduction to a paper)

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▶ We live in interesting times.

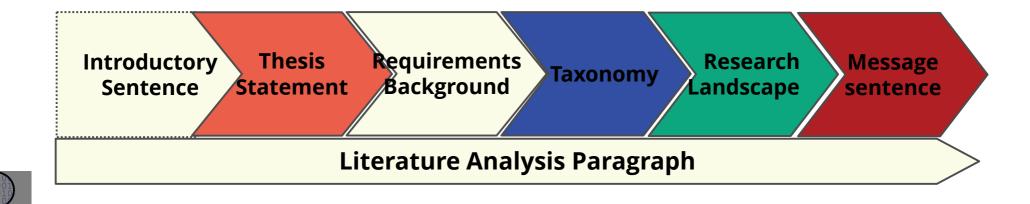
As recent works show, technical progress in harvesting energy is so fast that we might be able to earn all our energy demands from natural sources in 2030.

•We are learning at the moment how to store wind energy in methane gas and methanole, we have a political union with countries like Greece who do not have a stable economy, and could buy their natural energy and transport it by long-distance DC-powerlines to central and northern Europe. And since recently, we can build cheap and efficient multi-stage heat pumps to even heat old houses with natural energy.

►All these techniques must solve two problems: energy harvesting and energy storage. The former techniques are usually based on solar, wind, and heat harvesting. The latter show different degrees of efficiency; storing energy with power2gas methods seems to be most profitable.

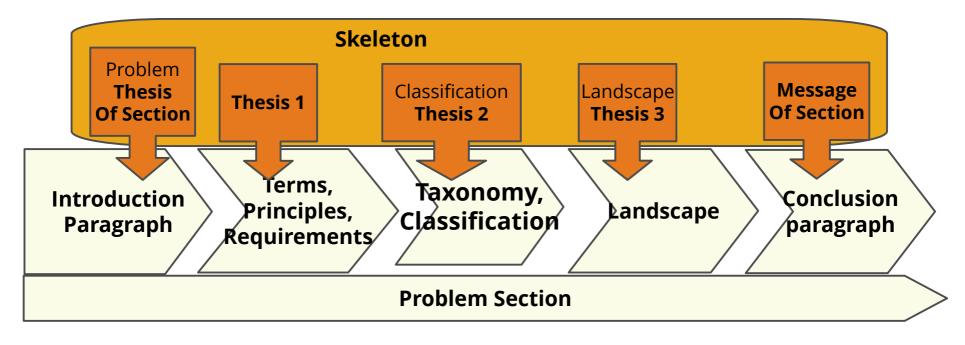
► Large projects such as Desertec (D) and Helios (GB) develop challenging agendas for changing our economy from an oil-based to one based on natural energy.

This paper will give an overview on requirements for the natural energy economy, will classify the energy techniques, and will show the research landscape of projects in Europe. May also you know that we live in interesting times.



Skeletons of Literature Analysis Paper

- The skeleton of a literature analysis paper (or section) is the sequence of all thesis statements of all paragraphs. [Salehie] suggests:
 - Terms, principles, requirements
 - Taxonomy, facet classification, multi-criteria comparison
 - Landscape (projects, papers), with concept maps, portfolios and Kiviat graphs
- Additional elements: Advance map, discussion of major approaches, pastpresent-future
- Literature analysis sections may be positioned as section 2 or 3 of a paper



Why Do We Need Literature Analysis and Information Gathering

- Research has to bring **novelty**, and novelty must be demarcated to the state of the art in the literature
- Know where you stand! (know your competitors)



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Many slides are courtesy to Dr. Birgit Grammel, Dr. Birgit Demuth, Jan Polowinski

