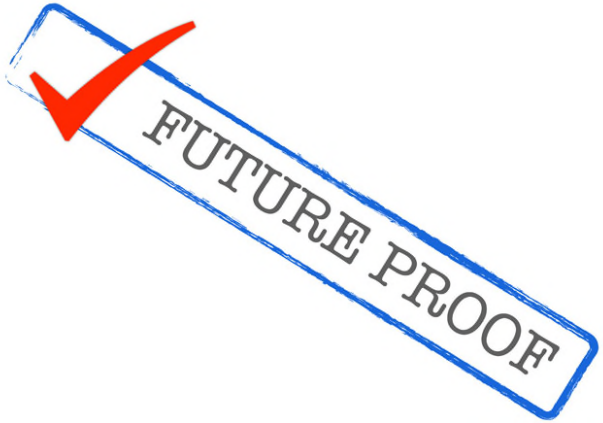


https://static1.squarespace.com

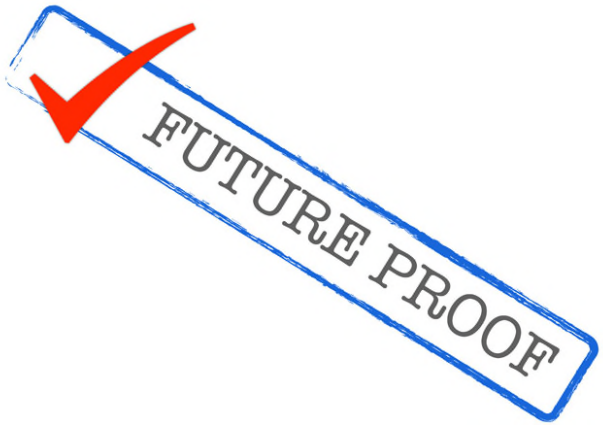
Future-Proof Software-Systems (FPSS)

Part 1

Lecture WS 2017/18: Prof. Dr. Frank J. Furrer

Version 1.3

Lecture Navigation



https://static1.squarespace.com

Preliminary Definition

Future-Proof Software-Systems =

Software-Systems which are built and evolved in such a way,

that their business value and technical value increases over time

https://hcldr.files.wordpress.com

Lecture Structure:

Part 1: Introduction

Part 2: Managed Evolution Strategy for Software Systems

Part 3: Architecting for Changeability

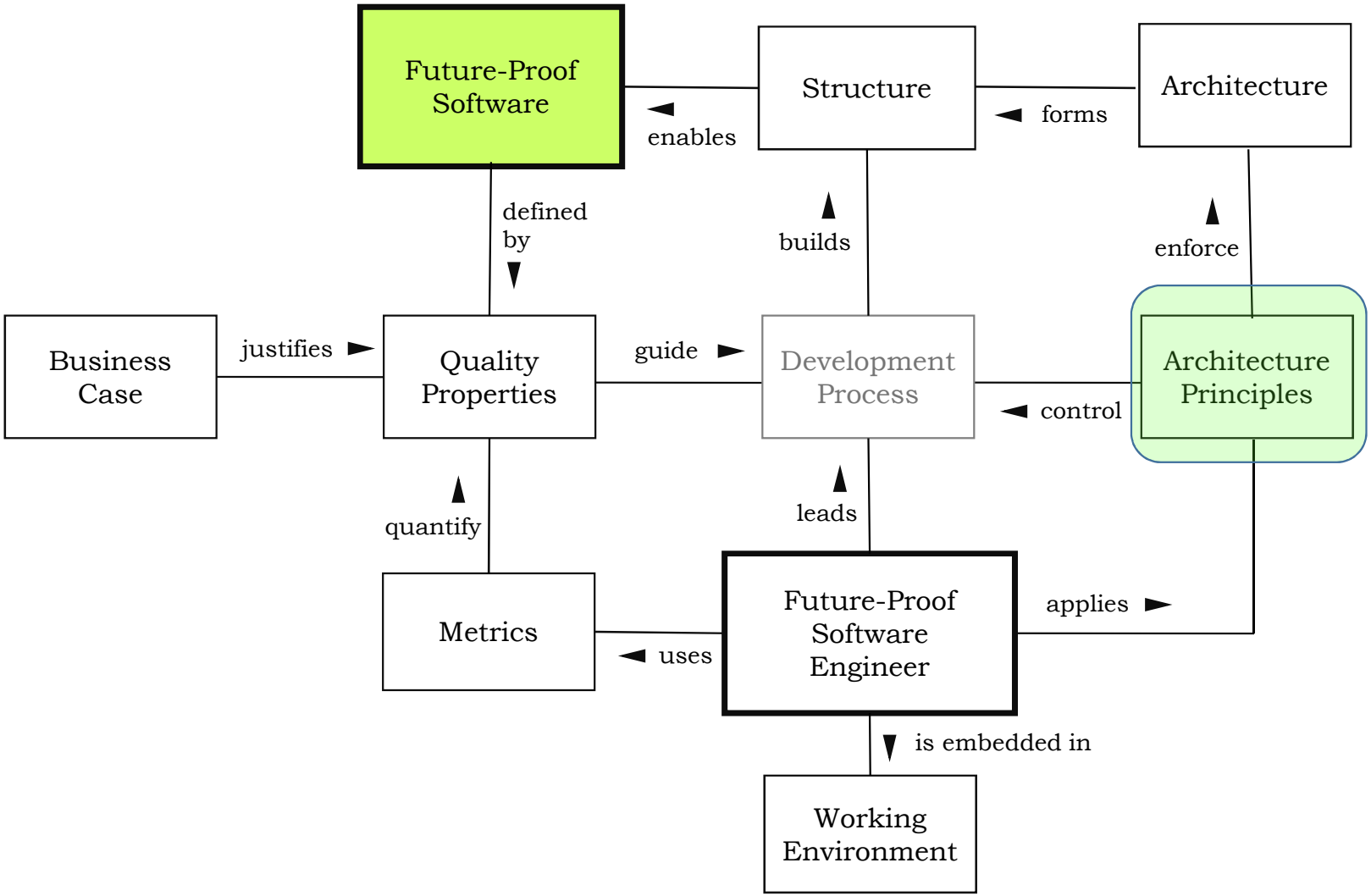
Part 4: Architecting for Dependability

Part 5: Skills of a Future-Proof Software Engineer

Slides + Additional Information:

http://st.inf.tu-dresden.de/teaching/fps

Future-Proof Software-Systems [Part 1]



http://images.all-free-download.com

Conceptual Context: (Lecture Map)

Future-Proof Software-Systems [Part 1]

Our journey:

«Software everywhere»

Systems & Software Engineering

Three devils of Systems Engineering

Future-Proof Software-Systems

Technial Debt Architecture Erosion

Managed Evolution Strategy

Architecture

Architecting for Changeability

Special Topics

Architecting for Dependability

The Future-Proof Software-Systems Engineer

Lecture Dates:

#	Datum	Zeit	Raum
1	Mi., 18. Oktober 2017	3.+4. DS (11:10 – 12:40 und 13:00 – 14:30)	APB/E010
2	Mi., 1. November 2017	3.+4. DS (11:10 – 12:40 und 13:00 – 14:30)	APB/E010
3	Mi., 15. November 2017	3.+4. DS (11:10 – 12:40 und 13:00 – 14:30)	APB/E010
4	Mi., 29. November 2017	3.+4. DS (11:10 – 12:40 und 13:00 – 14:30)	APB/E010
5	Mi., 13. Dezember 2017	3.+4. DS (11:10 – 12:40 und 13:00 – 14:30)	APB/E010
6	Mi., 10. Januar 2018	3.+4. DS (11:10 – 12:40 und 13:00 – 14:30)	APB/E010
7	Mi., 24. Januar 2018	3.+4. DS (11:10 – 12:40 und 13:00 – 14:30)	APB/E010

**"Tell me and I forget,
teach me and I may remember,
involve me and I learn."**

-Benjamin Franklin

http://blog.westinteractive.com

«Software Everywhere»

- Success Stories
- Failure Stories

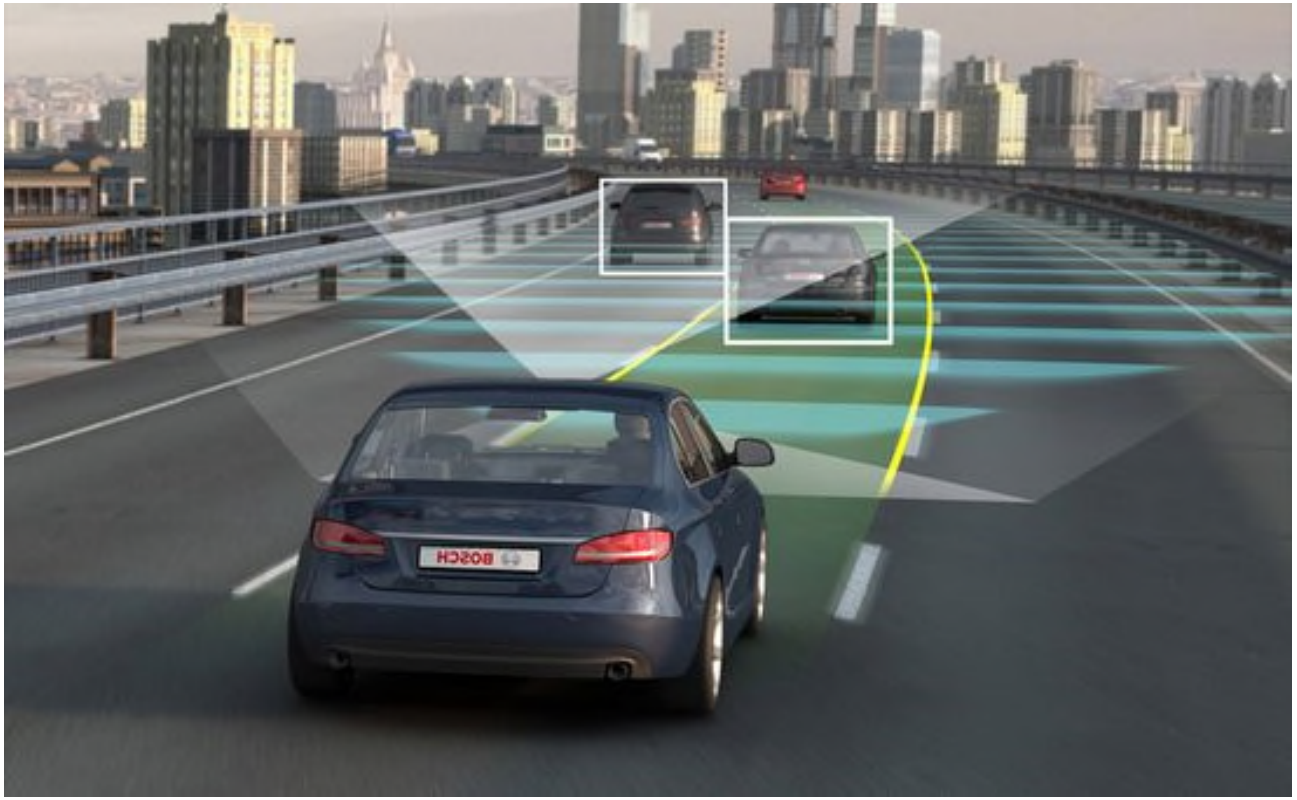
… some recent examples

«Software Everywhere»

- Success Stories
- Failure Stories

… some recent examples

Software Success Story Example **1**: **Autonomous Driving**



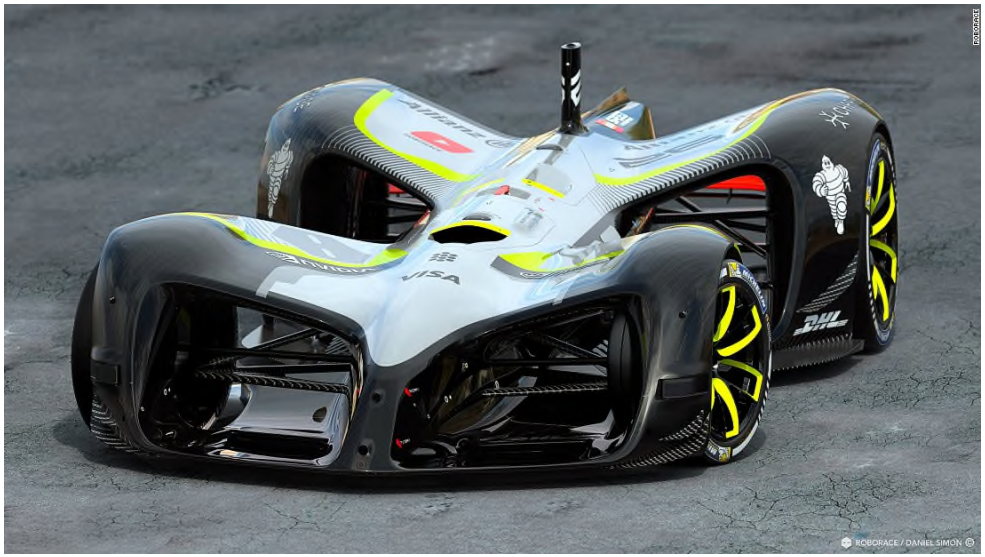
http://www.zomazi.com

In the near future, road traffic will be autonomous. Even complex situations will be handled without human intervention

http://kozmedia.com

Cars will be driven ***purely by software***, based on information from sensors and from the environment

Software Success Story Example **1**: **Autonomous Driving**



http://i2.cdn.cnn.com

Roborace – Global Championship of Intelligence and Technology

http://roborace.com

10 teams will have *equal* cars (2 each), but will have to develop their own real-time computing algorithms and artificial intelligence technologies

The Robocars have top speeds of more than **300 km/h** (190 mph). The series will be held on the same tracks the FIA Formula E Championship uses. It will be the first global championship for driverless (autonomous) cars

https://imgr2.auto-motor-und-sport.de

Software Success Story Example **2**: **2016 European Truck Platooning Challenge**

Truck platooning, where trucks travel in convoy very close to each other, provides many benefits. The first truck does the driving while the ones following are connected by a wireless electronic communications system, like the carriages of a train
[https://www.eutruckplatooning.com/default.aspx]

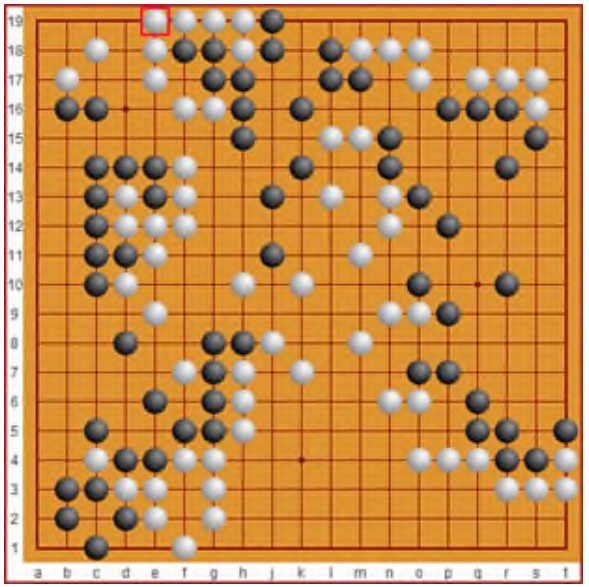
Software Success Story Example **3**: **Artificial Intelligence plays GO**

https://fr.wikipedia.org

«**GO**» is a strategic board game which was invented in China 2'500 years before.

Board: 19 x 19 Lines, unlimited number of white and black stones.

Goal: Occupy as much territory as possible



http://www.brettspielnetz.de

Number of possible positions on the GO-board: ~ 4,63 x 10^{170}

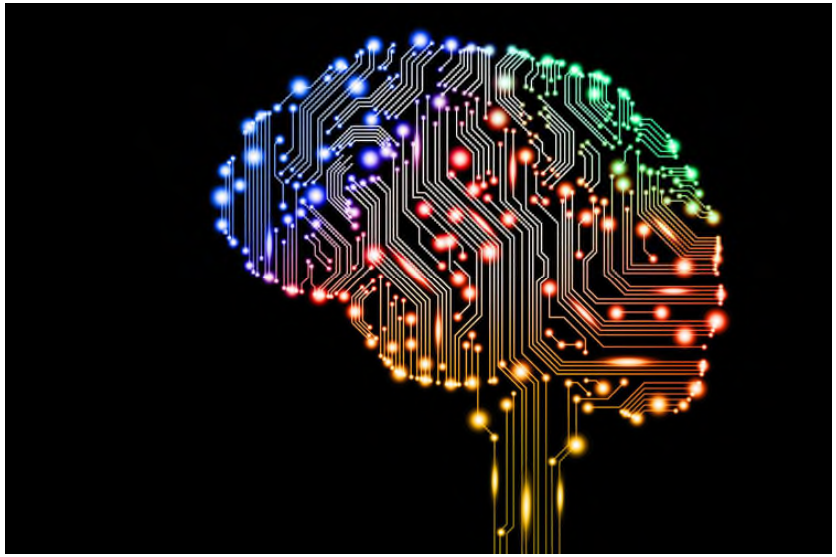
- Chess: ~10^{43}
- Atoms in the universe: ~10^{80}

Software Success Story Example **3**: **Artificial Intelligence plays GO**

http://www.watson.ch

March 2016: The AI-program «AlphaGO» wins in a tournament against the multiple world champion **Lee Sedol** 4:1

May 2017: «AlphaGO» wins in a tournament against the world's strongest player Ke Jie

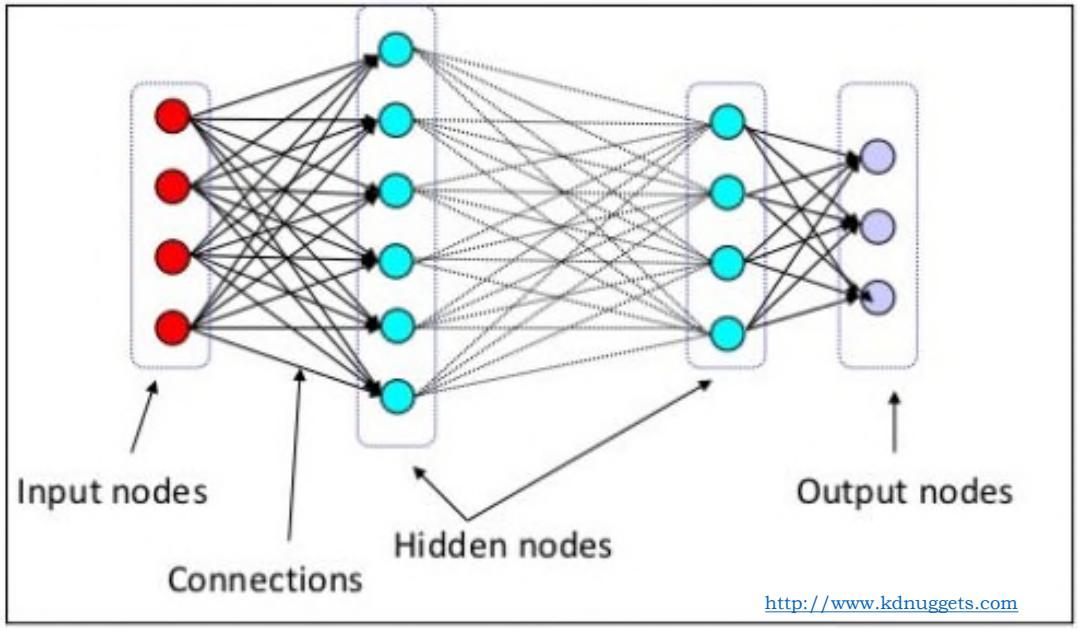


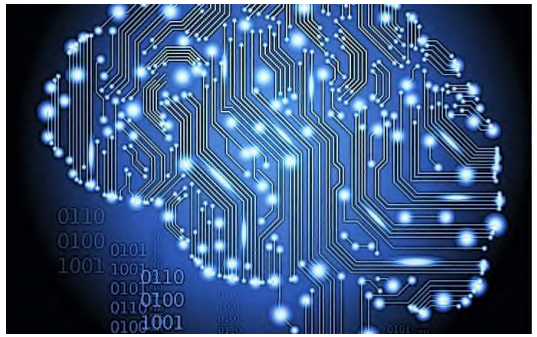
http://www.digitaltrends.com

Impressive/Worrying:
«AlphaGO» has NOT been programmed: It is a self-learning program [Deep Learning]

Software Success Story Example **3**: **Artificial Intelligence plays GO**

«AlphaGO» ist **NOT** a programmed algorithm, but Algorithmus, but a **self-learning software** [Deep Learning Neural Network]





https://sinews.siam.org

We know the full configuration and all parameters:
... but we have NO CHANCE to understand the functionality!

The new power of software: **Artificial Intelligence** (AI), especially **Machine Learning**

Have humans lost out in all games?

√ Computer

Game:
Complete
Information

Game:
Incomplete
Information

??????????

Poker:

- Can a computer bluff ?
- Can a computer take risks ?

http://www.pokercash4free.com

http://konangalfilmsociety.blogspot.ch

Software Success Story Example **4**: **Artificial Intelligence plays POKER**

Poker game: «**Texas Hold em'all**» is a card game 1:1 where strategy, **risk** & **bluffing** is important

https://www.pokervip.com/strategy-articles/poker-rules/texas-holdem

# of different hands: ~ 10^{160}

# of atoms in the universe: ~10^{80}

Software Success Story Example **4**: **Artificial Intelligence plays POKER**

Poker Tournament January 2017:

Our representatives of humanity:

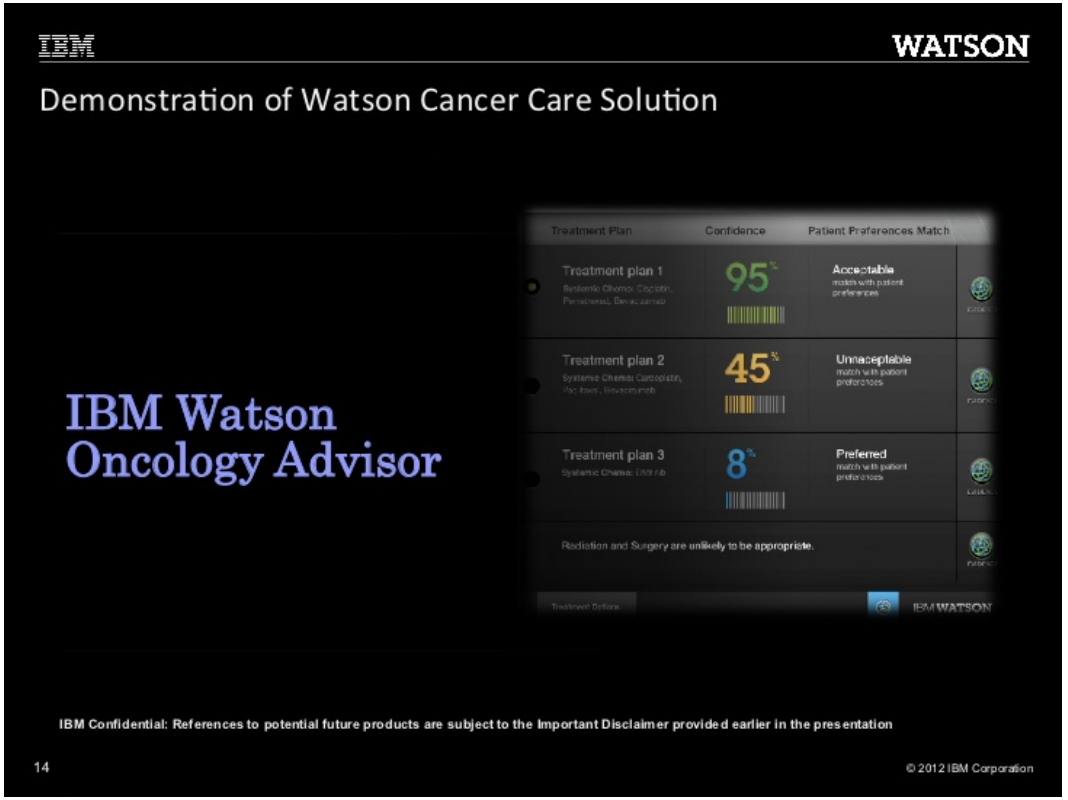
Jason Les, Dong Kyu Kim, Daniel McAulay and Jimmy Chou

kept things relatively tight at the outset.

At the end of day 20 and after 120,000 hands, the AI-program **Libratus** claimed victory with daily totals of $206'061 in theoretical chips and an overall pile of $1'766'250

http://www.cmu.edu/news/stories/archives/2017/january/AI-tough-poker-player.html

Software Success Story Example **5:** Medical Oncological Advisor "Watson"



Software expert system for diagnosis and therapy of cancer

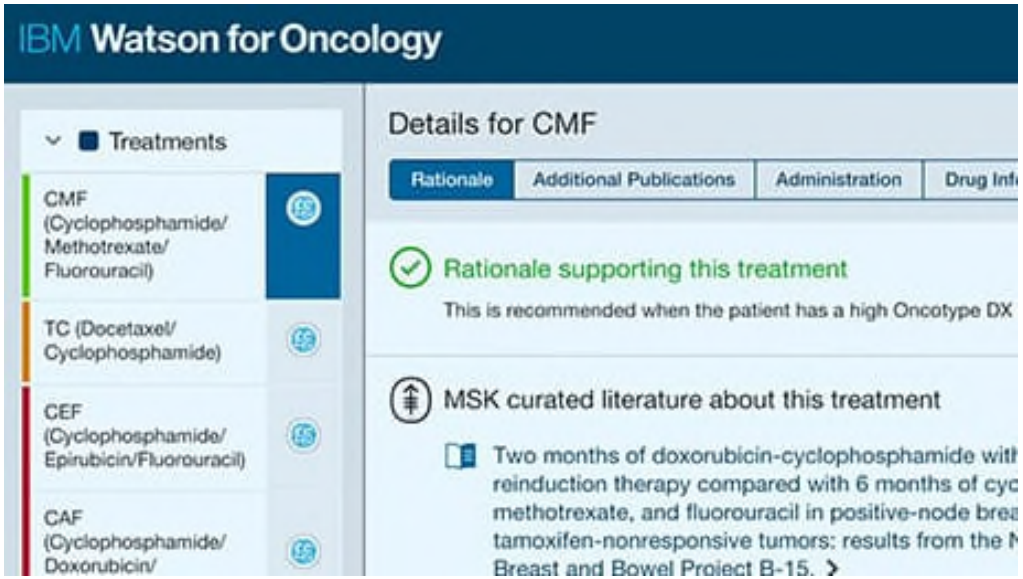
Knows and «understands» the complete oncological knowledge

Software Success Story Example **5:** Medical Oncological Advisor "Watson"

Did AI just save its first life?

The University of Tokyo is reporting that Watson has correctly diagnosed a **rare form of leukemia** in a 60-year-old Japanese woman. Doctors turned to Watson after the patient failed to respond to drugs they were administering. After 10 minutes of crunching the data, Watson correctly diagnosed her ailment and recommended the appropriate treatment

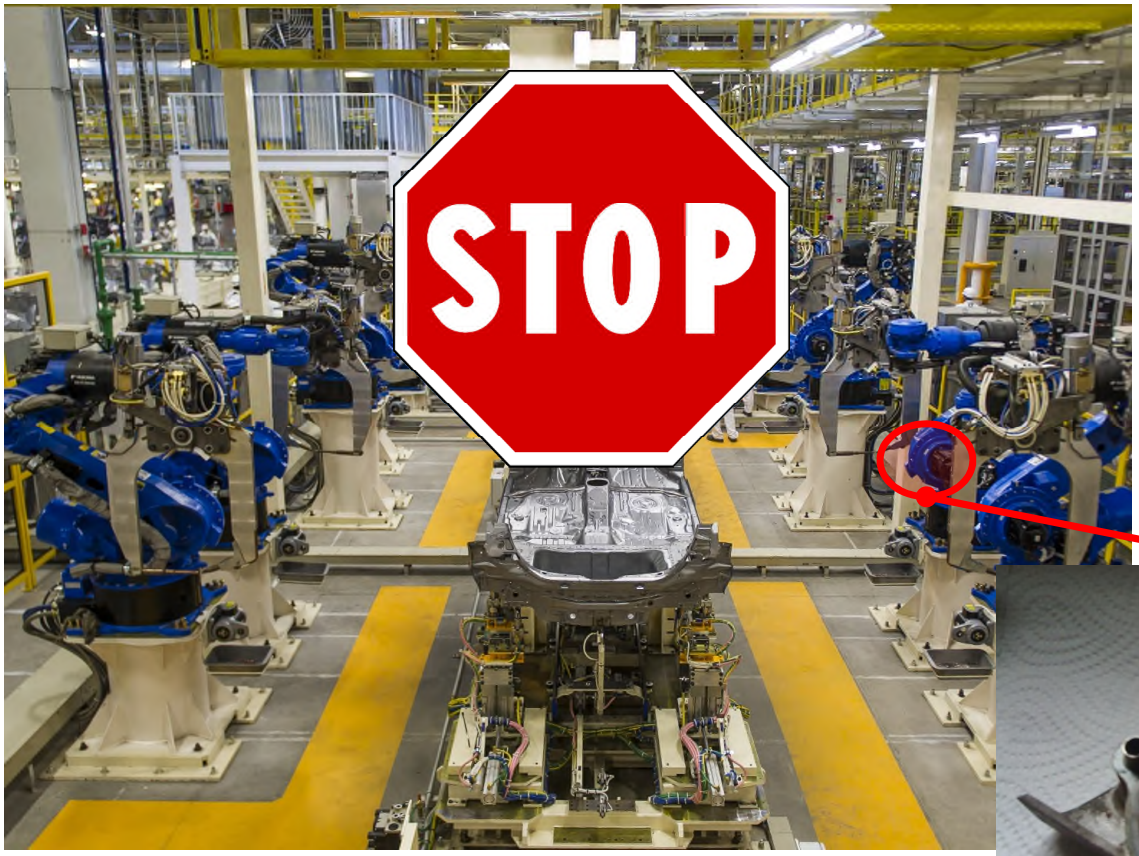
https://www.top500.org



https://www.ibm.com

Watson Oncology:
Expert system + Doctor interaction = powerful diagnosis & treatment tool

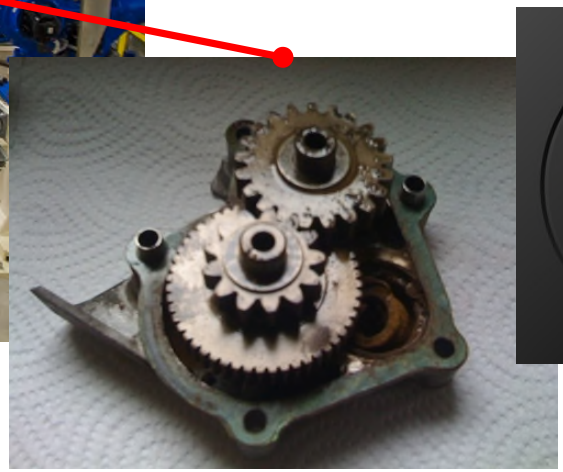
Software Success Story Example **6**: **Preventive maintenance**



https://detektor.fm

Today's manufacturing:

- Highly automated
- Complex assembly lines
- 10'000's of devices
- Failure of one device may stop assembly line



How to prevent?

Software Success Story Example **6**: **Preventive maintenance**

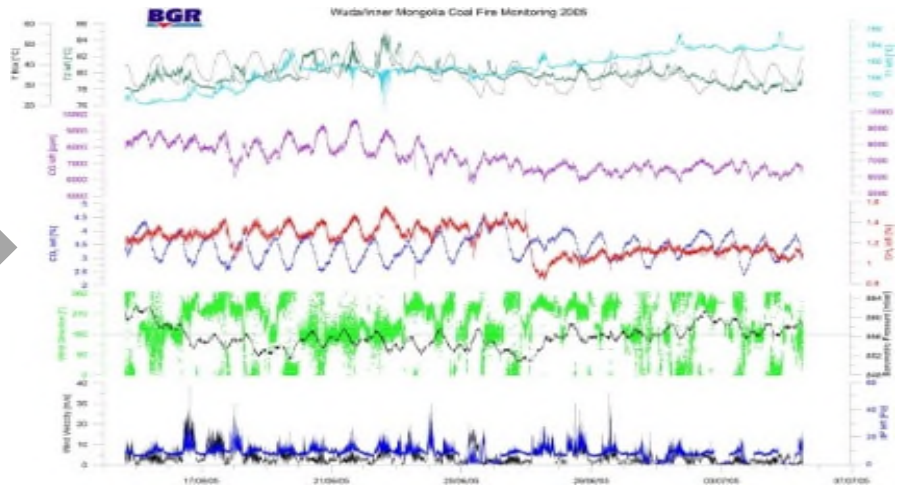
Mechanic & Thermal

Vibrations & Noise

Times & Intervals

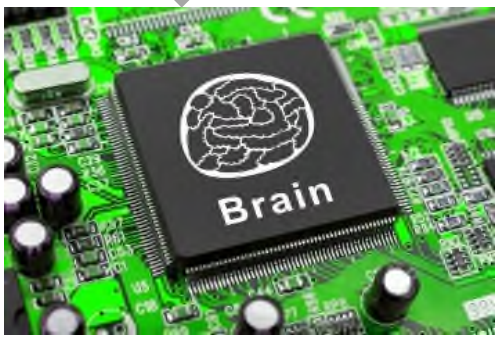
Geometry

Materialconstants



https://www.bgr.bund.de

Millions of values per Minute



Machine-learning based analysis

4.6.18
Failure
D394c
[C = 0.84]

Failure Prediction

http://www.indiantradebird.com

http://diysolarpane

«Software Everywhere»

- Success Stories
- Failure Stories

… some recent examples

Software Catastrophe Example **1: Crash Airbus A400M (9. Mai 2015)**

http://www.reuters.com

A400M: Military Transport Plane

Capacity: 37'000 kg

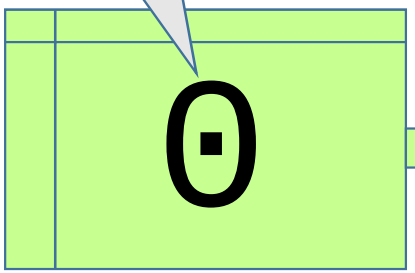
Range: > 3'000 km

Failure of the thrust control of 3 engines shortly after the start
⇒ **Crash**

http://www.ouest-france.fr

Software Catastrophe Example **1: Crash Airbus A400M (9. Mai 2015)**

Ground crew software update



Engine Control Data

Start

Control Program

Check **completeness** and **integrity** of required data

Software Catastrophe Example **2**: **US$ 951 Million cyber-theft**

In February 2016, instructions to **steal US$ 951 million** from the central bank of Bangladesh, were issued via the SWIFT network

Five transactions issued by hackers, worth $101 million, succeeded

The Federal Reserve Bank of NY blocked the remaining thirty transactions, amounting to $850 million

Software Catastrophe Example **3**: **Unwanted acceleration of Toyota cars**

http://businessethicscases.blogspot.ch

The unwanted acceleration of Toyota and Lexus cars caused

89 traffic deaths and **52 injured** from 2000 to 2010

Software Catastrophe Example **3**: **Unwanted acceleration of Toyota cars**

http://www.autoevolution.com

Toyota claimed in the beginning that the ***doormat*** was the source of the acceleration

Independent research demonstrated a ***software-problem*** in the throttle control

19. March 2014: Toyota pays a US-fine of 1.2 Billion US$

Software Catastrophe Example **4**: **Automated Trading Big Loss**

http://bilder1.n-tv.de

Knight Capital:

Computer-Trader
= high-frequency automated computer-trading

[10'000 Trades/sec
Holding: Milliseconds]

Computer-traded Loss on 1.8.2012 (NYSE): **440 Million US$**
(in 20 minutes)

Software Catastrophe Example **4**: **Automated Trading Big Loss**

http://www.nj.com

On 1.8.2012 at 9:30
the computers generated
(without human activity)
millions of ***faulty trades***

At 9:58 Knight Capital had lost **440 Millionen US$**

https://www.mytechlogy.com

Reason: **Programming mistake** in the high-frequency automated trading algorithm after a software-update

Software Catastrophe Example **5**: **Blockchain Code Exploit**

http://www.extremetech.com

A **blockchain** is a cryptographic, anonymous public ledger of all cryptocurrency transactions that have ever been executed in a community.

The blockchain-technology is the base for nearly all **FinTech** ventures.

http://www.bitcoinisle.com

Anyone who invested Ether into the ***DAO fund*** received a particular number of DAO tokens, which enabled them to vote on the projects that the DAO will fund. By the end of May, the DAO had raised more than **US$150 million** worth of Ether from investors.

http://fortune.com

http://www.coindesk.com

Software Catastrophe Example **5**: **Blockchain Code Exploit**

http://ecoinclub.com

17.6.2016:

The DAO operating through a decentralized **blockchain** (inspired by Bitcoin), has been robbed of more than **US$ 60 million** worth of Ether digital currency through a ***code exploit***

Software Catastrophe Example **6**: **US Clinton e-Mail Hack**

https://www.theatlantic.com

In March 2016, the personal Gmail account of John Podesta, the chairman of Hillary Clinton's 2016 U.S. presidential campaign, was compromised in a data breach, and a collection of his **e-mails**, many of which were work-related, were stolen

https://en.wikipedia.org/wiki/Podesta_emails

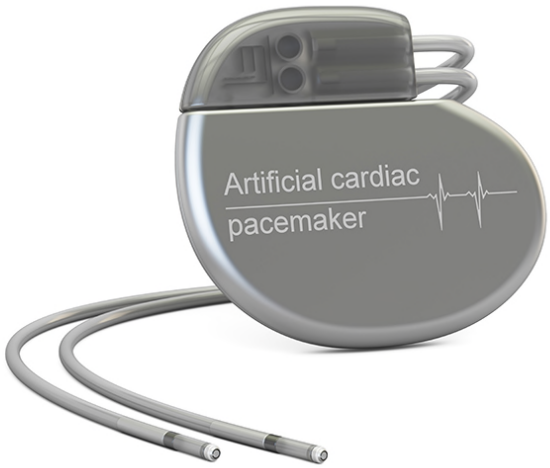
The e-mails were subsequently published by WikiLeaks.

https://www.theatlantic.com:

"*Conservatives will see corruption and liberals will see corporatism and expedience, but the exchanges simply expose the candidate who's been there all along*"

The leaks certainly damaged Hilary Clinton's campaign and possibly decided the outcome

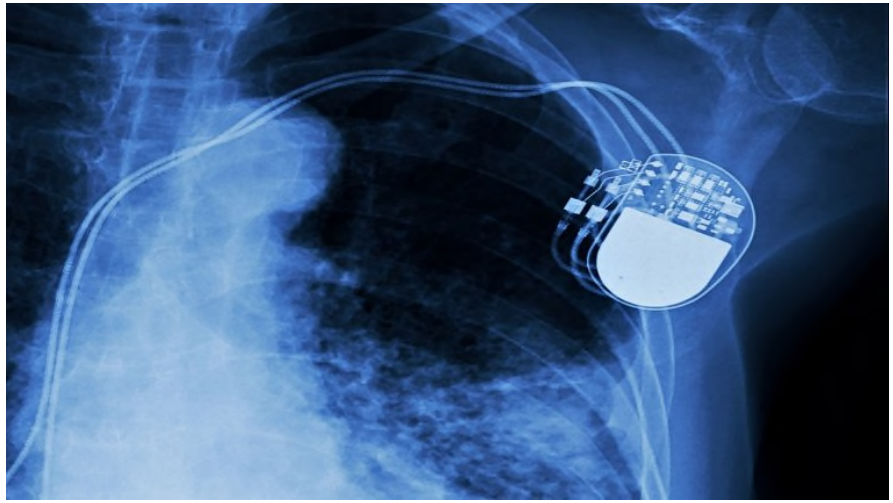
Software Catastrophe Example **7**: **Heart Pacemaker Vulnerability**



https://www.cisomag.com/wp-content/uploads/2017/08/Pacemaker.jpg

August 30, 2017:

An estimated 465,000 people in the US are getting notices that they should ***update the firmware*** that runs their life-sustaining pacemakers or risk falling victim to potentially ***fatal hacks***



https://www.hackread.com/pacemaker-ecosystem-security-flaws-leave-vulnerable-hackers/

https://arstechnica.com/information-technology/2017/08/465k-patients-need-a-firmware-update-to-prevent-serious-pacemaker-hacks/

Software Catastrophe Example **8**: EQUIFAX Hacking

http://cdn.mos.cms.futurecdn.net

7. September 2017:

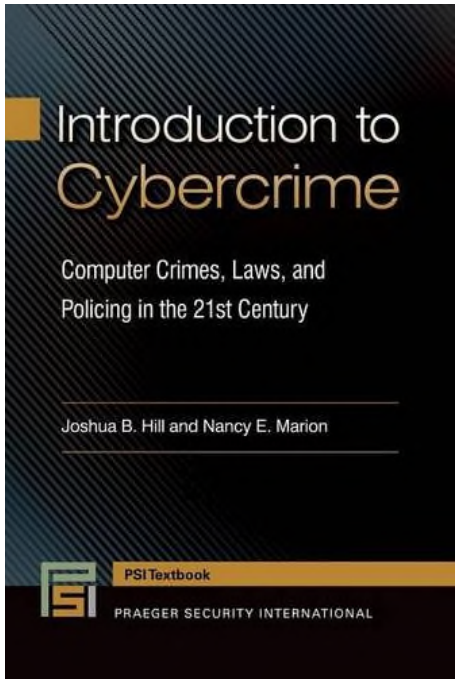
Data of 143 million Americans exposed in hack of credit reporting agency Equifax

https://www.washingtonpost.com

Hackers gained access to *sensitive personal data* — Social Security numbers, birth dates, home addresses, credit histories — for up to 143 million Americans, a major cybersecurity breach at a firm that serves as one of the three major clearinghouses for Americans' ***credit histories***

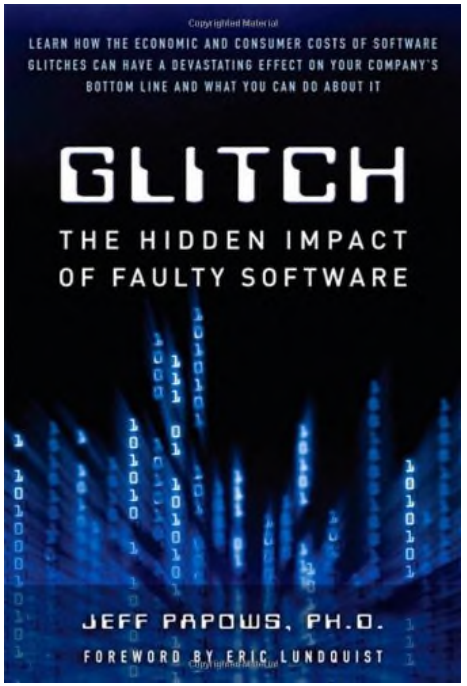
https://www.hackread.com

Textbook



Nancy Marion:
Introduction to Cybercrime – *Computer Crimes, Laws, and Policing in the 21st Century*
Praeger Security International,2016. ISBN 978-1-4408-3533-9

Textbook



Jeffrey Papows:
Glitch – *The Hidden Impact of Faulty Software*
Prentice Hall Inc., USA, 2010. ISBN 978-0-132-16063-6

«Software Everywhere»

... and what is the message?

«Software Everywhere» … and what is the message?

Success Stories

Software generates **Business Value**

⇒ Products, Services, Quality of Life, …

http://smallbusinessbc.ca

Failure Stories

Software creates **Risk**

⇒ Accidents, failures, malfunctions, …

http://cartoons.com

Message

Responsibility
of the Software Community:

http://img.quotery.com

To build and operate **dependable** software

… *«The software does what it should do, and does not what it should not do»*

To generate **business value** for its owner (and the community)

… *«The software industry is today one of the largest industries in the world»*

To maintain a high **changeability** of the software:

… *«The software must efficiently be adaptable to new requirements»*

FPSS

Our objective is:

To build, evolve, and maintain

long-lived IT-systems

with a strong dependability,

an easy changeability

and a high business value.

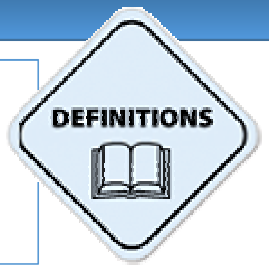
http://www.gettyimages.ch

Systems-Engineering

Software-Engineering

... and some definitions

Software engineering is the application of engineering to the design, development, implementation, testing and maintenance of software using systematic methods



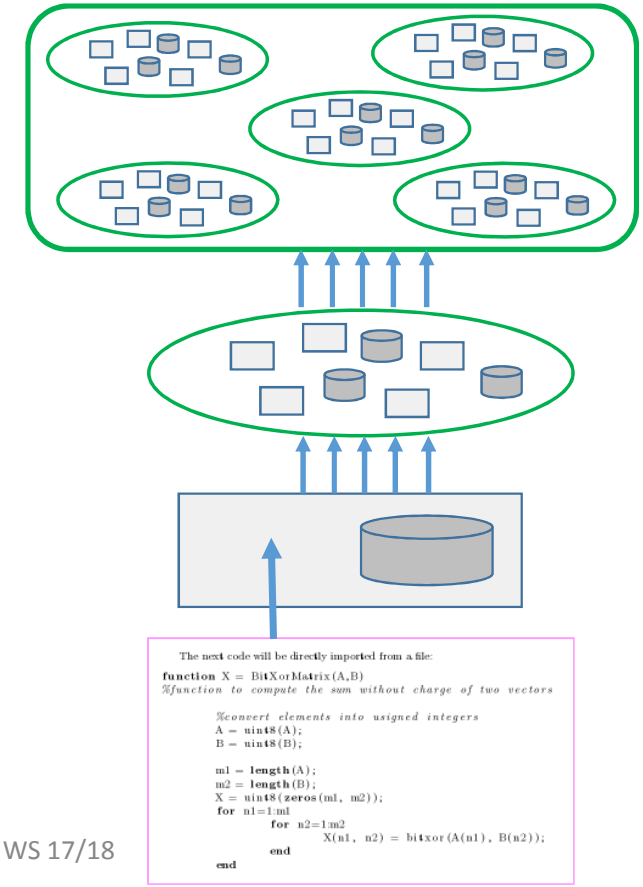
Software Hierarchy:

Application Landscape

Application

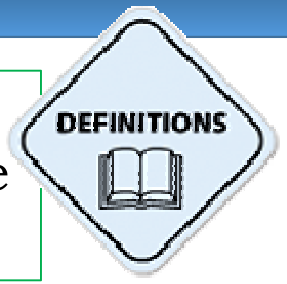
Component

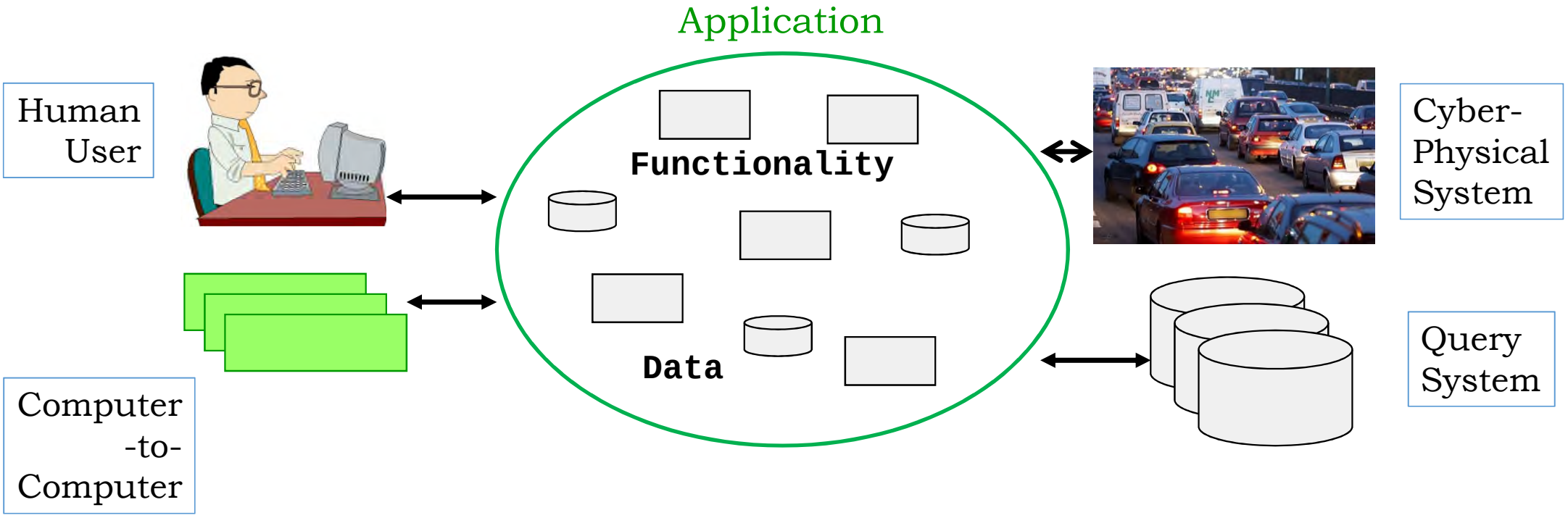
Program, Module

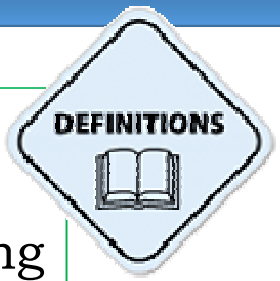


```
The next code will be directly imported from a file:
function X = BitXorMatrix(A,B)
%function to compute the sum without charge of two vectors

        %convert elements into usigned integers
        A = uint8(A);
        B = uint8(B);

        m1 = length(A);
        m2 = length(B);
        X = uint8(zeros(m1, m2));
        for n1=1:m1
                for n2=1:m2
                        X(n1, n2) = bitxor(A(n1), B(n2));
                end
        end
```

Application (software) =
Software designed to fulfill specific needs of a user: for example, software for navigation, payroll, or process control (IEEE Std 610.12-1990)

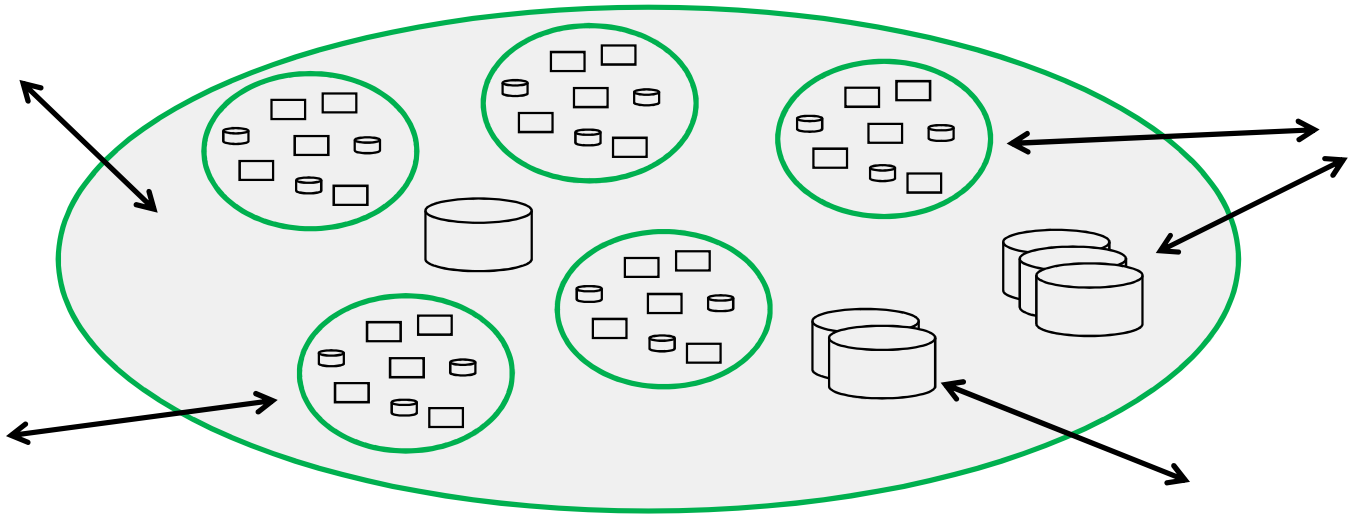






Application Landscape =
Set of interacting applications and data cooperating to achieve a common objective: for example operate a bank, drive a car, or control a manufacturing process

Application Landscape



Example: CREDIT SUISSE **distributed** Application Landscape

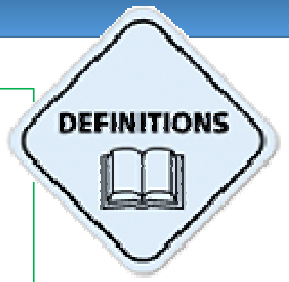
CREDIT SUISSE

ZURICH
4'000 Applications

LONDON
6'000 Applications

SINGAPORE
3'000 Applications

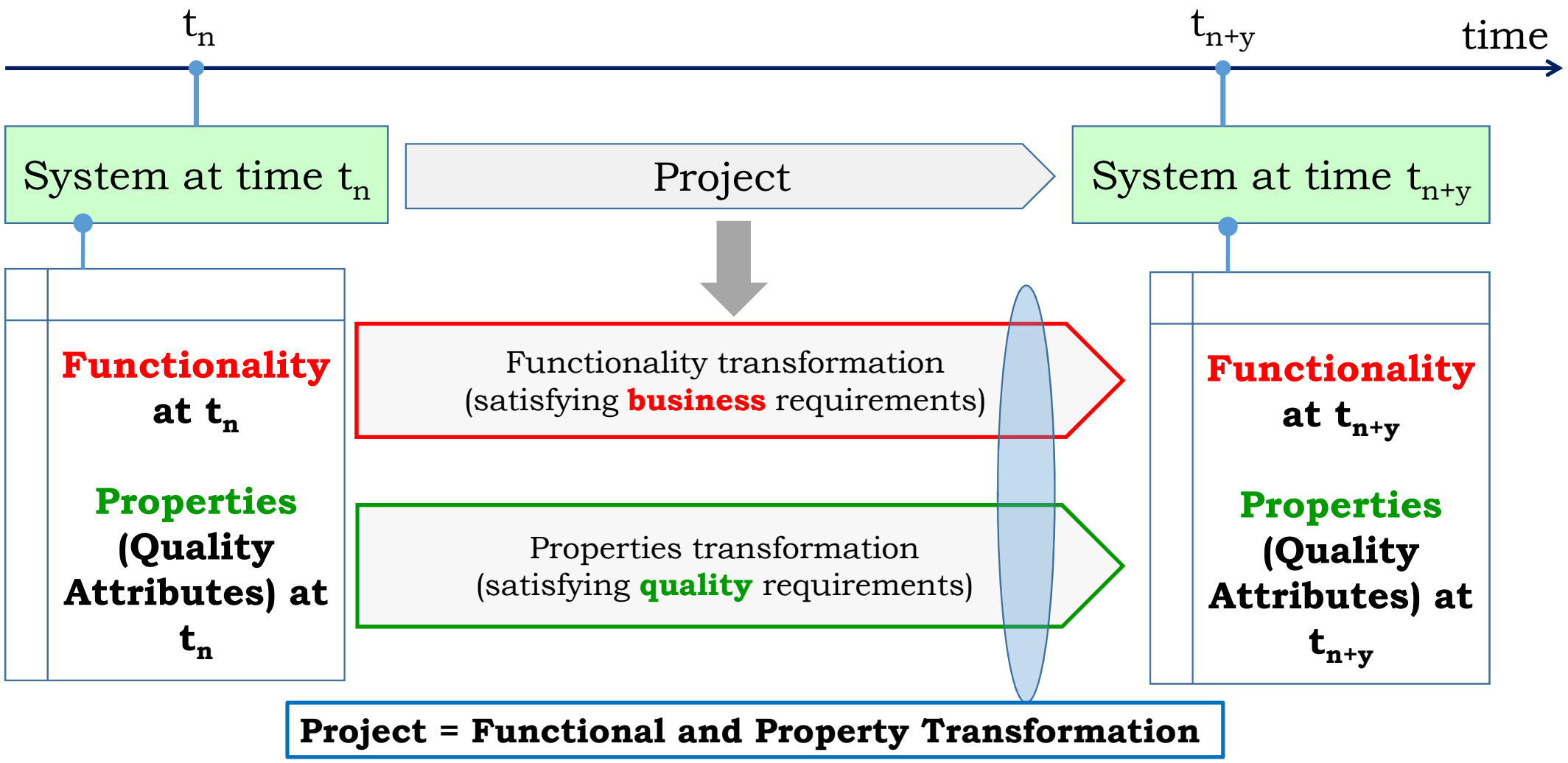
HONG KONG
3'000 Applications



Project =
Planned set of interrelated tasks to be executed over a fixed period and within certain cost and other limitations

http://www.businessdictionary.com/definition/project.html

http://wolcottwhisper.com


t_n
t_{n+y}
time
System at time t_n
Project
System at time t_{n+y}
Functionality at t_n
Properties (Quality Attributes) at t_n
Functionality transformation (satisfying business requirements)
Properties transformation (satisfying quality requirements)
Functionality at t_{n+y}
Properties (Quality Attributes) at t_{n+y}
Project = Functional and Property Transformation

Software Development & Integration

New Reqs → Specification → Development → Integration

Program Module

```
The next code will be directly imported from a file:
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    m1 = length(A);
    m2 = length(B);
    X = uint8(zeros(m1, m2));
    for n1=1:m1
        for n2=1:m2
            X(n1, n2) = bitxor(A(n1), B(n2));
        end
    end
```
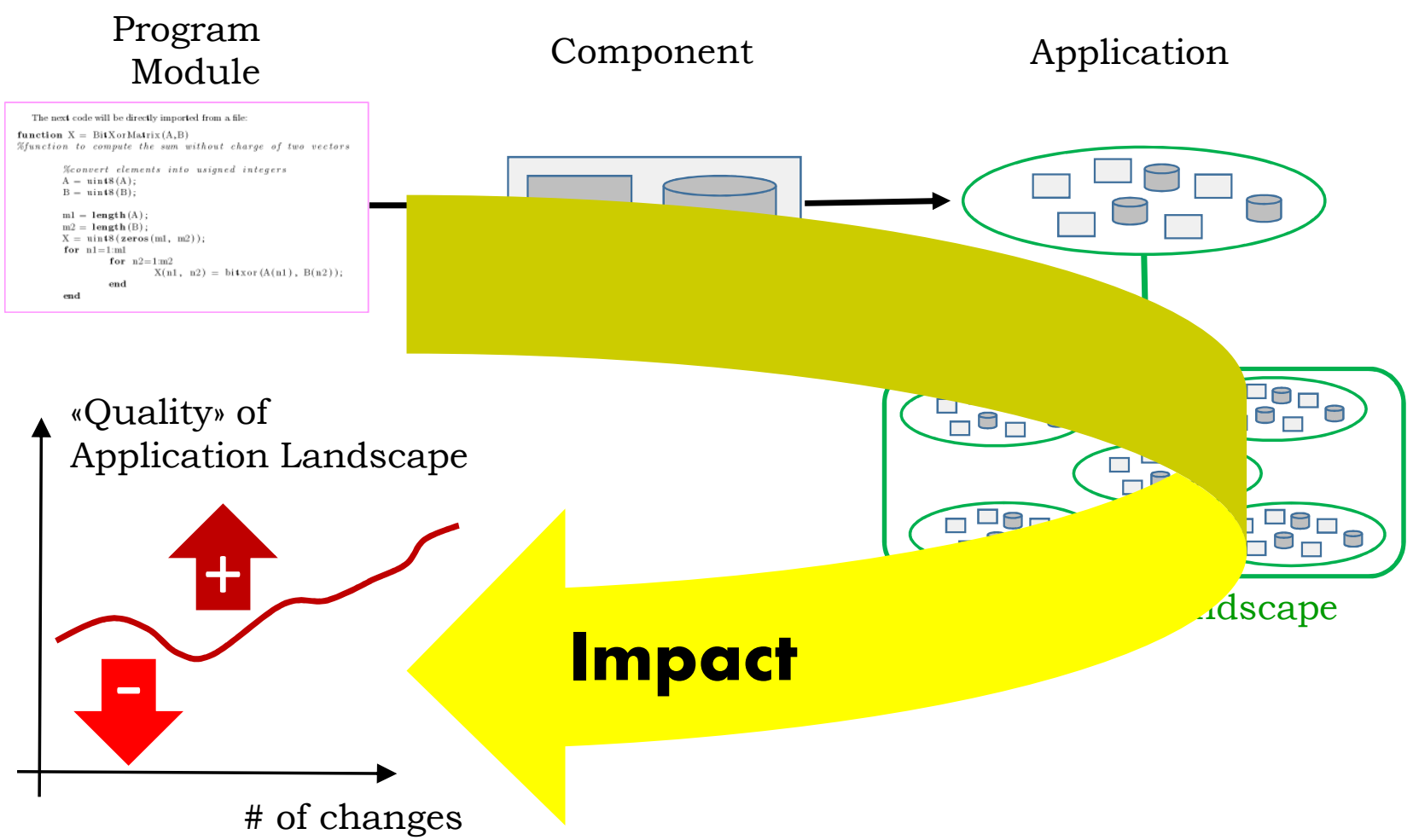

Component

Application

Application Landscape


Program Module
Component
Application
The next code will be directly imported from a file:
function X = BitXorMatrix(A,B)
%function to compute the sum without charge of two vectors
%convert elements into usigned integers
A = uint8(A);
B = uint8(B);
m1 = length(A);
m2 = length(B);
X = uint8(zeros(m1, m2));
for n1=1:m1
for n2=1:m2
X(n1, n2) = bitxor(A(n1), B(n2));
end
end
«Quality» of Application Landscape
+
-
# of changes
Impact
ndscape

Program Module

Component

Application

```
The next code will be directly imported from a file:
function X = BitXorMatrix(A,B)
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    %convert elements into usigned integers
    A = uint8(A);
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    for n1=1:m1
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            X(n1, n2) = bitxor(A(n1), B(n2));
        end
    end
```
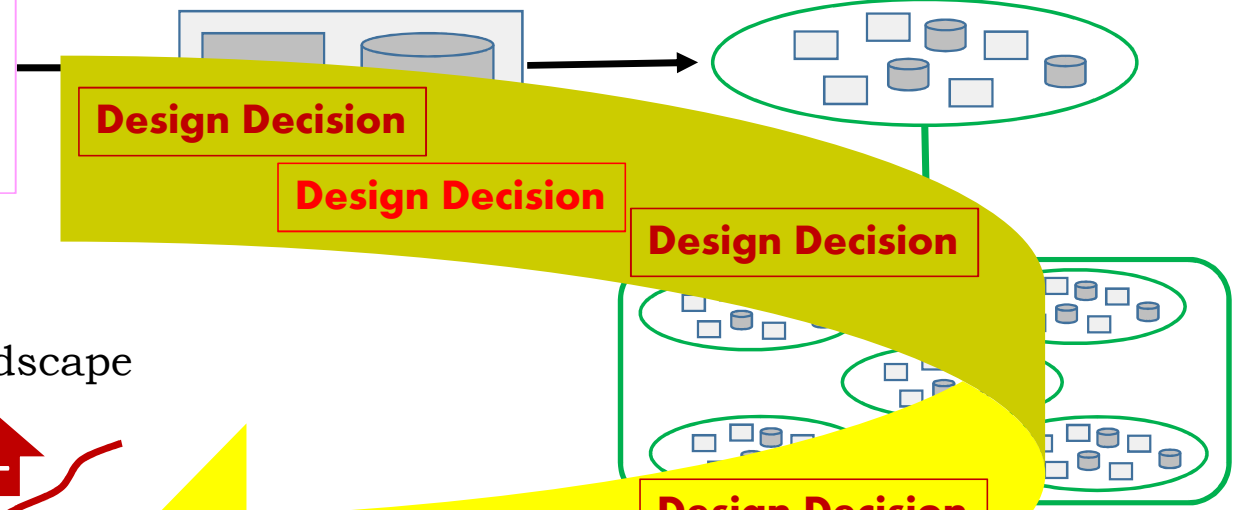
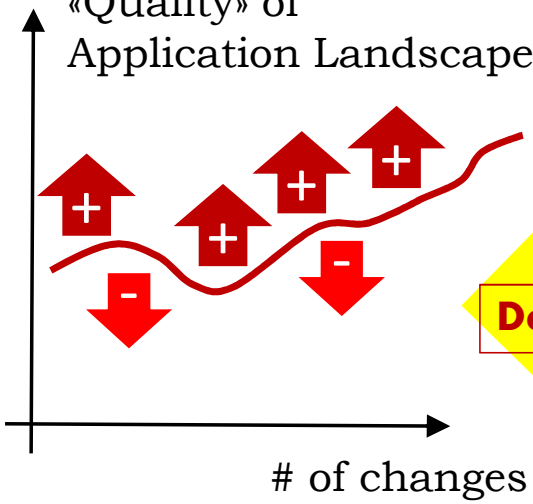
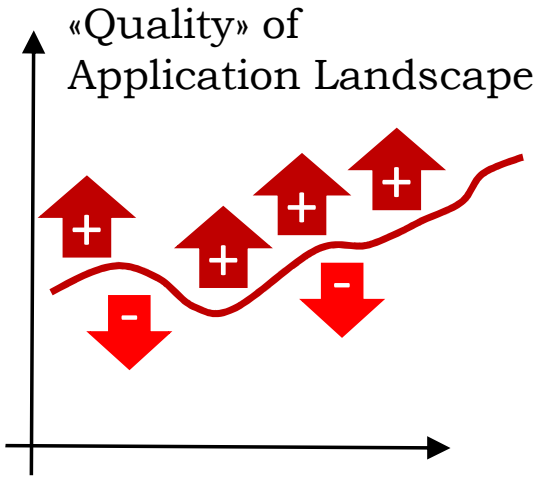





The «quality» of the application landscape is the sum of all design & implementation decisions

http://searchengineland.com



The «quality» of the application landscape is the sum of all design & implementation decisions

… defined later

The «quality» of the application landscape is a consequence of:

- Architecture choices
- Design decisions
- Implementation options

Architect

http://exploringthemind.com

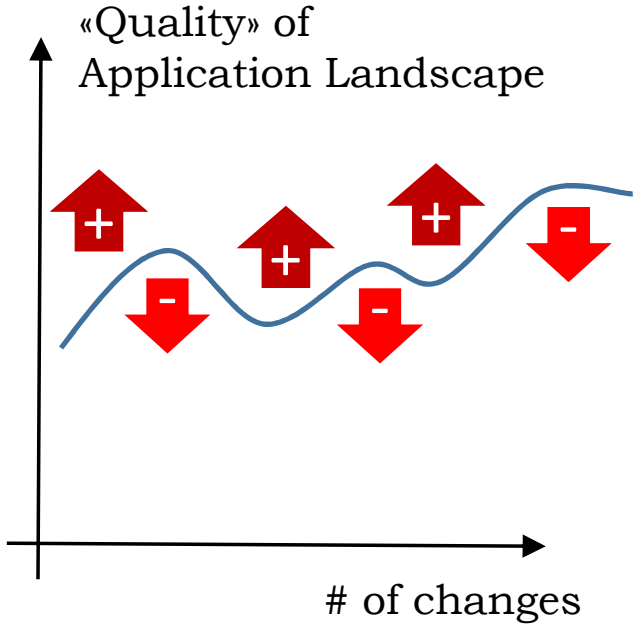
Future-Proof Software-Systems [Part 1]

Good design decision

- Proven principles
- Good people
- Quality process
- Sufficient resources

Bad design decision

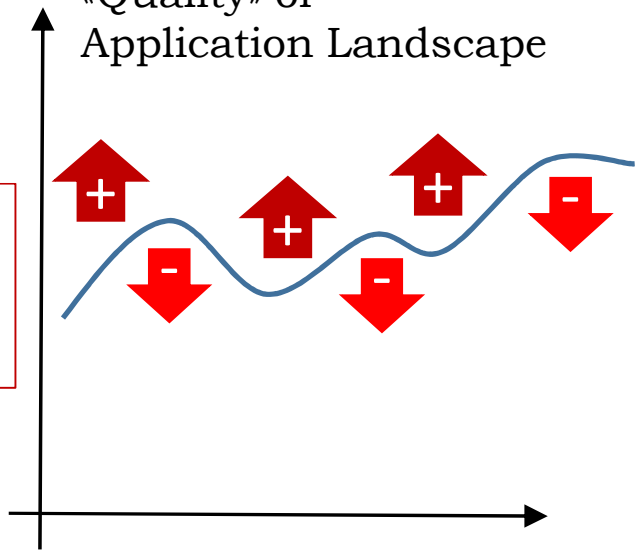
- Missing overall architecture
- Lack of principles enforcement
- Careless people
- Technical debt accumulation
- Time & resource shortage



Good design decision

- Principles
- People
- Processes

Bad design decision



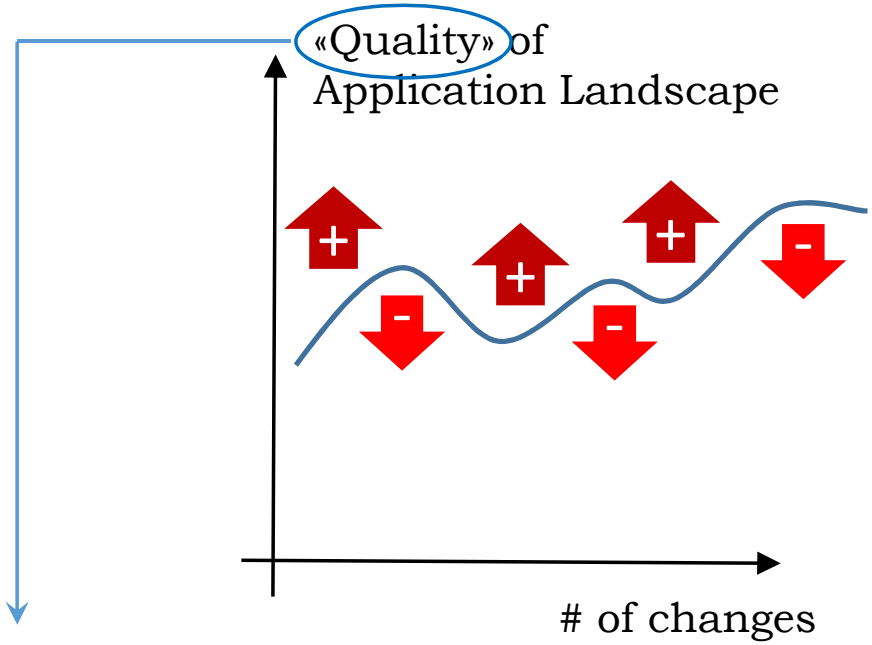
Architect

Even the best designed system will be killed by a sequence of bad design or implementation decisions

https://www.entrepreneur.com

https://www.emaze.com

http://media.istockphoto.com



Functional:

- free of defects
- match specifications

Non-Functional:

- … «-illities»
- Security, agility, safety, …

- … «-illities»

Non-functional properties [= Quality Attributes]

- Safety
- Security
- Availability
- Integrity
- Performance
- Maintainability
- Recoverability
- Resource consumption (power, memory, …)
- Diagnosability
- …

Which quality attributes are most important?

⇒ Depends on the application!

- … «-illities»

Application → **Quality Attributes Scorecard**

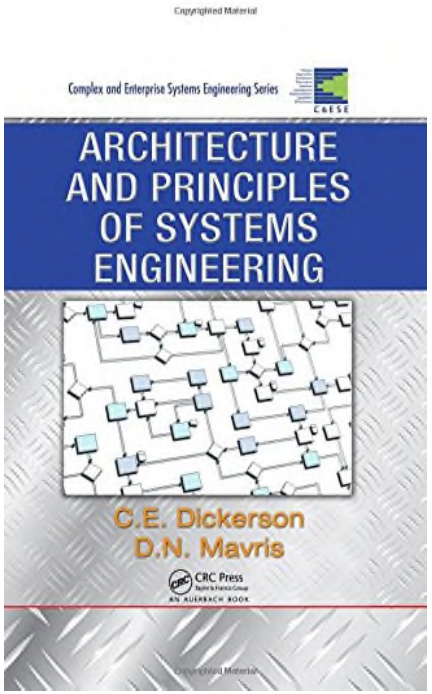
«Fit for Purpose»

Quality Attributes:
- Resources
- Security
- Safety
- Availability
- Performance
- Integrity
- Maintainability
- Standards conformance
- …

Dependability

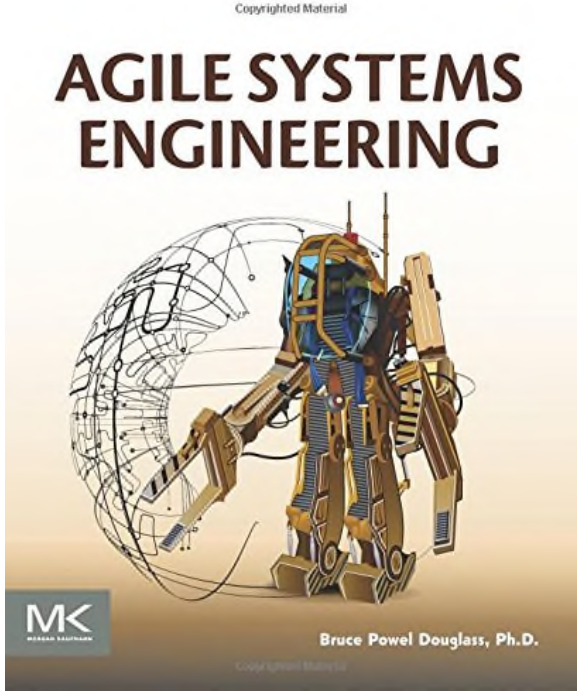
Dependability objective:
No harm to life and property (internal and external)

Textbook



C.E. Dickerson, D.N. Mavris:
Architecture and Principles of Systems Engineering
CRC Press (Taylor & Francis), Boca Raton, USA, 2010. ISBN 978-1-4200-7253-2

Textbook



Bruce Powel Douglass:
Agile Systems Engineering
Morgan Kaufmann Publishers (Elsevier), Waltham, MA, USA, 2016. ISBN 978-0-12-802120-0

Change

Uncertainty

The 3 Devils of Systems-Engineering

Complexity

Change

„The three devils of systems engineering are:

- Complexity,
- Change,
- Uncertainty"

Anonymous

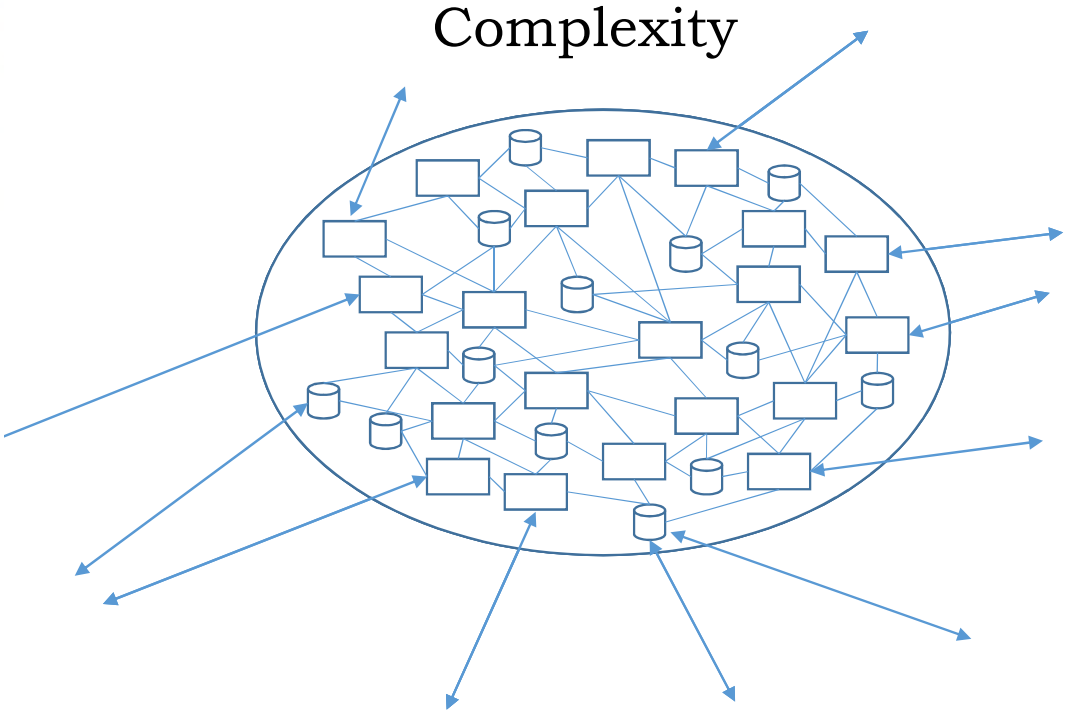
Complexity

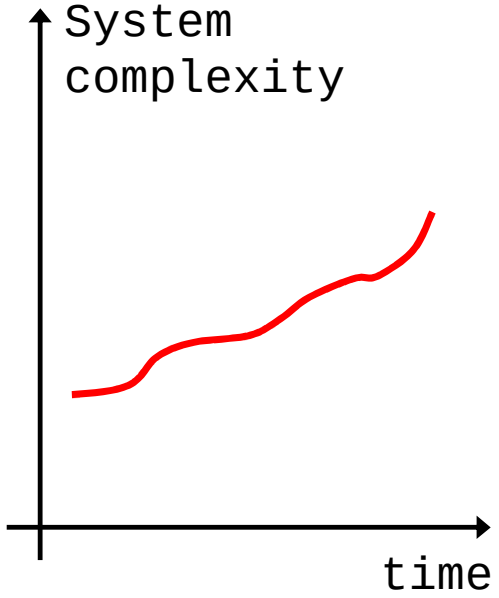
Uncertainty

What do they do to our software?

How can we fight them?

Complexity





"**Complexity** is that property of an IT-system which makes it difficult to formulate its overall behaviour, even when given complete information about its parts and their relationships"

Complexity

Complexity: Negative Impact on Software-Systems

High difficulty to understand and document

Loss of conceptual integrity

Duplication of models, functionality and data

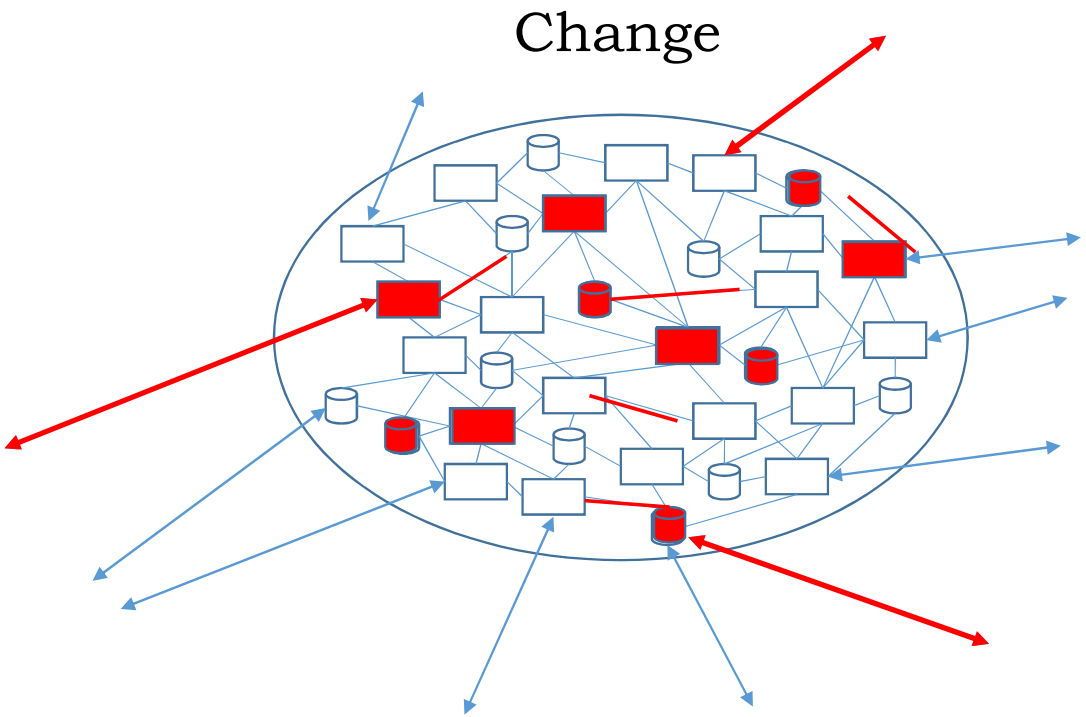
Inconsistent architecture

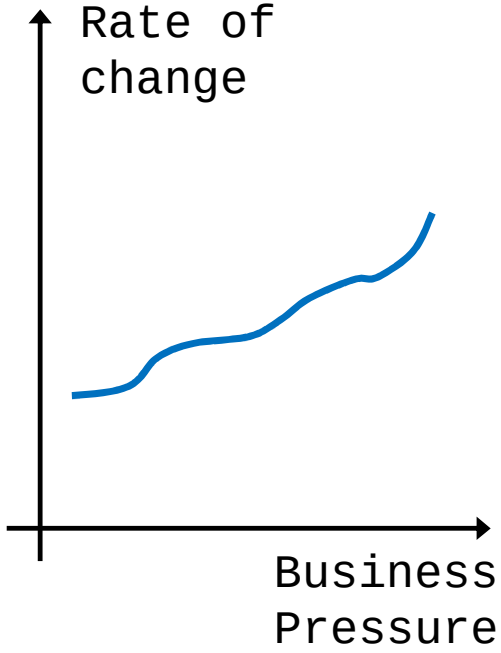
«Far»-effects: Changing one part may having unexpected effects in another part

Emergence: The system develops unexpected poperties or behaviour

Complexity must be managed through the whole systems engineering process

Change





"Continuous – sometimes disruptive – **change** forces relentless adaptation of the system to new requirements, to changes in the environment and to technological progress"

Change

Change: Negative Impact on Software-Systems

High intricacy to coordinate and balance

Uncoordinated projects

Redundancy in req's, spec's and implementation artefacts

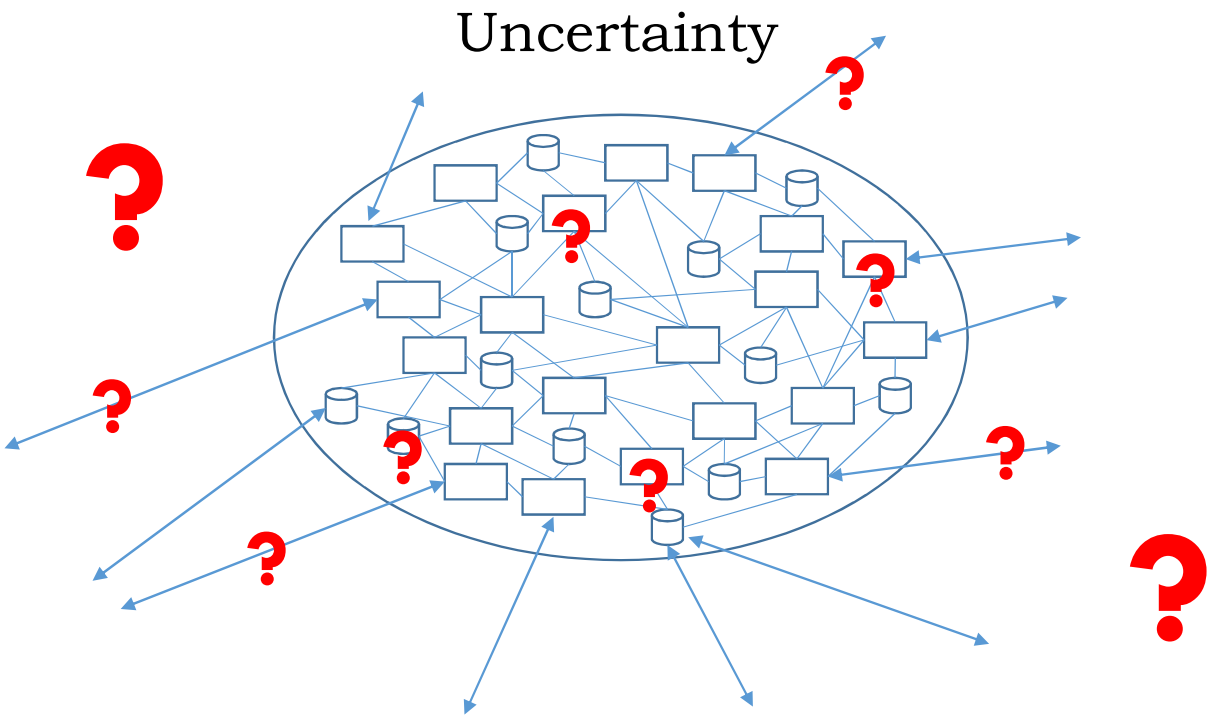
Architecture erosion

Accumulation of technical debt

Conflicting req's, spec's and implementations

Change must be organized and coordinated

Uncertainty



"**Uncertainty** – both during development and during operation – forces weakly founded decisions with possibly far-reaching consequences"

Uncertainty

Uncertainty: Negative Impact on Software-Systems

Unknown or unforeseen impacts or effects

Unfounded or inadequate decisions

Maladjusted implementations

Unanticipated risks or hazards

Unprepared disasters and catastrophes

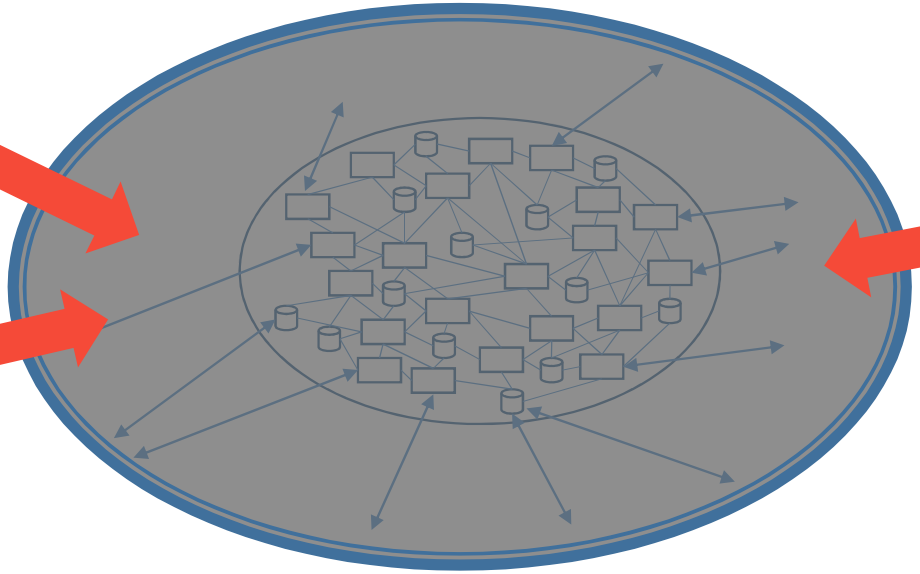
Sudden changes in markets, operating environment or user behaviour

Uncertainty must be assessed, risk-mitigated, and tracked

How can we successfully fight them?

Change

Uncertainty

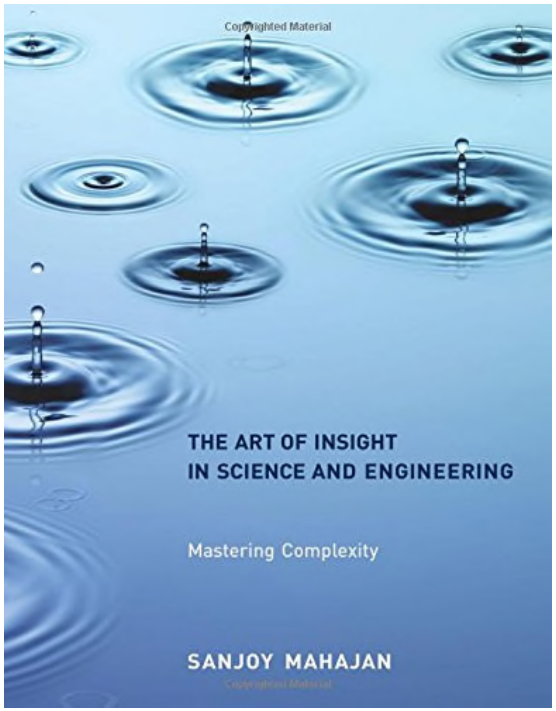


Complexity

… you cannot fight complexity, change and uncertainty
⇒ **You can only manage it !**

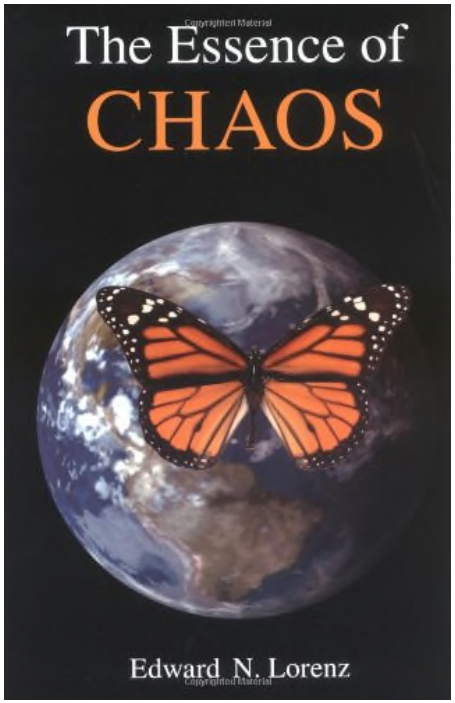
… by using principles, methods, strategies, and processes for **future-proof software-systems**

Textbook



Sanjoy Mahajan:
The Art of Insight in Science and Engineering – *Mastering Complexity*
The MIT Press, Cambridge, USA, 2014. ISBN 978-0-262-52654-8

Textbook



Edward N. Lorenz:
The Essence of Chaos
Jessie & John Danz Lectures (Reprint), 1996. ISBN 978-0-2959-7514-6

Future-Proof Software-Systems

http://photos.gograph.com

To build and operate **dependable** software

… *«The software does what it should do, and does not what it should not do»*

To generate **business value** for its owner (and the community)

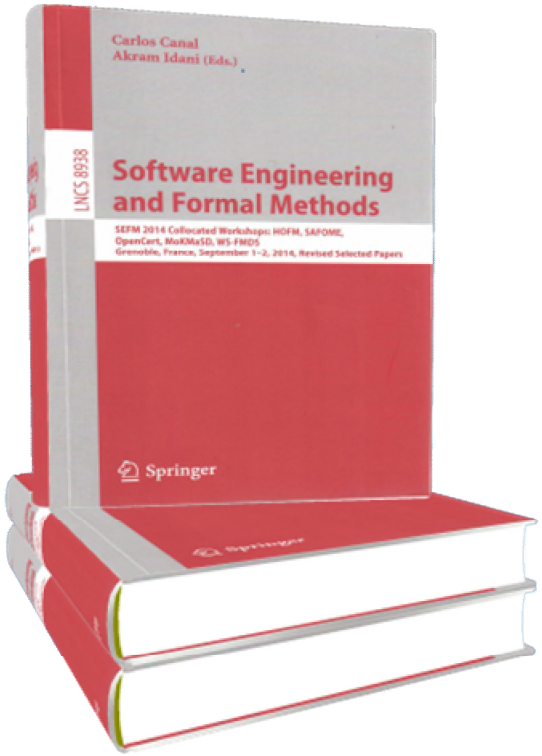
… *«The software industry is today one of the largest industries in the world»*

To maintain a high **changeability** of the software:

… *«The software must efficiently be adaptable to new requirements»*

… this is the fundamental objective of future-proof software-systems engineering

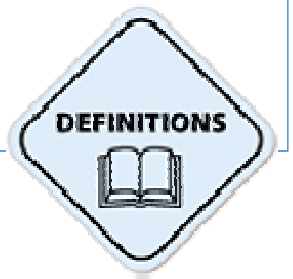
Future-Proof Software-Systems engineering
– and generally modern software development –
is strongly based on **semi-formal** and **formal methods**



www.springer.com

Formal methods used in developing computer systems are *mathematically based techniques* for describing system behaviour and system properties. Such formal methods provide frameworks within which people can specify, develop, and verify systems in a *systematic*, rather than ad hoc, manner

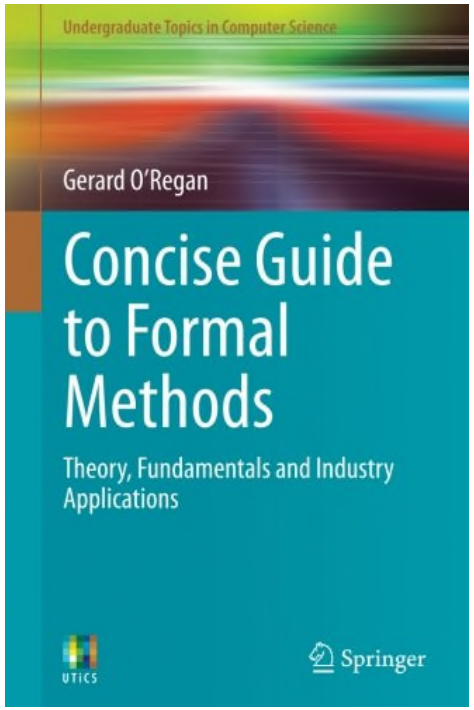
Encyclopedia of Software Engineering, 2nd edition, 2002



Using semi-formal and formal methods means (during the architecting phase):

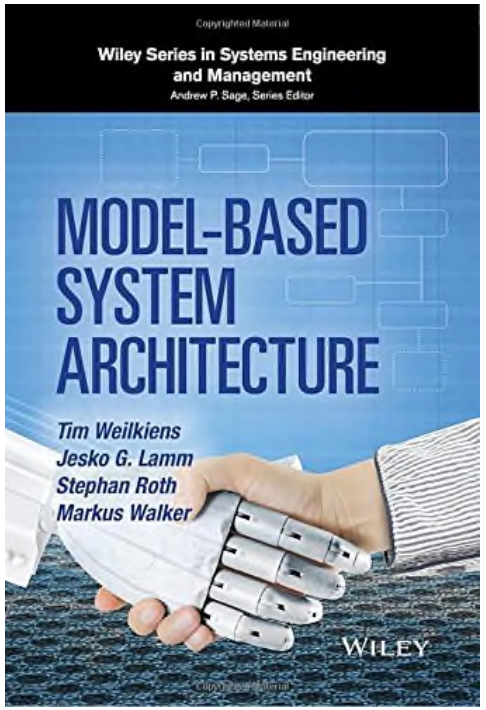
- Precise definitions
- Adequate models
- Strong, enforcable principles
- Proven patterns
- Reliable industry standards
- Time-tested reference architectures
- Established frameworks
- Architecture Description Languages

Textbook

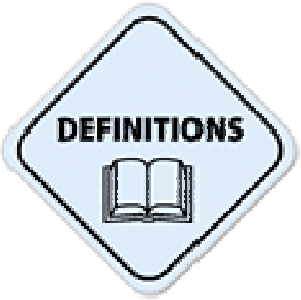


Gerard O'Regan:
Concise Guide to Formal Methods – *Theory, Fundamentals and Industry Applications*
Springer Verlag, 2017. ISBN 978-3-319-64020-4

Textbook



Tim Weilkiens, Jesko G. Lamm, Stephan Roth, Markus Walker:
Model-Based System Architecture
John Wiley & Sons, Inc., USA, 2016. ISBN 978-1-118-89364-7



A *future-proof software-system* is a structure
that enables the management
of complexity, change and uncertainty
with the least effort,
with acceptable risk,
and with specified quality properties

Activity: Steering the development & evolution
→ **Strategy**

Parts of the system and their relationsships
→ **Architecture**

A *future-proof software system* is a structure
that enables the management
of complexity, change and uncertainty
with the least effort,
with acceptable risk,
and with specified quality properties

Acceptable probability for undesired effects and consequences
→ **Dependability**

Assuring the desired non-functional properties
→ **„Fit for Purpose“**

Best value for the parameters ‘money‘ and ‘time-to-market‘
→ **Changeability**

Managed Evolution Strategy

Architecture Principles

A *future-proof software-system* is a structure

that enables the management

of complexity, change and uncertainty

with the least effort, with acceptable risk, and with specified quality properties

Changeability

Dependability

Domain-specific
Quality Properties

Our objective is:

To build, evolve, and maintain

long-lived IT-systems

with a strong dependability,

an easy changeability

and a high business value.

http://www.gettyimages.ch

Primary Characteristics:

- Business Value
- Changeability
- Dependability

Secondary Characteristics (Domain-specific)**:**

- Non-functional properties:
  - Performance, Real-time, …
  - Hardware Resource Consumption
  - Adherence to industry-standards
  - etc.

What are the characteristics of Future-Proof Software-Systems?

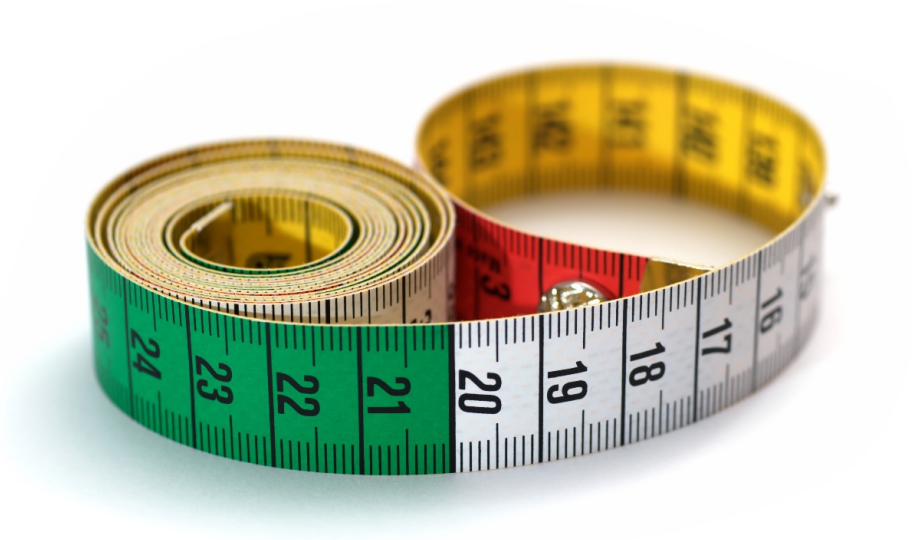
Primary Characteristics:

- Business Value
- Changeability
- Dependability

Definition
Metric
Example
Importance

If it can't be expressed in figures,
it is not science; it is opinion

Robert Heinlein (1973)



Business Value

Business Value: Definition

http://blog.enfocussolutions.com

Business Value (of a software development) =

The opportunity to gain an *advantage* for the business

- Financial advantage (earnings), but also:
- Cost avoidance
- Competitive advantage (innovative functionality),
- Compliance to laws and regulations,
- Process improvements
- etc.

Business Value: Metric

Metric: **NPV** (Net Present Value)

$$\mathbf{NPV} = \sum_{n} \frac{\text{Benefit}_{\text{year-}n}}{(1+i)^n} - I$$

NPV = Net Present Value(€)
I = Investment(€)
i = Yearly interest rate (%)
n = year (n=0: Project start)

NPV is the most common formula for calculating business value. It comes from business-economics.

Business Value: Example

Business Value = Net Present Value (**NPV**)

http://www.eco-way.ch/?p=10846

Investment:
- 860‘000.- €

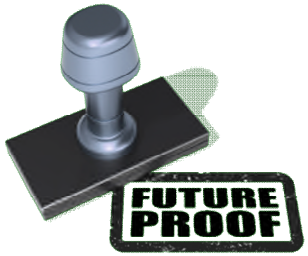
√ NPV = +165‘000 €

Earnings:	Year1	Year2	Year3	Year5	Year6
	240‘000 €	270‘000 €	230‘000 €	280‘000 €	300‘000 €
8 %/year:	$(1+0.8)^{-1}$	$(1+0.8)^{-2}$	$(1+0.8)^{-3}$	$(1+0.8)^{-4}$	$(1+0.8)^{-5}$
	1.08	**1.17**	**1.26**	**1.36**	**1.47**

Discount Factor

+ 222‘000 €
+ 230‘000 €
+ 182‘000 €
+ 205‘000 €
+ 186‘000 €
+ 1‘025‘000 €

Changeability



A *future-proof software-system* is a structure

that enables the management

of complexity, change and uncertainty

with the least effort, with acceptable risk, and with specified quality properties

Changeability

Changeability: Definition

Changeability =

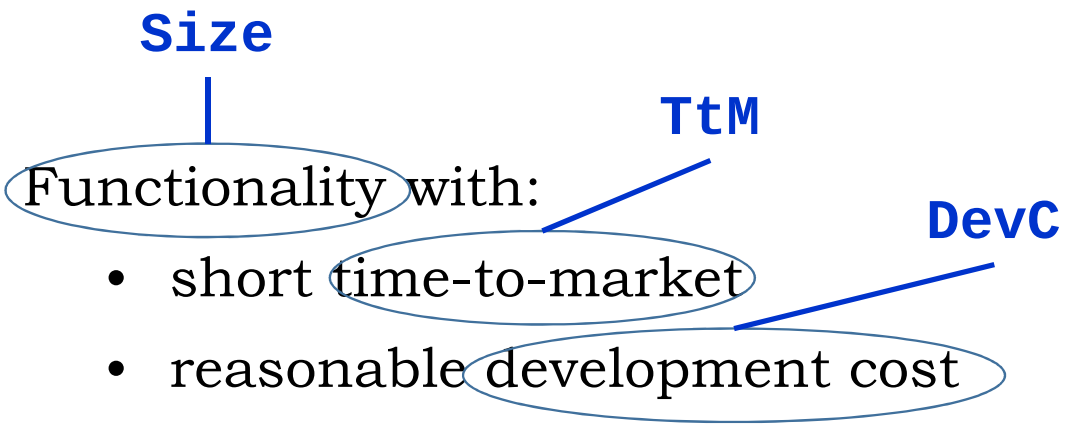
The *capability* to develop and introduce new features with:

- short time-to-market
- reasonable development cost

Important note: This capability is a property of an *organization*, but is heavily based on a good, evolvable *structure* of the system

Architecture

Changeability: Metric



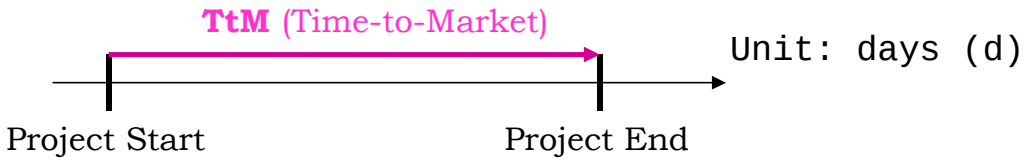
Metric Idea: **Changeability ~ Size²/(TtM*DevC)**

Changeability: Metric

Amount of functionality: Functional Size

Size `Unit: #UCP or #FP`

Functionality produced



`Unit: days (d)`

Project Duration

`Unit: k€`

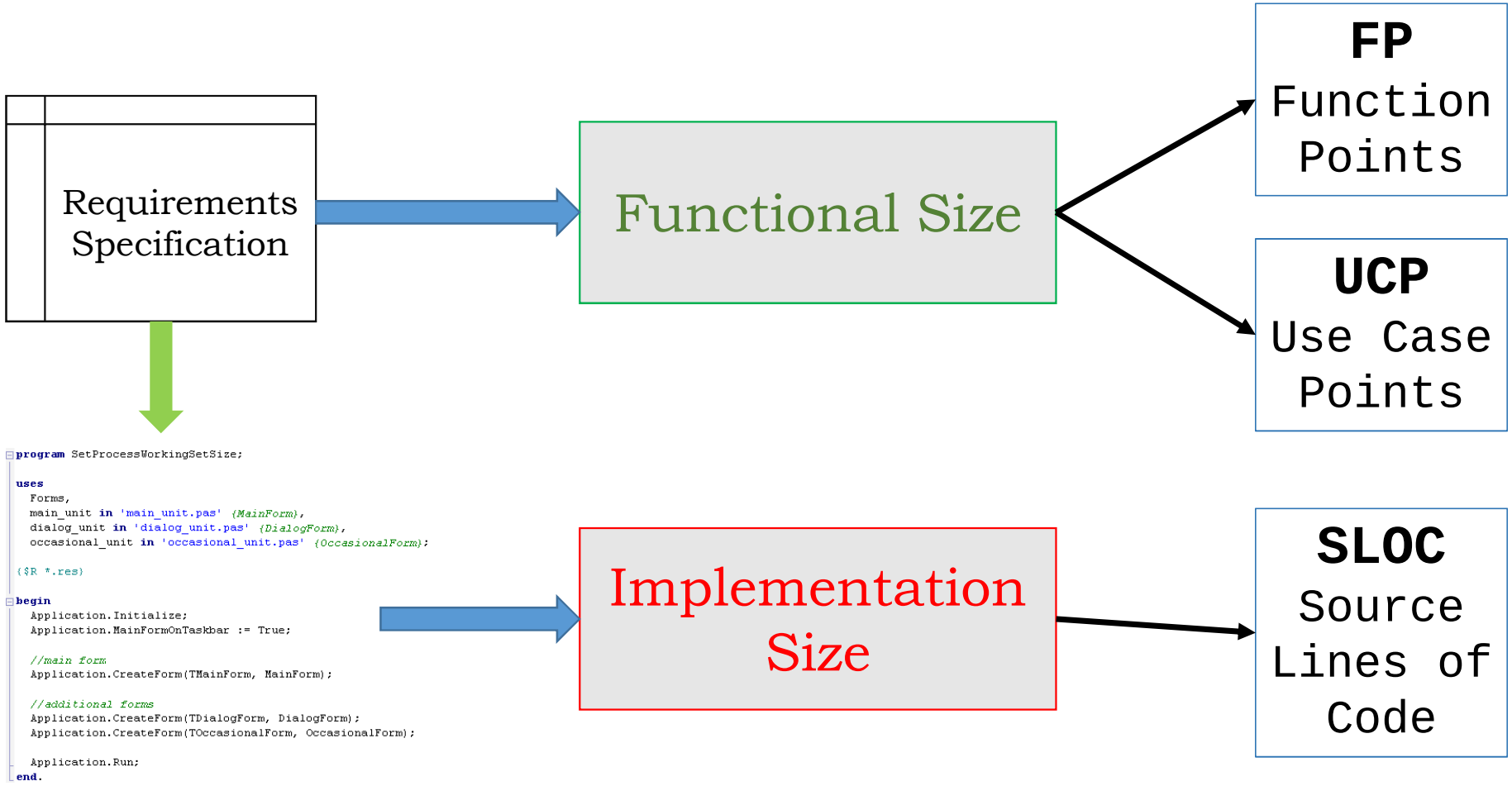
Project Cost

$$\textbf{Changeability} = \frac{(\sum \text{Size}_i)^2}{\sum \text{TtM}_i * \sum \text{DevC}_i}$$

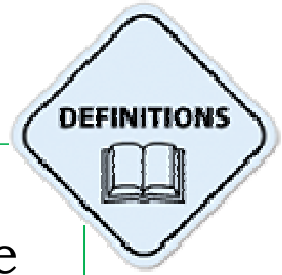
`Unit: #UCP²/(days*k€)`

Metric for Changeability

Clarification: **Software Size**



Clarification: **Function Points** (FP)



FP Definition:

A function point is a unit of measurement to express the amount of **business functionality** an information system provides to its users (https://en.wikipedia.org/wiki/Function_point)

	Requirements
	• Function A • Function B • Function C • …

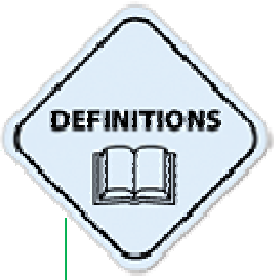
Function Point Analysis Method

`# of Function Points`

- Cost Estimation
- Changeability Metric

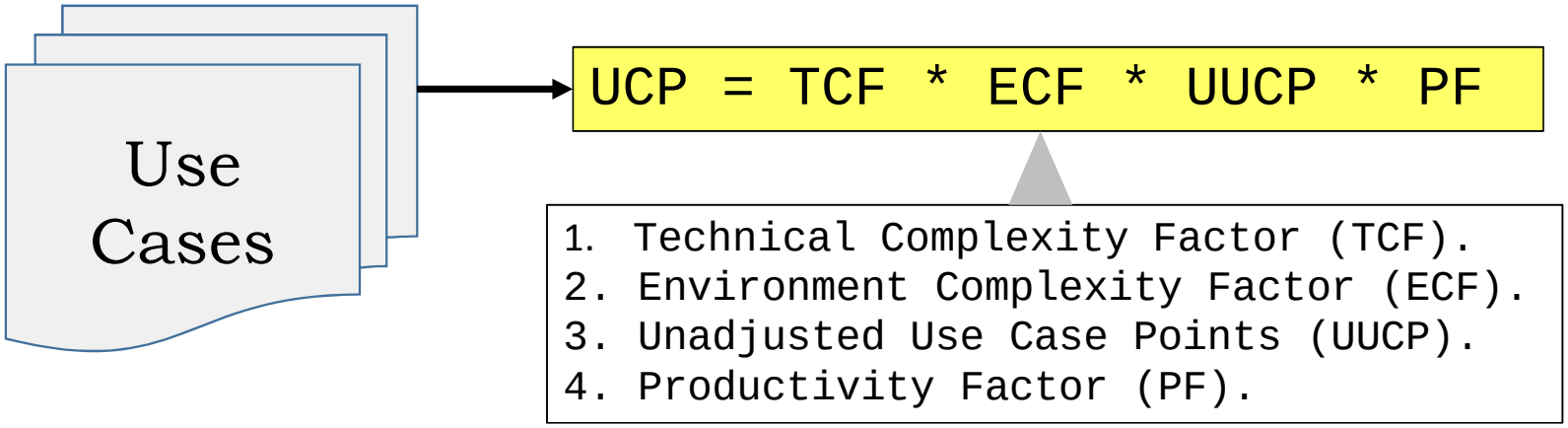
- David Garmus, David Herron: **Function Point Analysis – *Measurement Practices for Successful Software Projects***. Addison-Wesley, Boston, USA, 2001. ISBN 978-0-201-69944-3
- IFPUG: International Function Point Users Group (http://www.ifpug.org)

Clarification: **Use Case Points** (UCP)



UCP Definition:

Use Case Points (UCP) is an estimation method that provides the ability to estimate an **application's size** and effort from its use cases (http://www.codeproject.com/Articles/9913/Project-Estimation-with-Use-Case-Points)



Roy Clem: ***Project Estimation with Use Case Points***. Code Project, 22 March 2005
http://www.codeproject.com/Articles/9913/Project-Estimation-with-Use-Case-Points

Changeability: Example

Project data

Project	Size (#UCP)	TtM_i (days)	$DevC_i$ (k€)	End Date
P_1	1'200	900	5'600	Jan 2012
P_2	650	645	2'566	Jan 2012
P_3	4'400	5'280	27'270	March 2012
P_4	980	620	5'400	April 2012
P_5	11'250	6'600	75'600	April 2012
P_6	2'300	1'900	13'900	June 2012
P_7	800	390	6'200	August 2012
P_8	1'850	1'250	13'200	August 2012
etc.	…	…	…	…

measurement period

$$\text{Changeability} = \frac{(\sum \text{Size}_i)^2}{\sum TtM_i * \sum DevC_i}$$

Unit: $\#UCP^2/(days*k€)$

CREDIT SUISSE values:

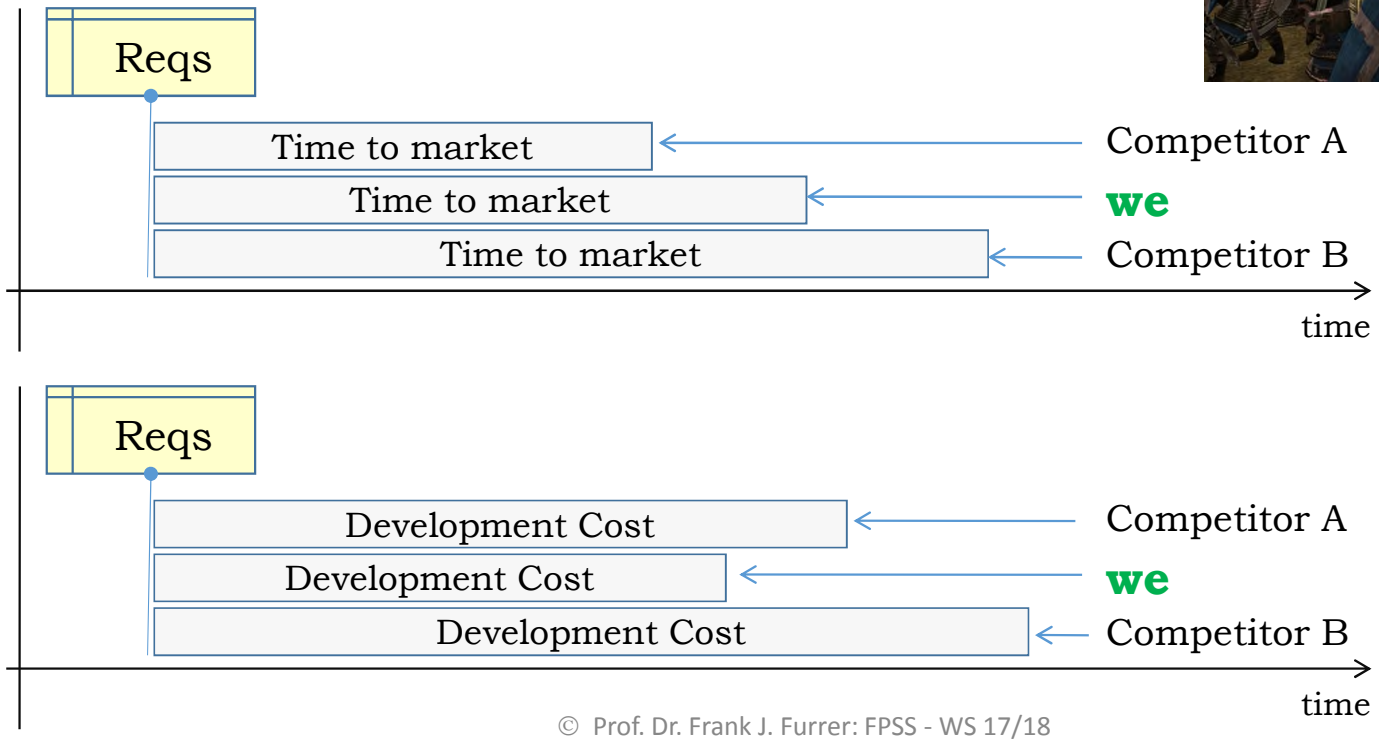
~ 4.2 k€/UCP

~ 0.8 days/UCP

[Murer/Bonati/Furrer ISBN 978-3-642-01632-5]

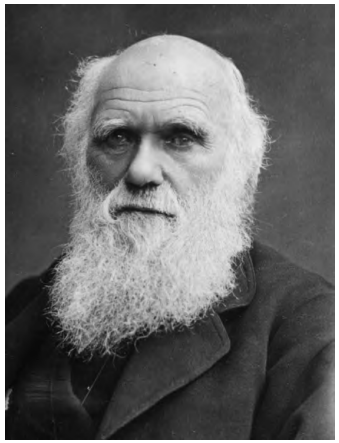
Changeability: Importance

Why is *changeability* so important?



Changeability: Importance

Why is *changeability* so important?



"It is not the strongest of the species that survives,
nor the most intelligent that survives.
It is the one that is the most adaptable to change."

Charles Darwin: The Origin of Species (1859)

Today: «most adaptable to change» applies to software-systems and the companies which live from them

Changeability: Importance

Why is *changeability* so important?

- ✓ Changeability impacts **every** project
  - Low changeability: (all) projects are late and expensive
    = **high** resistance to change ← bad!
  - High changeability: (all) projects are in time and cost-efficient
    = **low** resistance to change ← good!
- ✓ High changeability allows to use the company resources more efficient
- ✓ Changeability is an important competitive market factor

A *future-proof software-system* is a structure

that enables the management

of complexity, change and uncertainty

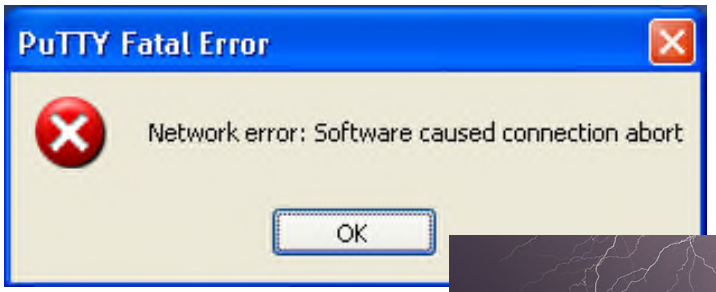
with the least effort, with acceptable risk, and with specified quality properties

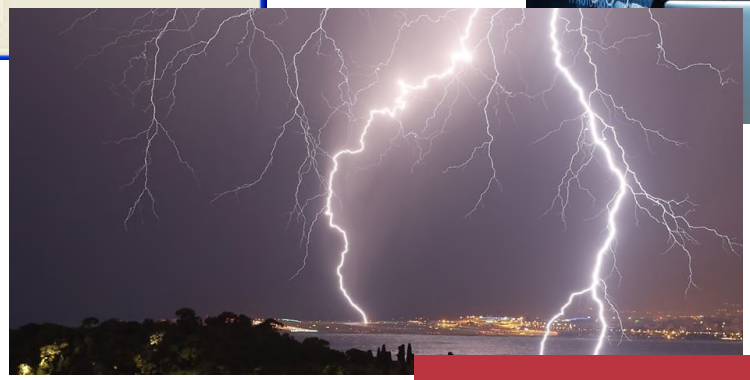
Dependability

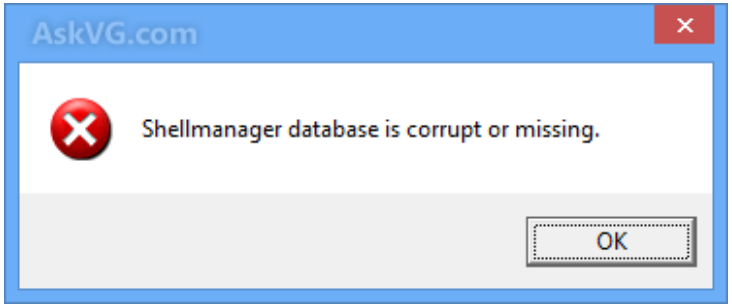
Dependability

Why is *dependability* very important for future-proof software?

⇒ The world has become a dangerous place for software



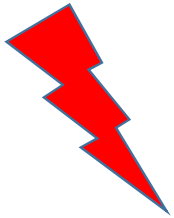




Dependability Basics

https://www.beritateknologi.com

Cause



Incident

Disruption

System & Environment

Impact

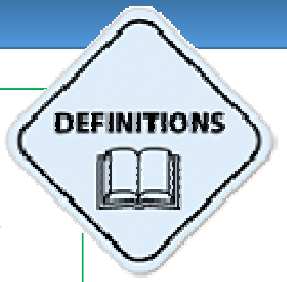
Instrument Landing System Failure

https://upload.wikimedia.org

Consequences

Effect

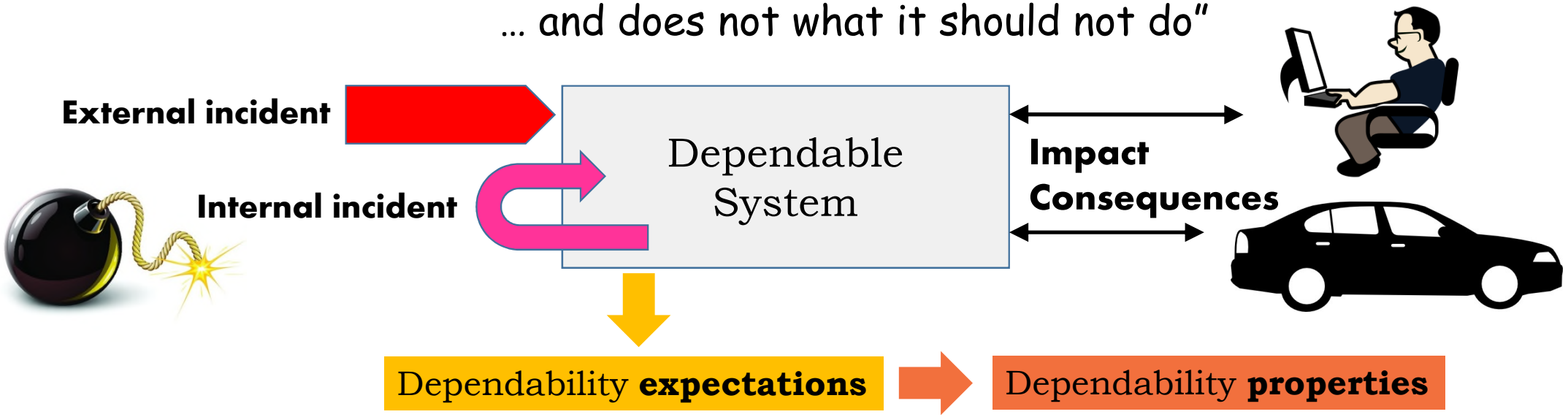
http://www.403wg.afrc.af.mil



Dependable System

"Dependability" refers to the user's ability to depend on a system in its intended environment, with its intended use, as well as when these assumptions are violated or external events cause disruptions.

"The software does what it should do,
... and does not what it should not do"



Dependability **expectations**

Examples

e-banking system:

- *security* (= defense against hackers)
- *integrity* (= don't digitally lose my money)
- *confidentiality* (= "it's my business")
- *availability* (= 24 h/7 days).

Car:

- *safety* (= no accidents)
- *security* (= no hostile influence)
- *reliability* (= no engine failures on the motorway)
- *conformance* to all laws and regulations

Dependability expectations = Application domain

https://www.tylerandmimiford.com

Dependability

http://www.swintoncounseling.com

Application Domain

Resilience

General Principles
⇒ valid for **all** dependable systems

User expectations

Safe to use
Efficient
Frienly
…

Community expectations

Safe and secure
Clean operation
Energy-efficient
…

Legal & compliance expectations

Comply with laws
Adhere to regulations
Auditable
Certified
…

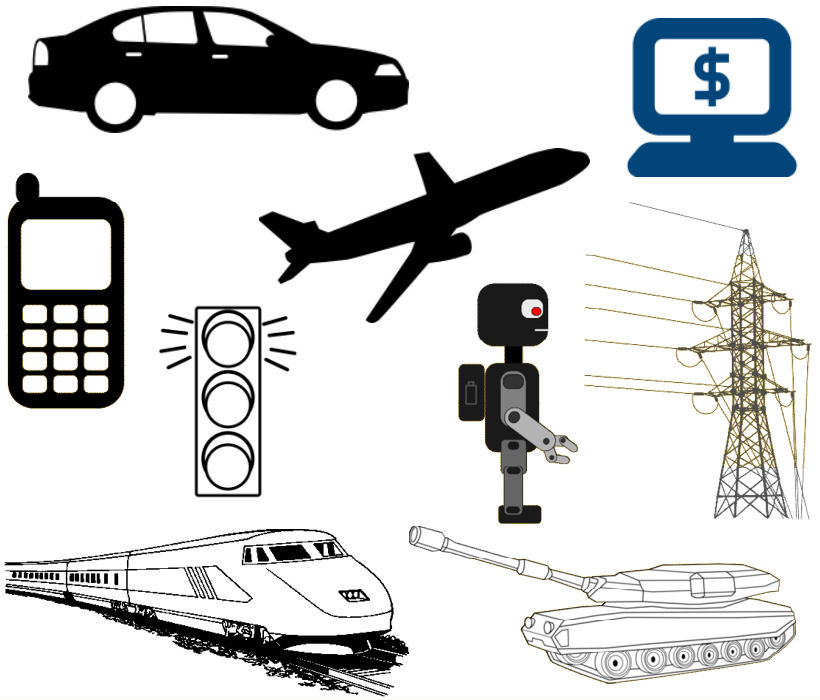
Dependability **expectations** → Dependability **properties**

Resilience — General property

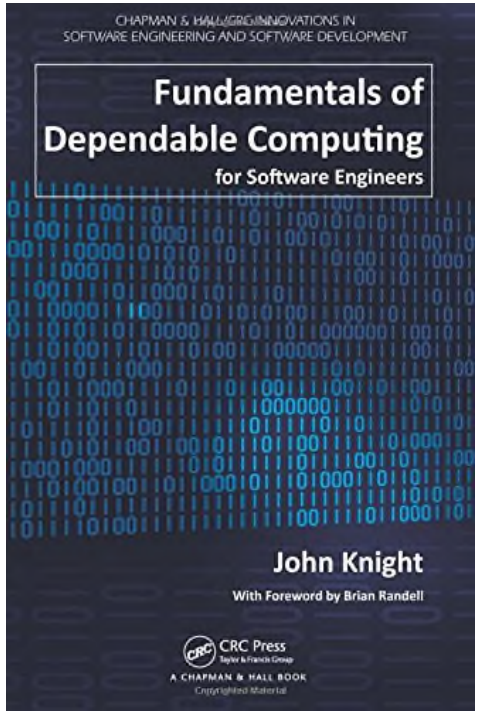
- **Safety**
- **Security**
- **Integrity**
- **Confidentiality**
- **Real-time capability**
- **...**

Specific properties

Application Domain

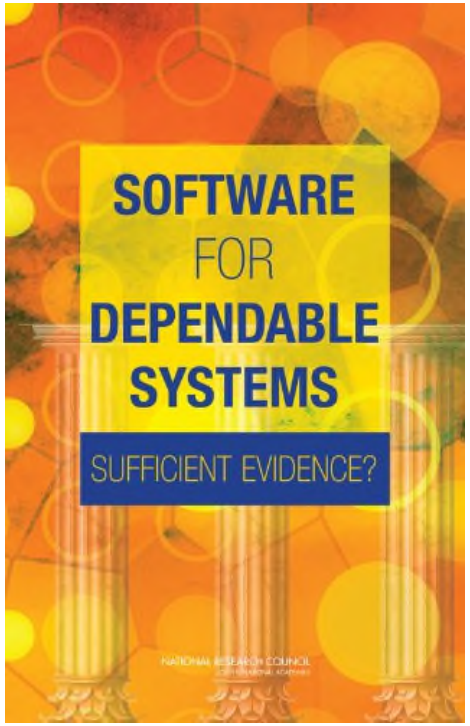


Textbook

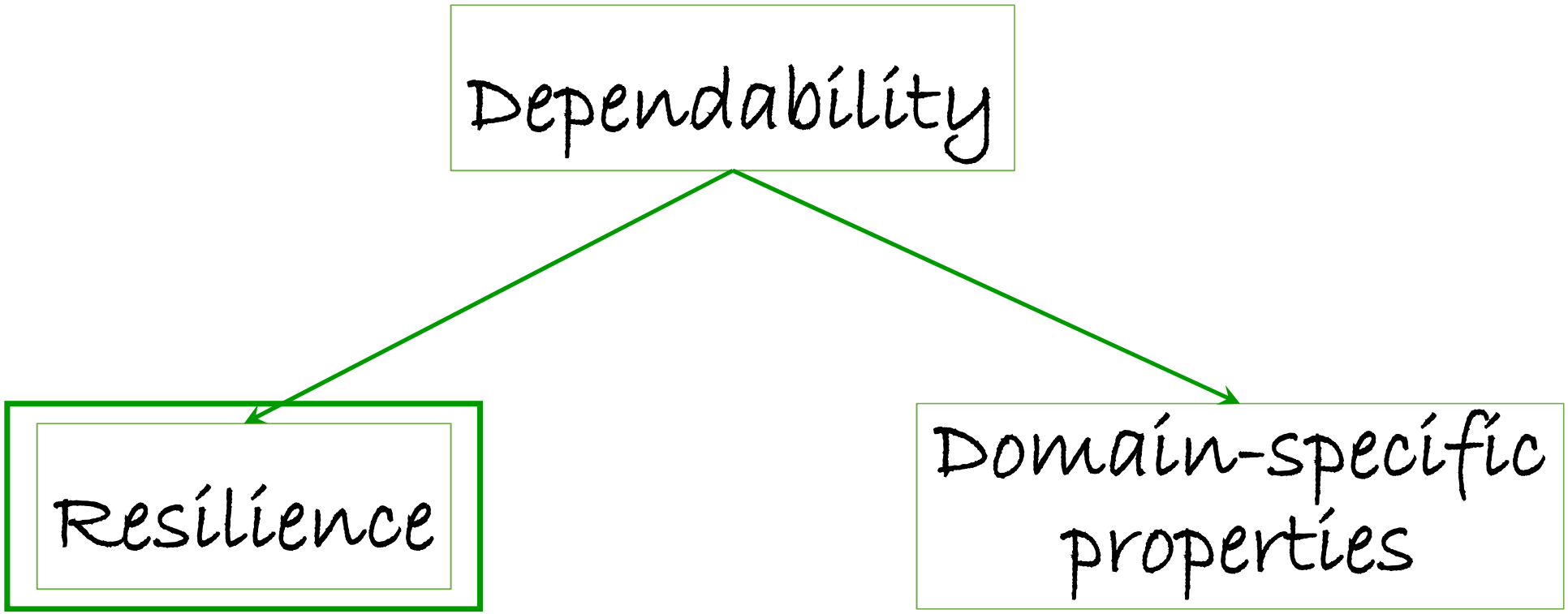


John Knight:
Fundamentals of Dependable Computing for Software Engineers
CRC Press (Taylor & Francis), USA, 2012
ISBN 978-1-4398-6255-1

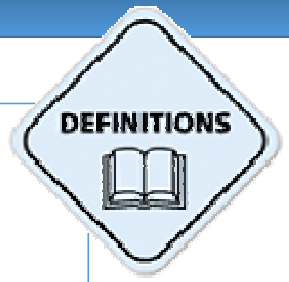
Textbook



Daniel Jackson, Martyn Thomas, and Lynette I. Millett (Editors: **Software for Dependable Systems: Sufficient Evidence?**
U.S. National Academy Press, 2007. ISBN 978-0-309-10394-7 [https://www.nap.edu/download/11923]


Dependability
Resilience
Domain-specific properties

Resilience:
General Principles
⇒ valid for **all** dependable systems



Resilience is the *capability* of a system

- to *absorb* the **incident**,
- to *recover* to an acceptable level of performance,
- to *sustain* that level for an acceptable period of time

http://www.incose.org/practice/techactivities/wg/rswg/

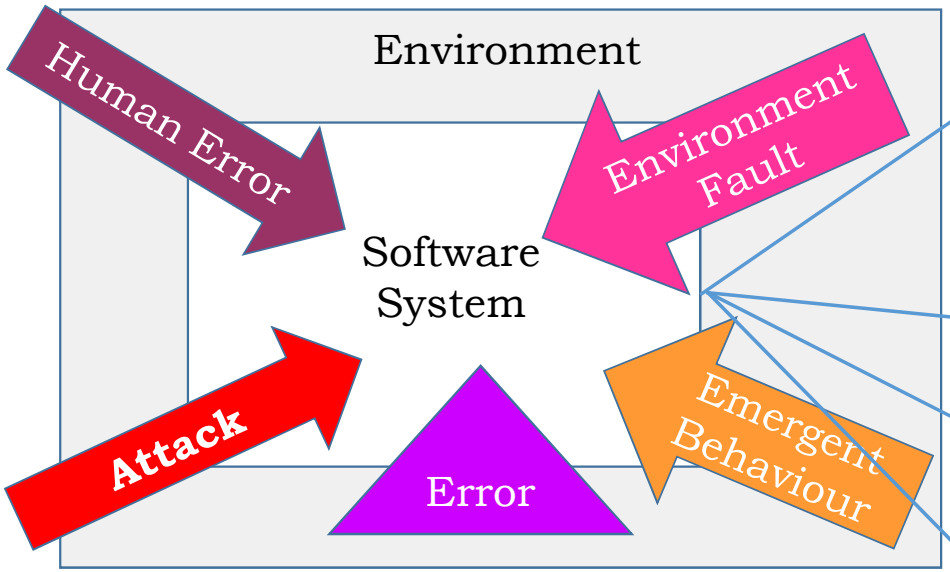
Engineering Profession: Resilience Engineer

https://www.npmjs.com

Resilience Engineering Tasks:

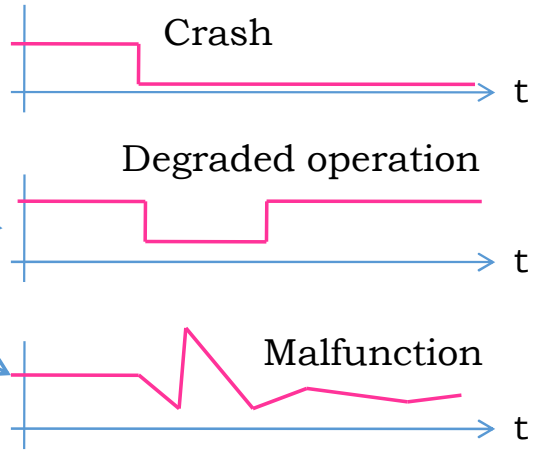
- *Before* – Allows anticipation and corrective action to be considered
- *During* – How the system survives the impact of the disruption
- *After* – How the system recovers from the disruption

Resilience: Definition



https://soundcloud.com

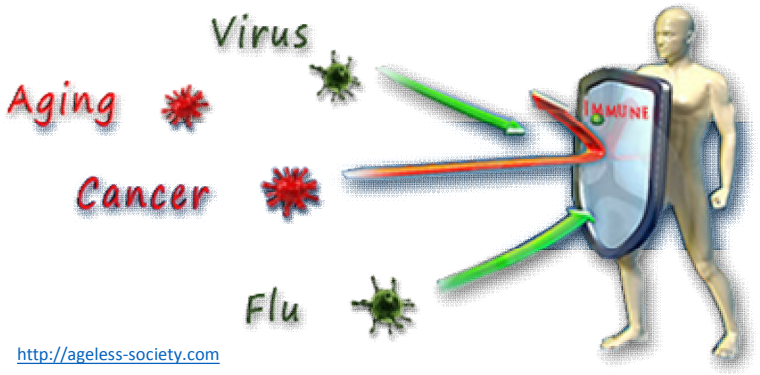
Worst Case



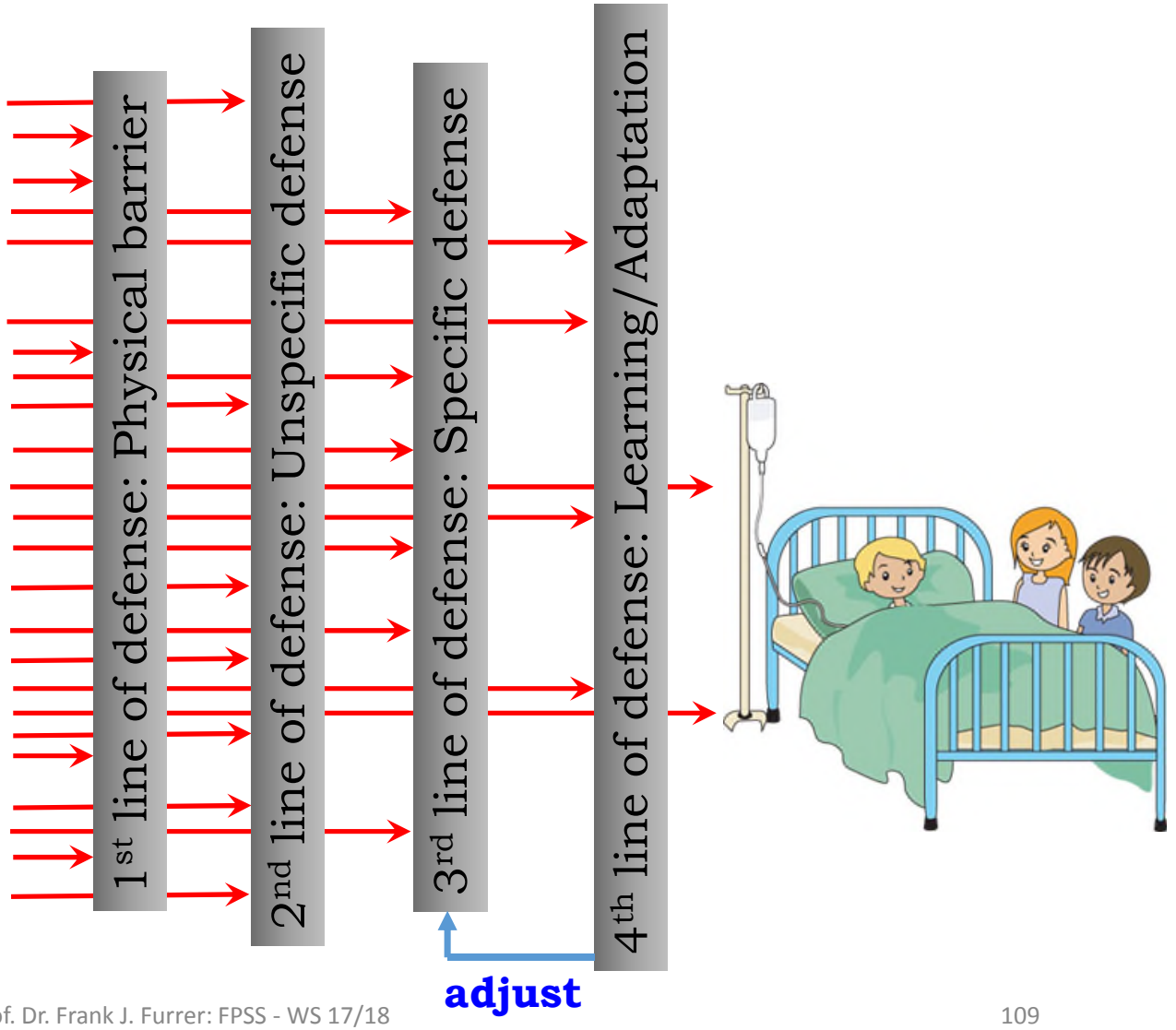
http://kanto.stripes.com

Best Case
(= Engineering objective)

Resilience Example:
Human Immune System



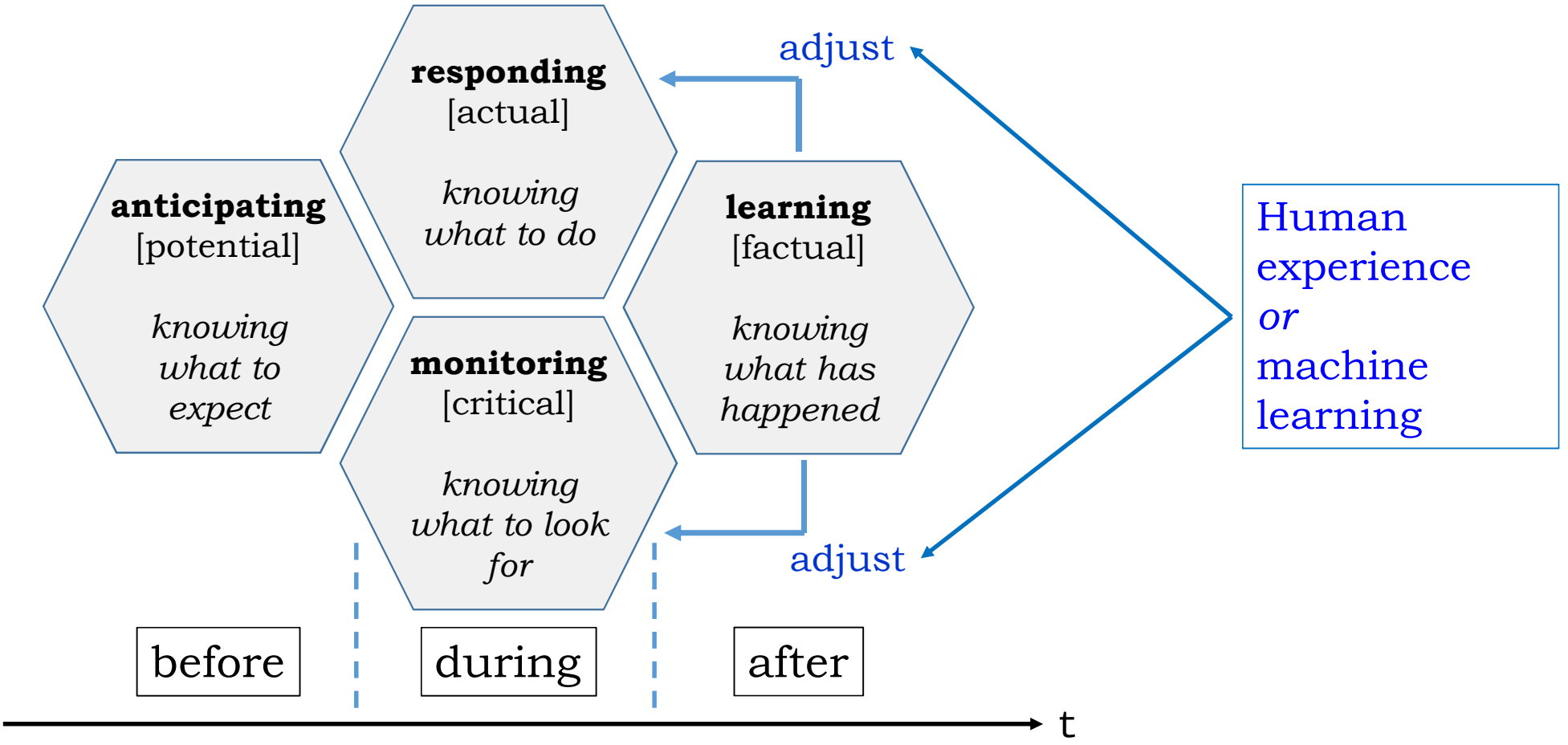
http://ageless-society.com



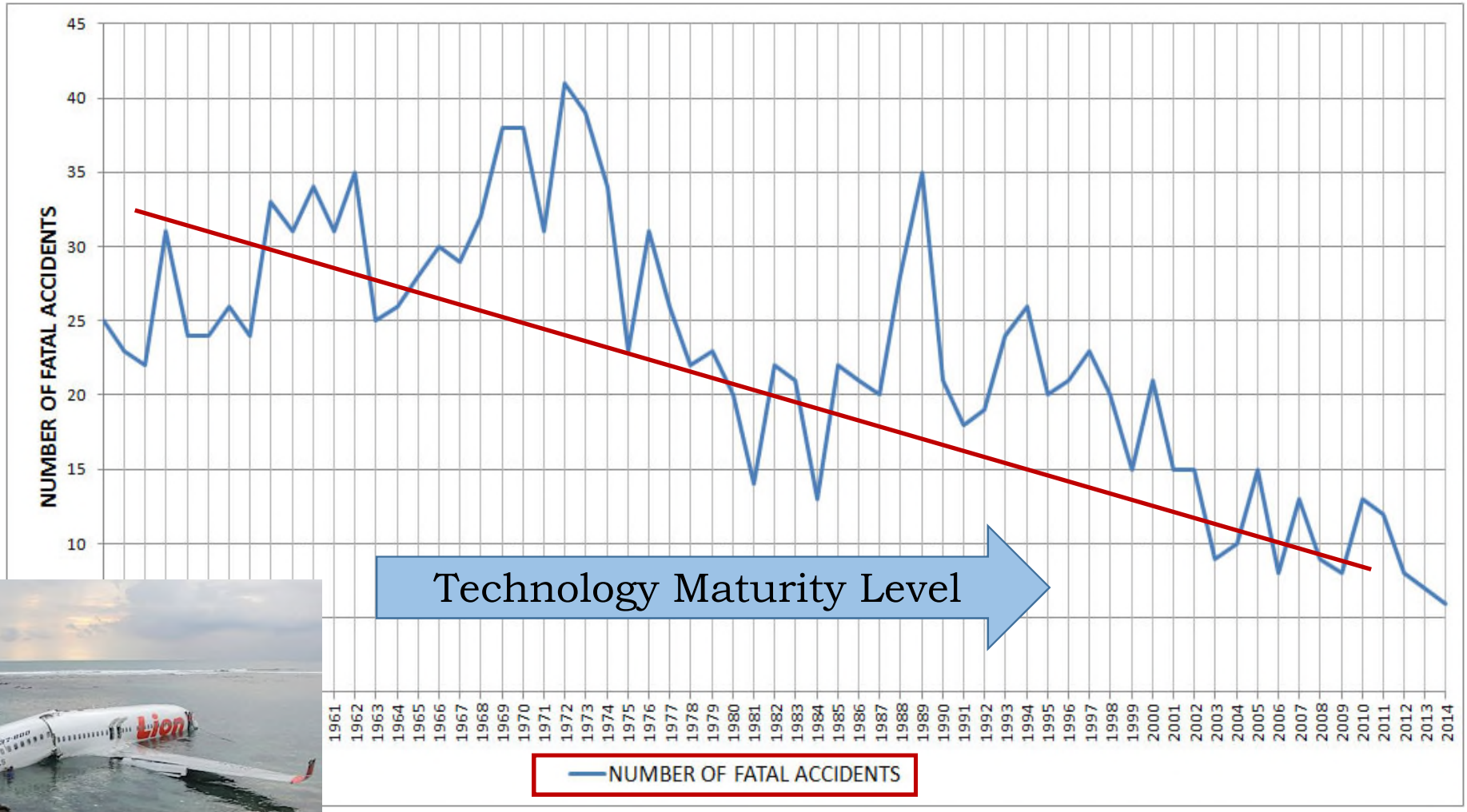
http://images.girlslife.com

Hollnagel, 2011, ISBN 978-1-4724-2074-9

The four cornerstones of resilience



Airplane Accident Learning Curve



Source: http://www.planecrashinfo.com/cause.htm [16.1.2017]

Dependability Metric

Resilience Metric

Metrics for *domain-specific* quality properties

- **Safety**
- **Security**
- **Integrity**
- **Confidentiality**
- **Real-time capability**
- **…**

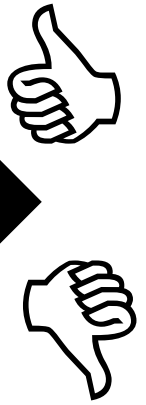
https://openclipart.org

Empirical
Dependability
Metric

Incident

System
& Environment

Consequences



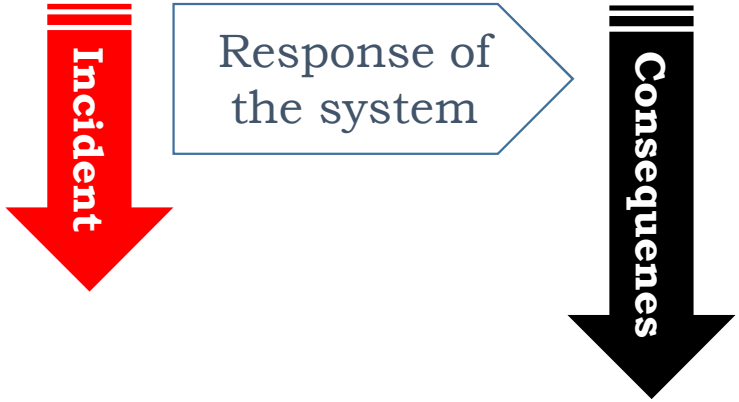
Damage **Potential** of the Incident:

- catastrophic
- critical
- severe
- marginal
- negligible

Response of the system

Resulting **Consequences**:

- catastrophic
- critical
- severe
- marginal
- negligible



Damage **Potential**:
- catastrophic: **5**
- critical: **4**
- severe: **3**
- marginal: **2**
- negligible: **1**

Weight:
- Predicted *(= before the incident)*
- Actual *(= after the incident)*

Actual Damage:
- catastrophic: **5**
- critical: **4**
- severe: **3**
- marginal: **2**
- negligible: **1**

Assessment/Simulation **before** the incident

Actual damage **after** the incident

Resilience against 1 incident: φ_1 = [Potential – Damage]

Example:

Example 1: Damage potential = **2** (marginal)
Actual damage = **3** (severe)

Resilience = [Potential – Damage]:
2 – 3 = -1

Amplification

Example 2: Damage potential = **4** (critical)
Actual damage = 2 (marginal)]

Resilience = [Potential – Damage]:
4 – 2 = +2

Resilience

Empirical resilience metric:

System resilience over a time period τ:

$$\rho_\tau = \frac{1}{n} \sum [\text{Potential}_i - \text{Impact}_i]; \; i = 1 \ldots n$$

n incidents in a time period τ

Scientific resilience metric: https://blogs.gwu.edu/seed/files/2012/07/Reliability-Engineering-and-System-Safety-2014-Francis-1y5jkh9.pdf

Example: Banking System Incidents

Date	Incident	Damage Potential	Damage	Impact		Remarks
4.1.13	DB2 Database Crash	4	Operational blackout for 3 hrs (Recovery time)	2	**4 – 2 = 2**	Save & recovery procedures worked well
6.1.13	Semnager Virus Infection	3	Small number of customers affected	2	**3 – 2 = 1**	Payment check procedures worked well
21.2.13	Crash of authentication servers	3	Employees could not access the IT system for 1 hour	1	**3 – 1= 2**	Backup/recovery mechanisms worked well
4.5.13	Fibre trunk cable damaged (by construction work)	4	No external communications for 5 hours	3	**4 – 3 = 1**	Emergency repair in time
9.12.13	Illegal financial transaction executed (fault in sanction filter)	3	Legal & compliance consequences	3	**3 – 3 = 0**	Sanction filter update process improved

System resilience over 5 incidents: $\rho_5 = \frac{1}{n} \sum \rho_i$ = **1.20**

Why is *resilience* so important?

Software has an enormous impact on people and society:

- Functionality in all areas of life and work
- Tremendous business opportunities & risks
- etc.

Software failures may have grave consequences:

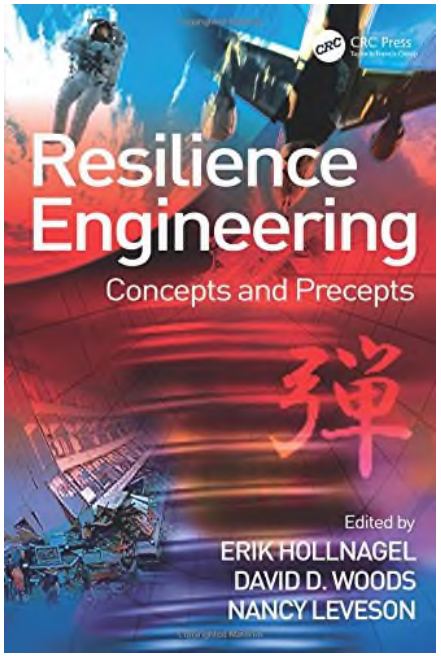
- Accidents in safety-critical systems (death, injury)
- Financial or reputation loss
- Legal & regulatory consequences
- Product liability cases
- etc.

Resilience must be planned and built-in

- **Not** added as an afterthought!

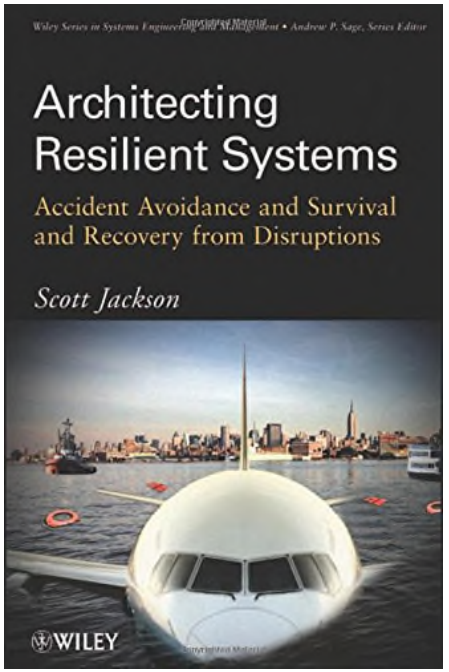
https://www.intivix.com

Textbook

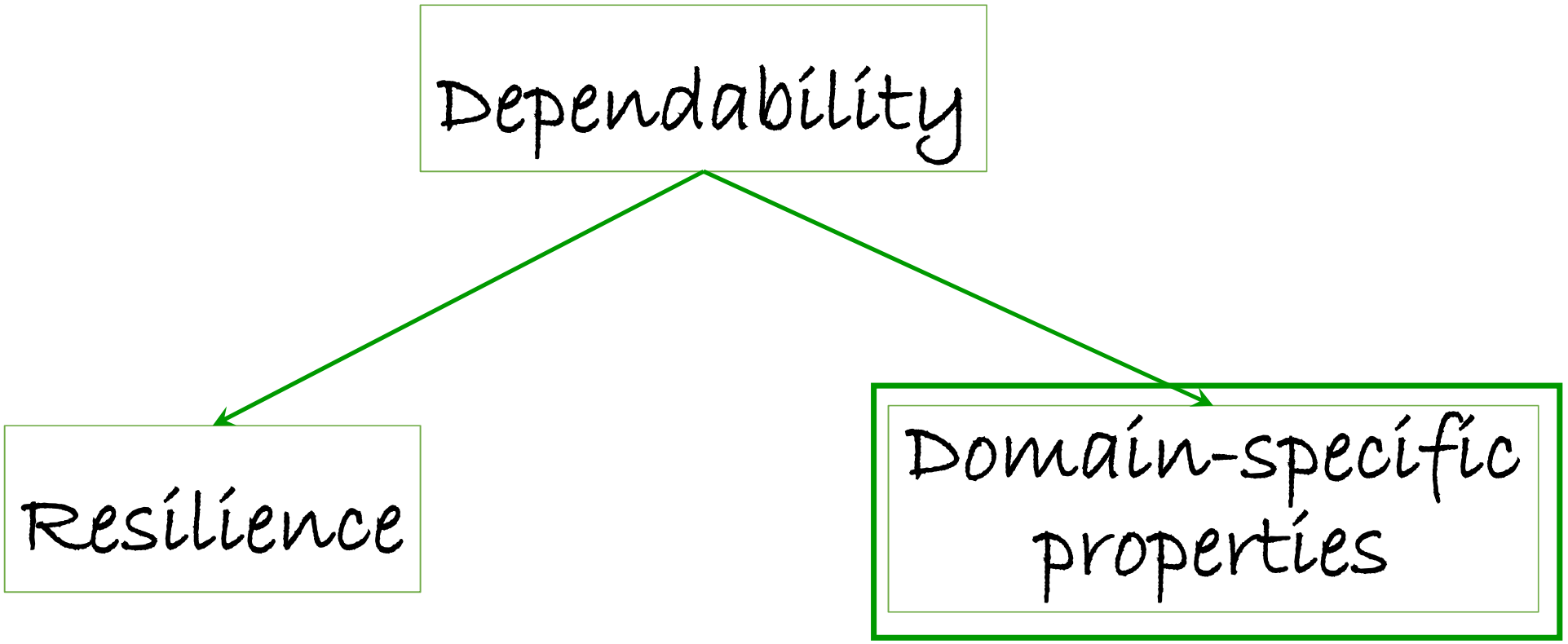


Erik Hollnagel, David D. Woods, Nancy Leveson (Editors):
Resilience Engineering – *Concepts and Precepts*
Ashgate Publishing Ltd., Aldershot, UK, 2006.
ISBN 978-0-7546-4904-5

Textbook



Scott Jackson:
Architecting Resilient Systems – *Accident Avoidance and Survival and Recovery from Disruptions*
John Wiley & Sons, Inc., New Jersey, USA, 2010. ISBN 978-0-470-40503-1


Dependability
Resilience
Domain-specific properties

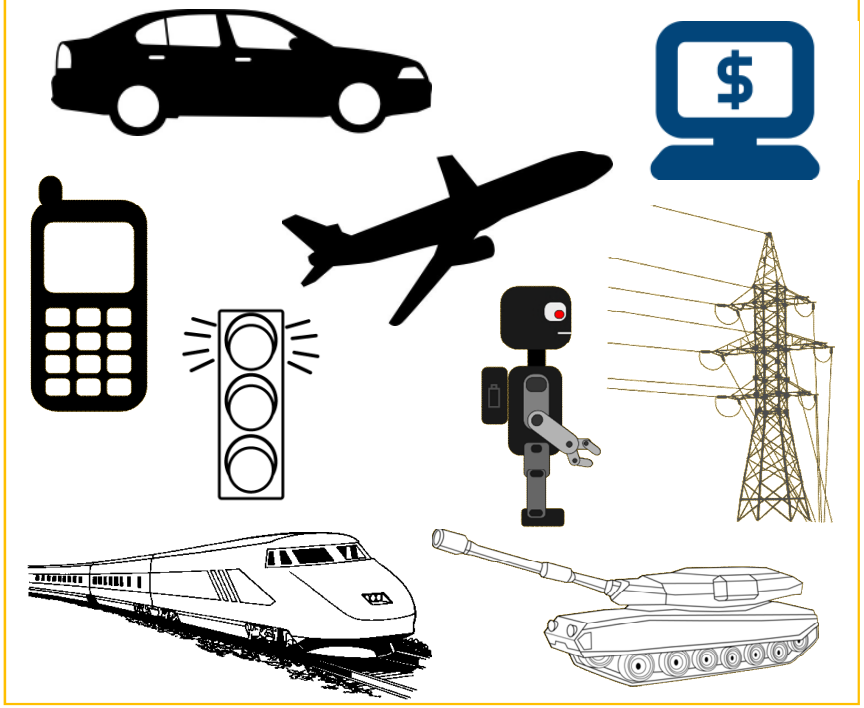
Dependability **expectations** → Dependability **properties**

Resilience — General property

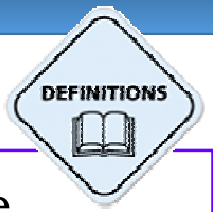
- **Safety**
- **Security**
- **Integrity**
- **Confidentiality**
- **Real-time capability**
- **...**

Specific properties

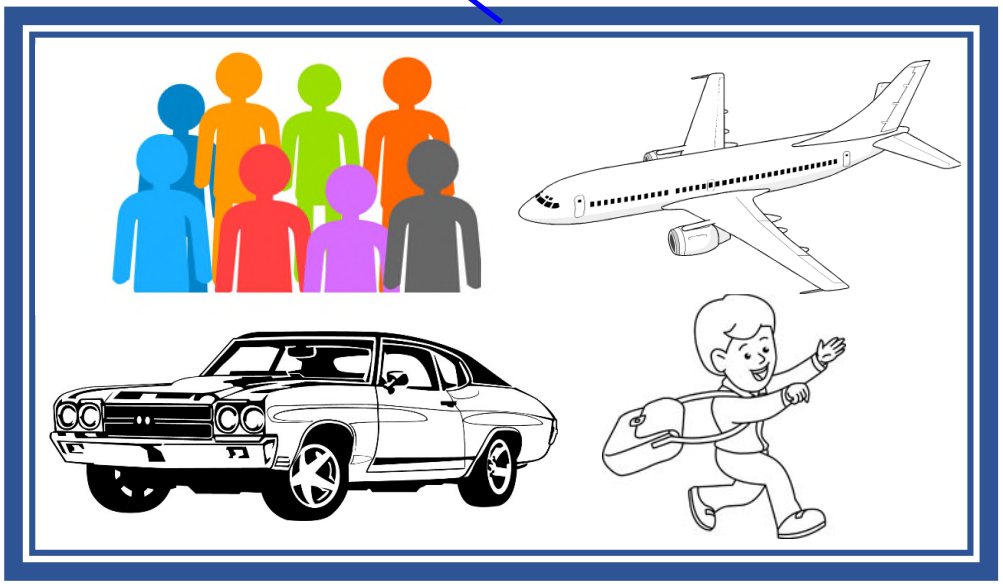
Application Domain



Safety



Safety is the sustainable state in which the risk of harm to people, organizations or property is maintained below an *acceptable level*



- Accident
- Attack
- Failure
- Malfunction

Metrics

- **Safety**
- **Security**
- **Integrity**
- **Confidentiality**
- **Real-time capability**
- **...**

Company Management

http://clipart-library.com

Metrics for *domain-specific* quality properties

http://bigcashblog.com

Domain Experts

Company-specific set of **safety** metrics

Certification Authorities

Joint Aviation Authorities – Europe – JAA

Military Aviation Authority MAA

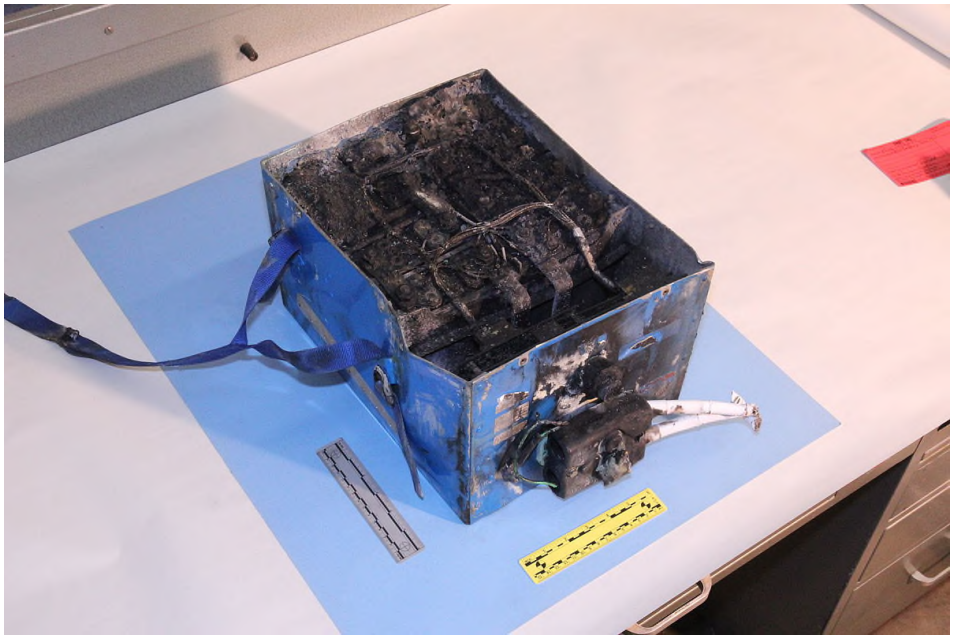
Federal Aviation Administration

EASA European Aviation Safety Agency

Example: Aircraft Safety Incident

In the Boeing 787 Dreamliner's first year of service (2014), at least four aircraft suffered from electrical system problems stemming from its **lithium-ion batteries**

http://www.boeing.com



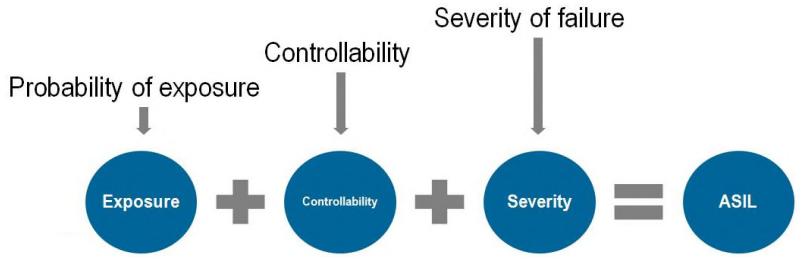
https://upload.wikimedia.org

The Lithium batteries caused:

- An **electrical fire** aboard an All Nippon Airways 787
- A similar **fire** found by maintenance workers on a landed Japan Airlines 787 at Boston's Logan International Airport

Safety Metric Example: Automotive Safety Integrity Level (ASIL)

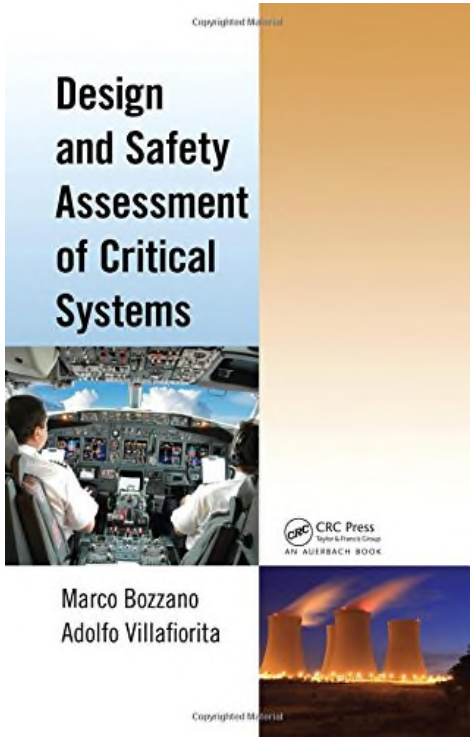
Automotive Safety Integrity Level (**ASIL**) is a risk classification scheme defined by the ISO 26262 (Functional Safety for Road Vehicles). ASIL is established by performing a ***risk analysis*** of a potential hazard by looking at the Severity, Exposure and Controllability of the **vehicle operating scenario**



ASIL	Impact of Failure	Controllability	Exposure	In-Car Examples
A	Slight injury	Normally controllable	High probability	• Lag in display from rear-view camera
B	Severe injury	Normally controllable	High probability	• Failure of collision avoidance tone
C	Fatal/Survival uncertain	Difficult to control	Medium Probability	• Anti-Lock Braking system wheel lock-up • Out-of-control automatic transmission
D	Fatal / survival uncertain	Difficult to control	High Probability	• Steering-control lock-up • Airbag deployment while driving

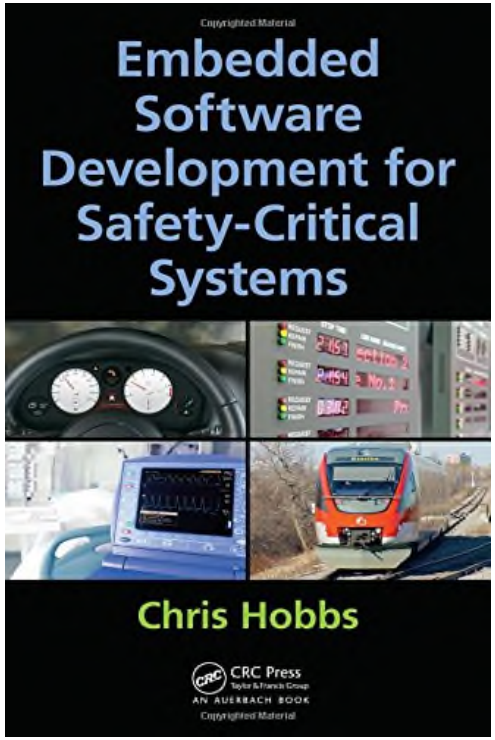
http://www.ni.com
http://www.dailyecho.co.uk
http://cdn.electronics-eetimes.com

Textbook



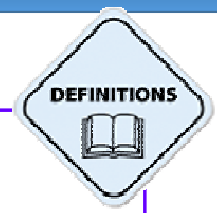
Marco Bozzano, Adolfo Villafiorita:
Design and Safety Assessment of Critical Systems
CRC Press (Taylor & Francis Ltd., USA), 2010.
ISBN 978-1-439-80331-8

Textbook



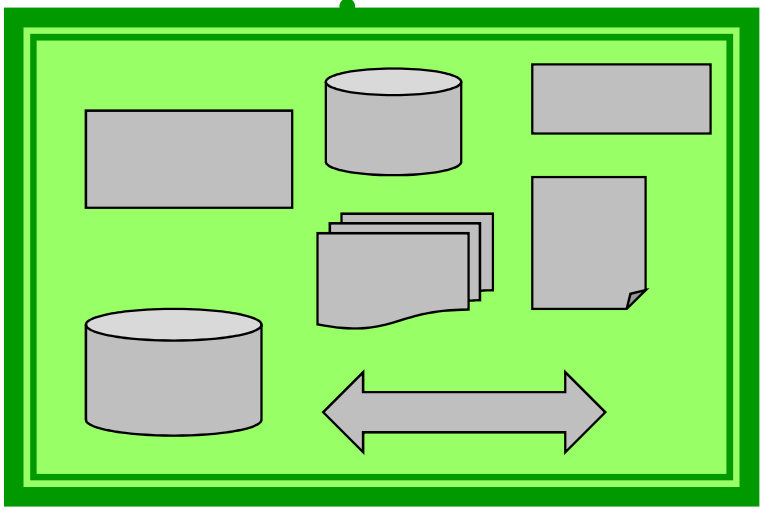
Chris Hobbs:
Embedded Software Development for Safety-Critical Systems
CRC Press (Taylor & Francis Inc.), USA, 2015.
ISBN 978-1-498-72670-2

Security

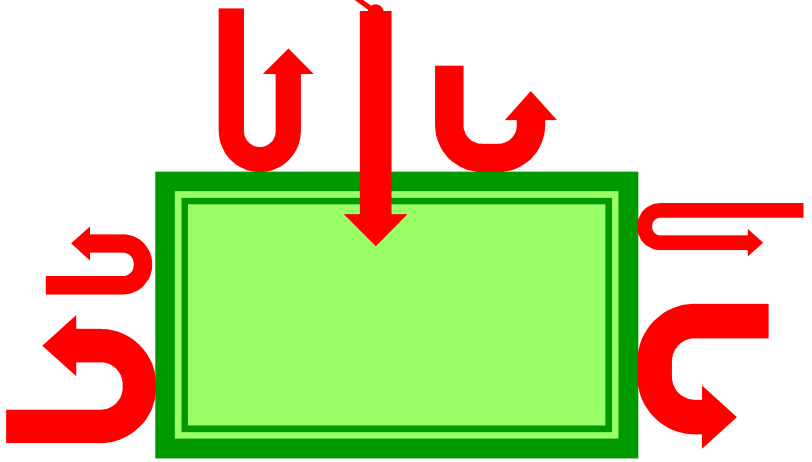


Protection of an information system's assets
with a known and acceptable **risk** of a security break

Protection means



Break



Today: IT-Security ⇒ Technology battle

Metrics

Company Management

http://clipart-library.com

http://bigcashblog.com

Domain Experts

- **Safety**
- **Security**
- **Integrity**
- **Confidentiality**
- **Real-time capability**
- **...**

Metrics for *domain-specific* quality properties

Company-specific set of **security** metrics

Security Standards

Information Security Management System ISO 27001 Certified

NIST National Institute of Standards and Technology U.S. Department of Commerce
The Cybersecurity Framework
Cybersecurity Means Business

PCI Security Standards Council

Example: Security break

https://s3-eu-west-1.amazonaws.com

05.05.2017:

Hackers have stolen the personal data and financial details of tens of thousands of UK Debenhams customers, the company has admitted.

https://blog.bankbazaar.com

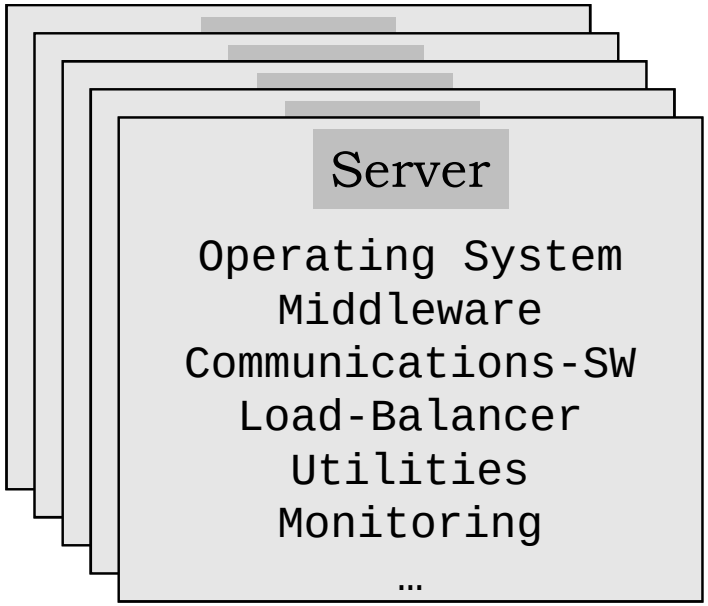
In a cyber attack against a third party firm that runs the retailer's online florist, Debenhams Flowers, hackers managed to take the *names, addresses and financial information of 26,000 customers*

http://www.telegraph.co.uk/technology/2017/05/05/debenhams-flowers-hack-credit-card-details-26000-people-stolen/

Security Metric Example (1/2): Open Vulnerabilities in Server-Farm

Vendor Communications

Microsoft
ORACLE
CITRIX
rti
KASPERSKY lab
etc.



Vulnerability List

Institutional Communications

NIST
National Institute of Standards and Technology
Technology Administration, U.S. Department of Commerce
https://nvd.nist.gov/vuln/full-listing

US-CERT
UNITED STATES COMPUTER EMERGENCY READINESS TEAM
https://www.us-cert.gov/ncas/alerts/TA15-119A

Security Metric Example (2/2): Open Vulnerabilities in Server-Farm

Server

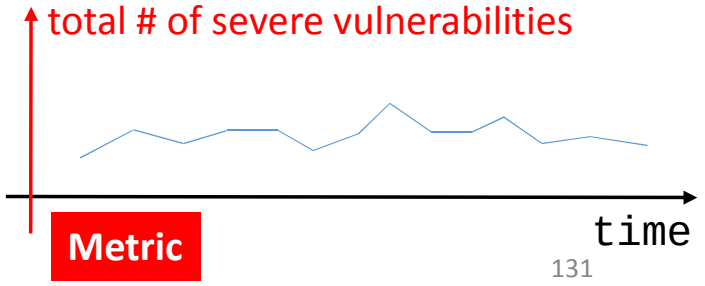
Operating System
Middleware
Communications-SW
Load-Balancer
Utilities
Monitoring

Measurement

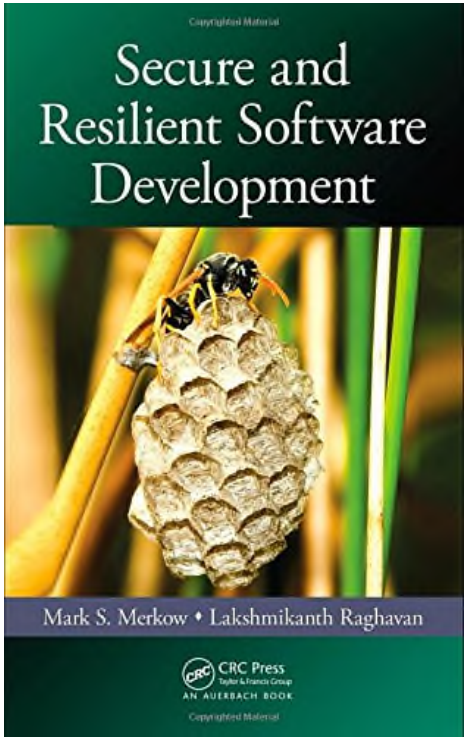
August 4, 2017

Server A: **27** severe vulnerabilities
Server B: **38** severe vulnerabilities
Server C: **11** severe vulnerabilities
…

Up-to-date
Vulnerability List



Textbook



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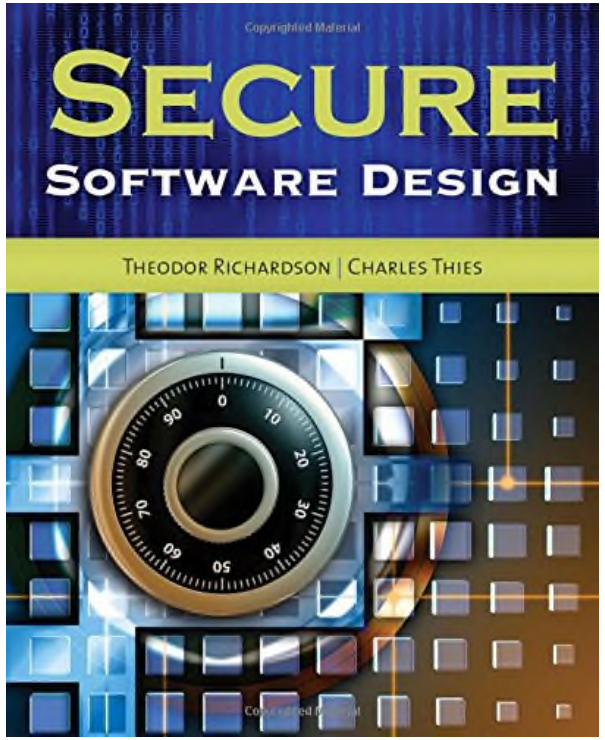
Textbook



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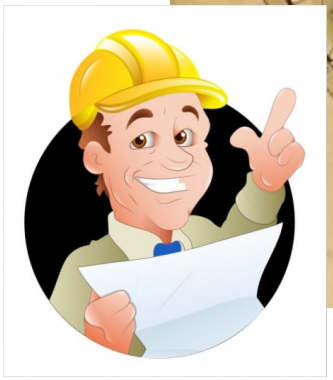
Future-Proof Software-Systems [Part 1]

Our journey:

«Software everywhere» √ → Systems & Software Engineering √ → Three devils of Systems Engineering √ → Future-Proof Software-Systems √

Future-Proof Software-Systems → Technial Debt Architecture Erosion → Managed Evolution Strategy → Architecture → Architecting for Changeability → Special Topics → Architecting for Dependability → The Future-Proof Software-Systems Engineer



Part 1: Introduction