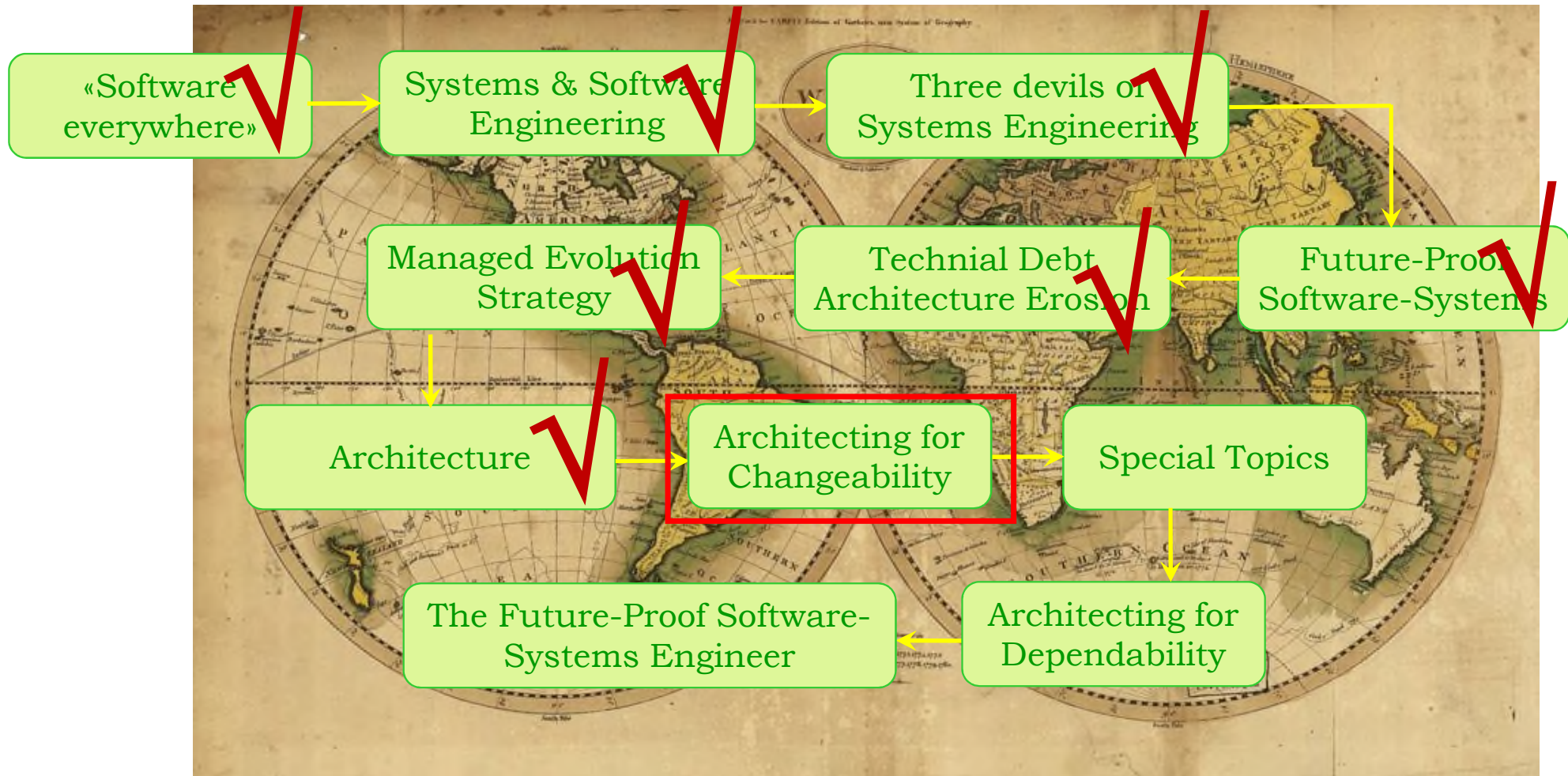


Future-Proof Software-Systems (FPSS)

Part 3A: Architecting for Changeability

Lecture WS 2017/18: Prof. Dr. Frank J. Furrer

Our journey:



Changeability: Repetition

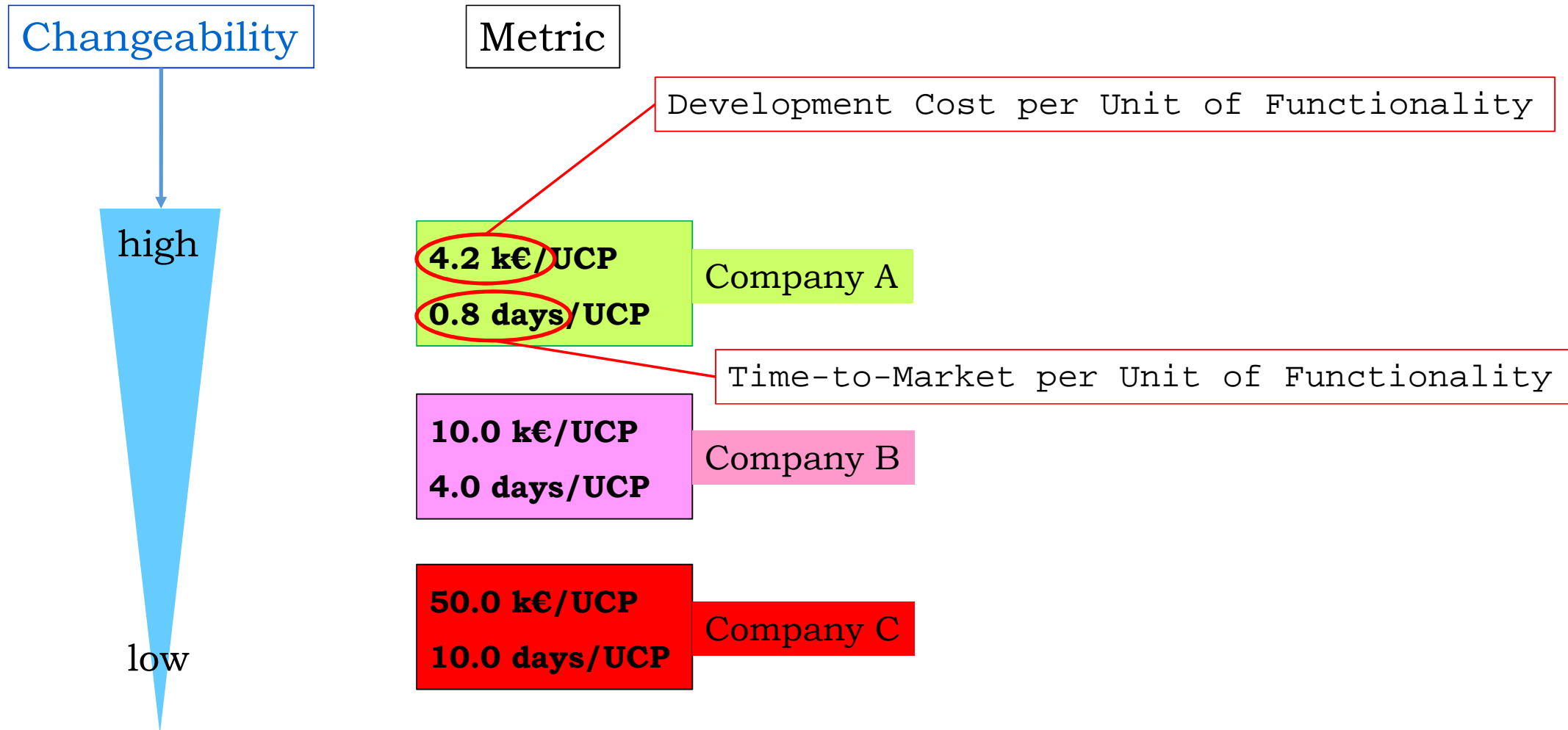
Changeability is the capability of an **organization** to develop software-systems:

- With high-quality functionality
- With a good cost and time-to-market performance

The most important key factor for **changeability** is the **structure** of the the software



Architecture!



Company A

Project Cost:
1'050 k€
Time to Market:
200 days

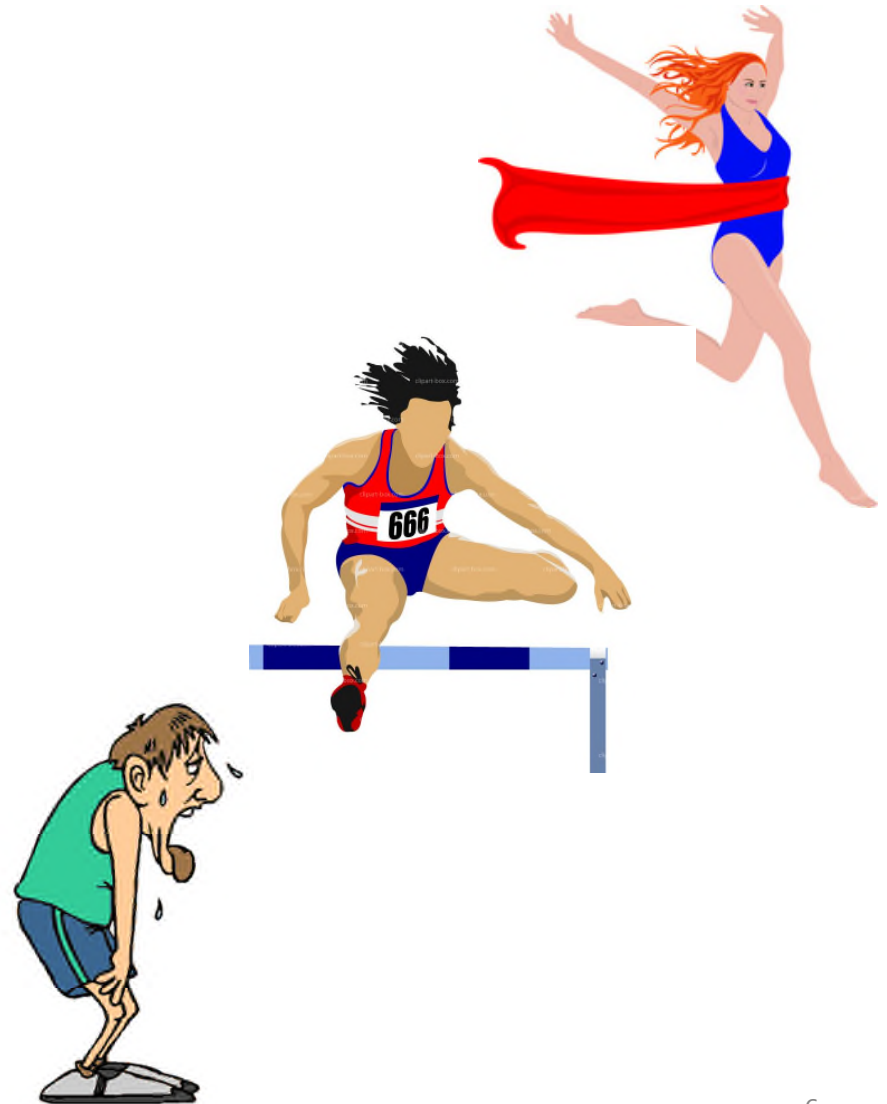
Company B

Project Cost:
2'500 k€
Time to Market:
1'000 days

Company C

Project Cost:
12'500 k€
Time to Market:
2'500 days

Project
250 UCP





Fact 1:

Good **architecture** results in good **changeability**

Fact 2:

Good **architecture** is governed by proven **architecture principles**

Part 3



Fact 1:

Good **architecture** results in good **dependability**

Fact 2:

Good **architecture** is governed by proven **architecture principles**

Part 4



[Information Systems] Architecture Principles

for
Changeability

for
Dependability

for
other Attributes

12 architecture principles
[complete set]

Resilience: 9 principles
[complete set]
Dependability: Examples

Examples

Horizontal Architecture Layers

Business
Architecture

Application
Architecture

Information
Architecture

Integration
Architecture

Technical
Architecture

Hierarchy

SoS

Application Landscape

Application

Component

Sensor/Actuator

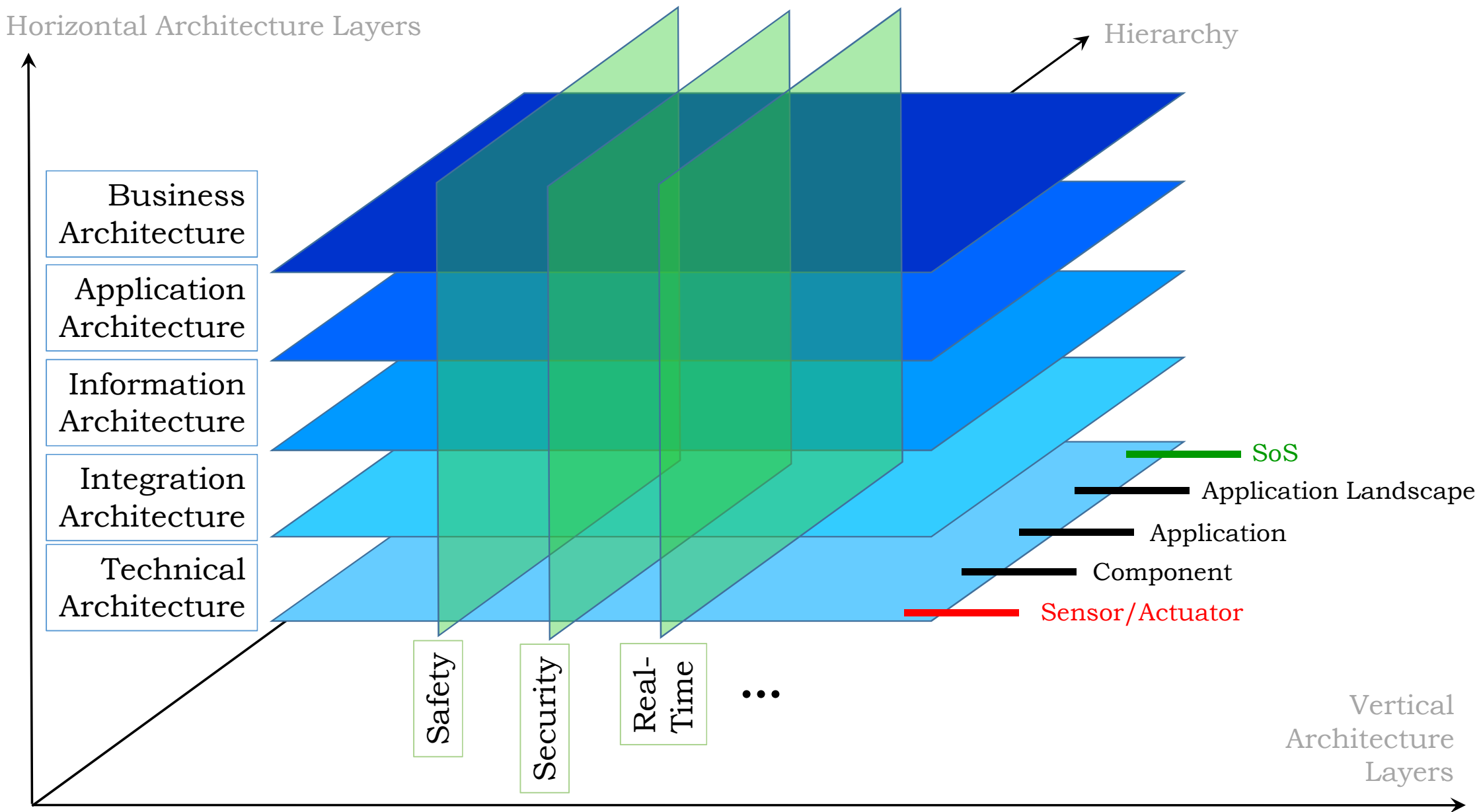
Safety

Security

Real-
Time

...

Vertical
Architecture
Layers



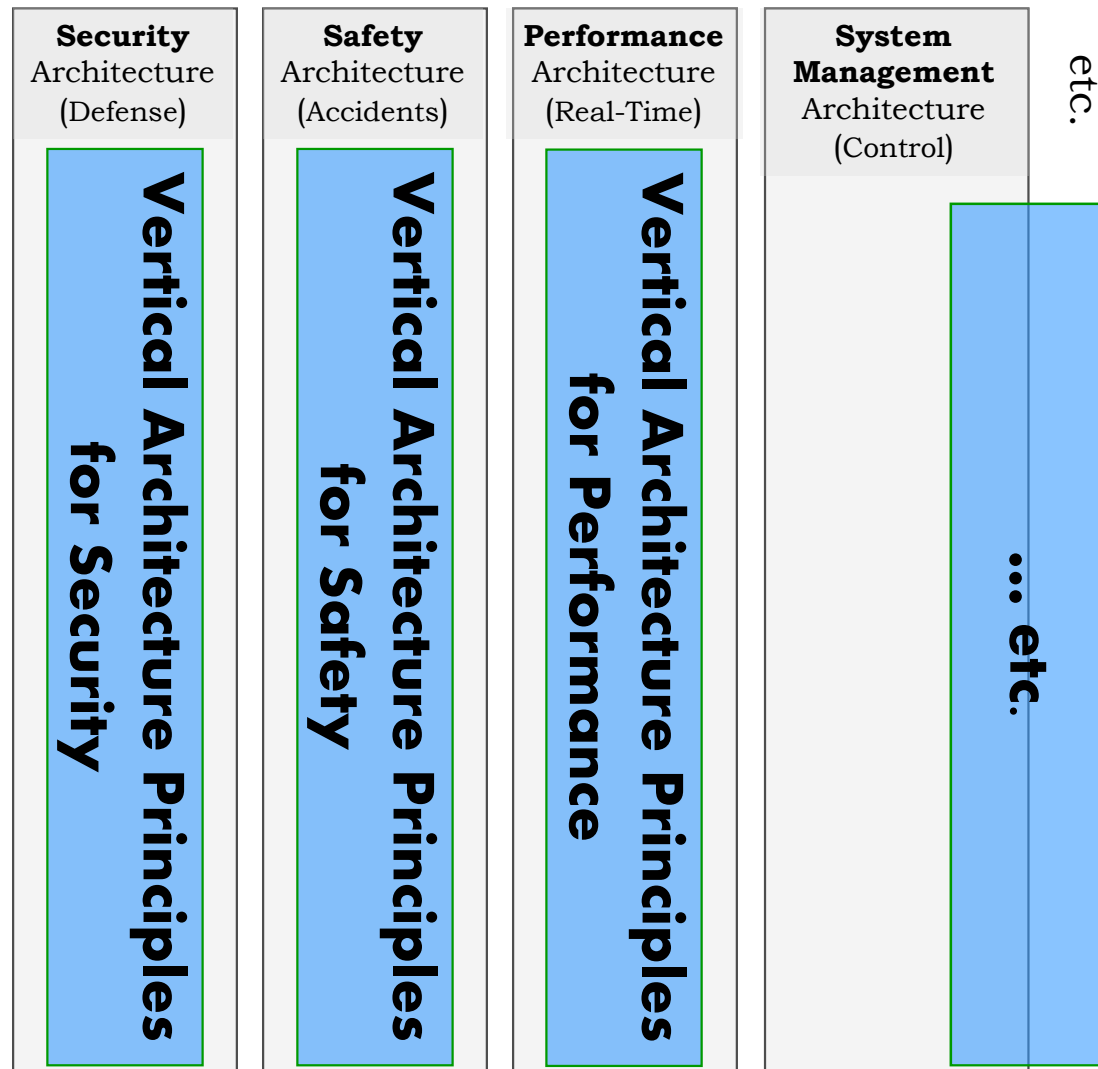
Horizontal Architecture Layers

Business Architecture (Business Processes)	
Applications Architecture (Functionality)	
Information (Data) Architecture (Information & Data)	Horizontal Architecture Principles (for Changeability)
Integration Architecture (Cooperation Mechanisms)	
Technical Architecture (Technical Infrastructure)	

Vertical Architecture Layers

Vertical
Architecture
Principles for
Dependability

... and other
quality
attributes



etc.

Architecture Principles for Changeability


Objective: Provide a set of *Architecture Principles* which lead to high **changeability**

Engineering Discipline: Principle-based Architecting



... we need to:

- understand,
- consistently apply,
- and strongly enforce the architecture principles



Fundamental insights
– formulated as *enforcable* **rules** –
how future-proof software-systems
should be built



Horizontal Architecture Layer Principles:

- A1: Architecture Layer Isolation
- A2: Partitioning, Encapsulation and Coupling
- A3: Conceptual Integrity
- A4: Redundancy
- A5: Interoperability
- A6: Common Functions
- A7: Reference Architectures, Frameworks and Patterns
- A8: Reuse and Parametrization
- A9: Industry Standards
- A10: Information Architecture
- A11: Formal Modeling
- A12: Complexity and Simplification



<https://us.123rf.com>

Fundamental Principles:

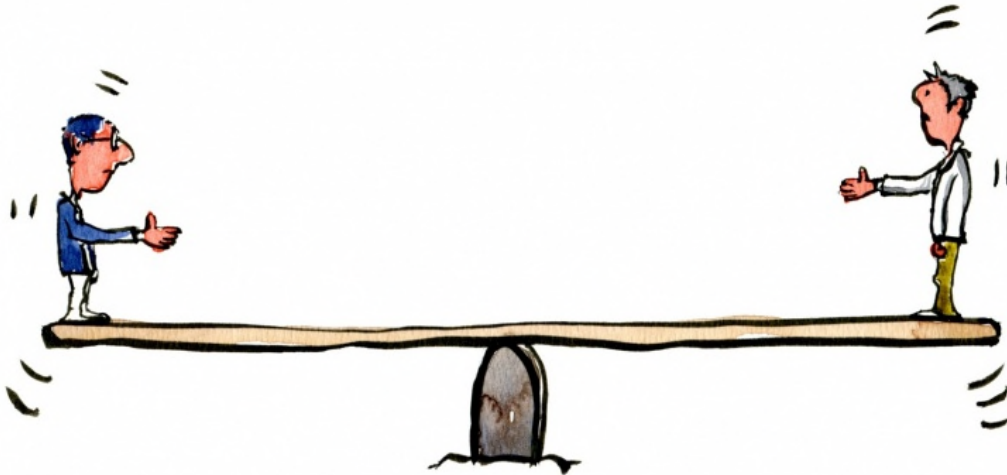
12

for **Changeability**
(presented in this lecture)

The architecture principles are strongly worded rules, often using «**never**» or «**always**»

Are they always - without exceptions - to be followed?

... however:



Sometimes compromises are necessary
(more about later)

COMPROMISE
IS
PLANNED
FAILURE™

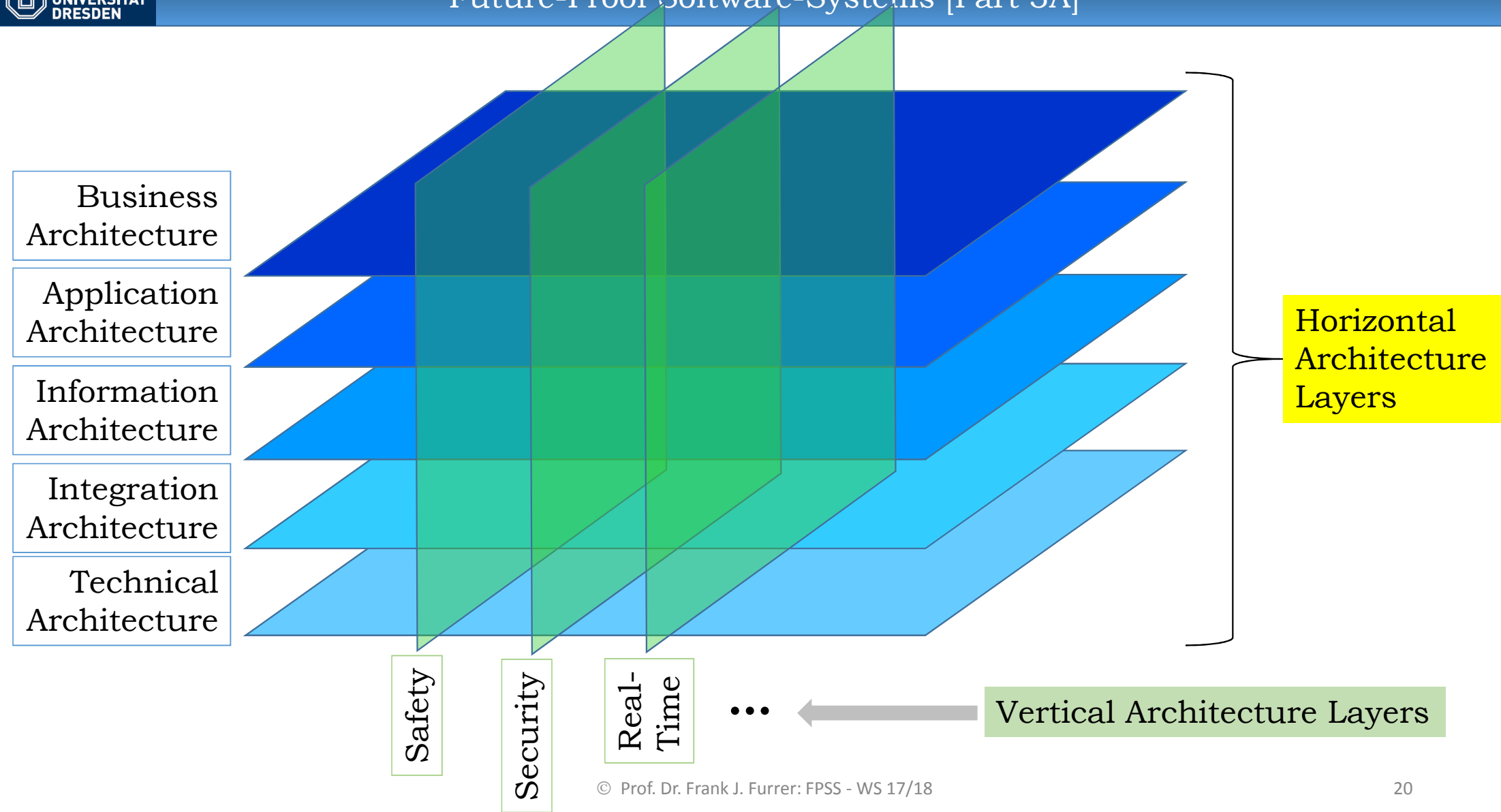
A1

Architecture Principle A1:

Architecture Layer Isolation

Horizontal Architecture Layer Principles:

- A1: Architecture Layer Isolation
- A2: Partitioning, Encapsulation and Coupling
- A3: Conceptual Integrity
- A4: Redundancy
- A5: Interoperability
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- A8: Reuse and Parametrization
- A9: Industry Standards
- A10: Information Architecture
- A11: Formal Modeling
- A12: Complexity and Simplification



Key Idea:

Business Architecture **Layer**

(Business Processes)

Isolation

Applications Architecture **Layer**

(Functionality)

Isolation

Information (Data) Architecture **Layer**

(Information & Data)

Isolation

Integration Architecture **Layer**

(Cooperation Mechanisms)

Isolation

Technical Architecture **Layer**

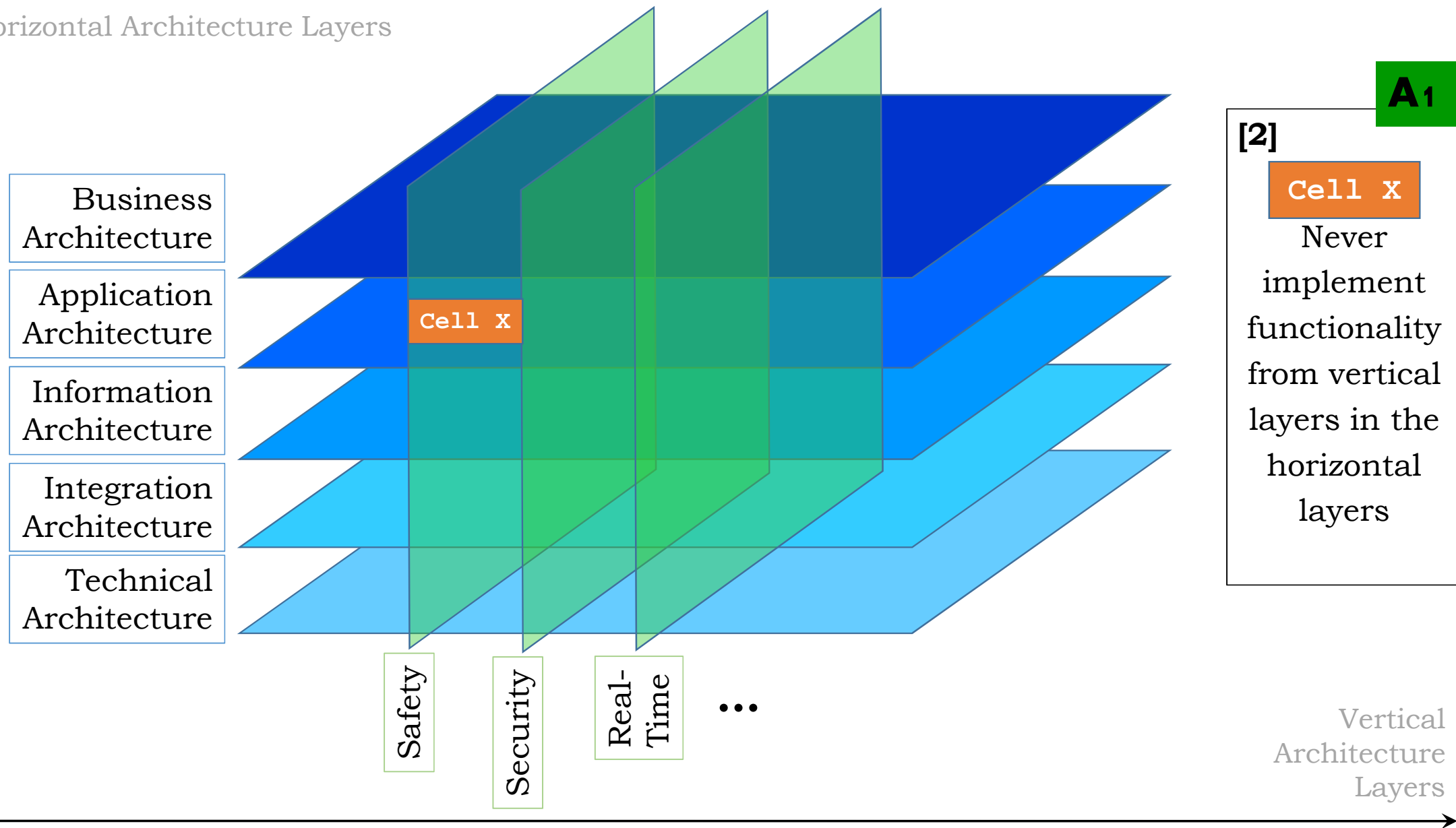
(Technical Infrastructure)

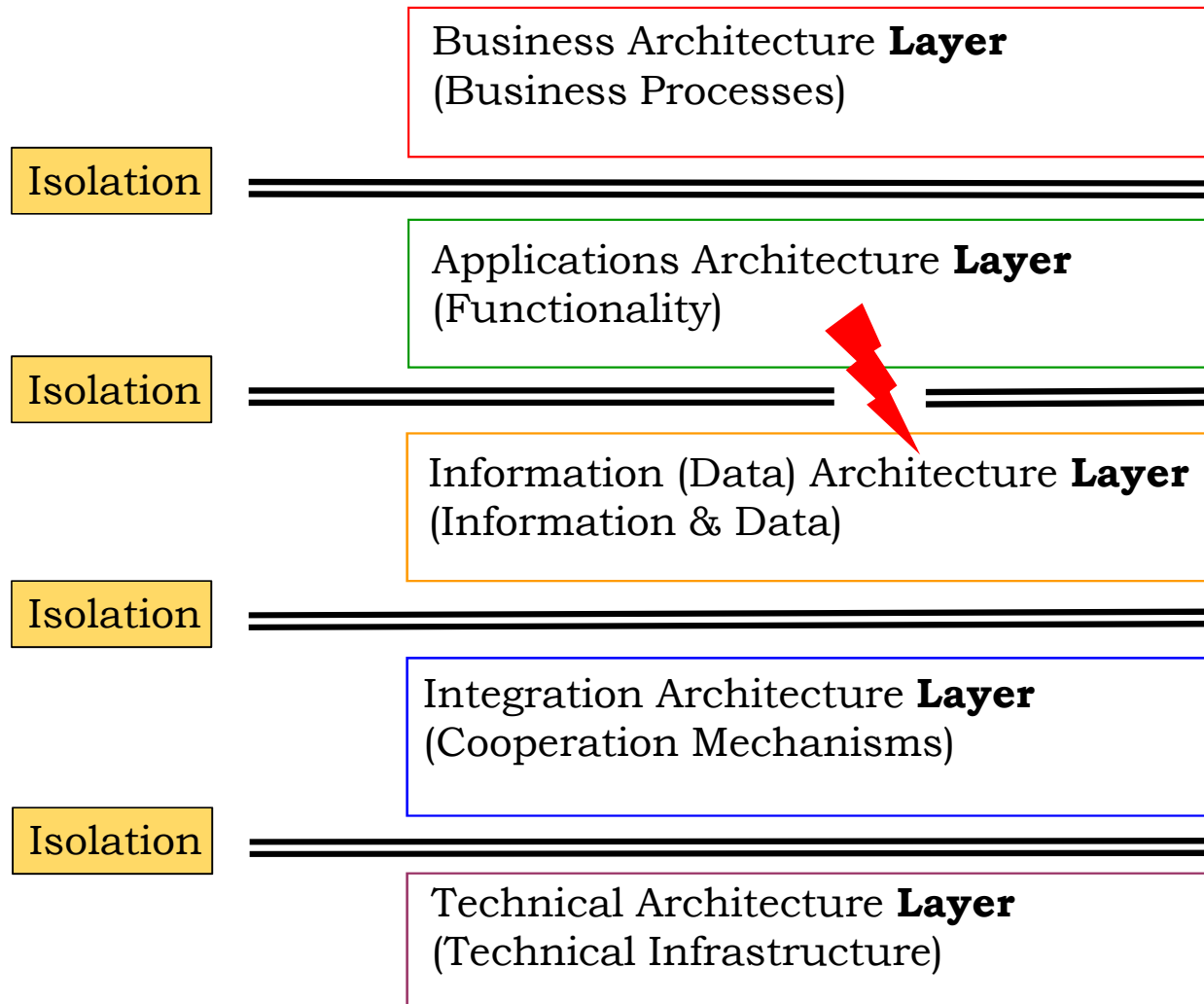
A1

[1]

Always use
standardized,
technology-
independent,
and product-
independent
mechanisms
for transfer of
data and
control
between
layers

Horizontal Architecture Layers





Breaking Layers

Direct access – **bypassing** the standardized, technology-independent mechanisms



Result:

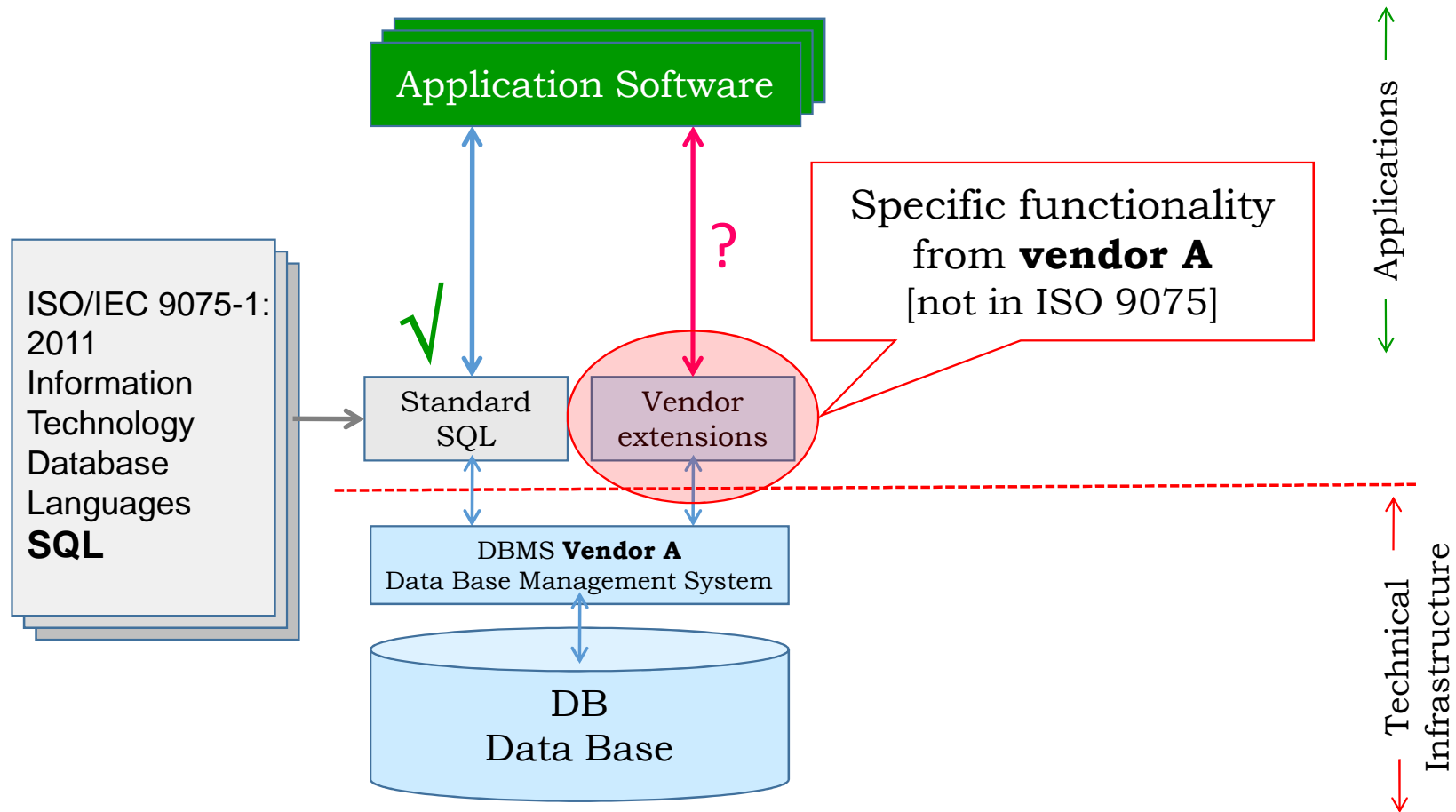
- Technology dependence
- Vendor lock-in
- No standards-compliance



Example: Misuse of SQL (1/2)

[SQL = Structured Query Language]

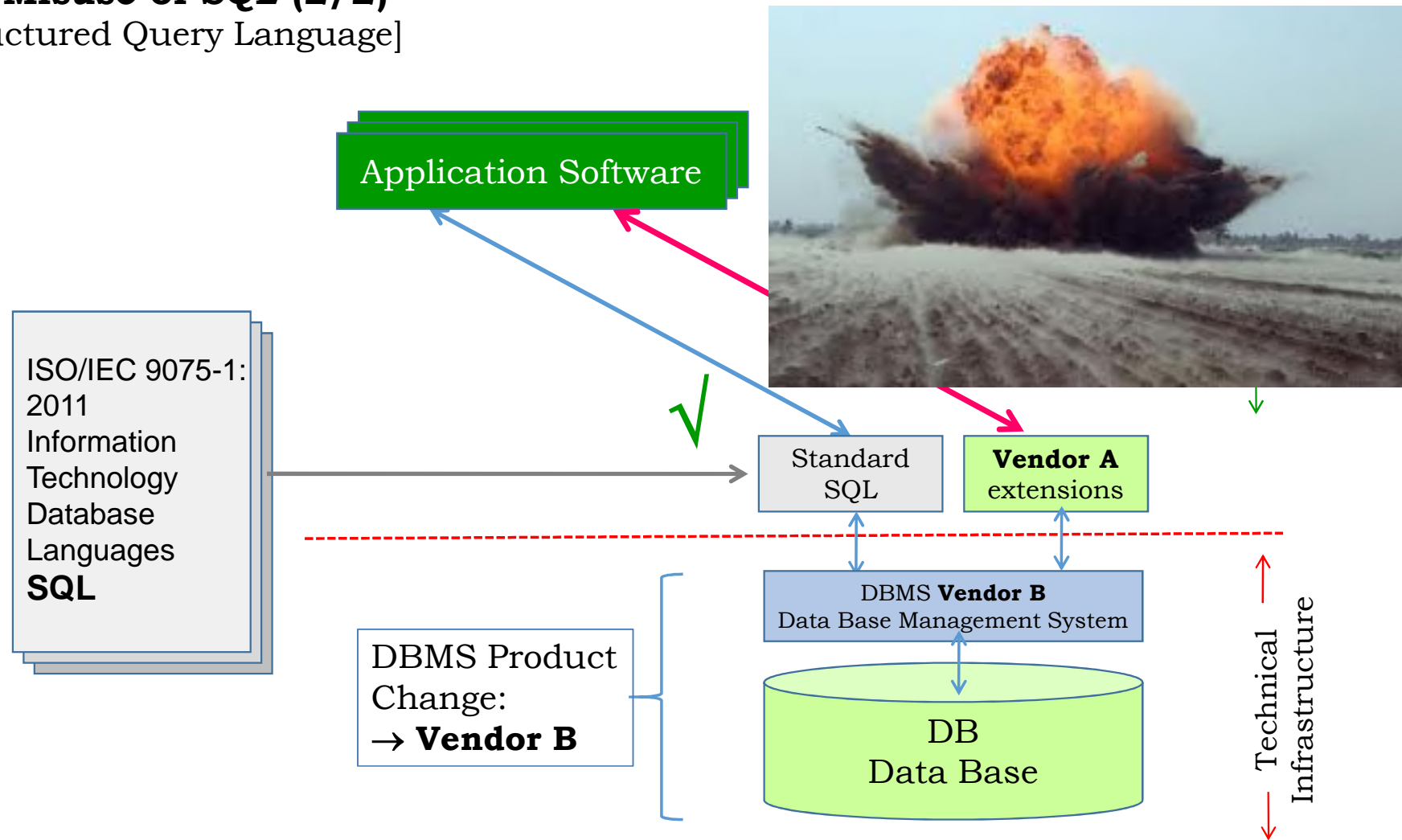
Breaking Layers

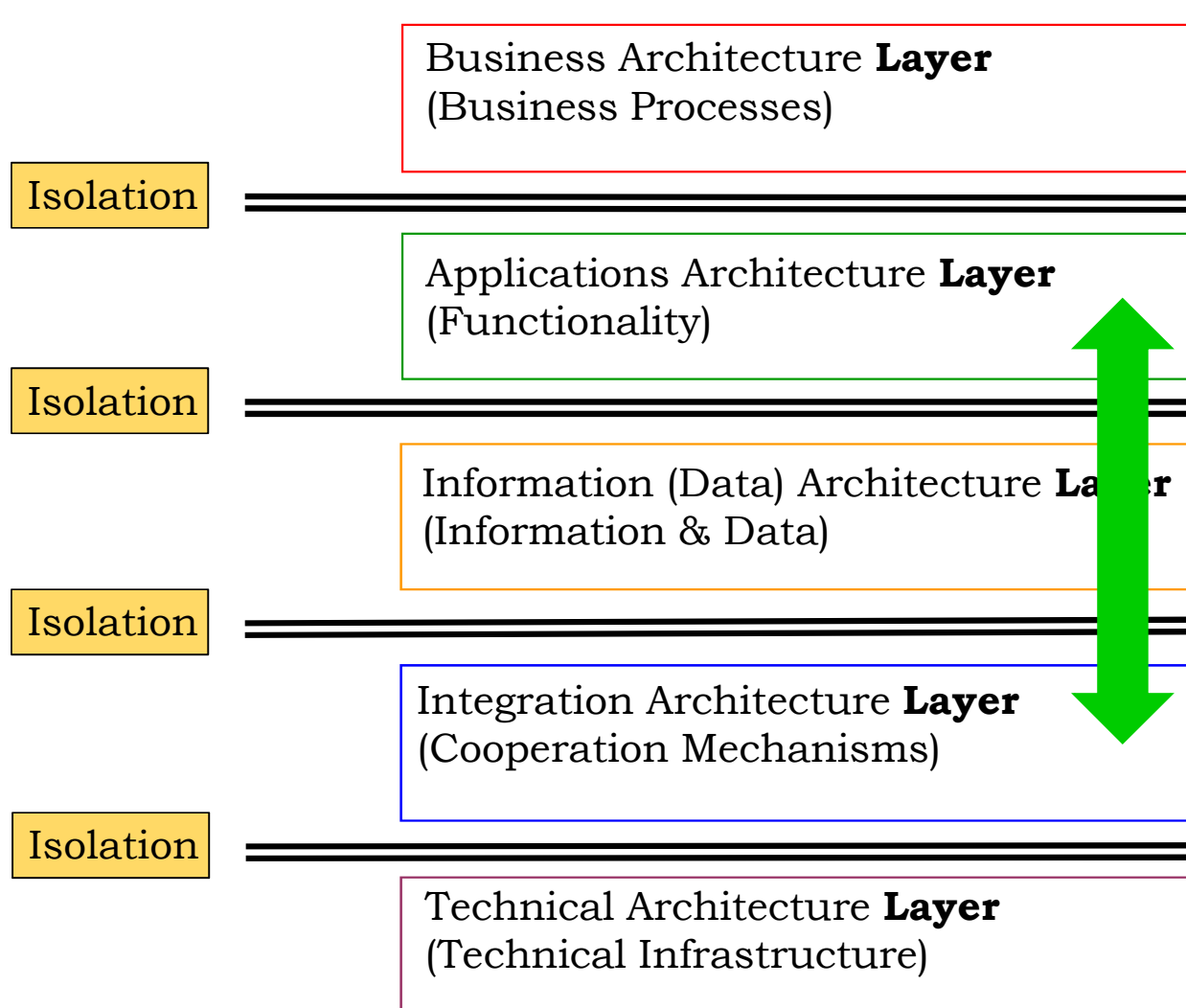


Example: Misuse of SQL (2/2)

[SQL = Structured Query Language]

www.beta.jootix.com





NOT Breaking Layers

Industry-standard,
technology-independent
mechanism



Result:

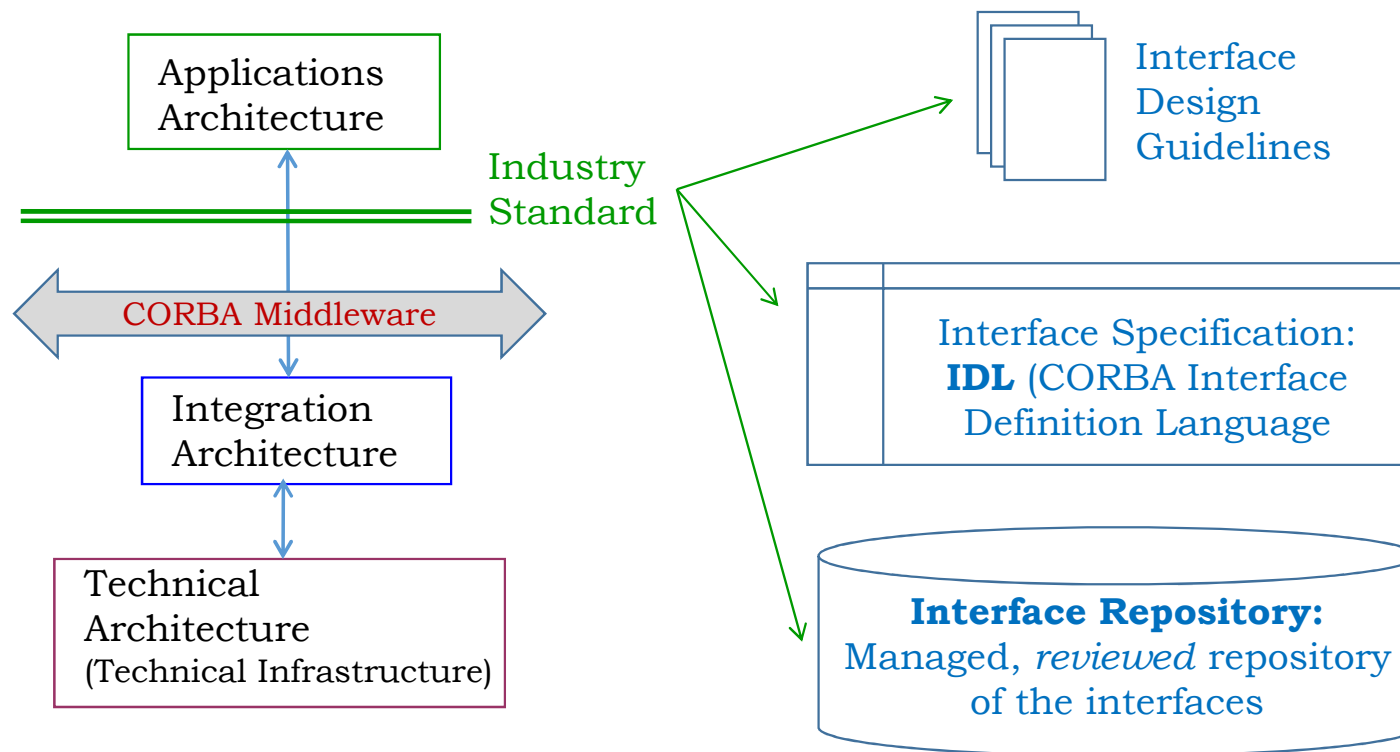
- Technology **in**dependence
- Vendor **in**dependence
- Full standards-compliance



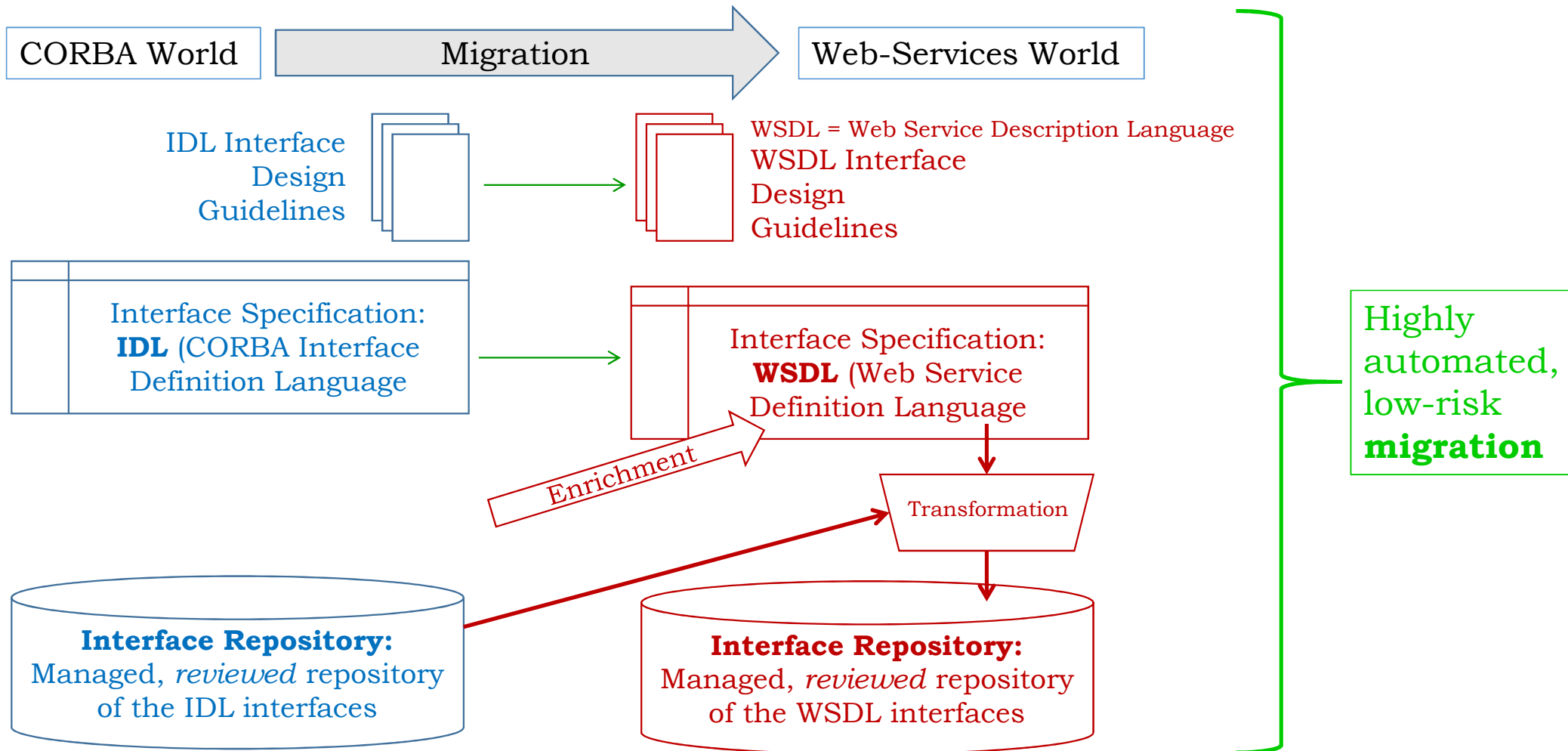
Example: CORBA-services to Web-services migration (1/2)

[CORBA = Common Object Request Broker Architecture]

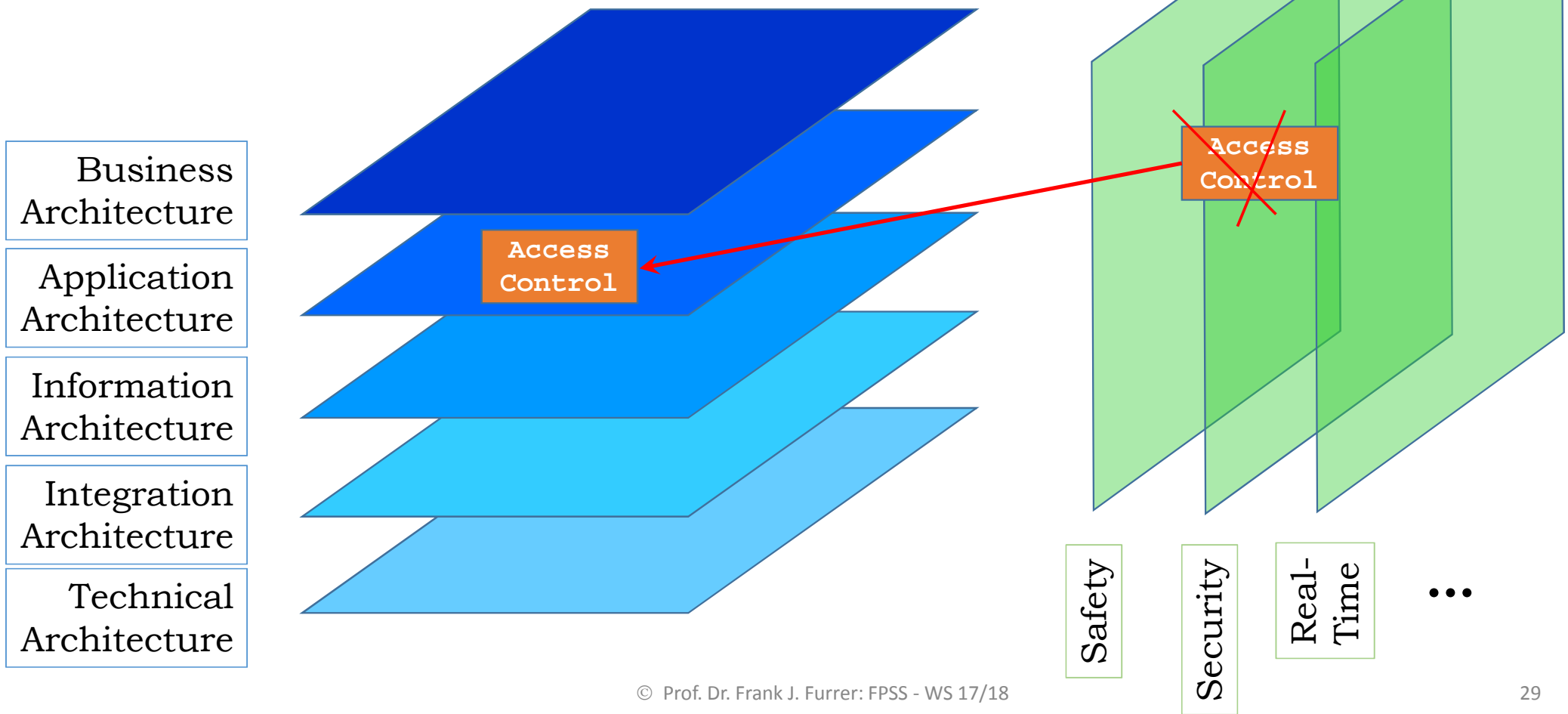
NOT Breaking Layers



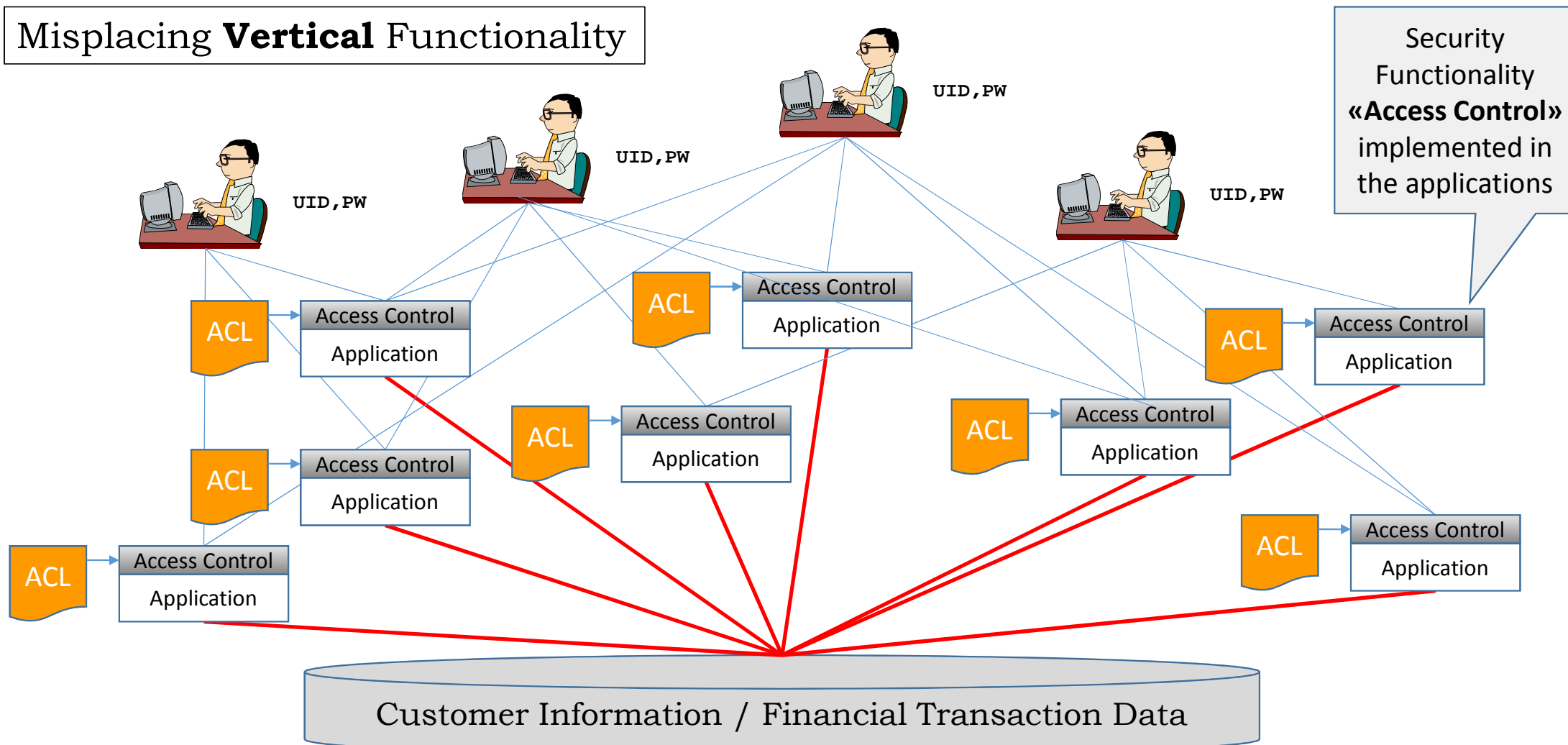
Example: CORBA-services to Web-services migration (2/2)



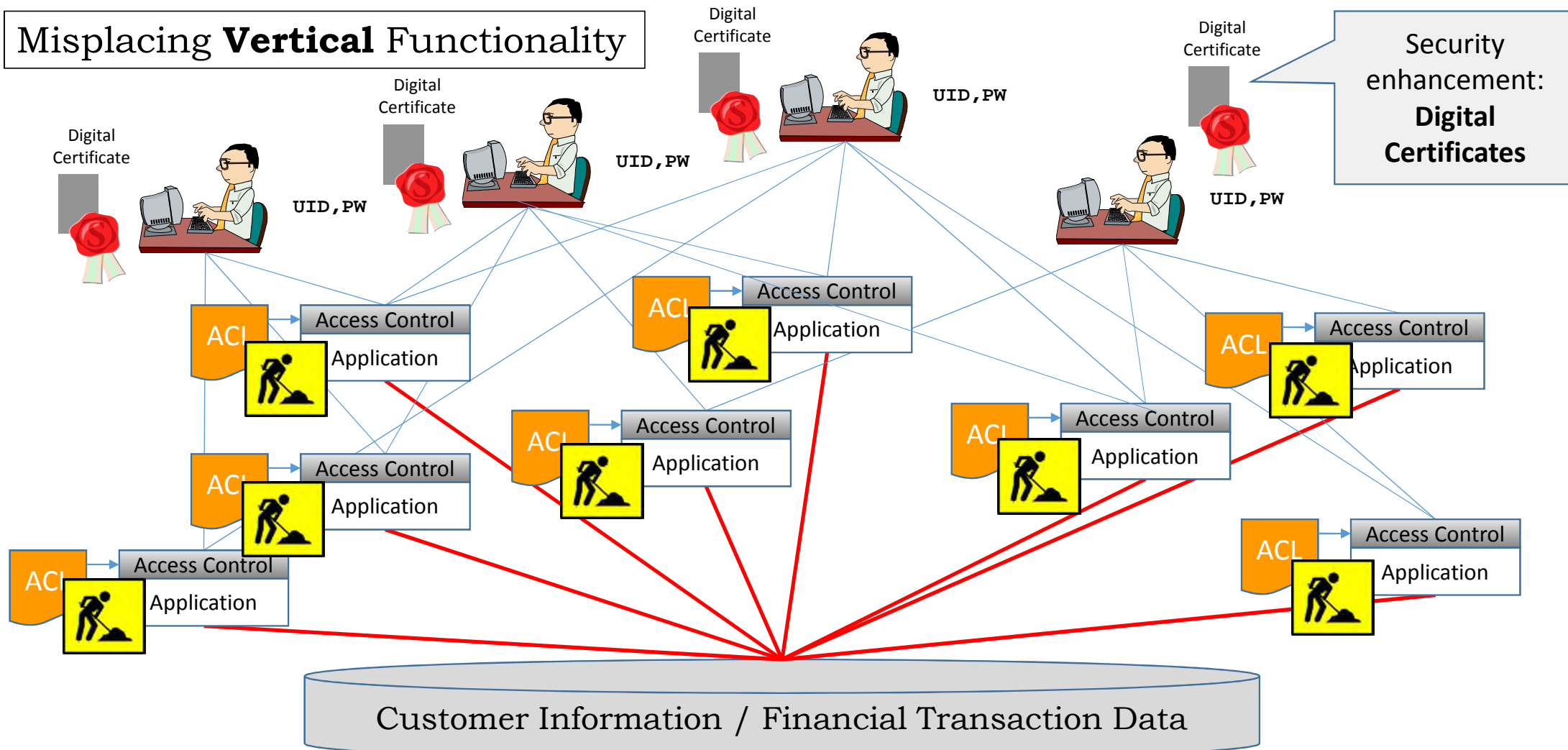
Misplacing **Vertical** Functionality



Misplacing **Vertical** Functionality

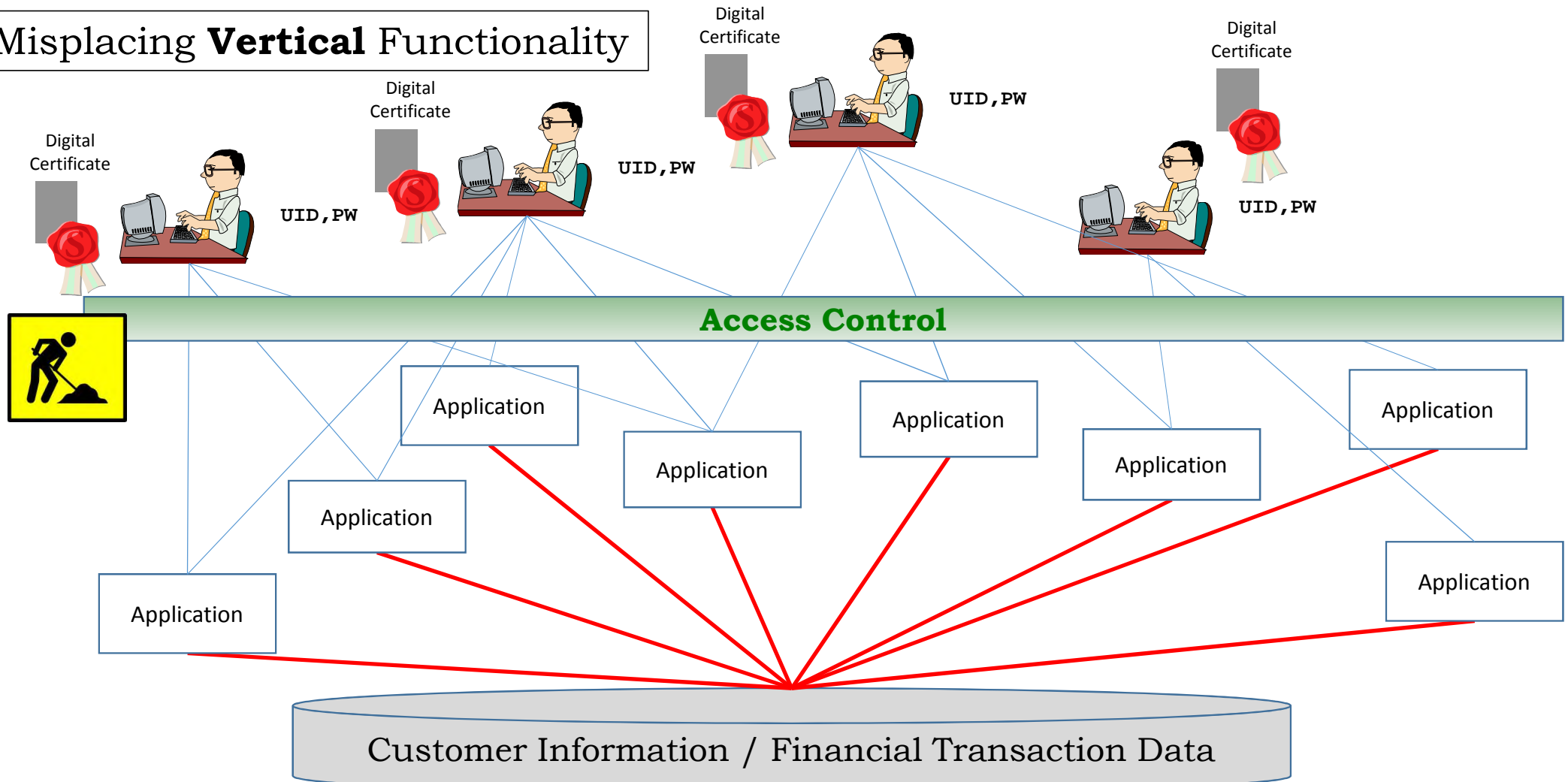


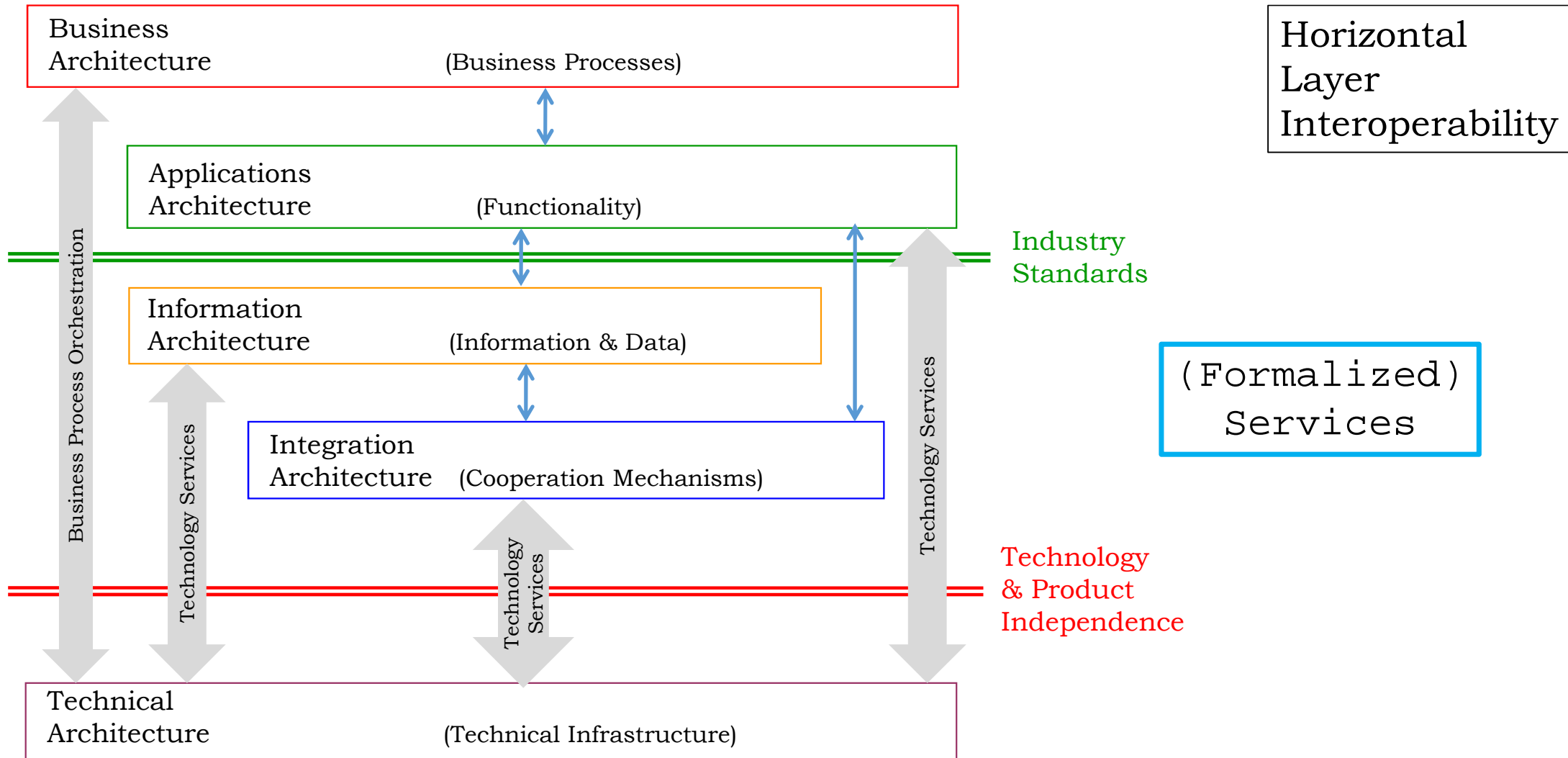
Misplacing **Vertical** Functionality



> 5'000 Applications

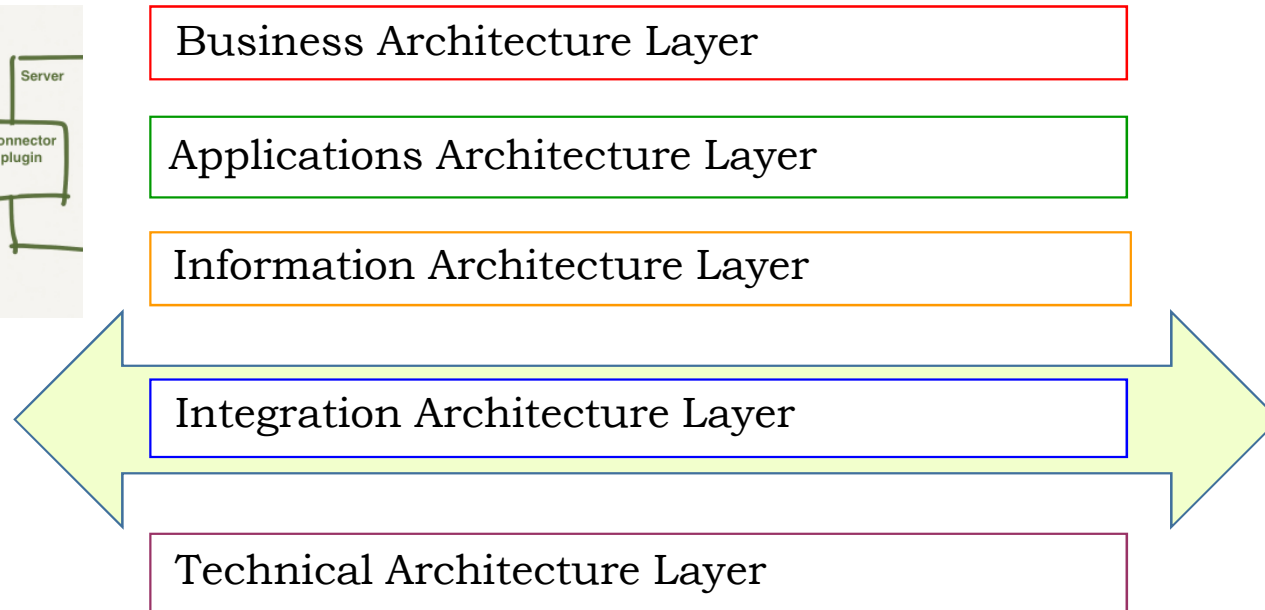
Misplacing **Vertical** Functionality





Which are the mechanisms for layer-isolation ?

- Interlayer-access standards
e.g. SQL-standard between technical infrastructure and applications
- Middleware
Industry- or company-standard, stable middleware, such as an Enterprise Service Bus
- „Clean accesses“
No use of vendor- or product-specific additions or enhancements
- Strict separation of layer functionality
e.g. **never** implement technical functionality in the applications
- Strict, enforced programming guidelines
e.g. explicitly allow/restrict/forbid certain programming constructs
(Example: restrict stored procedures in DB-accesses)
- Open, long-term planning of the evolution of the infrastructure
Evolution cycles with upward compatibility, well communicated



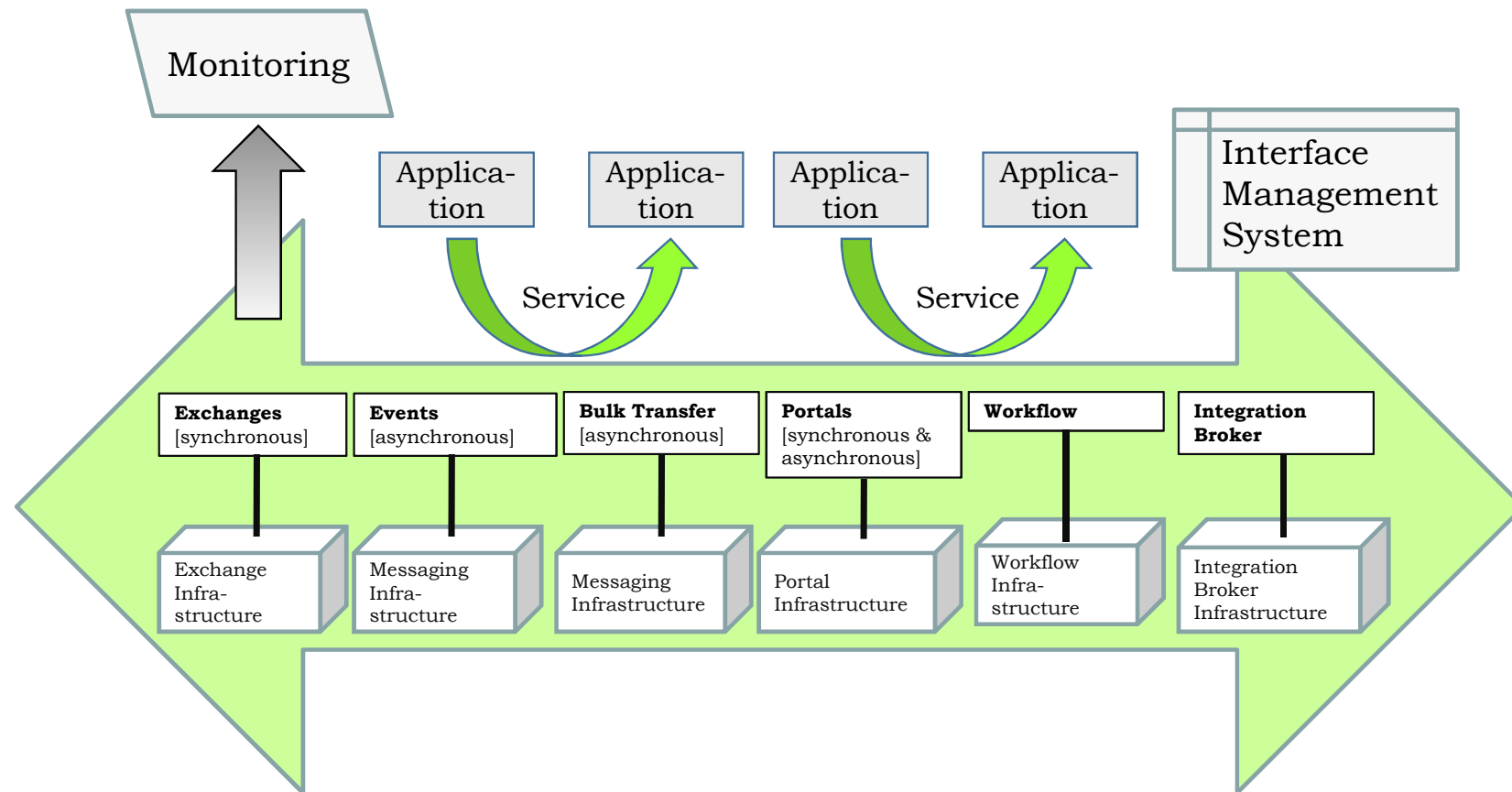
Middleware:

provides the standardized infrastructure for the delivery of services in a distributed environment

Additional Middleware Functionality:

- *Load balancing* (adaptive distribution of processing loads to servers)
- *Business continuity* (automatic mirroring of data and transactions)
- *Monitoring* (diagnostic and statistical information gathering, audit trail)
- *Security infrastructure* (access control to services, transport encryption)

Middleware Example: Enterprise Service Bus (Large Company)



A₁

Architecture Principle A1:
Architecture Layer Isolation

- [1] Always use standardized, technology-independent, and product-independent mechanisms for transfer of data and control between layers
- [2] Never implement functionality from vertical layers in the horizontal layers (especially no technical functionality in the applications)

Justification: Any reliance on specific technologies or product features generates dependencies which (massively) reduce changeability.

Architecture layers should be able to evolve in their own pace without impacting the other layers by force.

Vertical functionality should not be implemented in the applications (but accessed via services), otherwise changes impact the application landscape.

A2

Architecture Principle A2:

Partitioning, Encapsulation and
Coupling

Horizontal Architecture Layer Principles:

- A1: Architecture Layer Isolation
- A2: Partitioning, Encapsulation and Coupling
- A3: Conceptual Integrity
- A4: Redundancy
- A5: Interoperability
- A6: Common Functions
- A7: Reference Architectures, Frameworks and Patterns
- A8: Reuse and Parametrization
- A9: Industry Standards
- A10: Information Architecture
- A11: Formal Modeling
- A12: Complexity and Simplification

«Spaghetti-Architecture»

Interface
Definitions

Control
Flow

Data
Flow

Database
Relations



Why?



The Power of ...

„The three devils of systems engineering are:

- Complexity,
- Change,
- Uncertainty”

Anonymous



Therefore, *good engineering* is:

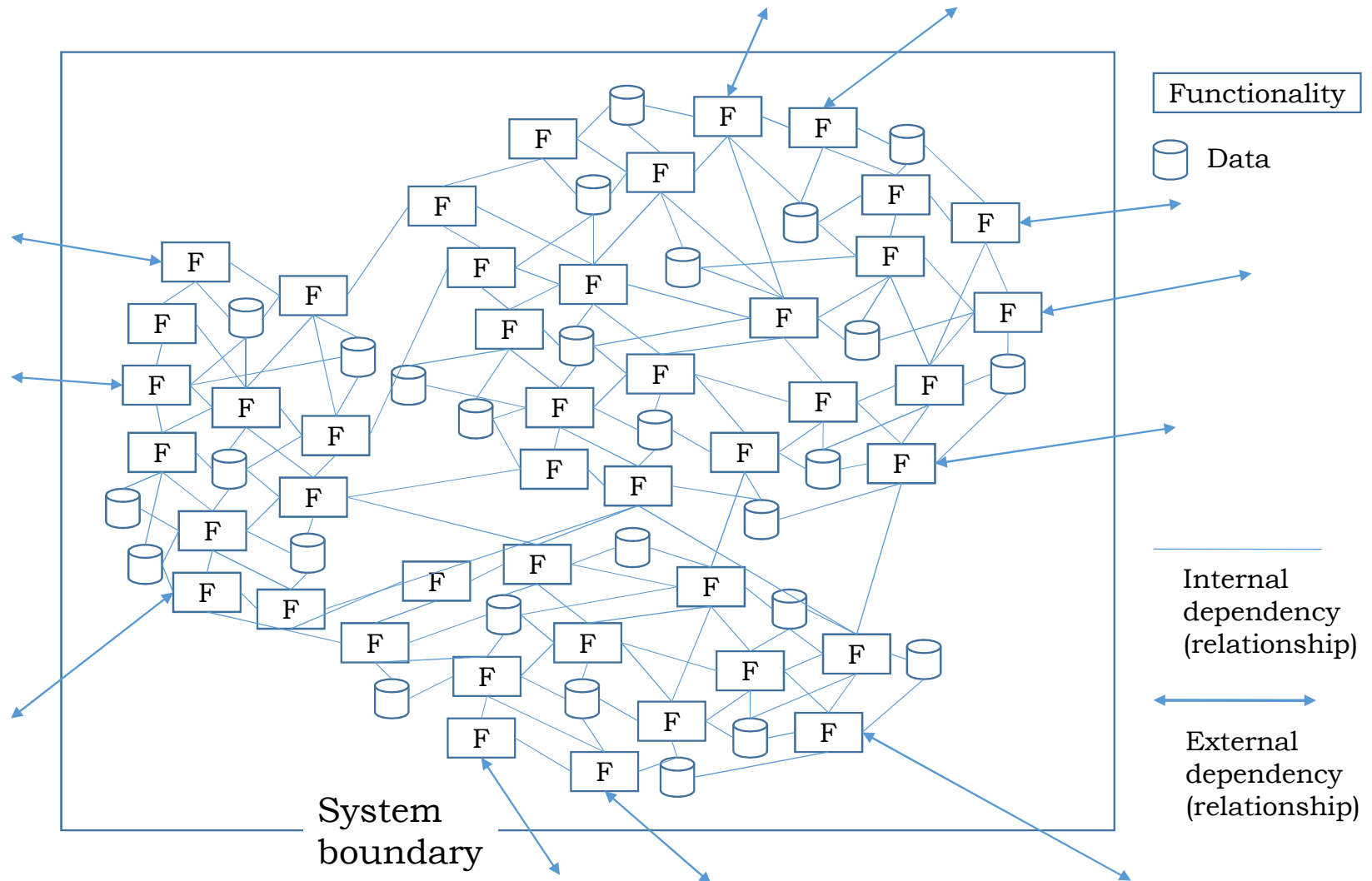
1. **Reduce complexity** as much as possible (Simplify)
2. **Limit** the effects and propagation of changes
3. **Contain** the risks of uncertainty

The most *powerful concepts* to do so are:

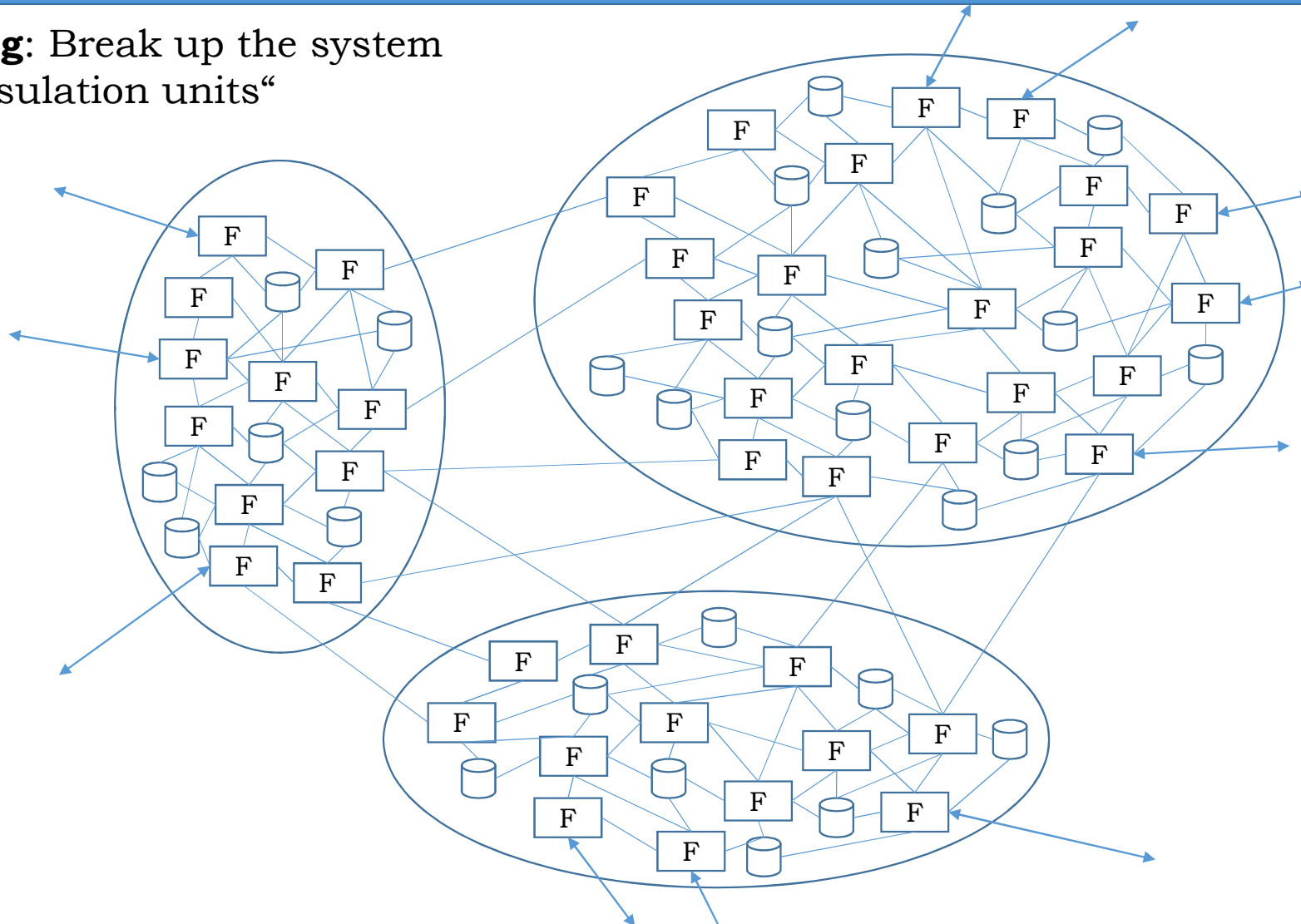
1. **Partitioning** of the system (→ smaller subsystems)
2. **Encapsulation** (→ hide the inner workings)
3. **Coupling** (→ stable interfaces and loose coupling)



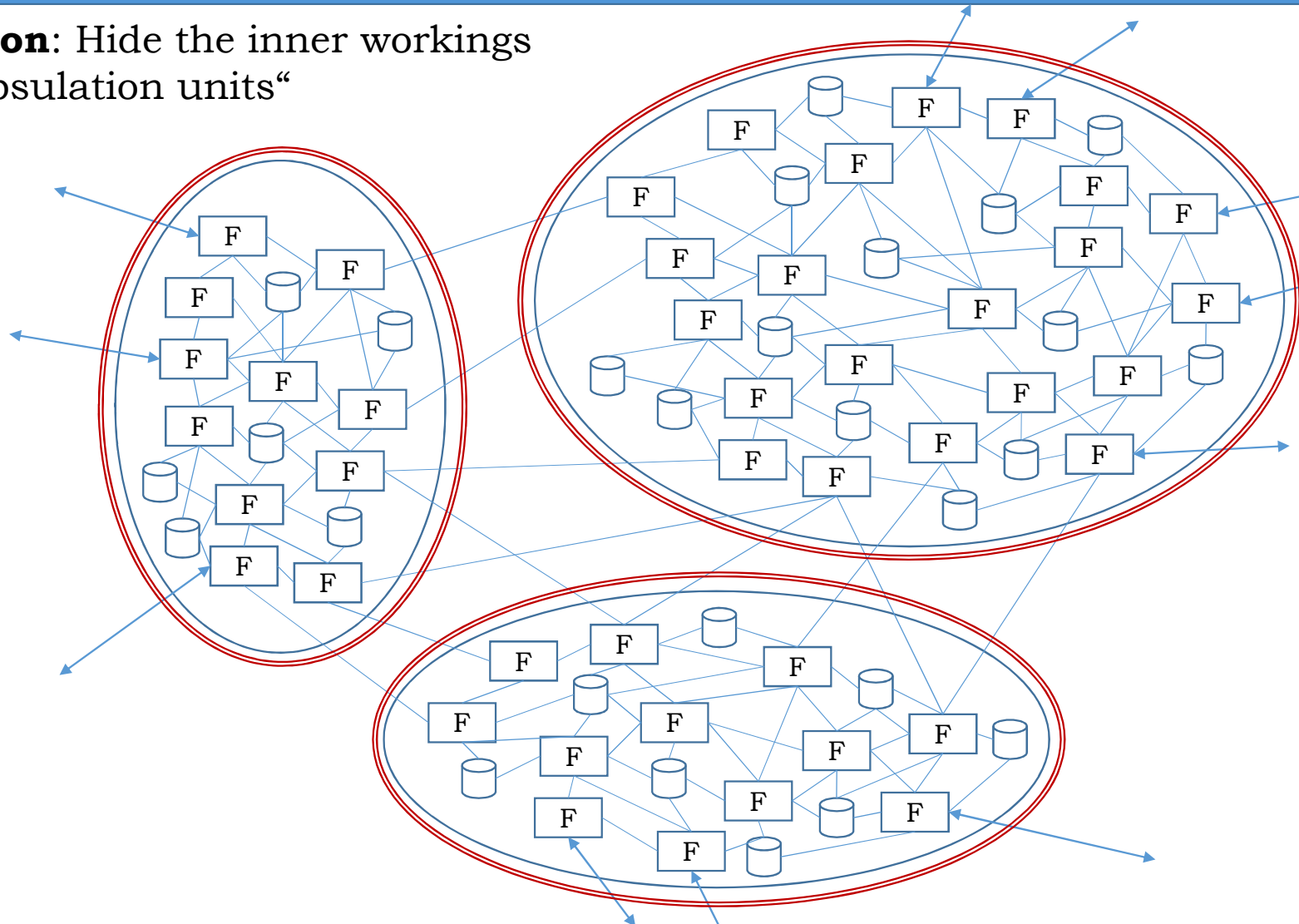
Definitions:



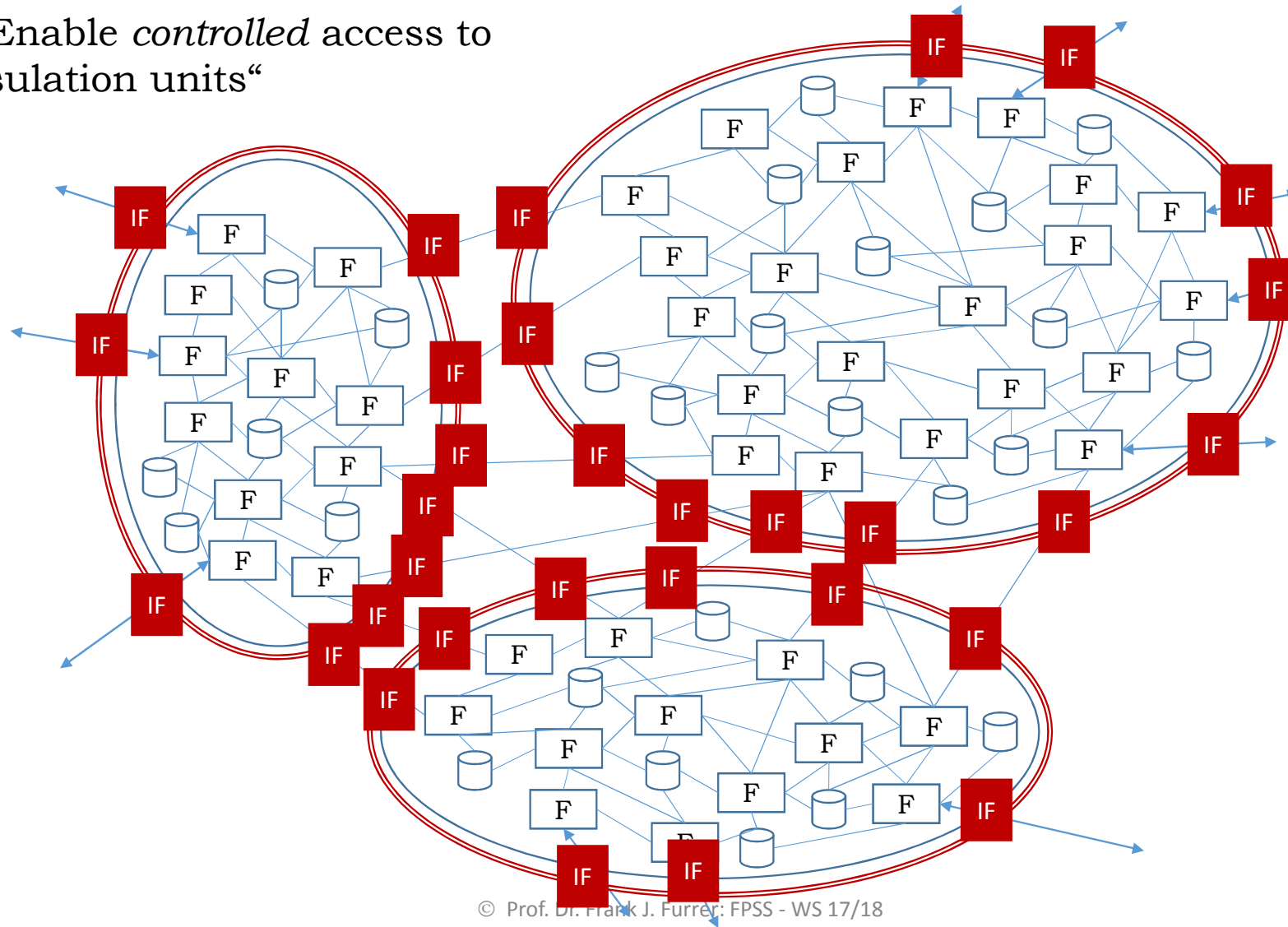
Partitioning: Break up the system into „encapsulation units“



Encapsulation: Hide the inner workings of the „encapsulation units“



Coupling: Enable *controlled* access to the „encapsulation units“



A₂

Partitioning

Encapsulation

Coupling

Simplest possible **structure**:

- Highest cohesion
- Minimal redundancy
- Conceptual integrity

Minimal **complexity**:

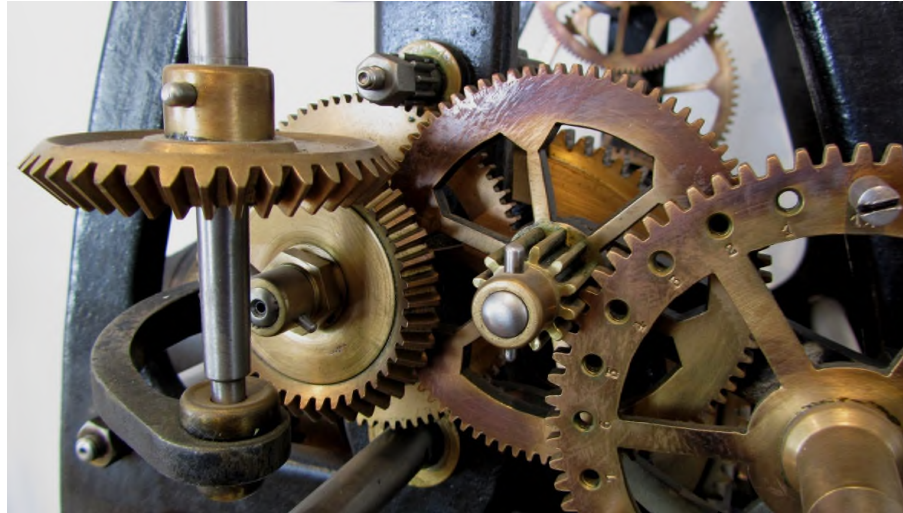
- Hiding
- Reduction to essentials
- Formalization

Minimal **rigidity**:

- Weakest coupling
- Managed dependencies
- Contracts

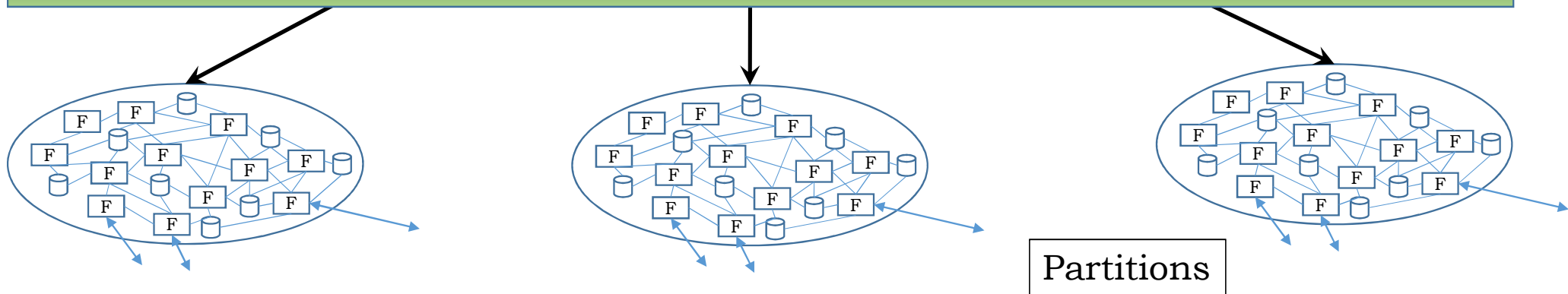
⇒ Changeability

Partitioning



Functionality

Partitioning Rules



Partitions

Partitioning Rules

= Decision criteria for good partitioning

Primary Rule:

- Respect *cohesion*
- Avoid *redundancy*

**GOLDEN
RULE**

Other (secondary) criteria:

- Critical \Leftrightarrow non-critical (safety, confidentiality, ...)
- Real-time \Leftrightarrow non real-time
- Rate of change: high \Leftrightarrow low
- Governance (Owner, stakeholder, country, ...)
- Certified \Leftrightarrow uncertified

Respect *cohesion*

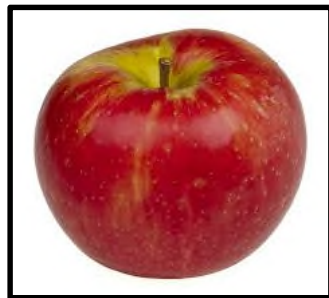
Functional **Cohesion**: Assign functions to encapsulation units based on their cohesion
(„Dogs to Dogs, Cats to Cats“)



Partitions



Data/Information **Cohesion**: Assign data and information to encapsulation units based on their similarity
(„Apples to Apples, Pears to Pears“)



Partitions



Example: Cohesion

Functional and Data/Information **Cohesion**: Assign functions and data to encapsulation units based on **least dependencies** (= *weak cohesion*)



Strong Cohesion:

- Team **A**
- Trained Cooperation
- Mutual Understanding
- Common Objective



Weak Cohesion:

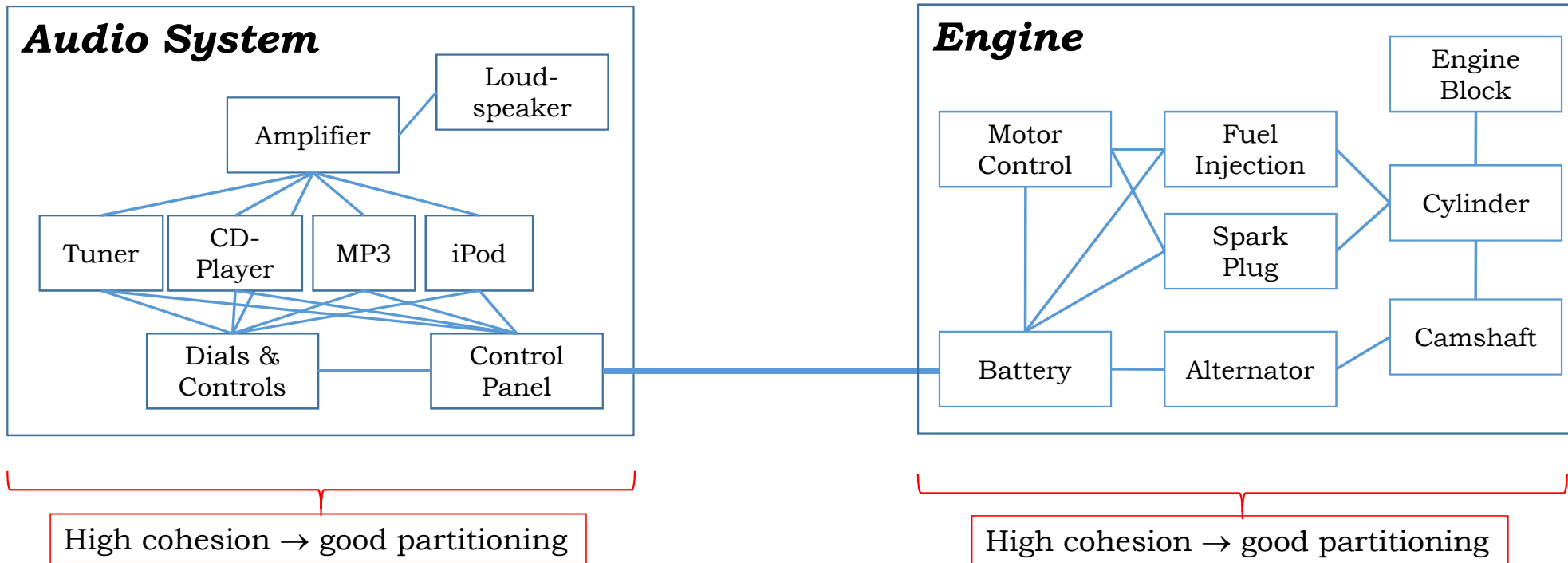
- Ball Contact



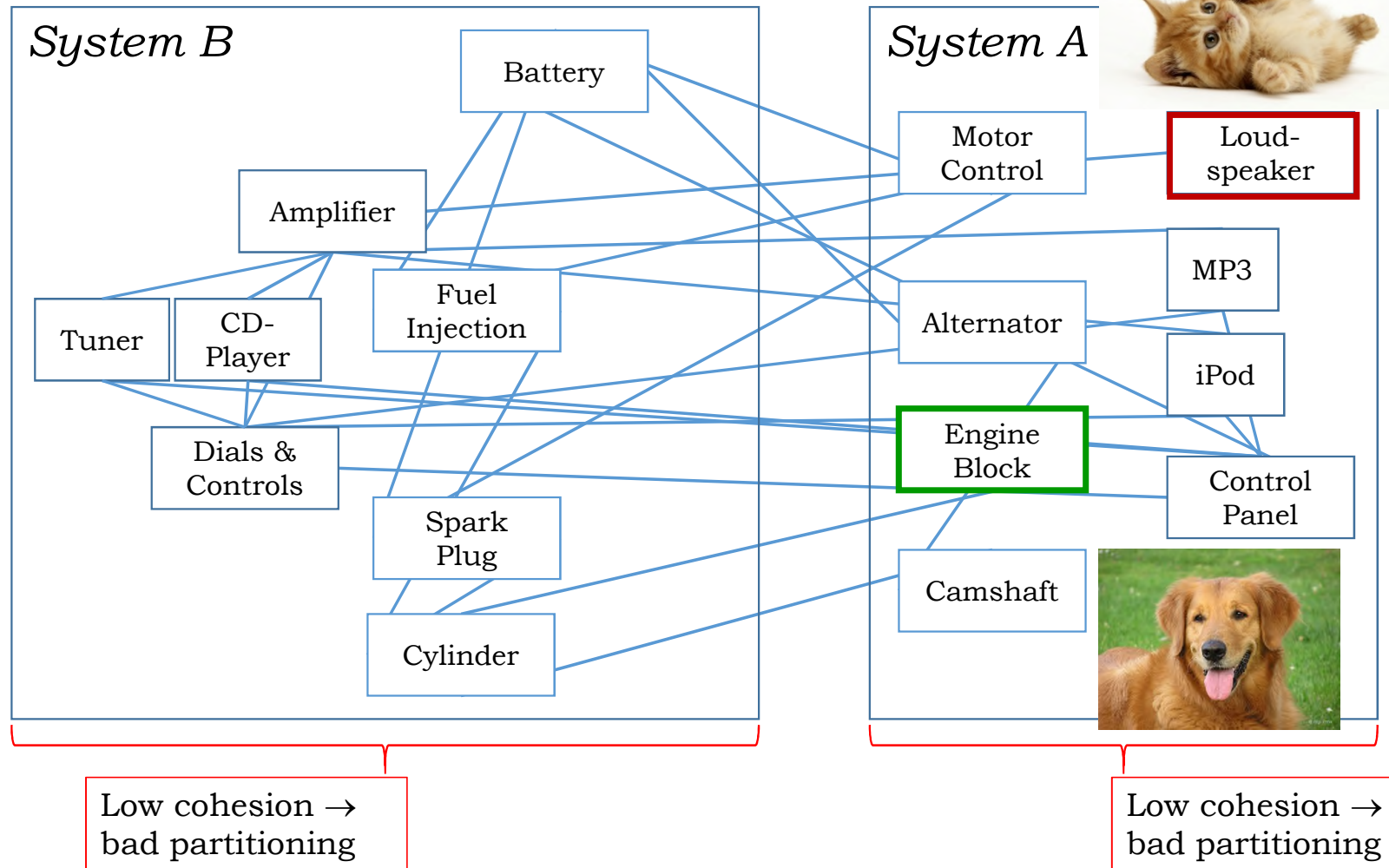
Strong Cohesion:

- Team **B**
- Trained Cooperation
- Mutual Understanding
- Common Objective

Example: Automotive Partitioning (1/2)



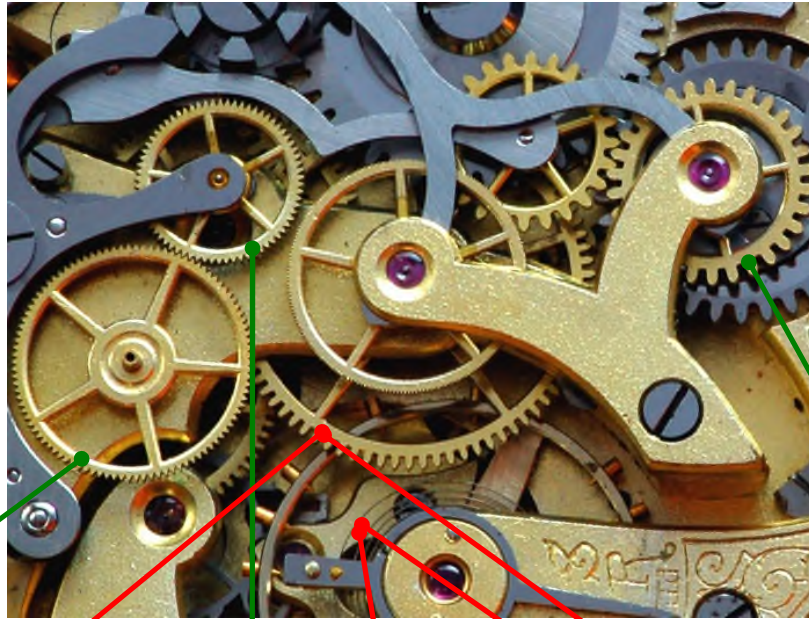
Example: Automotive Partitioning (2/2)



Primary Rule:

- Respect *cohesion*
- Avoid *redundancy*

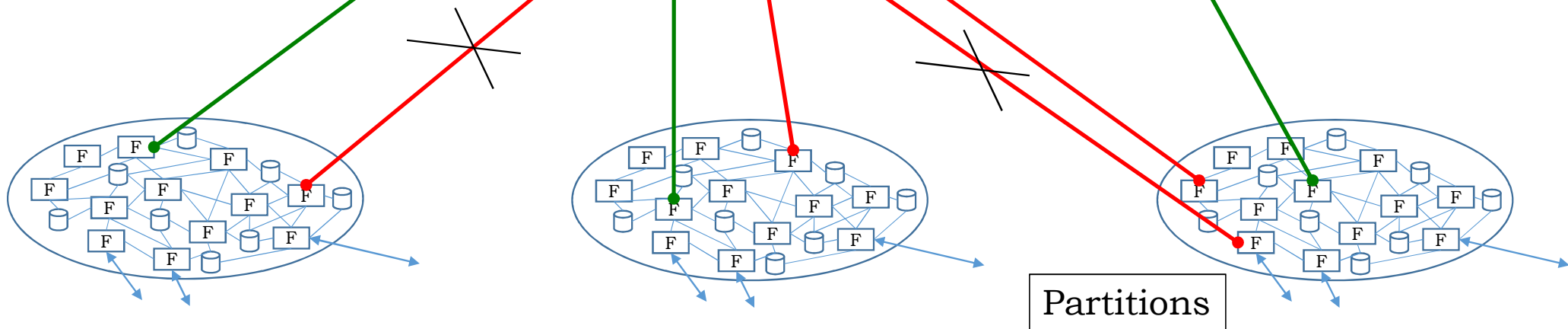
Functional redundancy



GOLDEN RULE

A4

Redundancy!



Primary Rule:

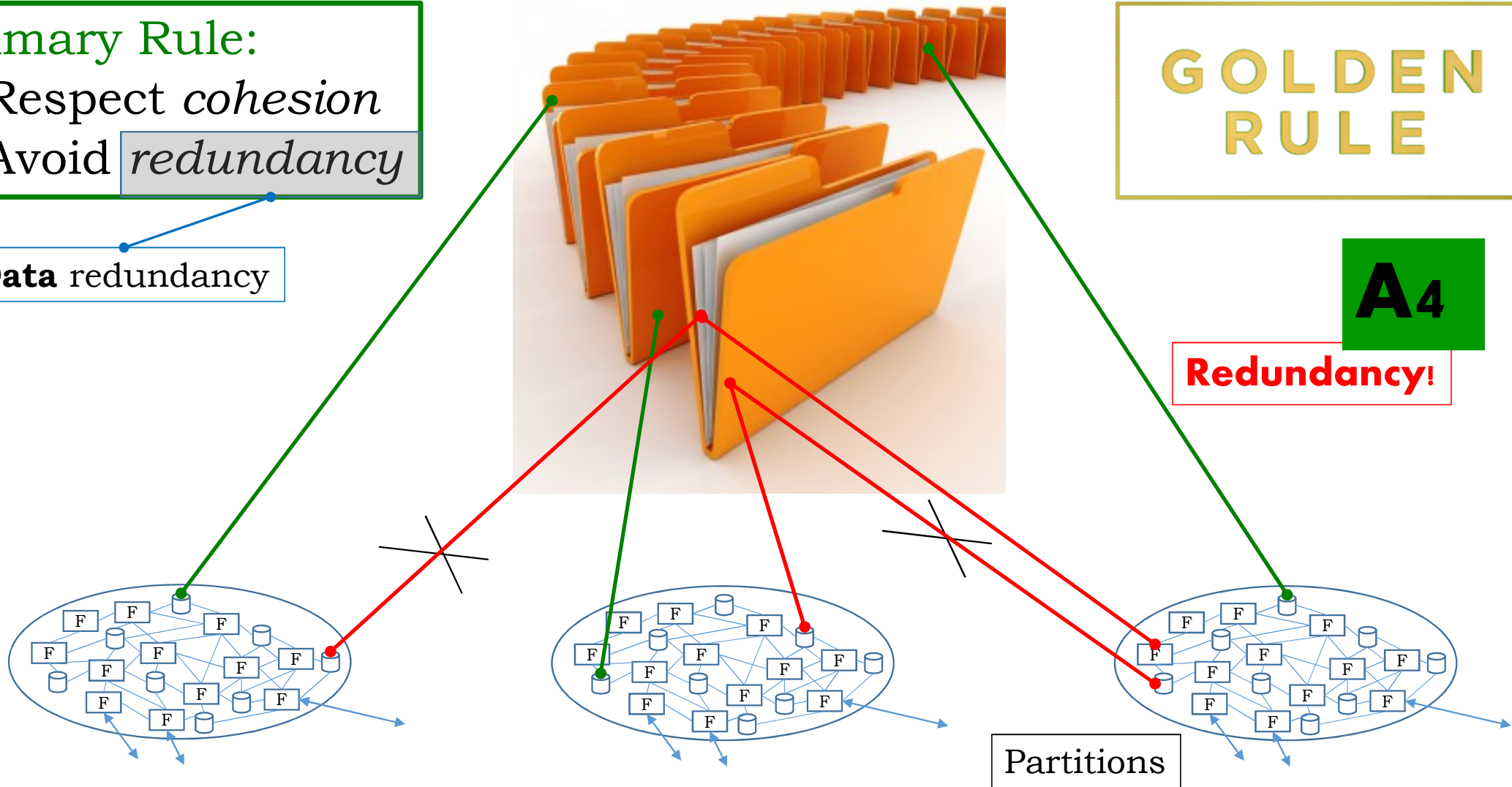
- Respect *cohesion*
- Avoid *redundancy*

Data redundancy

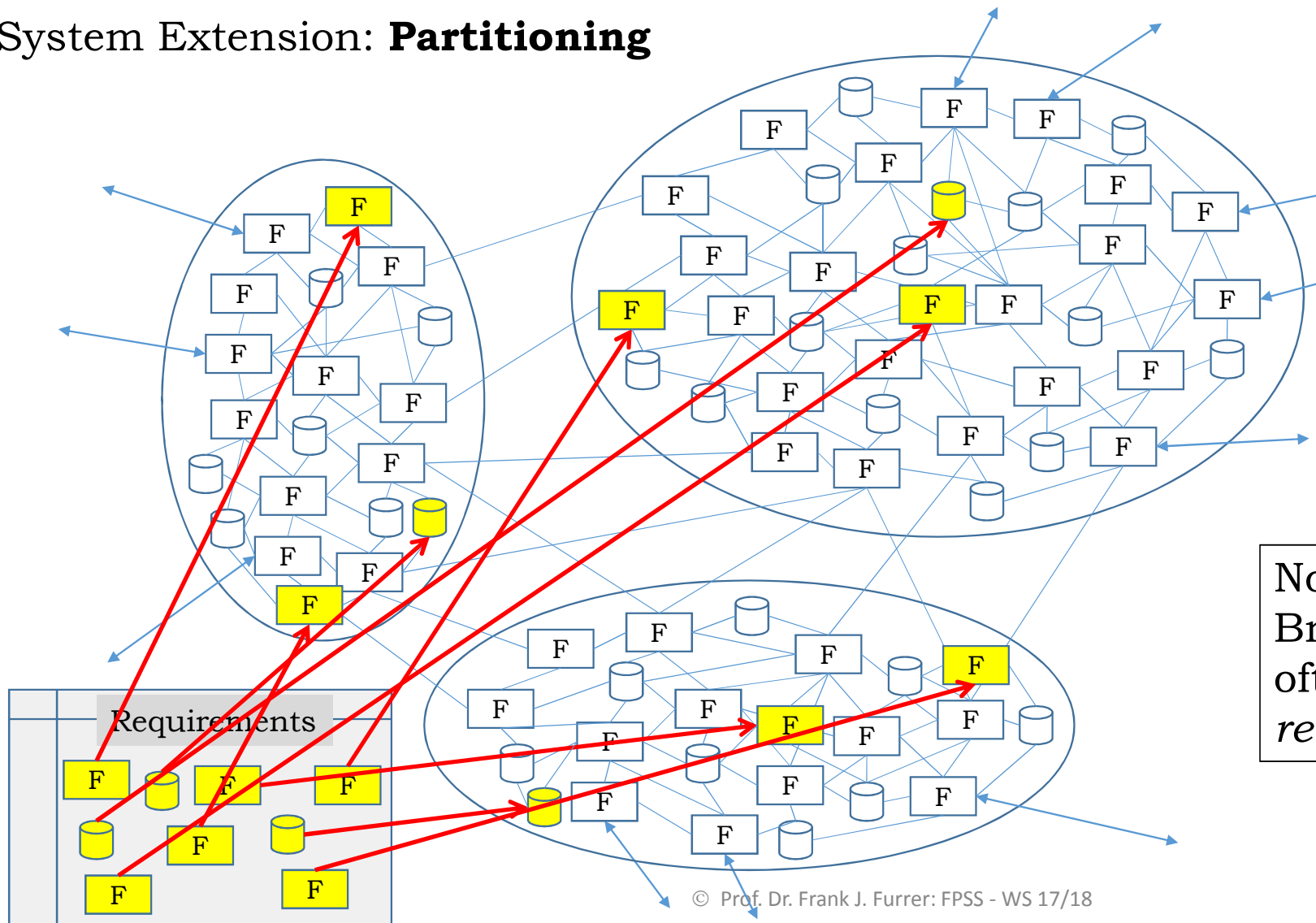
**GOLDEN
RULE**

A4

Redundancy!



System Extension: **Partitioning**



Note:
Breaking partitions
often occurs during
requirements phase

Partitioning Rules for Extensions

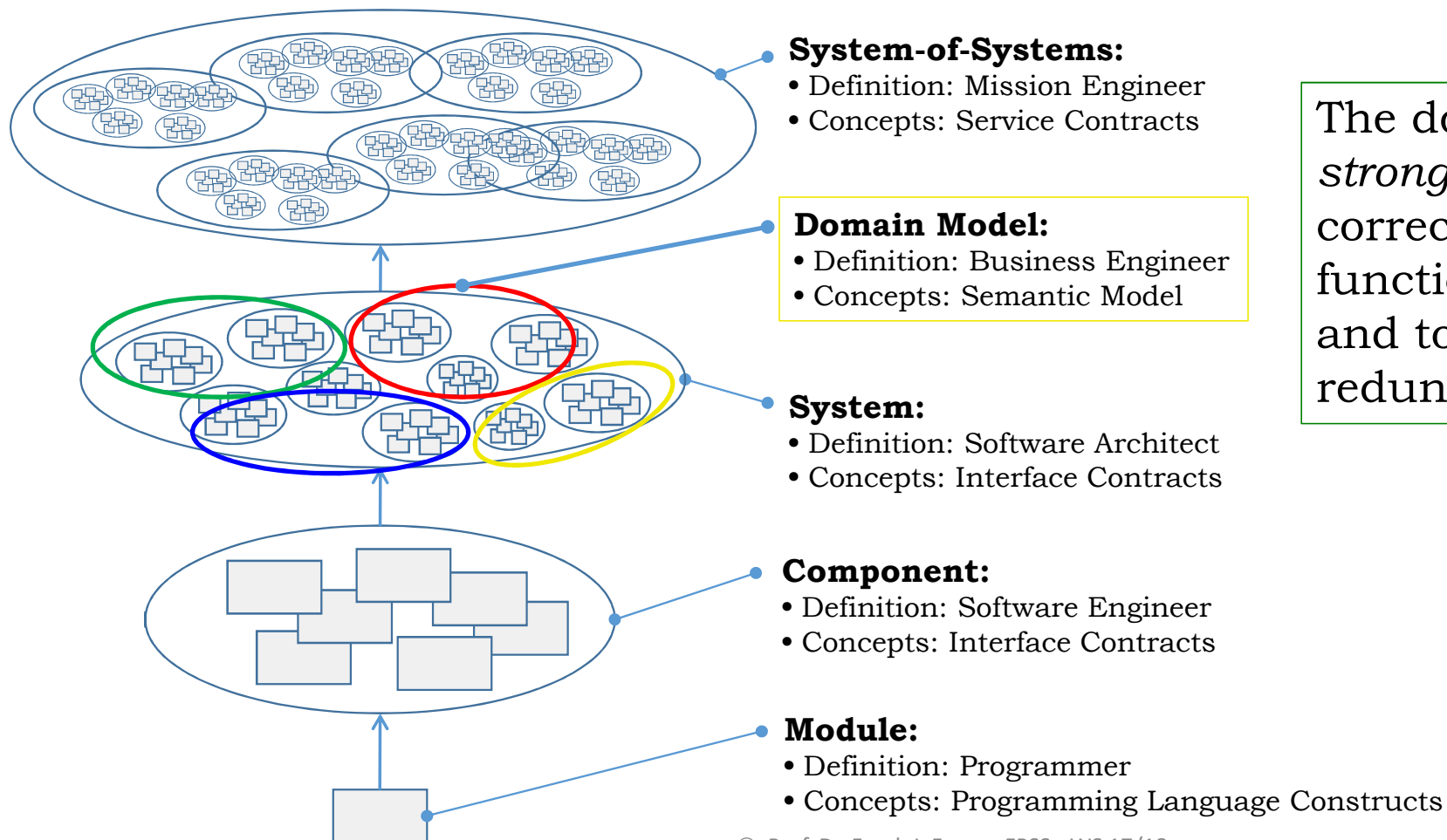
1. Assign the new functionality and data to *existing* encapsulation units according to their cohesion, i.e. respecting their „natural“ relationships („Apples to apples, pears to pears“)
2. Assign the functionality and data to *existing* encapsulation units according to their cohesion, i.e. minimize the number of external dependencies – especially tightly coupled dependencies
3. Create a new encapsulation unit whenever the required functionality or new data does not fit into the existing architecture
4. Keep functionality with the following properties:
 - Critical \Leftrightarrow non-critical (safety, confidentiality, ...)
 - Real-time \Leftrightarrow non real-time
 - Rate of change: high \Leftrightarrow low
 - Governance (Owner, stakeholder, country, ...)
 - Certified \Leftrightarrow uncertified
 - User interface layer \Leftrightarrow Process layer \Leftrightarrow Business logic layer
 - Specific functions & data \Leftrightarrow Common (X-system) functions & datain *separate* encapsulation units



WARNING:

Never implement functionality or data following the „least effort“ route!

Partitioning, Encapsulation and Coupling: **Domain Model**



The domain model is a *strong instrument* to correctly assign functionality and data and to avoid unmanaged redundancy

Domain model:

Conceptual model defining the entities, their attributes, roles, relationships, and constraints that form an application domain

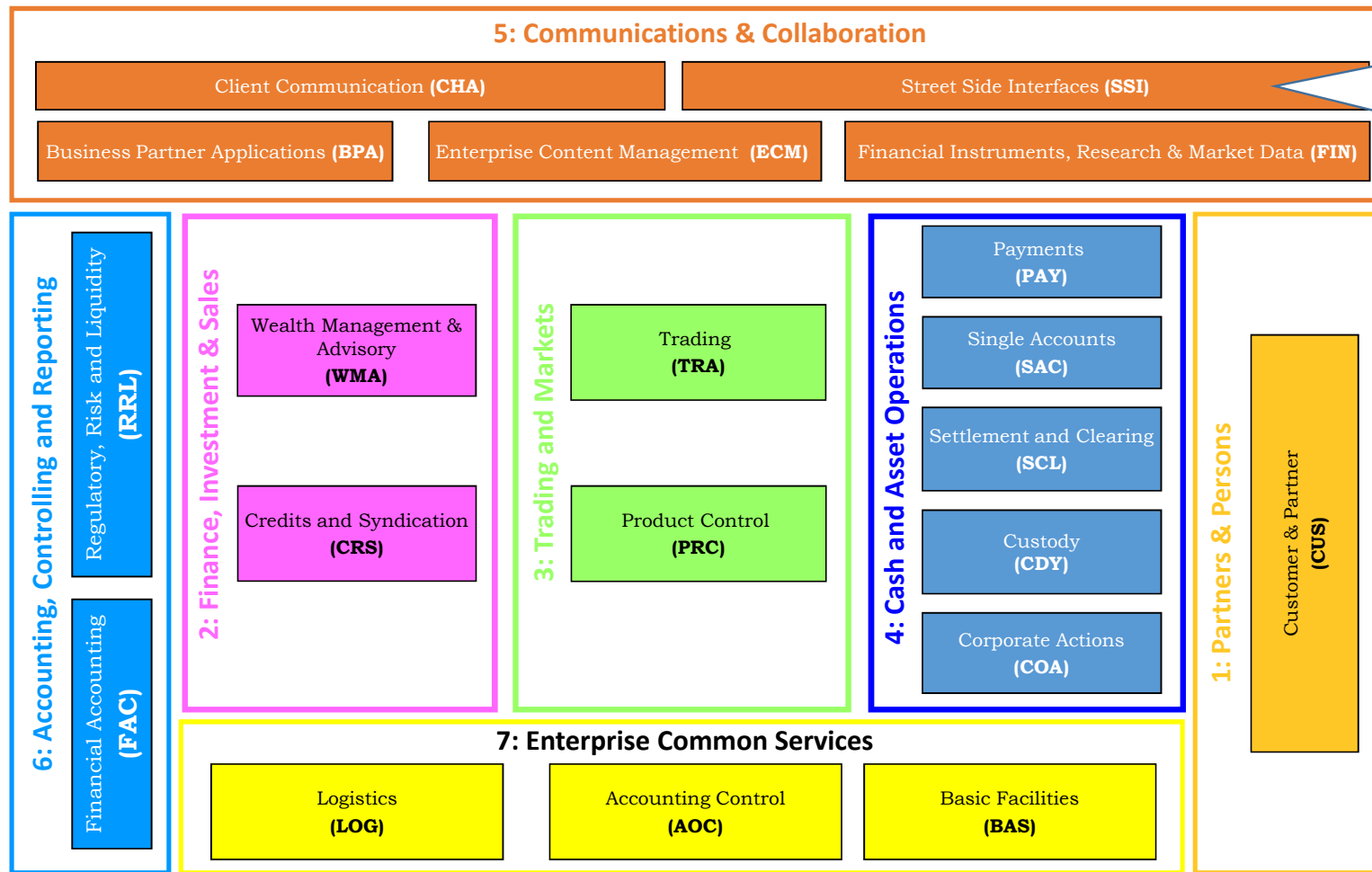
A domain model does not describe solutions to problems

The domain model represents the (business) concepts and their relationships in the application domain. A domain model shows a structural view of the domain.

A domain model is used to verify and validate the understanding of the application domain among various stakeholders (e.g. business \Leftrightarrow IT) and to document the evolution of the application domain

Example: Domain Model for a Financial Institution

This domain contains all applications for the communication with exchanges, clearing etc.



Example: Use of the Financial Institution Domain Model (1/3):

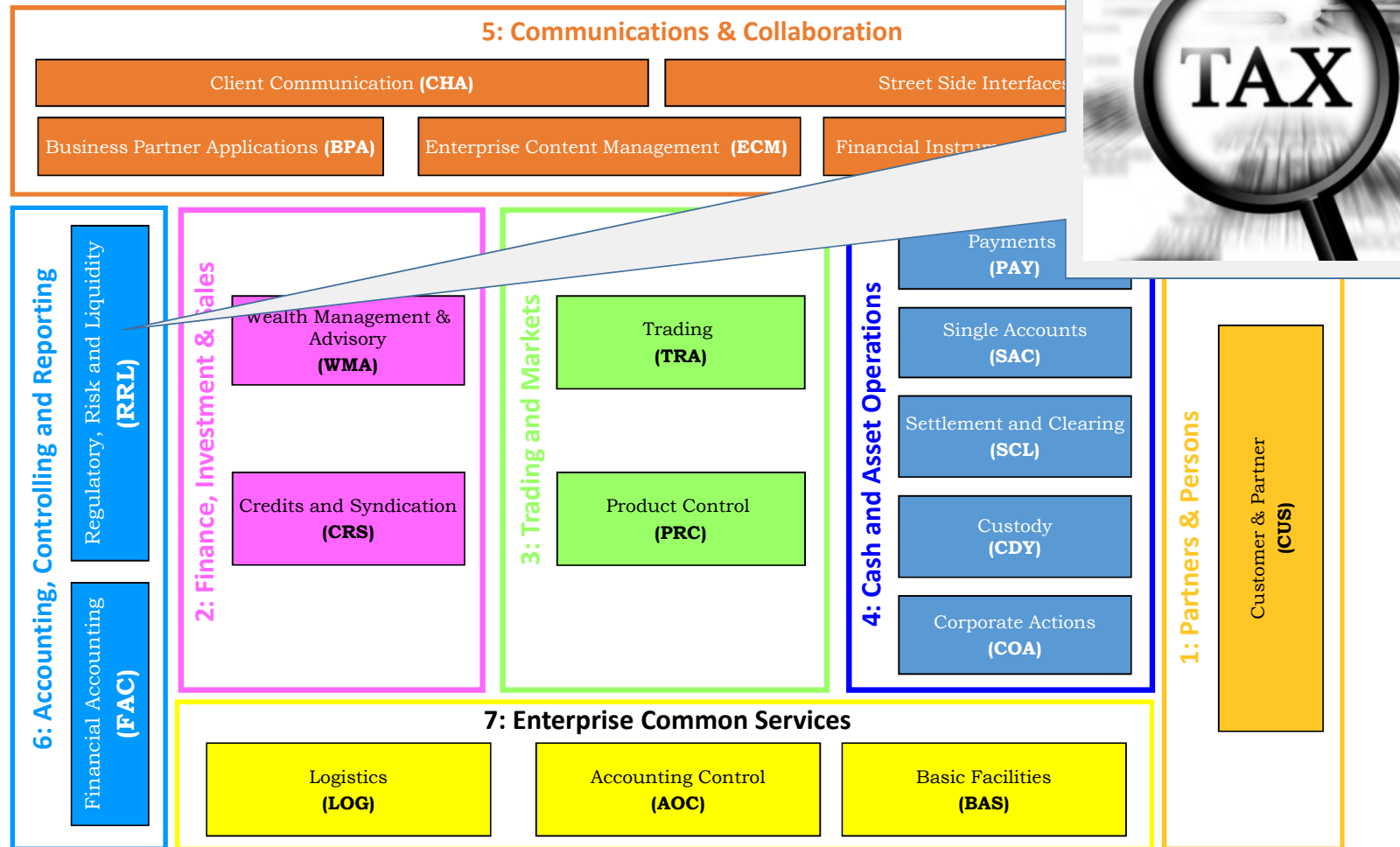
March 18, 2010:



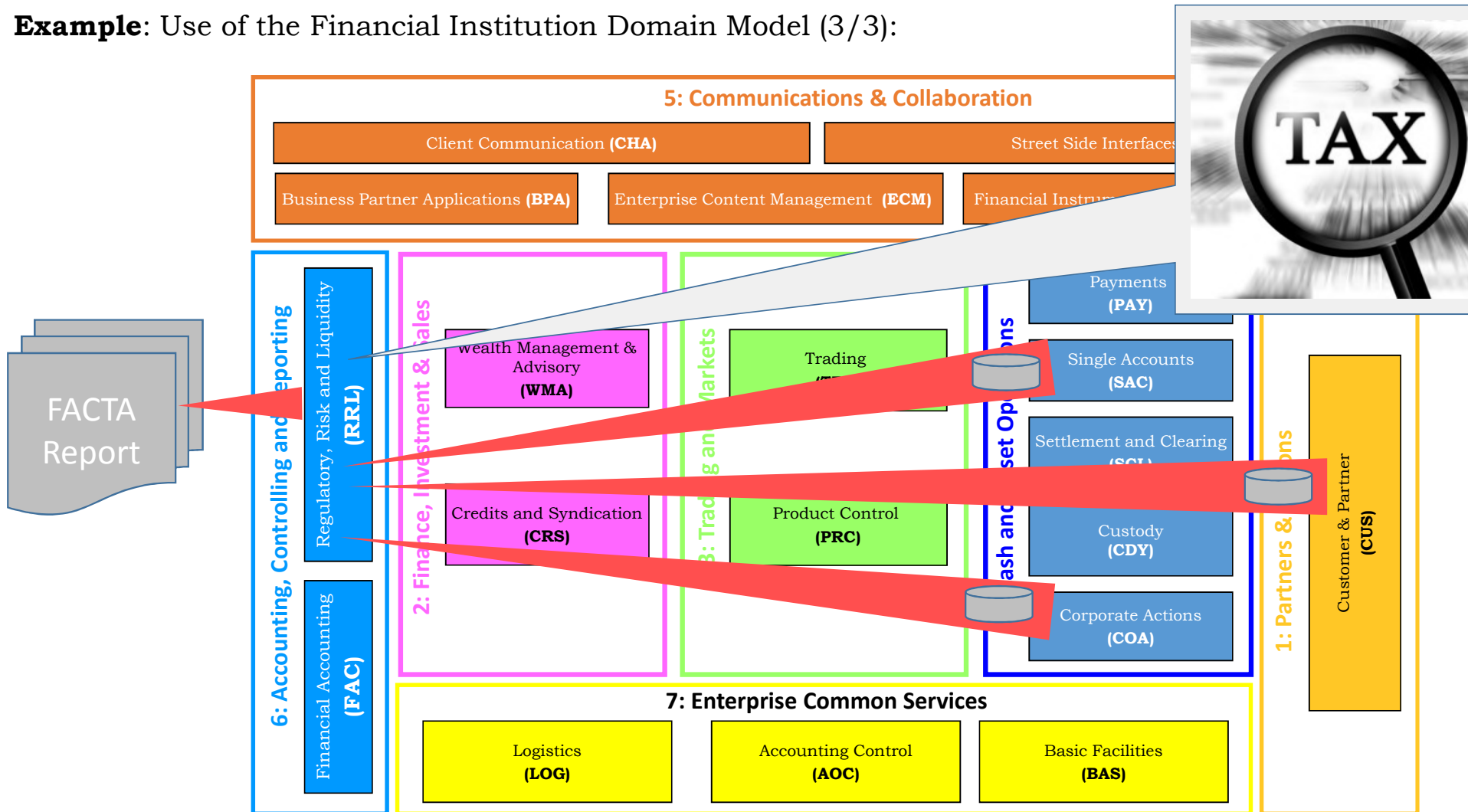
The **Foreign Account Tax Compliance Act (FATCA)** requires foreign financial institutions to report to the U.S. Internal Revenue Service (IRS) about their American clients (to combat offshore tax evasion)

Massive IT-
Problem!

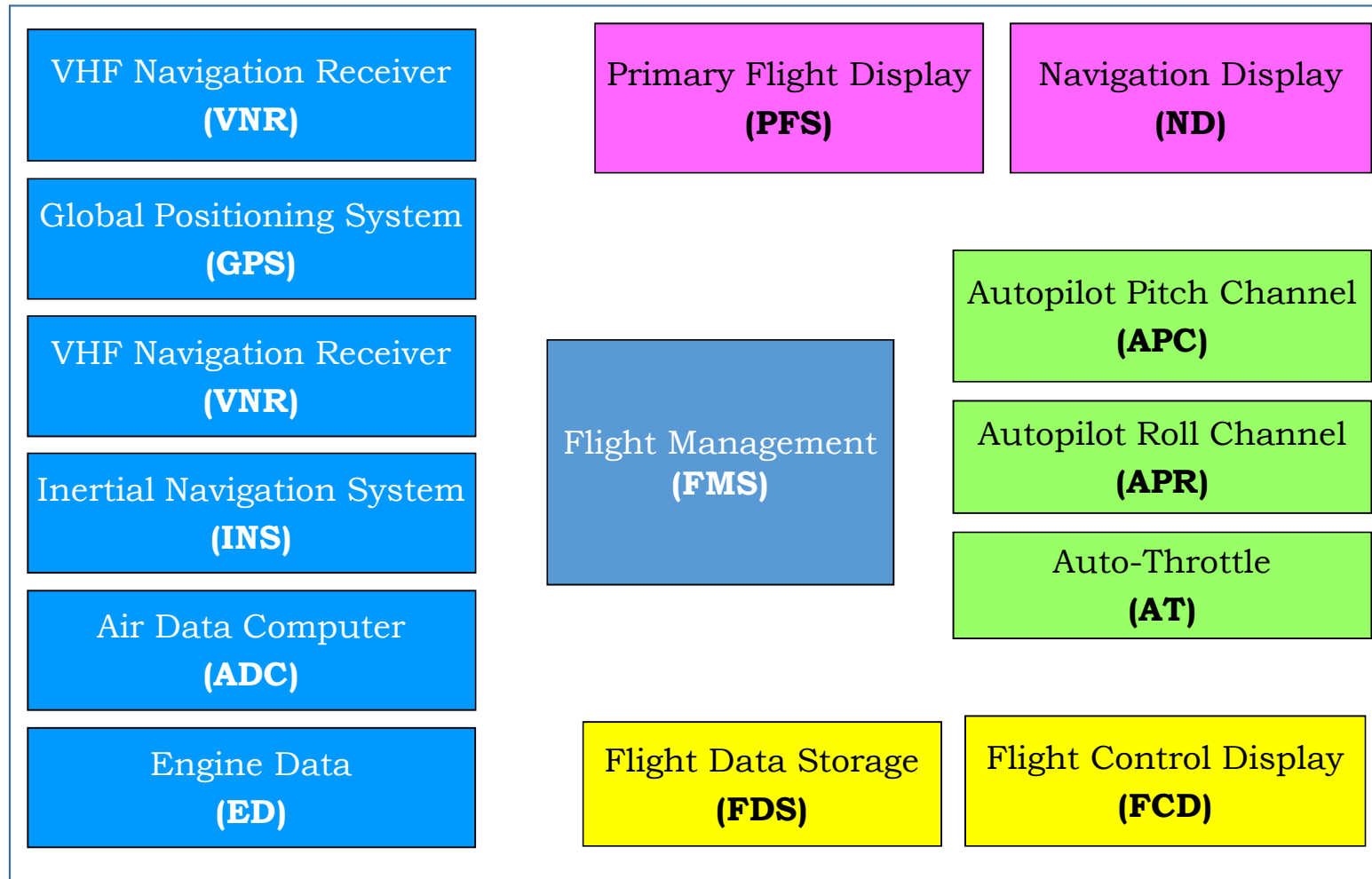
Example: Use of the Financial Institution Domain Model (2/3):



Example: Use of the Financial Institution Domain Model (3/3):



Example: Avionics Domain Model (1/3)



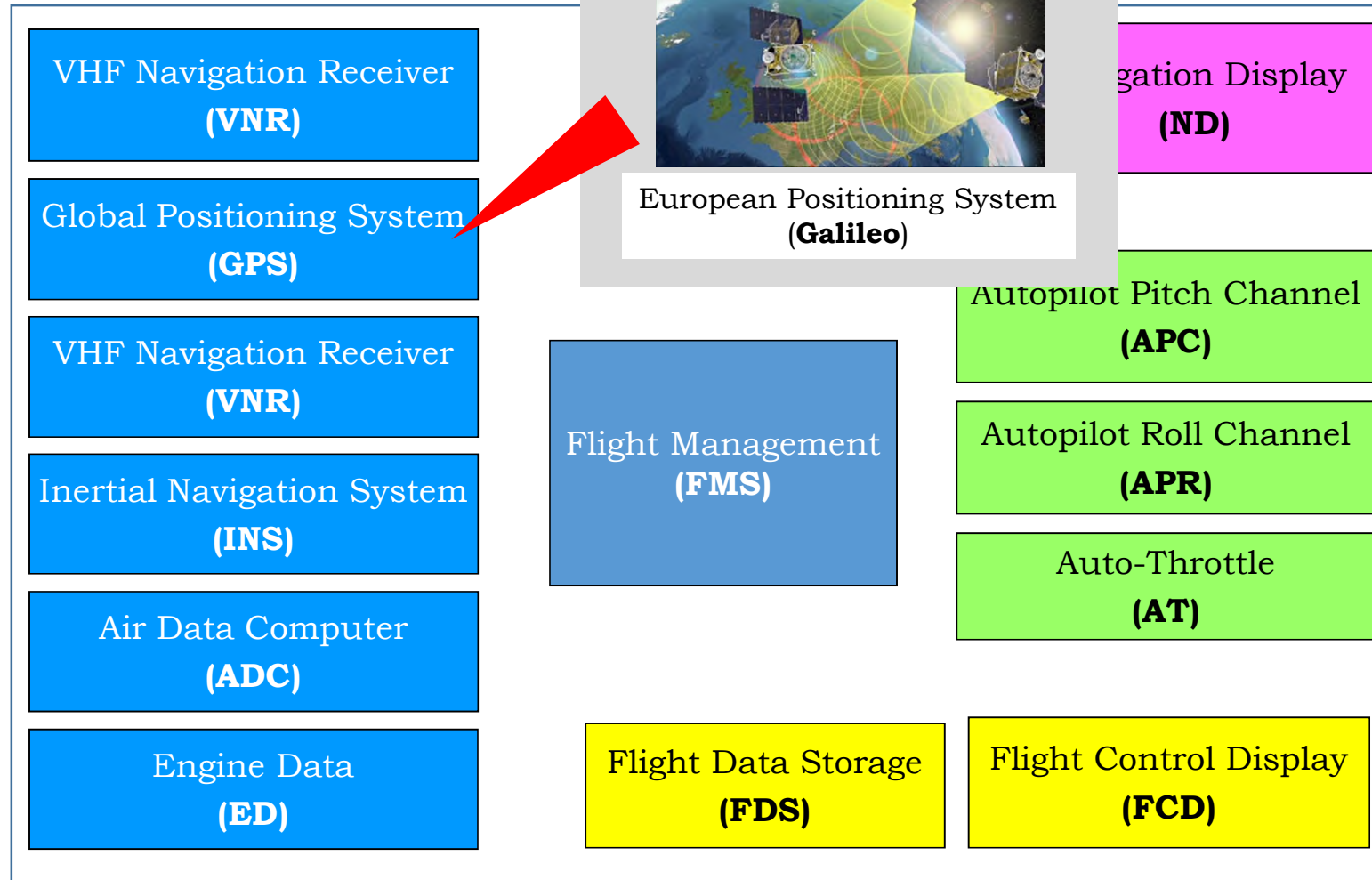
Example: Avionics Domain Model (2/3)



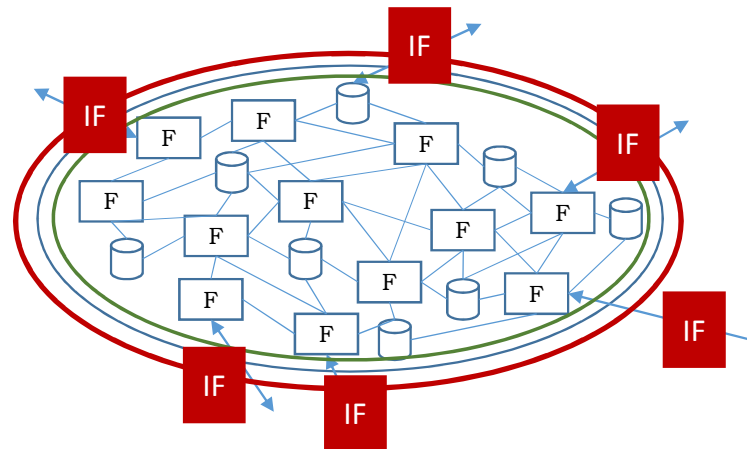
Change Request:

U.S. Global Positioning System (**GPS**)
→ European Positioning System (**Galileo**)

Example: Avionics Domain Model (3/3)



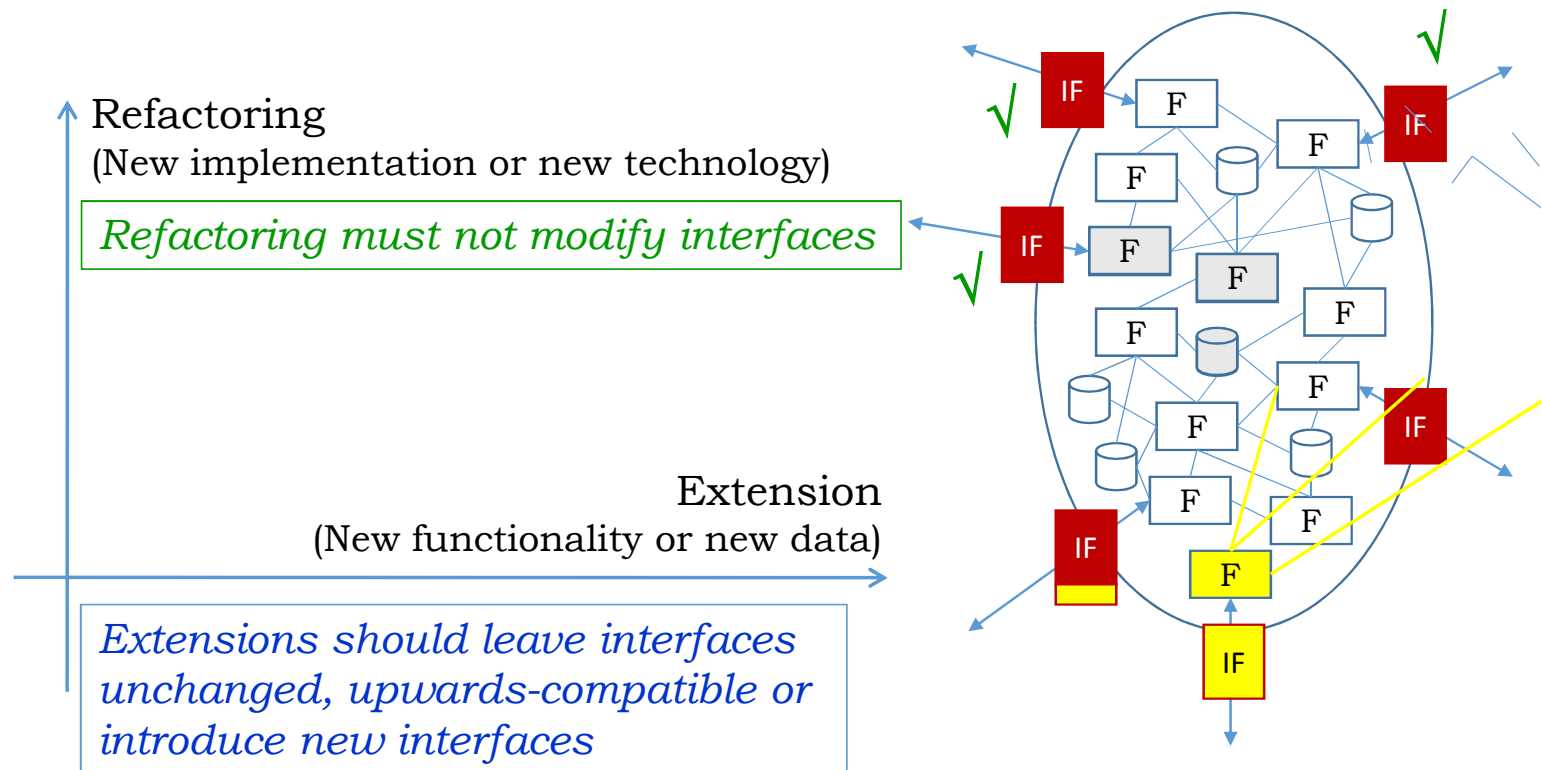
Encapsulation



The inner workings of the encapsulation unit are hidden from the outside

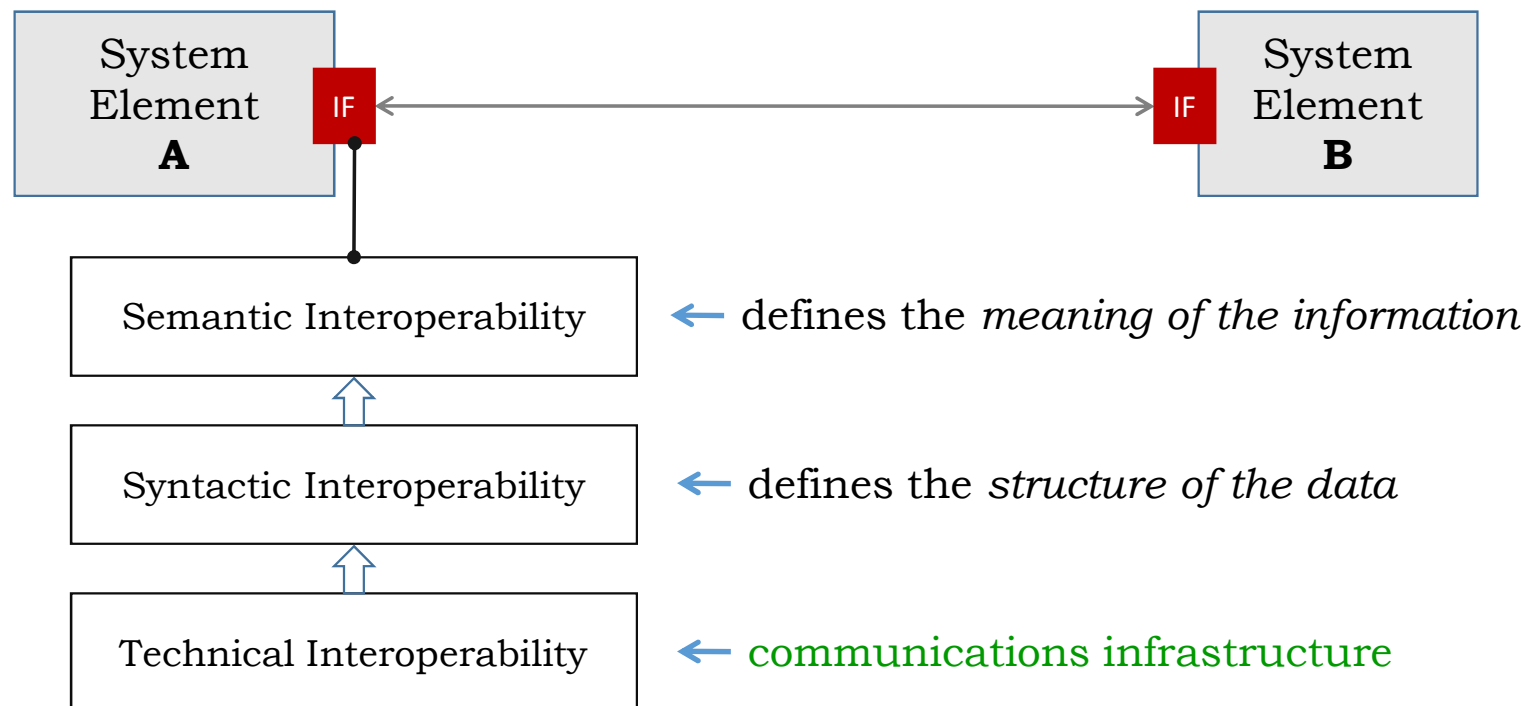
All accesses are only allowed through well-specified (formally defined) *interfaces*

System Extension: **Encapsulation**



System Extension: **Encapsulation**

Interfaces are strong instruments to maintain and improve changeability. Interfaces are at the heart of loose coupling and reuse capability



A5

System **Extension**: *Encapsulation* Rules for Extensions

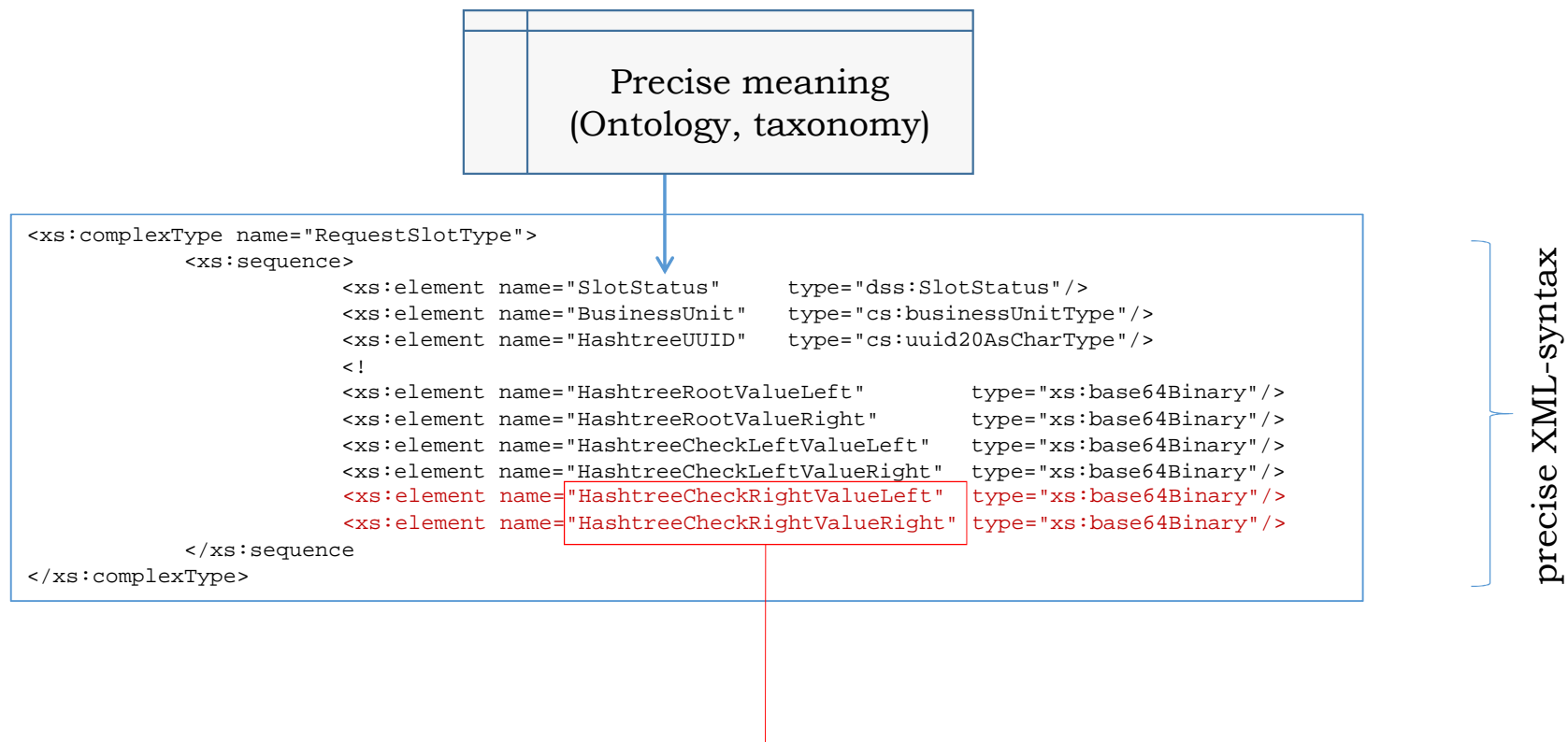
1. Keep the interfaces coherent, i.e. group only functionality and data into one interface which belong together (from a business point of view)
2. Maintain an adequate granularity of interfaces (in the number of functions offered)
3. Refactoring must not change the interfaces. Extensions should offer upwards-compatible or new interfaces – for both the *provider* and the *consumer* (beware of functional redundancy!)
4. Define the interfaces as precisely as possible, both syntactically and semantically (preferably by formal modeling and interface contracts)
5. Keep the interfaces technology-independent
6. Explicitly specify the context of interface use (preconditions, postconditions)
7. Carefully maintain an interface repository
8. Avoid duplication or overlap of interface-functionality between different interfaces (redundancy!)

WARNING:

Carefully control and review the introduction of new interfaces into your system !



Example: Syntactically and semantically upward-compatible interface



Upward-compatible interface extension:

Any consumer which does not require the 2 new values *ignores* them and does **not** have to be changed!

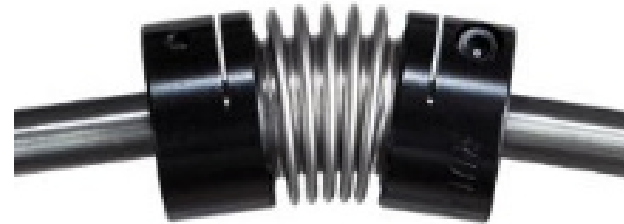
System Extension: **Coupling**

Coupling causes *dependencies*:

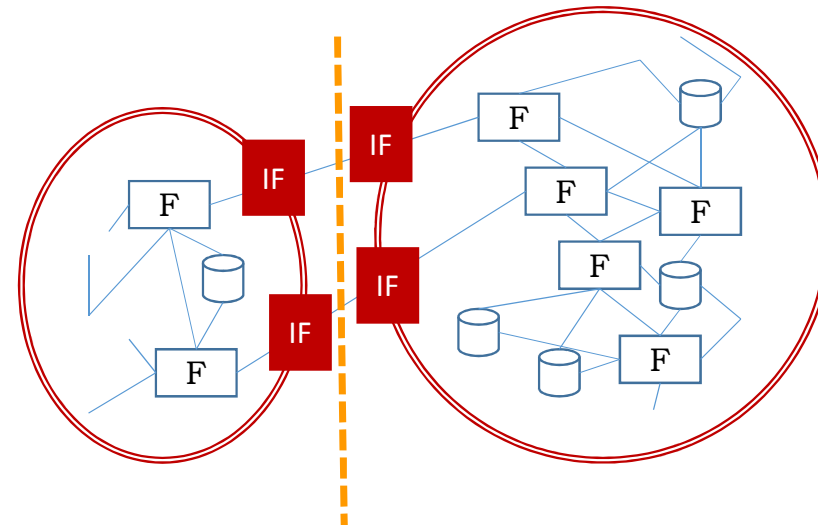
- Functional dependencies
- Temporal dependencies
- Technical dependencies
- Semantic dependencies
- Operational dependencies

Dependencies exist both during
development time and during
run-time

System **A**



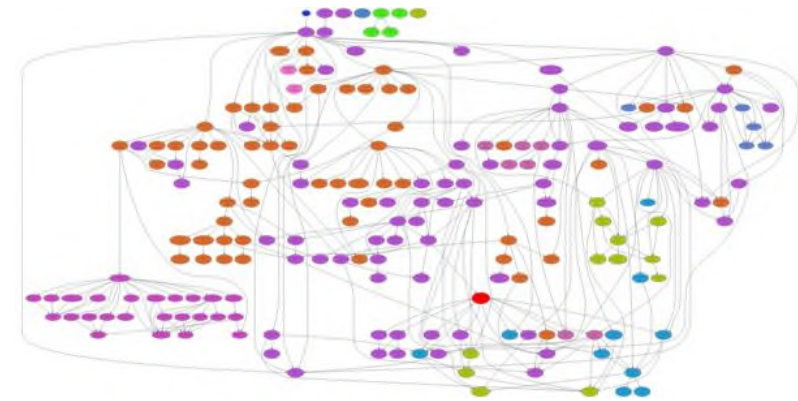
System **B**



Coupling:
Transfer of information or control

Coupling:

Each dependency *reduces* changeability



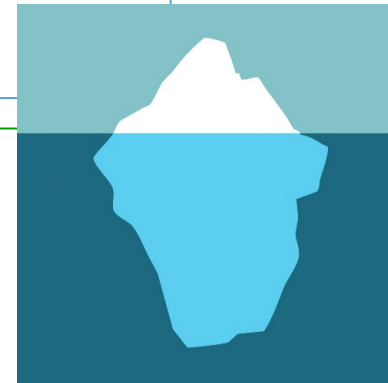
<http://www.tarind.com/depgraph.html>

Why?

- Any change in a system may impact the dependent systems and also force changes
- For any change in your system you may be constrained by the dependent systems

How to minimize dependencies:

- Functional dependencies: *Implementation-independent contracts with explicit context*
- Temporal dependencies: *Coupling as loosely as possible*
- Technical dependencies: *Isolate architecture layers (Architecture Principle)*
- Semantic dependencies: *Precisely align semantics (Conceptual Integrity, Ontology-matching)*
- Operational dependencies: *Explicitly identify and manage*



<https://pbs.twimg.com>

Example: Coupling mechanisms

Coupling mechanism	Functional dependency	Temporal dependency	Technical dependency	Semantic dependency	Operational dependency
Data sharing:					
Memory	high	medium	high	low	medium
DB	high	medium	high	low	medium
Synchronous:					
CORBA	n.a.	high	high	low	high
DCE/RPC	n.a.	high	high	low	high
COM/DCOM	high	high	high	low	high
Java/RMI	high	high	high	low	high
Asynchronous:					
Message passing (MQ)	n.a.	low	low	low	medium
Web services	low	low	low	low	medium
Time-slotted:					
TTA	n.a.	high	medium	low	medium
FlexRay	n.a.	high	medium	low	medium
Transaction Monitor:					
Java (Oracle)	high	high	high	medium	high
Java (Arjuna)	high	high	high	medium	high
IMS (IBM)	high	high	high	medium	high

System **Extension**: *Coupling* Rules for Extensions

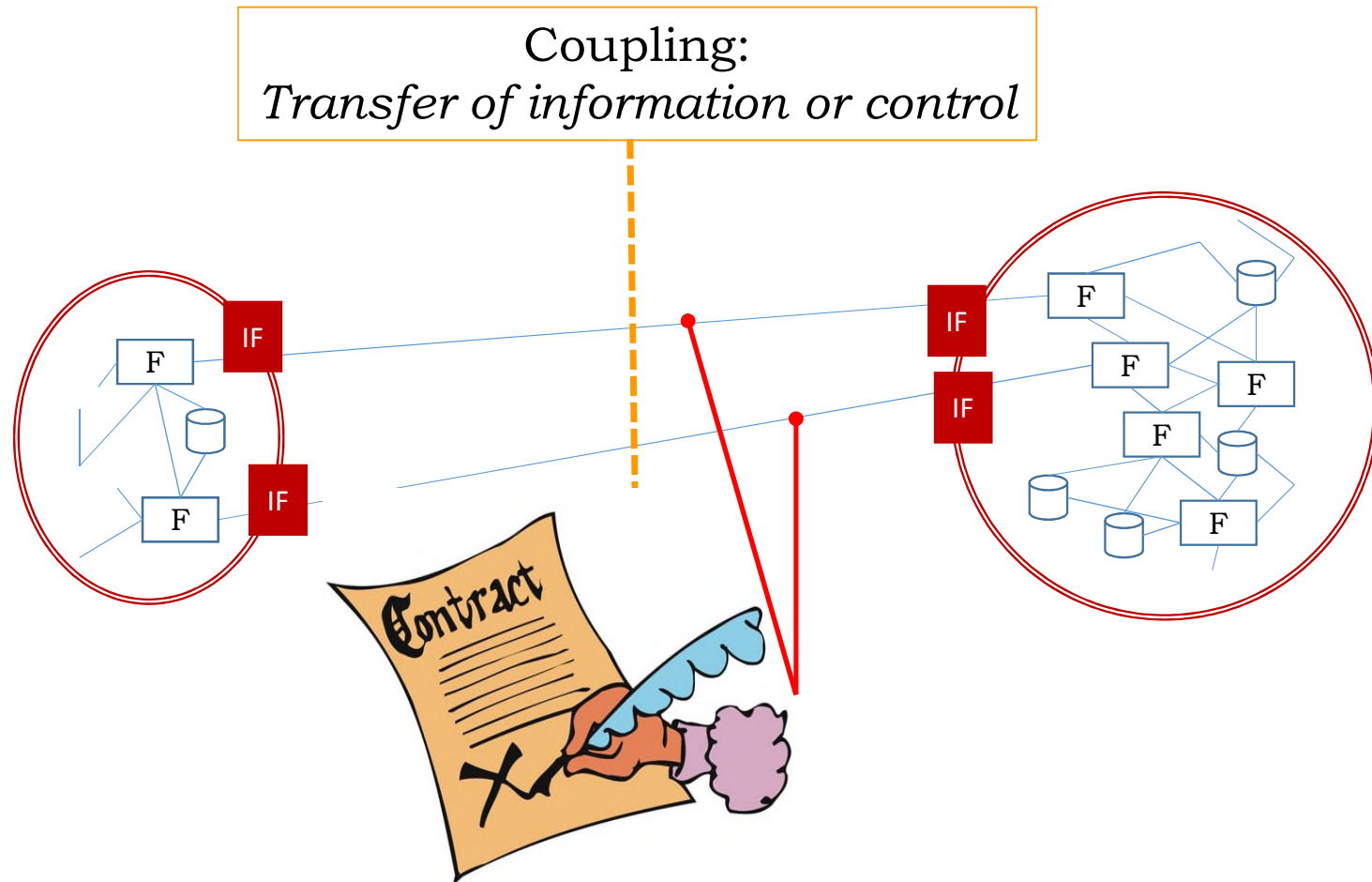
1. Minimize and standardize the number of coupling mechanisms which can be used by the developers
2. Rely on standards, do not use product-specific features for coupling mechanisms
3. Couple as loosely as the application allows (preferably asynchronous, by message queuing)
4. Separate semantic issues as much as possible from the coupling mechanism



<http://photag.com>

WARNING:
Beware of unnecessary tight coupling!

System Extension: **Coupling via Contracts**



Are there **other** partitioning rules?

Hierarchical Partitioning (Dominant Quality Property)



Level 1

Very High
Performance

Safety

Level 2

HPC
(High Performance
Computing)

Standard
(Standard Performance
Computing)

Safety-critical

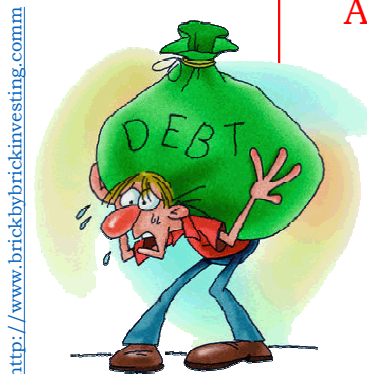
Non safety-
critical

Reduced
Architecture
Principles

Full
Architecture
Principles

Full
Architecture
Principles

Full
Architecture
Principles



A2

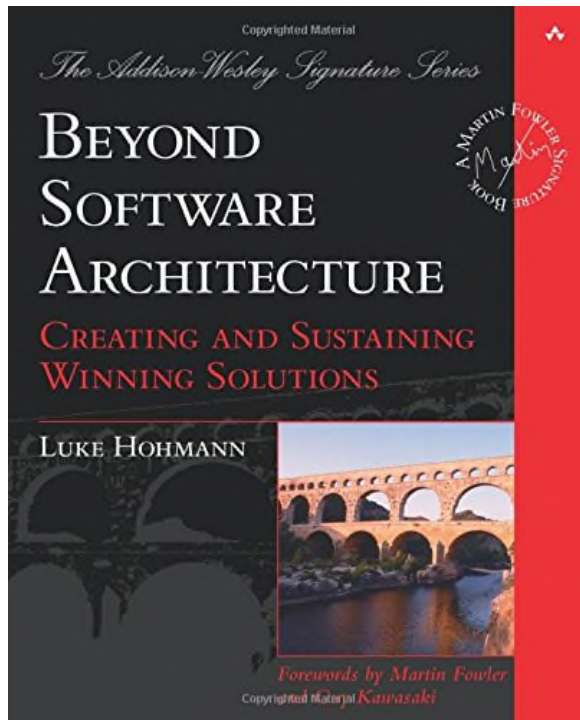
Architecture Principle A2:

Partitioning, Encapsulation & Coupling

1. Partition the functionality and data into encapsulation units according to their cohesion (thus minimizing dependencies)
2. Isolate the encapsulation units by strictly hiding any internal details. Allow access to functionality and data only through stable, well specified interfaces governed by contracts
3. Minimize the impact of dependencies between the encapsulation units by using adequate coupling mechanisms

Justification: These 3 rules minimize the number and the impact of dependencies. The resulting system therefore offers the least resistance to change, because any change affects the smallest possible number of system elements. A low resistance to change corresponds to high **changeability**.

Textbook



Luke Hohmann:
Beyond Software Architecture – Creating and Sustaining Winning Solutions
Addison-Wesley Professional, USA, 2003.
ISBN 978-0-201-77594-5

Textbook



Doug Kaye:
Loosely Coupled – The Missing Pieces of Web Services
RDS Press, California, USA, 2003. ISBN 978-1-881378-24-2

A3

Architecture Principle A3:

Conceptual Integrity

Horizontal Architecture Layer Principles:

- A1: Architecture Layer Isolation
- A2: Partitioning, Encapsulation and Coupling
- A3: Conceptual Integrity
- A4: Redundancy
- A5: Interoperability
- A6: Common Functions
- A7: Reference Architectures, Frameworks and Patterns
- A8: Reuse and Parametrization
- A9: Industry Standards
- A10: Information Architecture
- A11: Formal Modeling
- A12: Complexity and Simplification

Wheel rotation sensor



Book



Stock price



Car



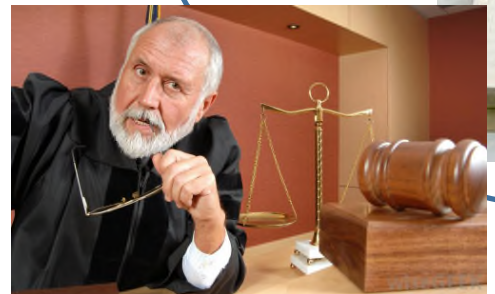
Systems developpers



How do we assure that all stakeholders have a *common and correct understanding*?



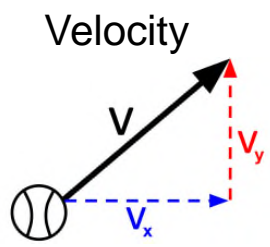
Software-System



Legal system

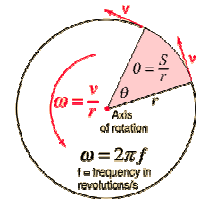


Users



Velocity

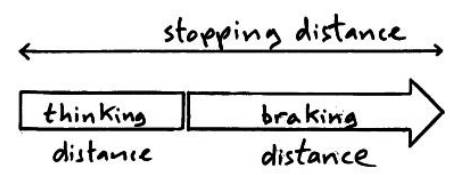
Rotation rate



Gravitation constant

$$F_g = G \frac{m_1 m_2}{r^2}$$

Stopping distance





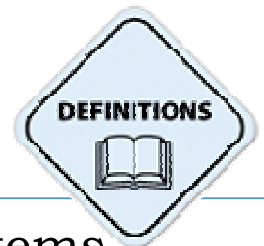
All systems use a **terminology**

All systems have **models** (implicit or explicit)

Divergence between stakeholders

Search Term	Google Search Results
Definition "system"	769'000'000
Definition «car"	321'000'000
Definition «velocity"	129'000'000
Definition «gravitational constant"	296'000

11.11.2017

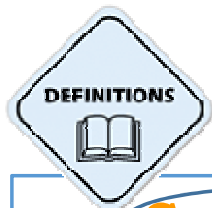


Conceptual integrity is the quality of an organization and its IT-systems, where all the **concepts**, the **terminology** and the **models**, including their relationships with each other are unambiguously defined, applied and enforced in a consistent way

http://architecture.typepad.com/architecture_blog/2011/10/the-importance-of-conceptual-integrity.html

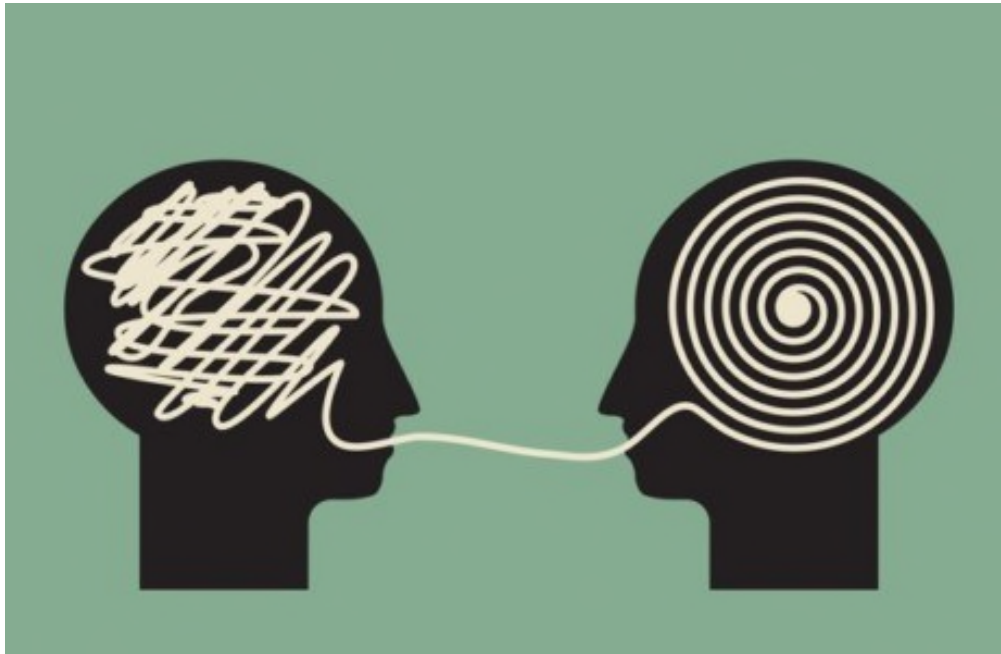
Conceptual integrity is the quality of an organization and its IT-systems, where all the **concepts**, the terminology and the models, including their relationships with each other are unambiguously defined, applied and enforced in a consistent way

http://architecture.typepad.com/architecture_blog/2011/10/the-importance-of-conceptual-integrity.html



Concepts are the fundamental building blocks of our thoughts and beliefs. They play an important role in all aspects of cognition, communications and systems engineering.

<https://en.wikipedia.org/wiki/Concept>



Lack of *conceptual integrity* leads to:

- Misunderstandings of stakeholders
- Diverging implementations
- Unsatisfied users
- Unnecessary development and maintenance effort

In *cyber-physical systems*:

- Risk
- Accidents



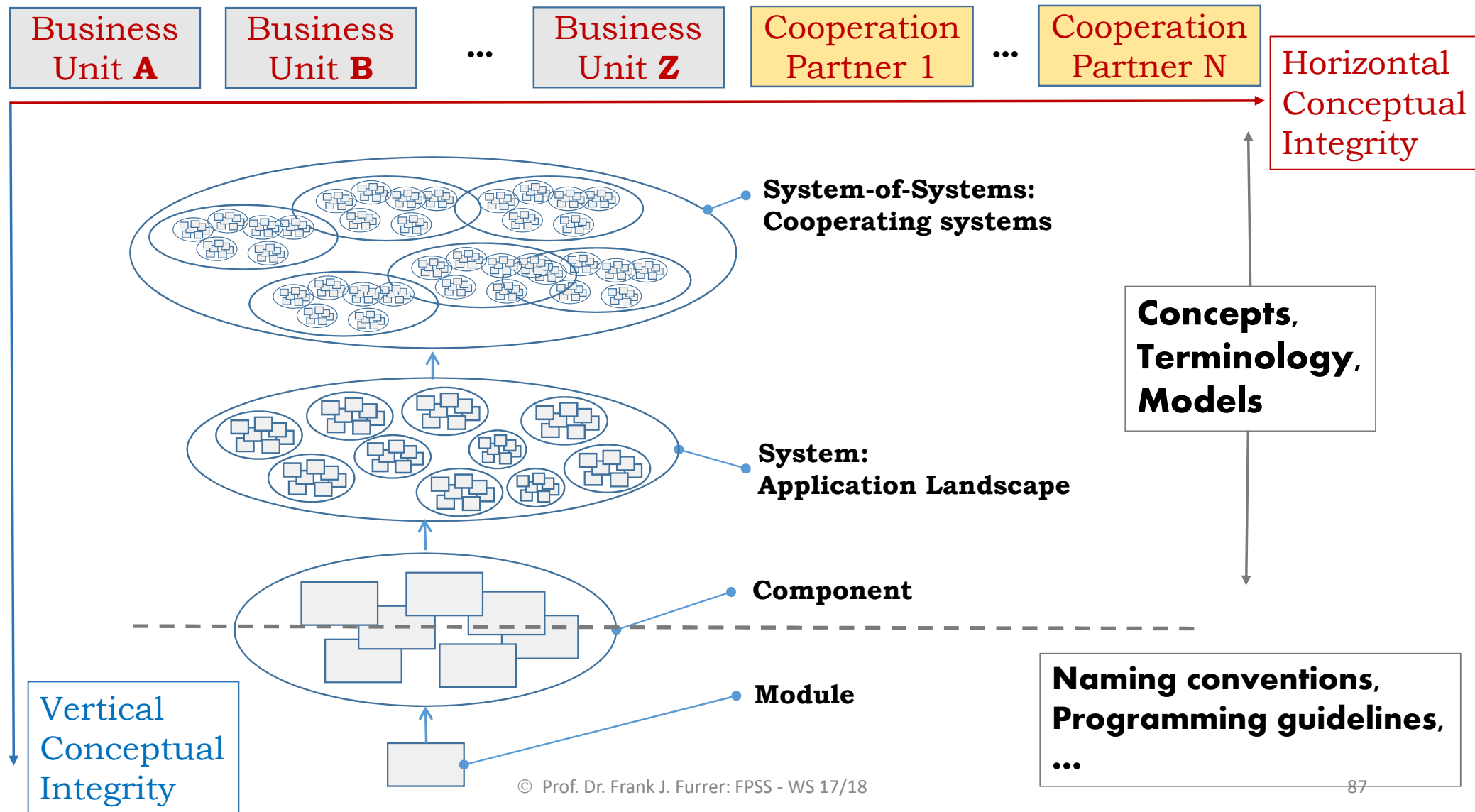


Creating, maintaining, and enforcing *conceptual integrity* is mandatory in IT systems

How can we ensure conceptual integrity?

... with a solid model foundation

- Taxonomy
- Ontology
- Domain model
- Business object model





Conceptual Integrity **Definition**

- Terminology
- Domain Model Expertise
- Business Object Model Expertise



Review



Conceptual Integrity **Formalization**

- Taxonomy/Ontology
- Domain Model
- Business Object Model



Conceptual Integrity **Implementation**

- Code
- Documentation

Conceptual Integrity
Enforcement



Conceptual Integrity **Definition**

- Terminology
- Domain Model Expertise
- Business Object Model Expertise

Interviews
Workshops



Terminology

Domains

Business
Objects

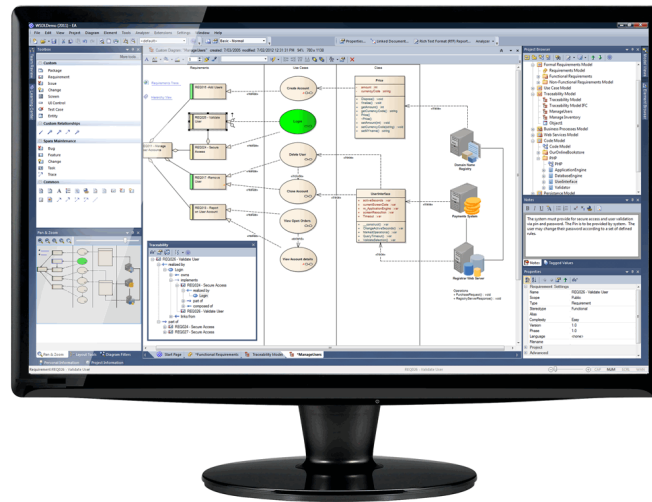


Conceptual Integrity **Formalization**

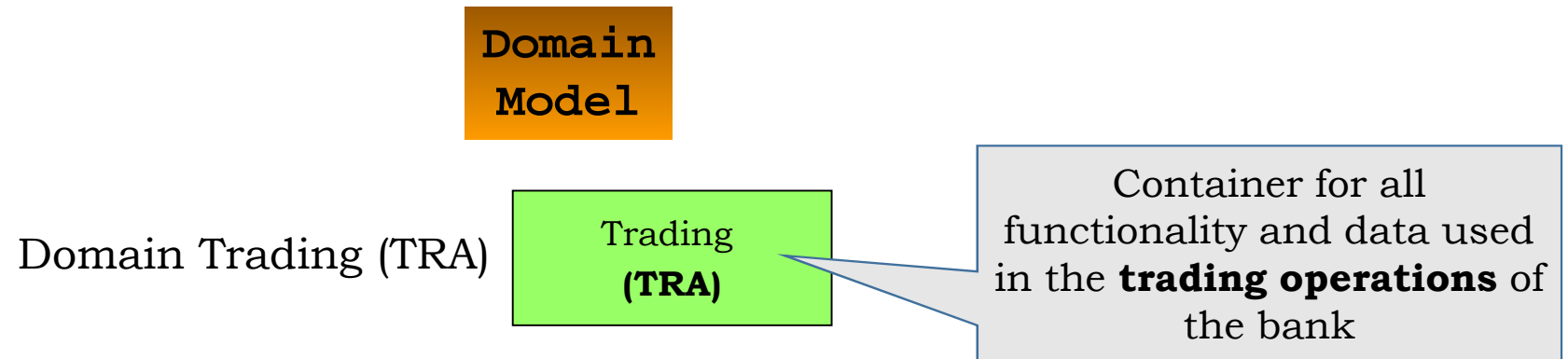
- Taxonomy/Ontology
- Domain Model
- Business Object Model

Terminology
Definition

Domain
Model



Business
Object
Model



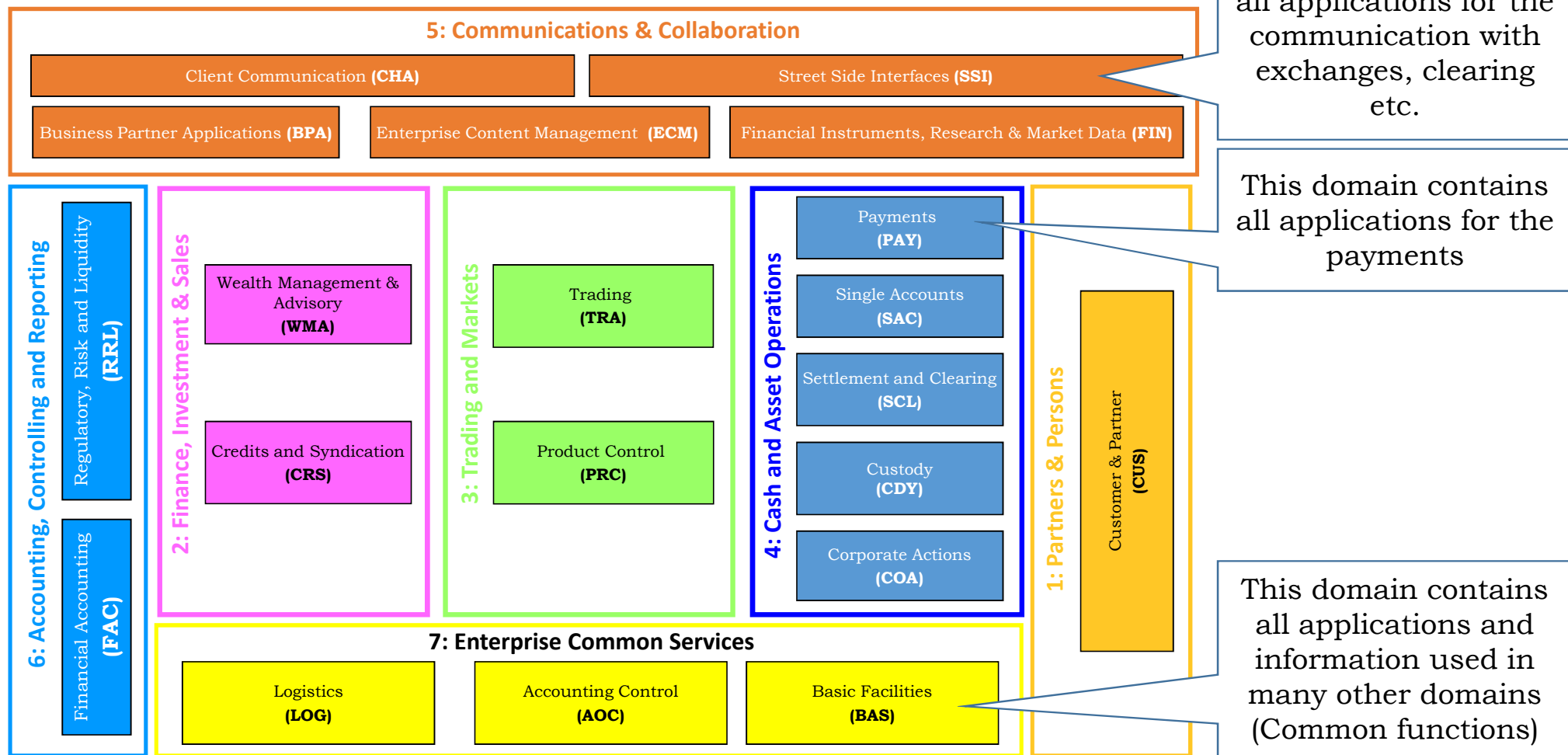
All domains = Full **compartmentalization** of the total bank functionality and data

Domain model:

Conceptual model defining the entities, their attributes, roles, relationships, and constraints that form an application domain

A domain model does not describe solutions to problems

Example: Domain Model for a Financial Institution



Architecture



**Business
Object
Model**

Business Domain Objects

Wheel rotation sensor



Customer



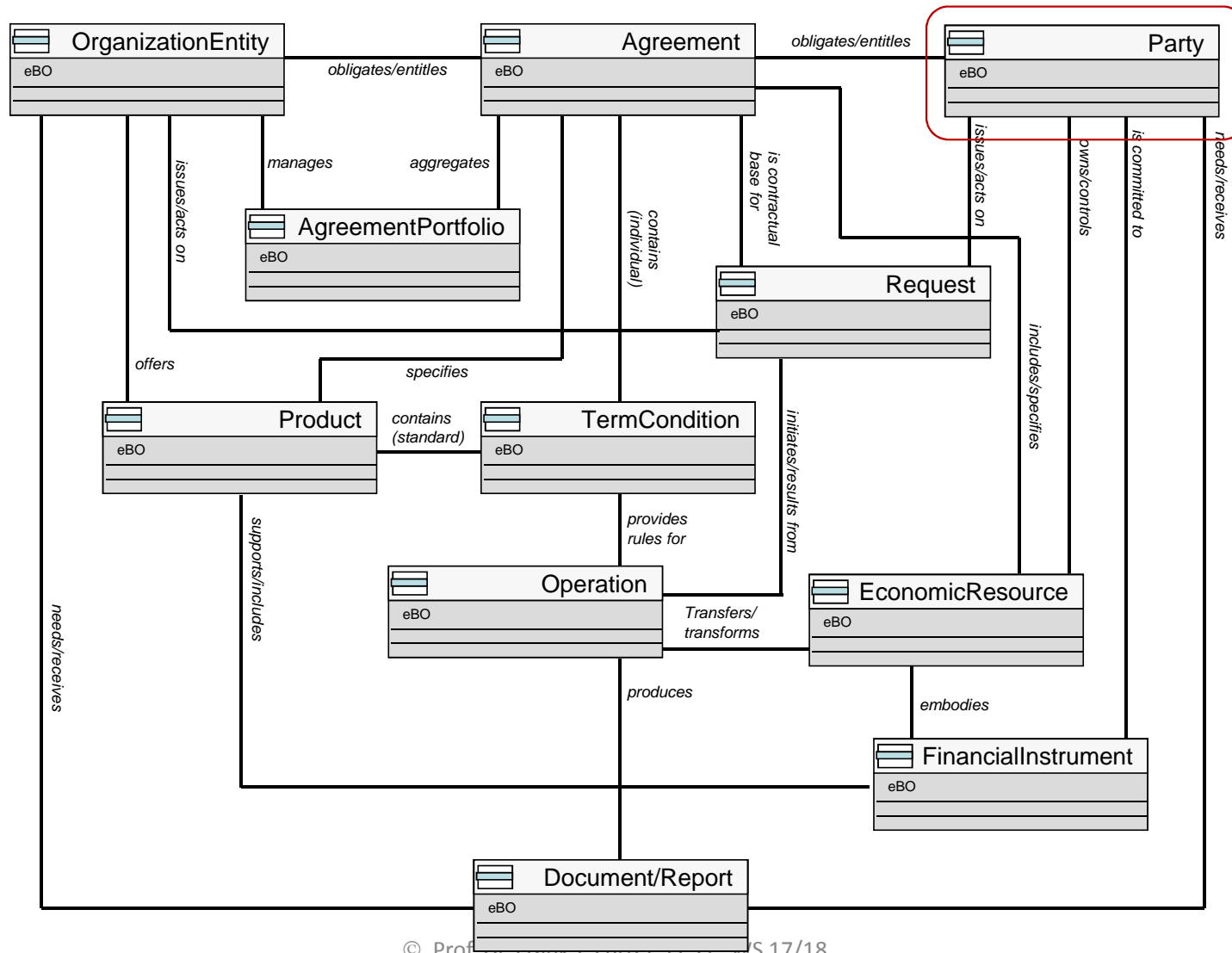
WheelRotationSensor

Properties → RotationRate
 Methods → ReadRotationRate
 CalibrateZero

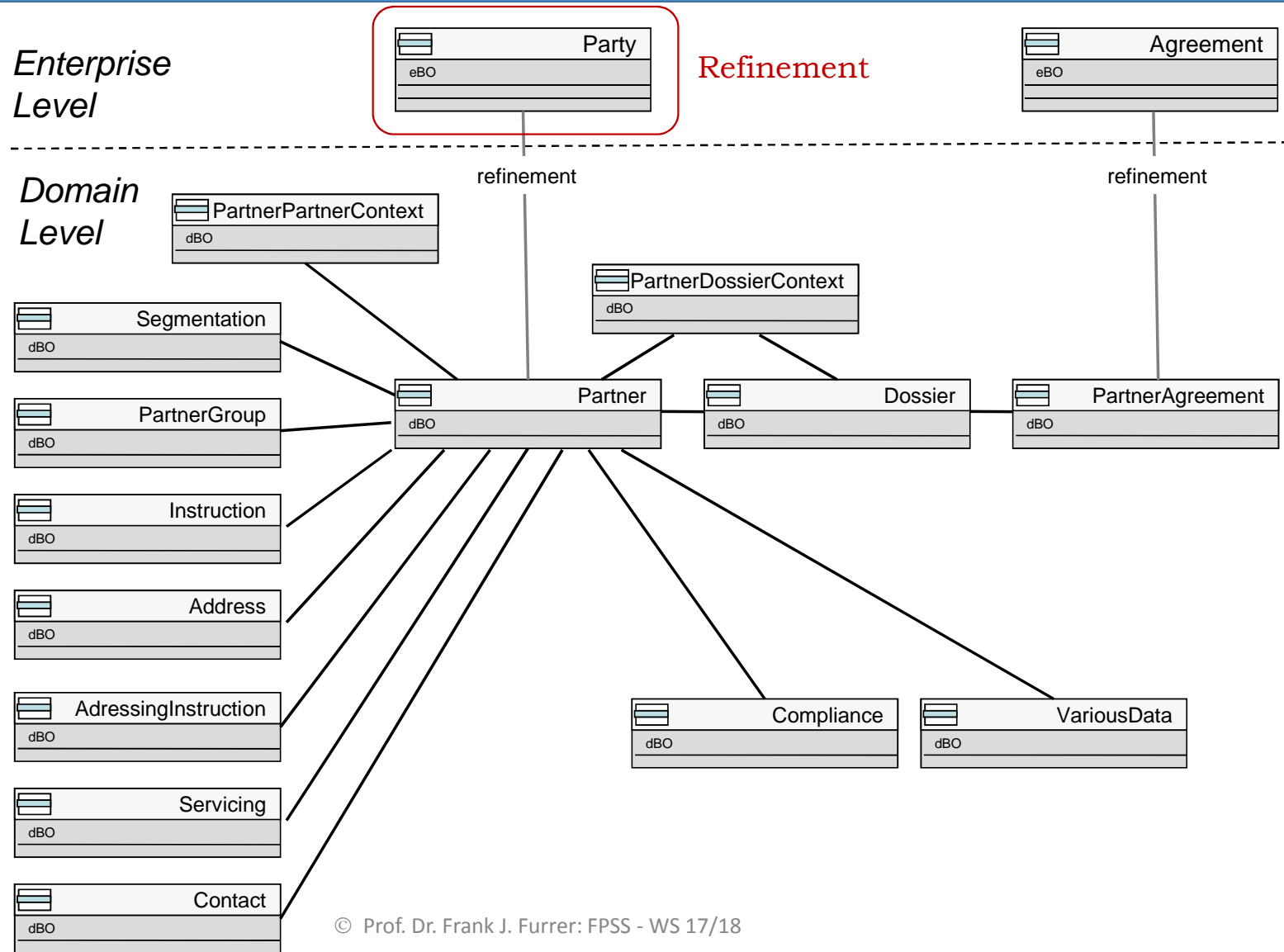
Customer

Name
 Adress
 Nationality
 Add/Delete/Archive Customer
 Read Properties
 Update Properties

Example: Financial Business Object Model (1/2)



Refinement



A3

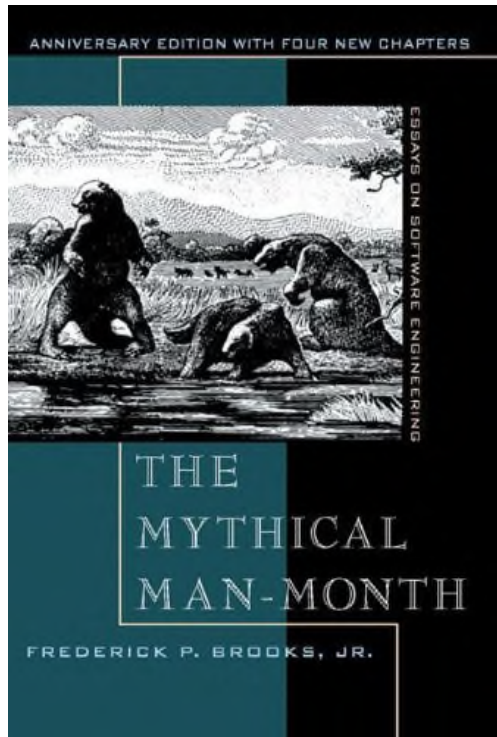
Architecture Principle A3:

Conceptual Integrity

1. Define all the concepts, the full terminology and models (including their relationships and relevant properties) precisely (whenever possible formally)
2. Draw the boundary of the system in which the definitions apply
3. Consistently and consequently use the definitions in all areas of the system
4. Strictly enforce the correct use of the definitions
5. When cooperating with systems outside the boundary, match the concepts and the terminology between all systems and interfaces

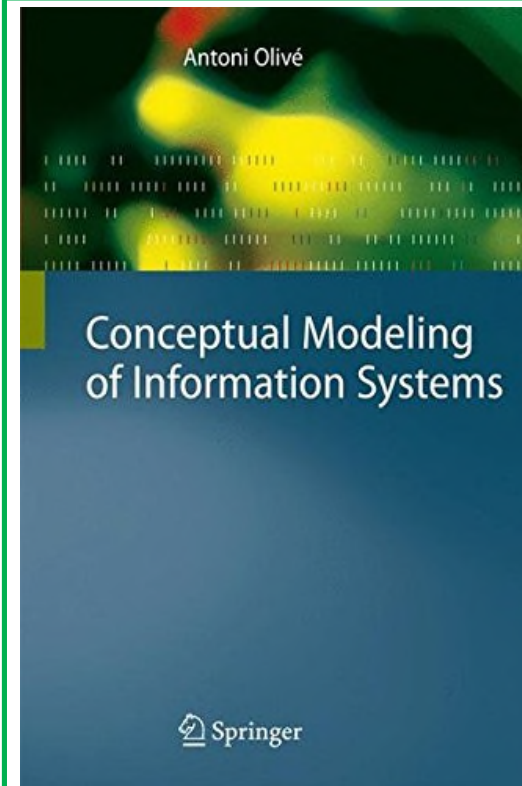
Justification: Misunderstandings between stakeholders lead to unsatisfactory IT-systems with divergence in many areas. Misunderstandings of all sorts must therefore be eliminated in all phases of systems engineering

Textbook



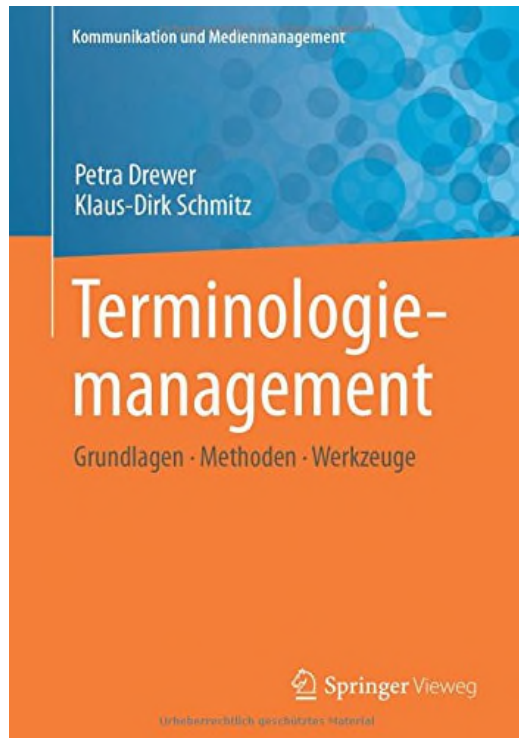
Frederick P. Brooks:
The Mythical Man-Month – *Essays on Software Engineering*
Addison-Wesley Longman (1975), New Edition,
1995. ISBN 978-0-201-83595-3

Textbook



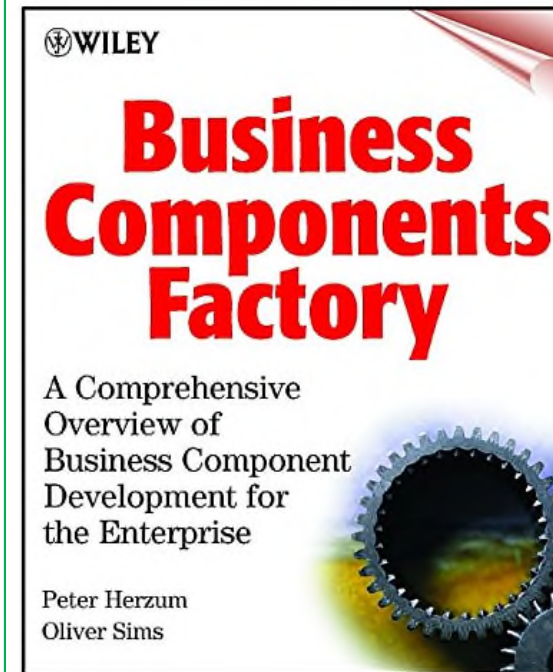
Antoni Olivé:
Conceptual Modeling of Information Systems
Springer-Verlag, Germany, 2007. ISBN 978-3-
540-39389-4

Textbook



Petra Drewer, Klaus-Dirk Schmitz:
**Terminologiemangement – Grundlagen -
Methoden – Werkzeuge**
Springer Vieweg Verlag, Germany, 2017. ISBN
978-3-6625-3314-7

Textbook



Peter Herzum, Oliver Sims:
**Business Component Factory – A
Comprehensive Overview of Component-
Based Development for the Enterprise**
John Wiley & Sons Inc., USA, 2000. ISBN 978-
0-471-32760-8

A4

Architecture Principle A4:

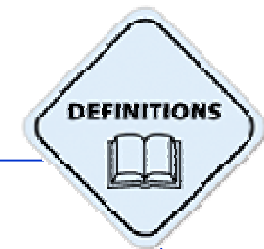
Redundancy

Horizontal Architecture Layer Principles:

- A1: Architecture Layer Isolation
- A2: Partitioning, Encapsulation and Coupling
- A3: Conceptual Integrity
- A4: Redundancy
- A5: Interoperability
- A6: Common Functions
- A7: Reference Architectures, Frameworks and Patterns
- A8: Reuse and Parametrization
- A9: Industry Standards
- A10: Information Architecture
- A11: Formal Modeling
- A12: Complexity and Simplification



Redundancy in an IT-system is – in most cases –
poison for the structure and for many quality
properties of an IT-system



Definition:

Redundancy: The duplication of functionality or data as
a whole or in parts

Redundancy Classification:

Requirements redundancy: The same or similar requirements are stipulated in different documents

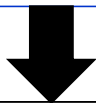
Specification redundancy: Functional or data overlap in the specifications

Functional redundancy: The same or similar function is implemented several times in the IT-system

Data redundancy: Same elements of data are stored in different places and have different, unsynchronized sources

Interface redundancy: Interface functionality is implemented in more than one interface or overlaps interfaces

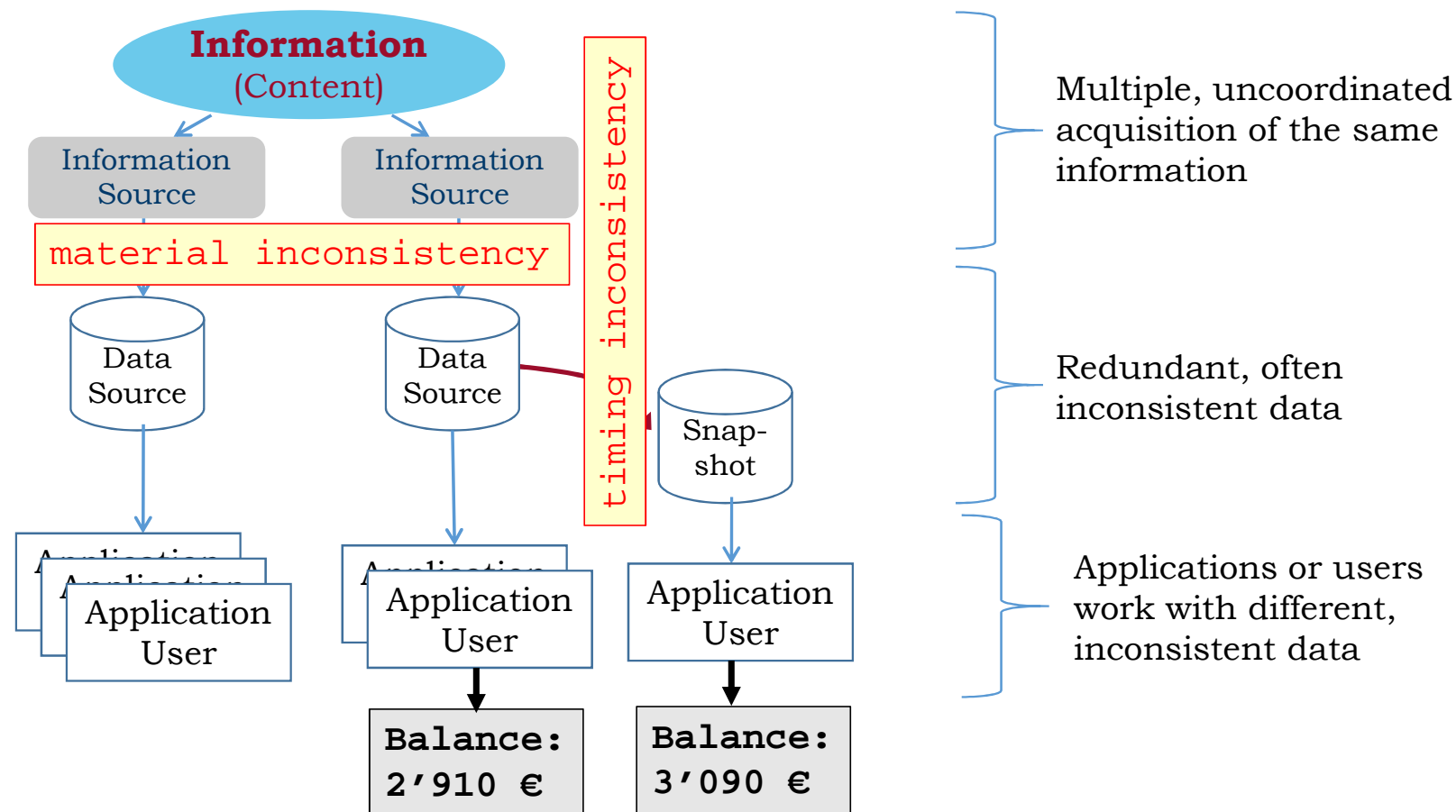
Code redundancy: The same or similar code-sequence is used in several programs

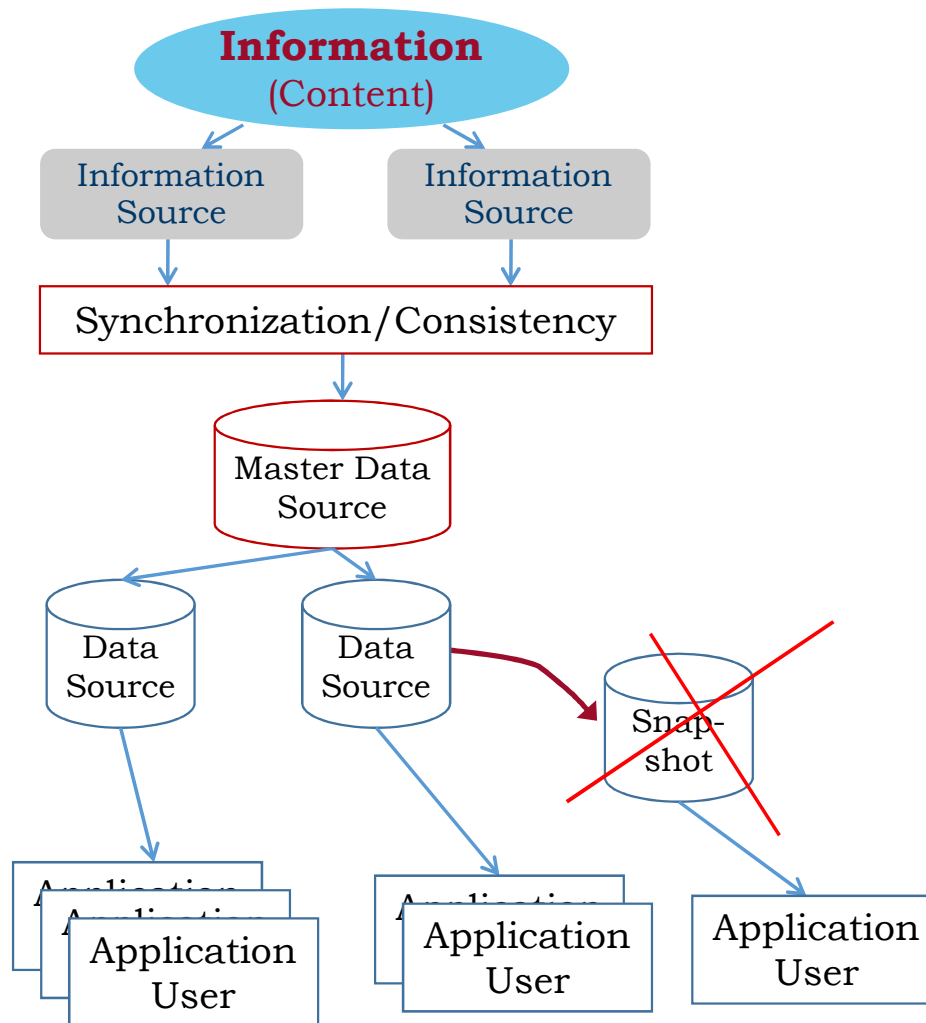


Implementation redundancy



Example: Different applications work with inconsistent data (data redundancy)





The data is generated,
synchronized,
and propagated in a
consistent way

Applications or users
work with consistent data



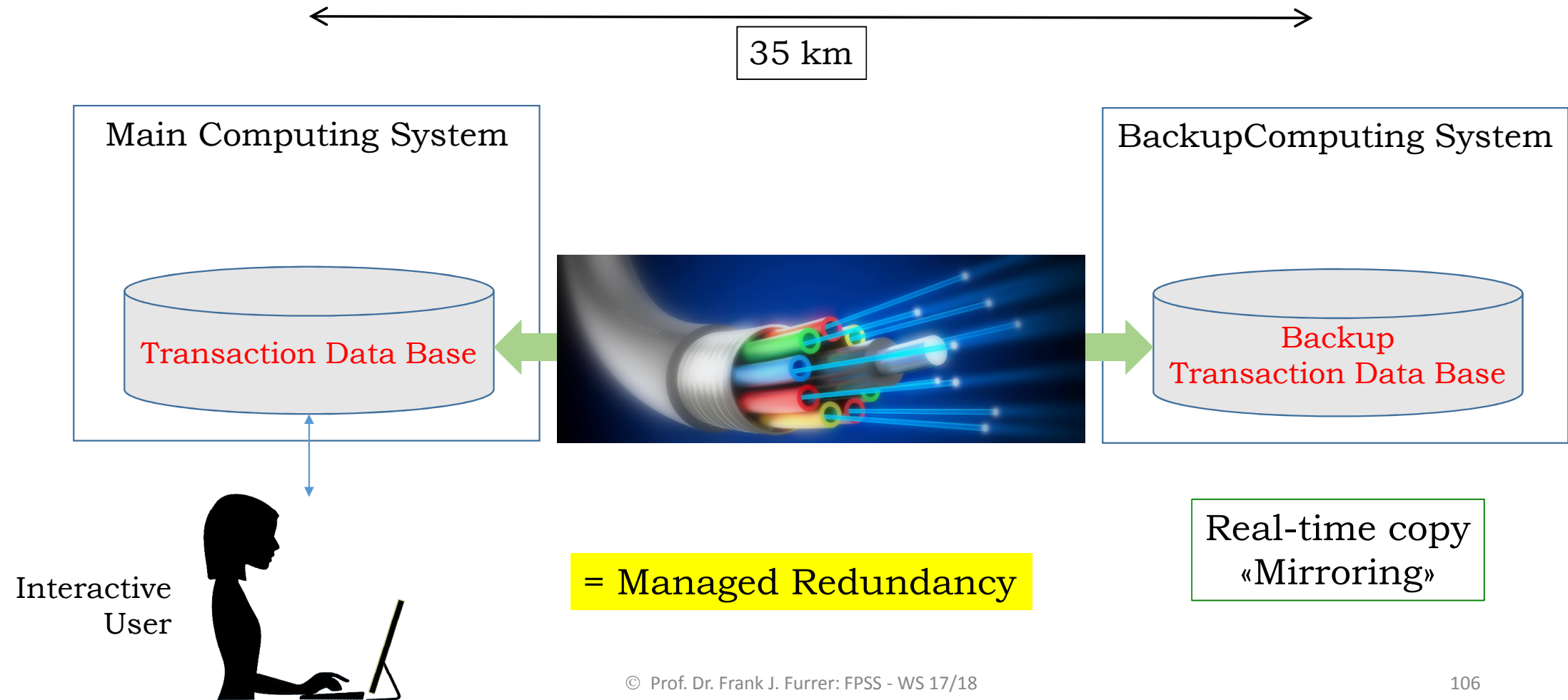
... on the other hand:

In some cases we **need** redundancy !

- disaster recovery (backup)
- high availability (faults & failures)
- load-balancing (multiple applications on multiple servers)
- performance requirements (parallel processing, DB accesses)
- geographical distribution (worldwide operations)
- electronic archiving
- 3rd party software (sometimes difficult)
- safety (3-way voting)
- etc.

Wanted redundancy = *Managed* redundancy

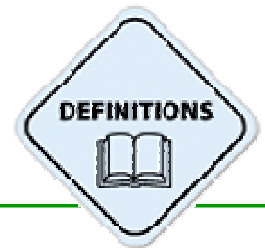
Example: Transaction Data Base Mirroring



... what is the solution?

Manage redundancy ! → **Managed redundancy**

	Managed redundancy	Un managed redundancy
Known and wanted	Yes (if valid reason)	NO!
Un known or un wanted	?	NO!



Managed redundancy definition:

- There is only exactly *one source* for the functionality and for the data (both during development time and during run-time)
- All redundant copies must be materially and time-wise synchronized (also partial copies)

Is the management of redundancy difficult?

Yes!
... very



You need specific policies, processes and tools to successfully manage redundancy

⇒ and a strong awareness!

Redundancy is very difficult to identify and to eliminate – especially in large, complex IT systems

The redundancy-ghost

- You don't hear it
- You don't see it



*Unmanaged redundancy **infiltrates** the system via:*

- Requirements
- Specifications
- Architecting + Design Decisions
- Implementation (Evolution)
- Maintenance (adaptive and corrective)



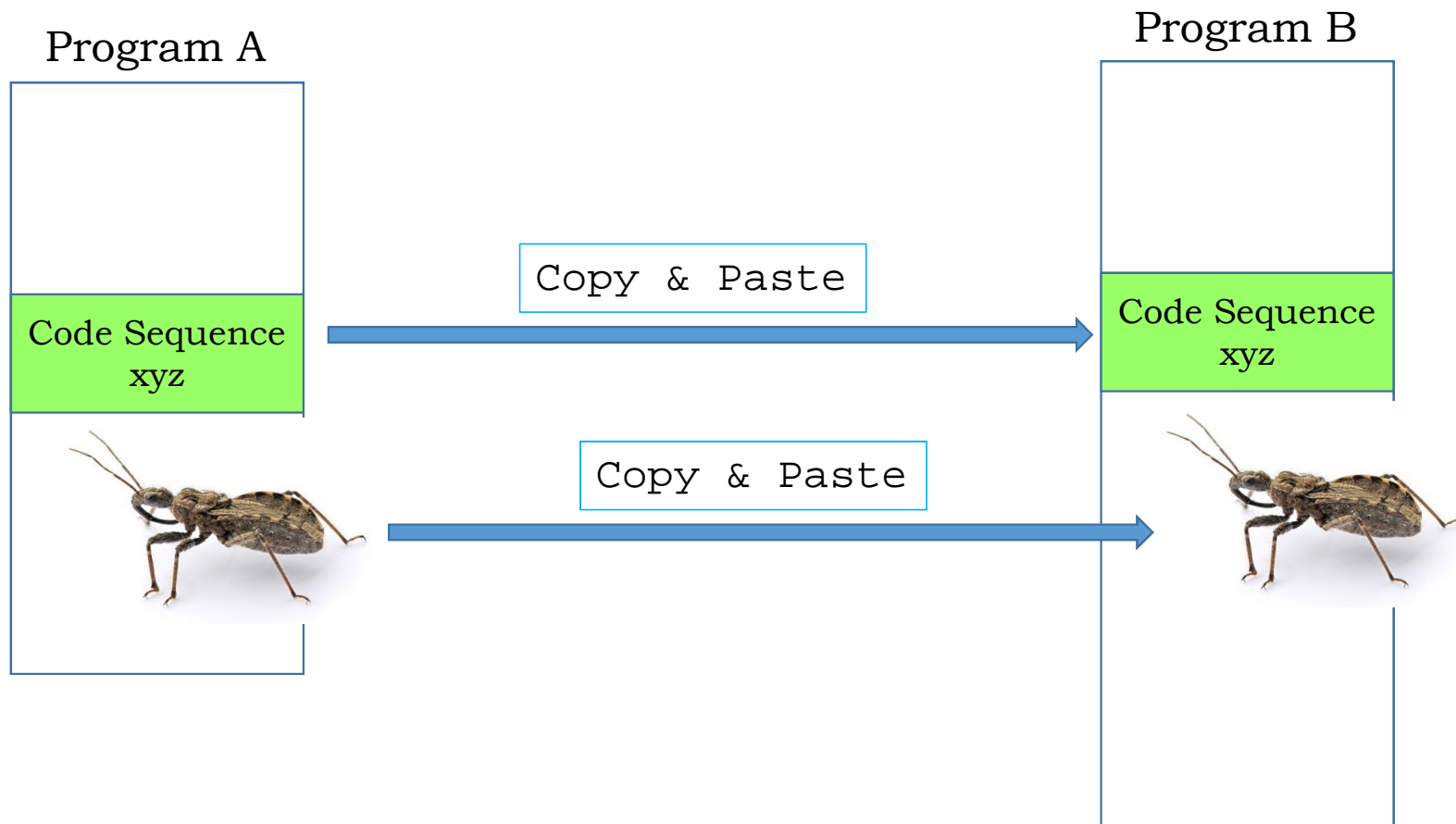
The redundancy-ghost
... but you see its impact !

During:

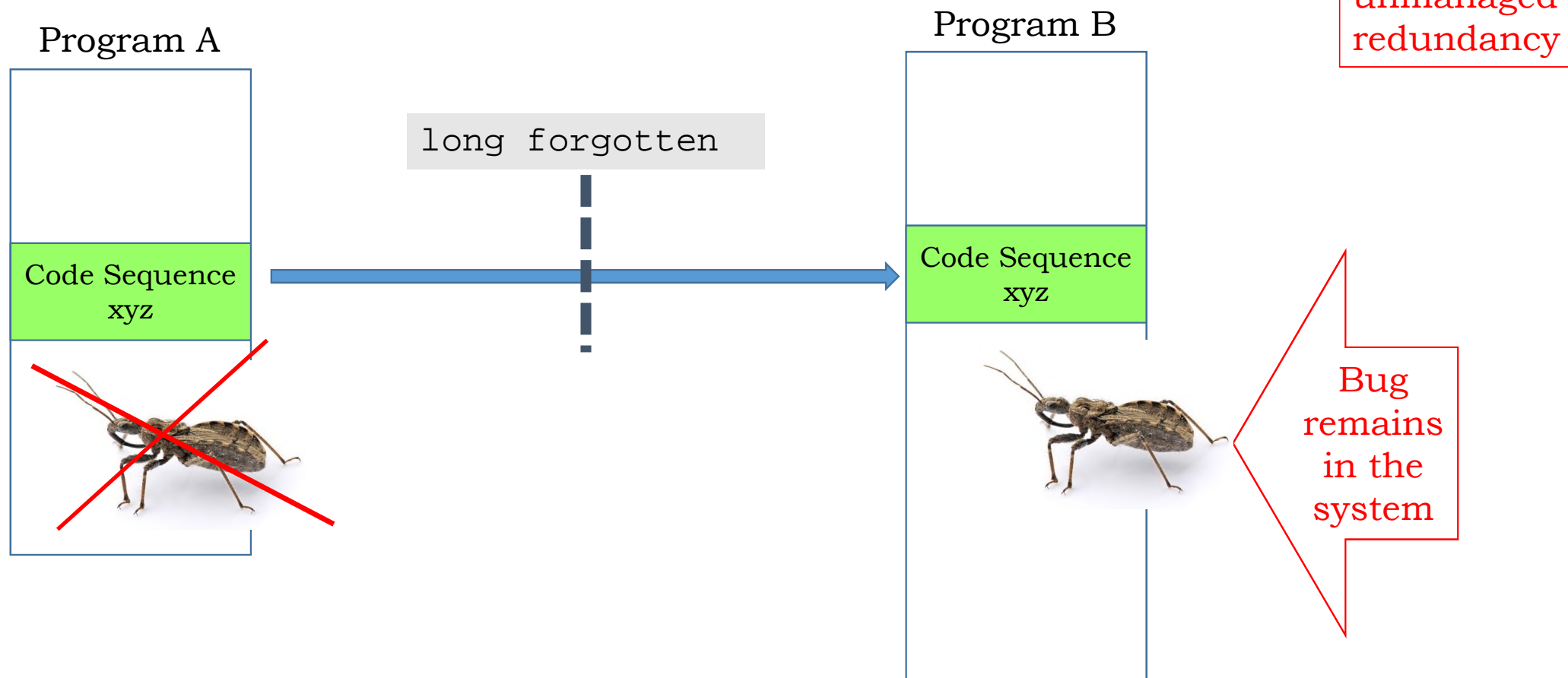
- **Operations:** inconsistent data & diverging functionality
- **Refactoring/Rearchitecting:** Hidden redundancy
- **Evolution** (Extensions): Changes in multiple parts
- **Maintenance** (Corrective): «search & hide»

Example: Code-Redundancy (1 / 2)

Unwanted,
unmanaged
redundancy

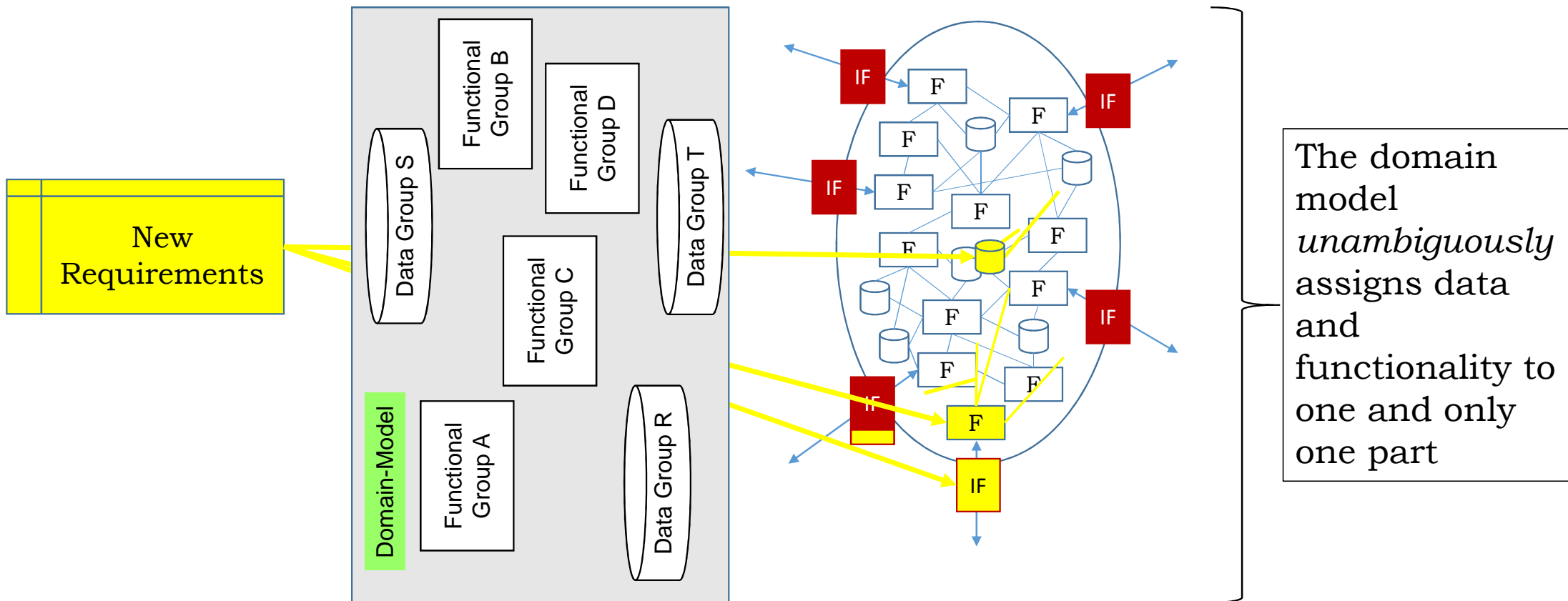


Example: Code-Redundancy (1 / 2)



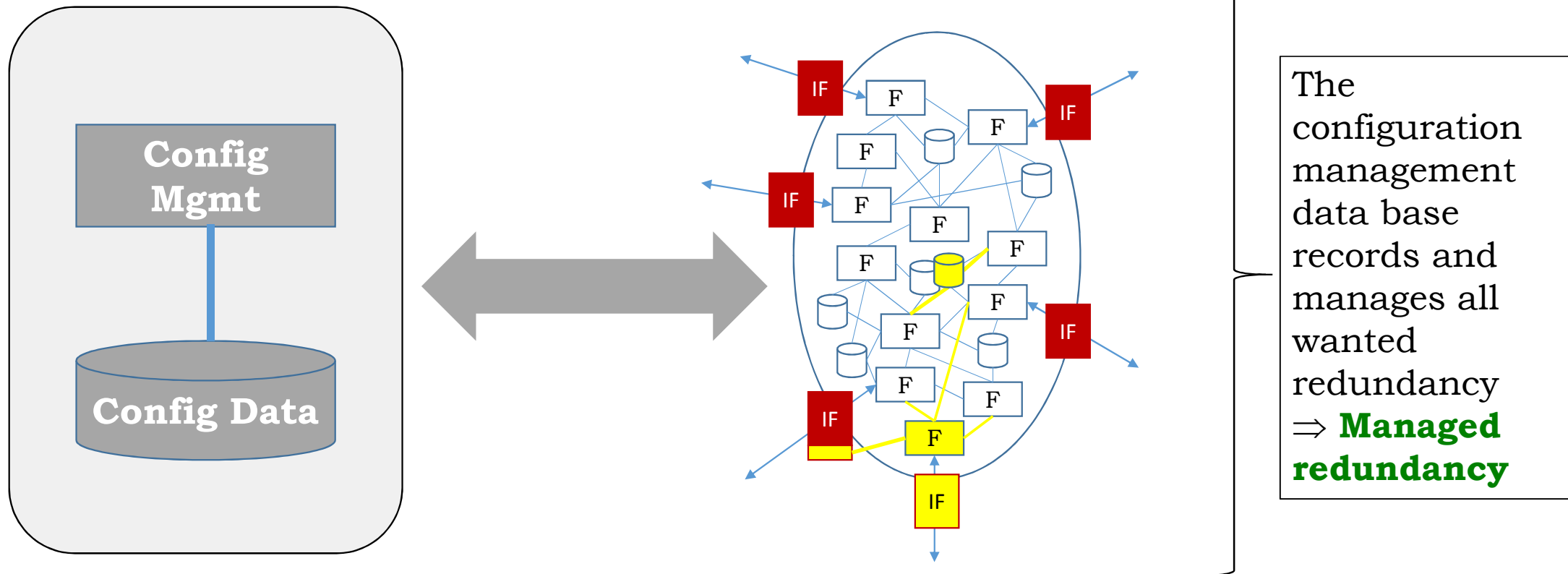
Can we avoid the generation of **unmanaged** redundancy?

Tool 1: Domain-Model



Can we avoid the generation of **unmanaged** redundancy?

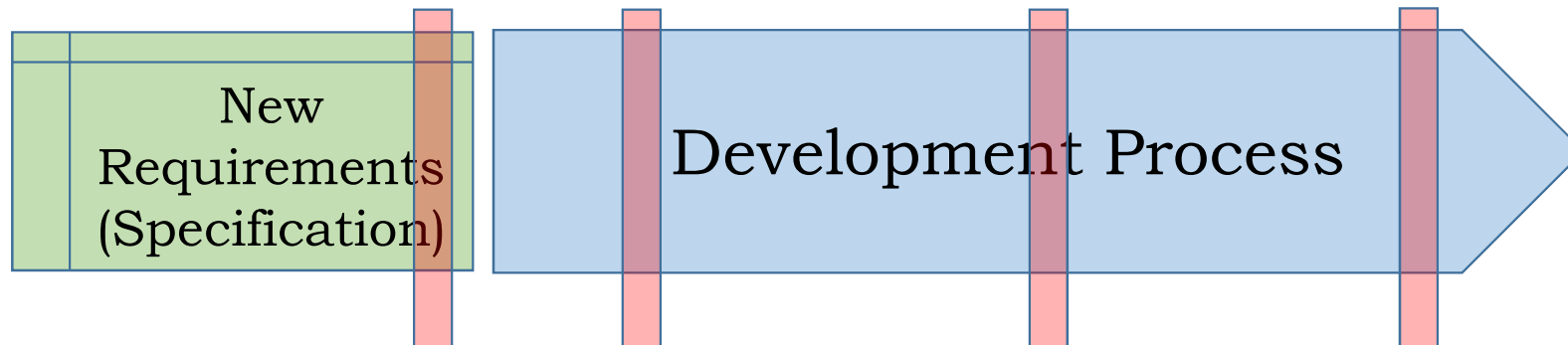
Tool 2: Configuration Management



Can we avoid the generation of **unmanaged** redundancy?

Tool 3: Reviews

Redundancy ghost



Check redundancy generation
(Reviews, Model-, Code-Checkers, ...)

Existing **unmanaged** redundancy must be identified and eliminated

Tool 4: Rearchitcting/Refactoring



A4

Architecture Principle A4:

Redundancy

1. There is only exactly *one source* for the functionality and for the data (both during development time and during run-time)
2. All redundant copies must be content-wise and time-wise synchronized (thus avoiding divergence)
3. The creation of *unmanaged* redundancy is not allowed under any circumstances. Existing unmanaged redundancy must be identified and eliminated in due course
4. Managed redundancy is allowed if there is a good (documented) reason

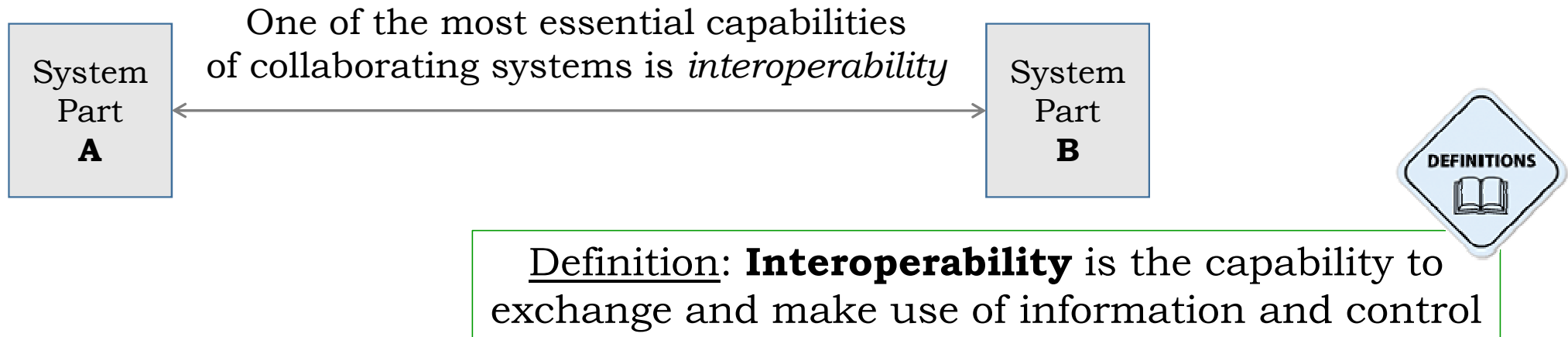
Justification: Any unmanaged redundancy may cause divergence and thus severely impact quality properties of the system's output. Any unmanaged redundancy will negatively impact the maintenance and evolution of the system

A5

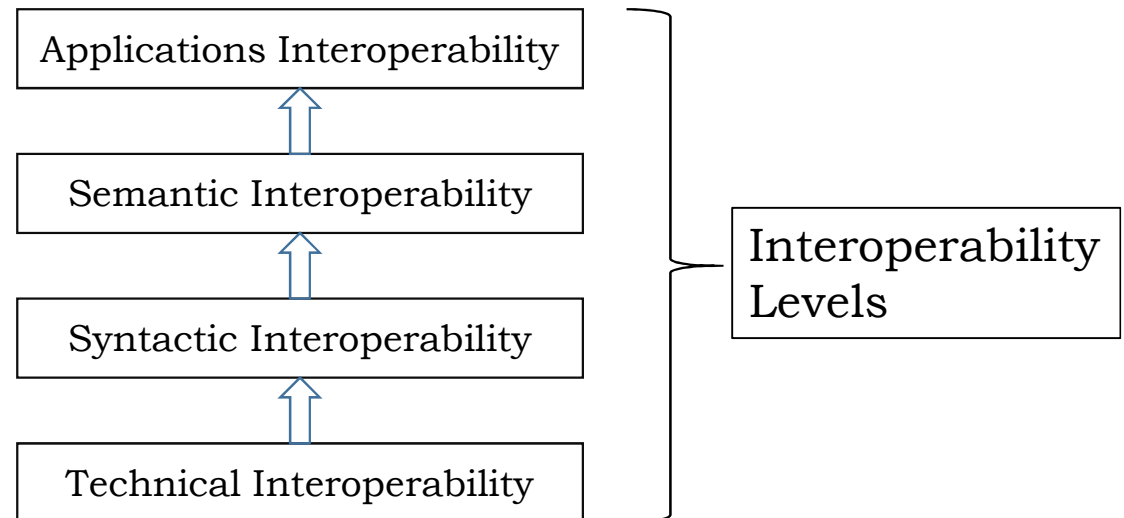
Architecture Principle A5: Interoperability

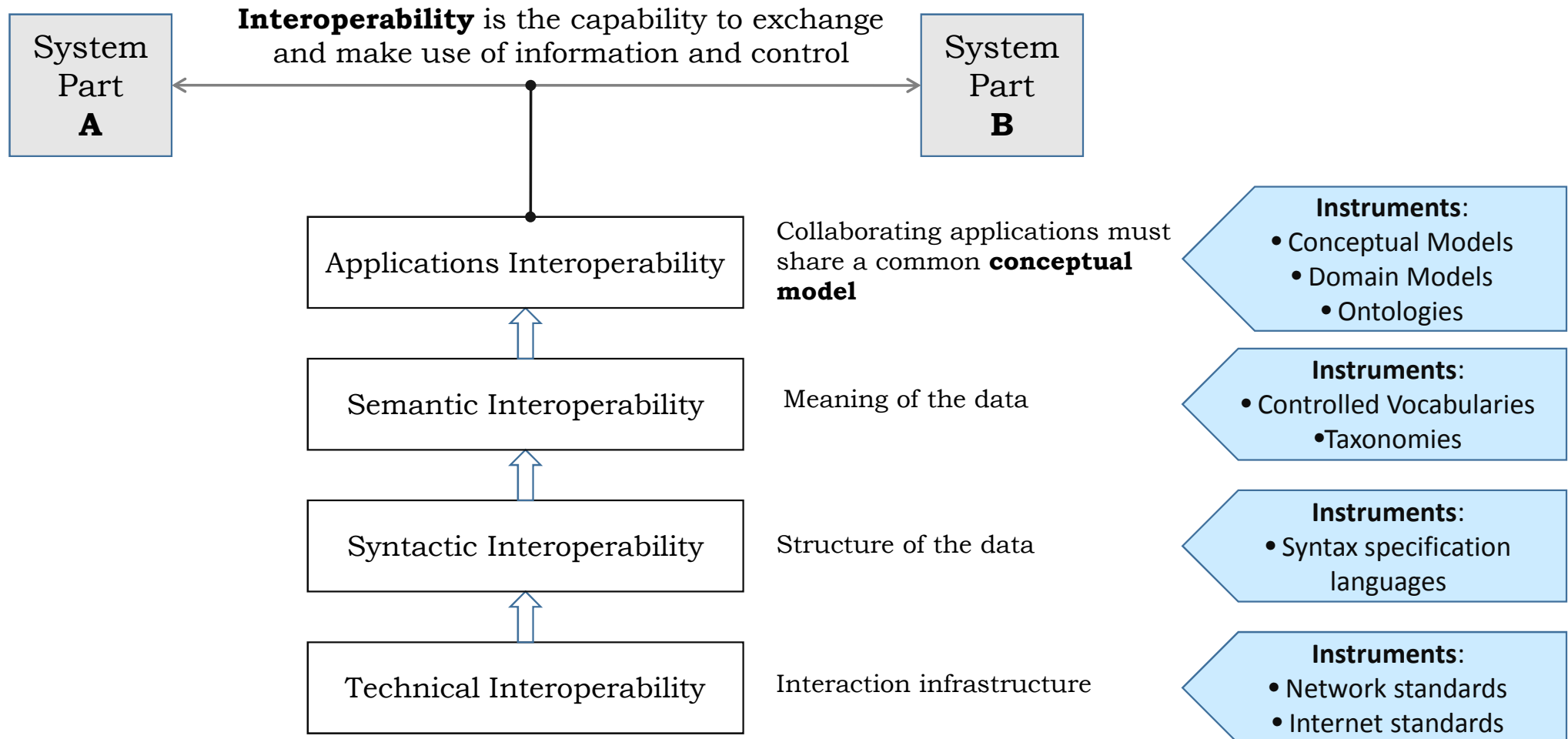
Horizontal Architecture Layer Principles:

- A1: Architecture Layer Isolation
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- A4: Redundancy
- A5: Interoperability
- A6: Common Functions
- A7: Reference Architectures, Frameworks and Patterns
- A8: Reuse and Parametrization
- A9: Industry Standards
- A10: Information Architecture
- A11: Formal Modeling
- A12: Complexity and Simplification



Interoperability must be assured on 4 levels:





Technical Interoperability



Example: Technical Error (TSL Vulnerability)

In the SSL (Secure Socket Layer) and TLS (Transport Level Security)-protocol a **serious security vulnerability** was detected (November 2009)

RFC5746: SSL and TLS renegotiation are vulnerable to an attack in which the attacker forms a TLS connection with the target server, injects content of his choice, and then splices in a new TLS connection from a client.

<http://tools.ietf.org/html/rfc5746>

Because this security vulnerability is in the original ietf-specification, **all** SSL/TSL-implementations can be attacked via this vulnerability. All implementations and deployments must be patched – worldwide!

Syntactic Interoperability



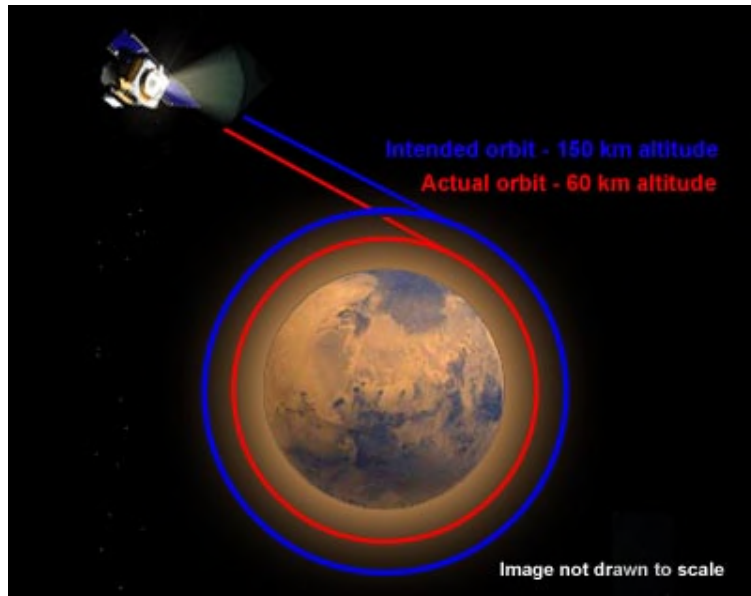
Example: Syntax Error (Ariane 5 Explosion)

On 4th June 1996 the Ariane rocket exploded during its first commercial flight

The value for the **horizontal velocity** was stored as a 16-bit integer value in the inertial guidance system, a heritage from the Ariane 4. The measured value, however, was stored in a 64-bit floating format. Because the Ariane 5 was considerably faster than the Ariane 4, the conversion of the 64-bit floating value into the 16-bit integer value exceeded 32,767 and caused an operand error – resulting in the loss of guidance and the self-destruction of the rocket. This syntactic error caused losses of 1.7 billions of US\$

(<http://esamultimedia.esa.int/docs/esa-x-1819eng.pdf>).

Semantic Interoperability



Example: Semantic Mismatch (Mars Climate Orbiter Crash)

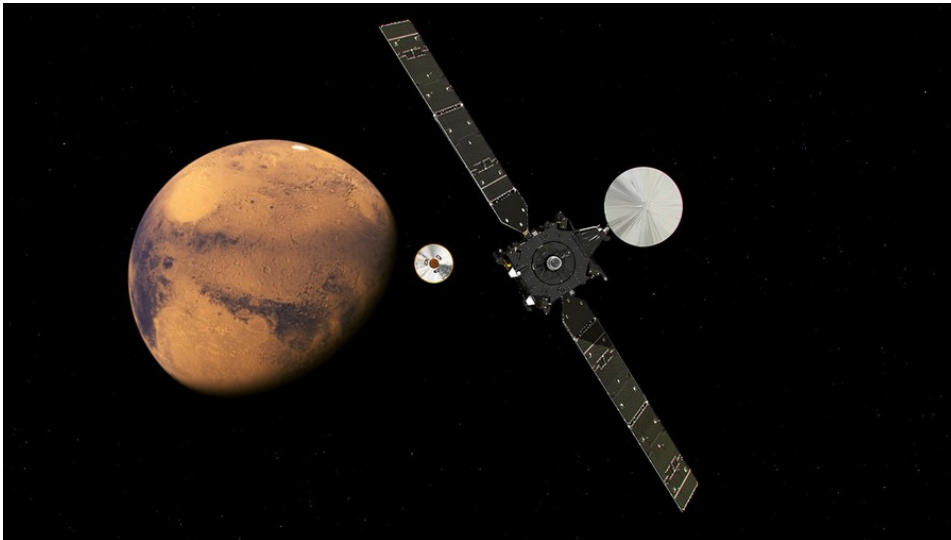
The Mars Climate Orbiter (MCO) mission objective was to orbit Mars as the first interplanetary weather satellite. The MCO was launched on December 11, 1998, and was lost sometime following the spacecraft's entry into Mars occultation during the Mars Orbit Insertion (MOI) maneuver.

The root cause for the loss of the MCO spacecraft was a **semantic mismatch**. The **spacecraft software** was correctly programmed to use metric units (Newtonseconds). The **ground software** was programmed to use English units (pound-seconds). The same measurement values therefore had a different meaning – differing by a factor of 4.45 – in the spacecraft and in the ground software resulting in an erroneous trajectory and in the crash of the spacecraft. This semantic mismatch caused a loss of 193.1 million US\$.

(ftp://ftp.hq.nasa.gov/pub/pao/reports/1999/MCO_report.pdf).

1996, 1998: Failed syntactic and semantic interoperability

Could that still happen in 2016?



19. October 2016:
The Mars Lander «**Schiaparelli**» crashes to the ground

First Analysis (November 2016)

<https://de.wikipedia.org>



Radar-Altimeter



<http://www.militaryaerospace.com>

Navigation Computer

Software **Interoperability** problem between
Radar-Altimeter and Navigation Computer in the Lander

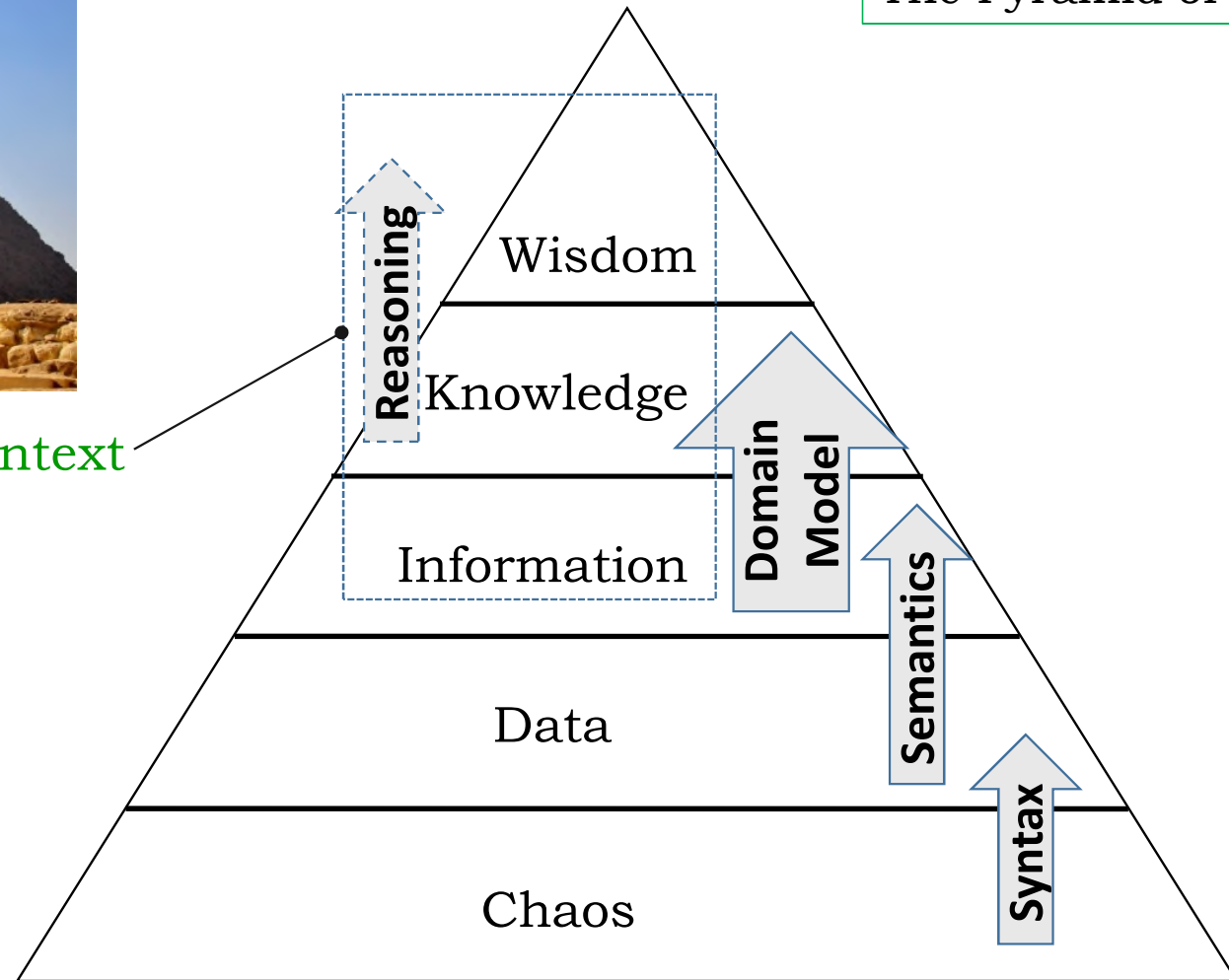
"A software error is ultimately good news for the ExoMars mission"

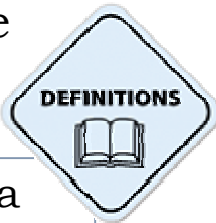
Andrea Accomazzo (ESA)



The Pyramid of Knowledge

Context





The **context** of the specific information determines to a significant degree its full understanding and correct interpretation. Thus, for interoperability, the context of the semantic layer must be clearly defined

Definition: **Context** is the circumstances that form the setting for an event, statement, or idea and in terms of which it can be fully understood and assessed ([Oxford98]).

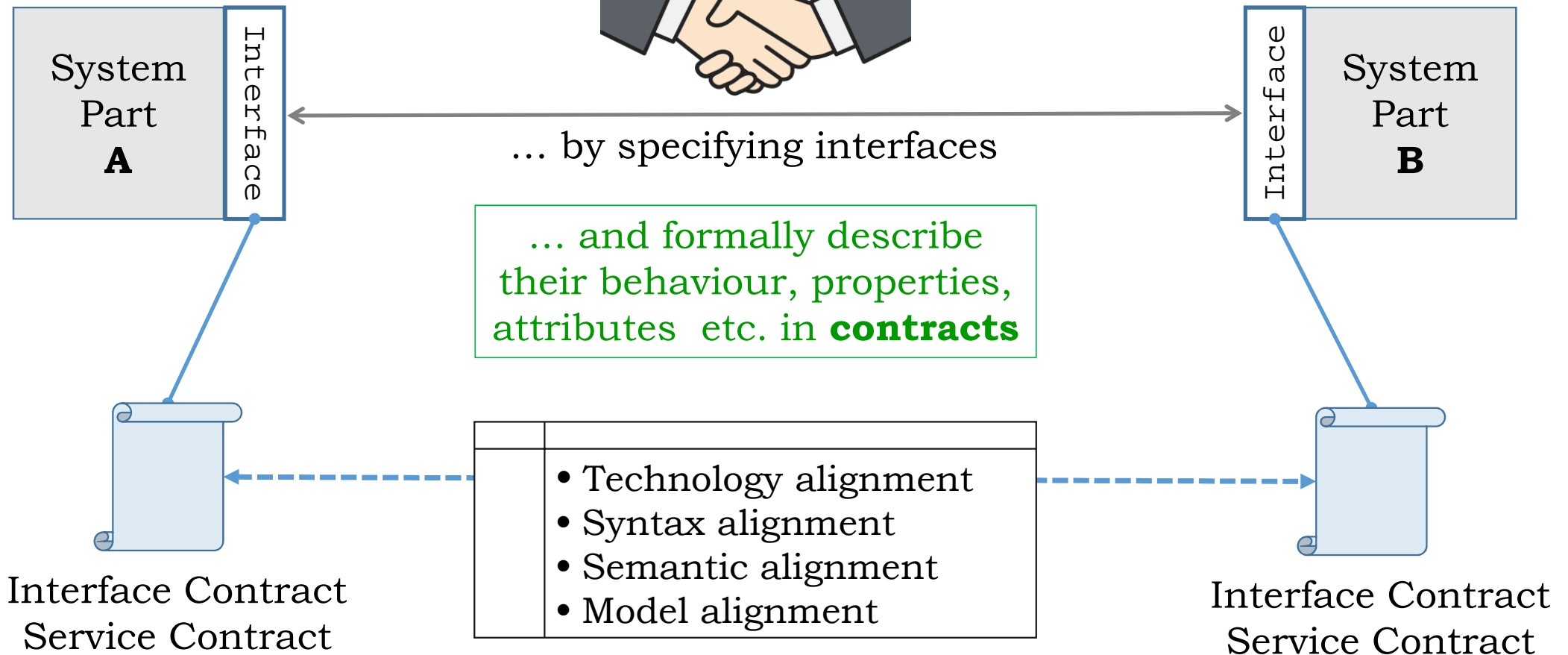
Example: Context Mismatch

The American and the Russian president agree on a running competition over 5 km. The American president clearly wins the race. The reporting in the Russian press reads: ***“The Russian president ran to an excellent second place, whereas the American president only finished second last in the race”.***

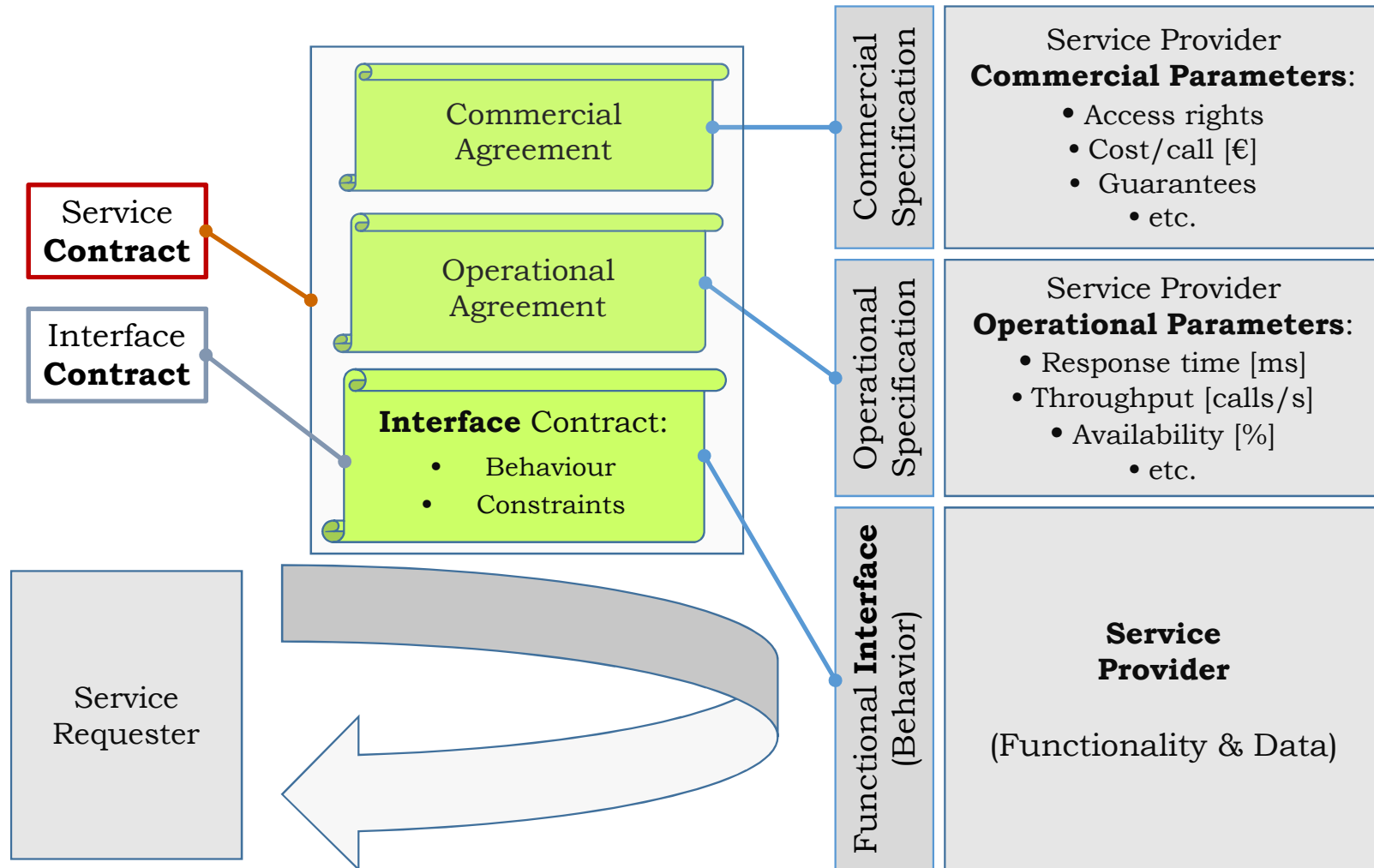


Without knowing the *context* that only 2 competitors ran the race, the meaning of the information is completely distorted – people implicitly assume that the race had 50 ... 100 runners.

How can we assure interoperability?



Interface & Service **Contracts**:



Example:

Simple Web-Service Formalization

Web service which works as a *service provider* and exposes two methods (*add and SayHello*) as the web services to be used by applications

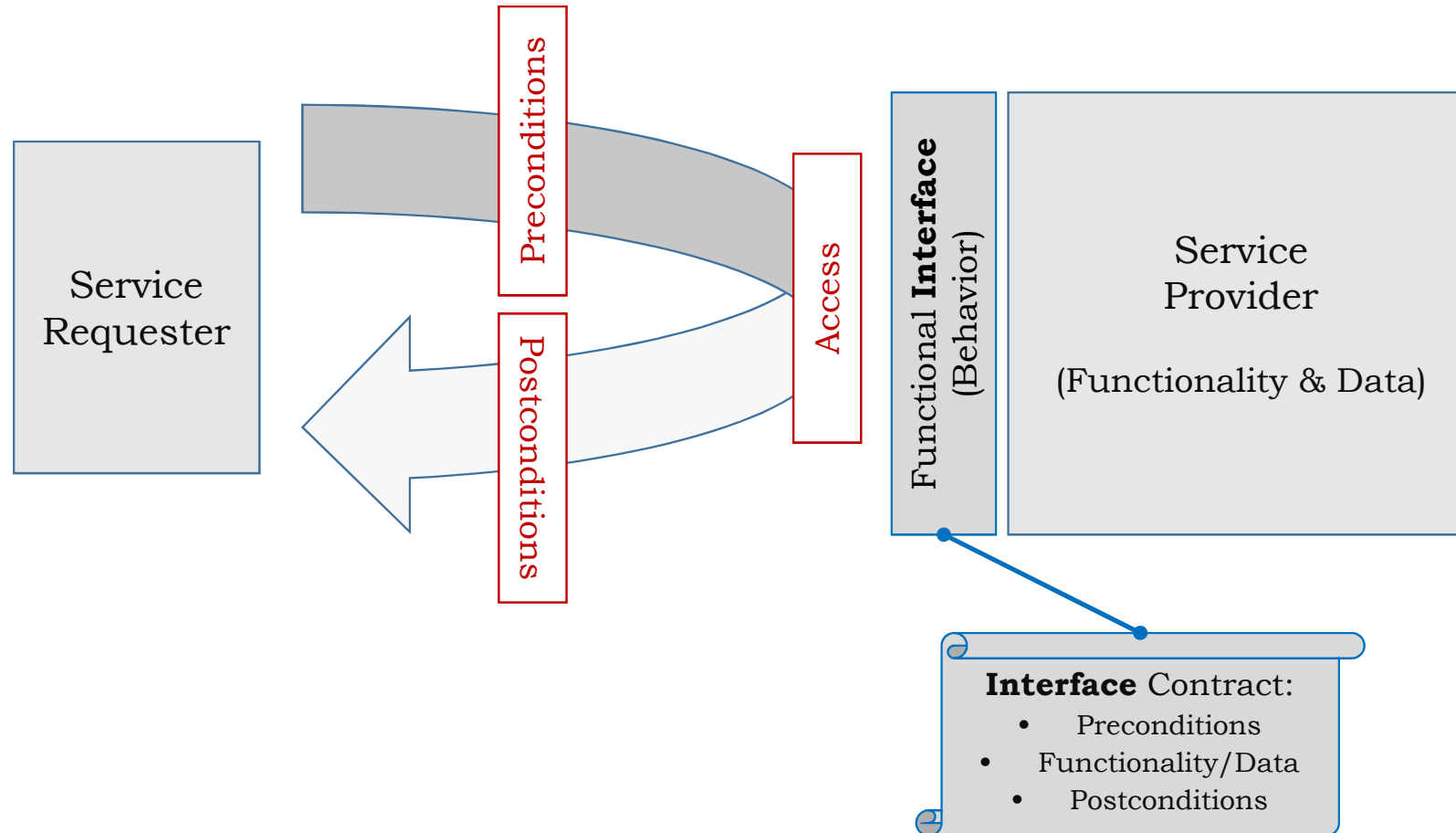
```
<%@ WebService language = "C#" class = "FirstService" %>
```

```
using System;  
using System.Web.Services;  
using System.Xml.Serialization;
```

```
[WebService(Namespace="http://localhost/MyWebServices/")]  
public class FirstService : WebService{  
    [WebMethod]  
    public int Add(int a, int b) {  
        return a + b;  
    }  
  
    [WebMethod]  
    public String SayHello() {  
        return "Hello World";  
    }  
}
```

https://www.tutorialspoint.com/webservices/web_services_examples.htm

Interface Contracts:



Example:

Add new customer (ID and name)

Precondition:

1. ID not already active

addCustomerID

Postconditions:

1. ID now active

2. #ofCustomers + 1

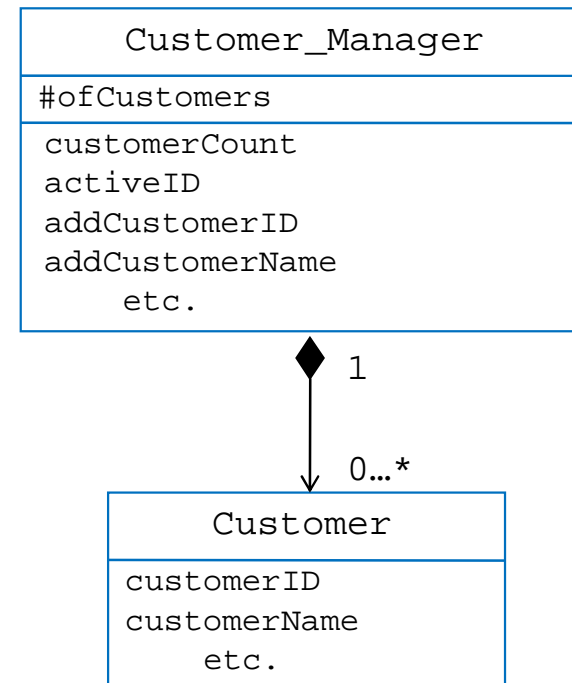
Precondition:

1. ID active

addCustomerName

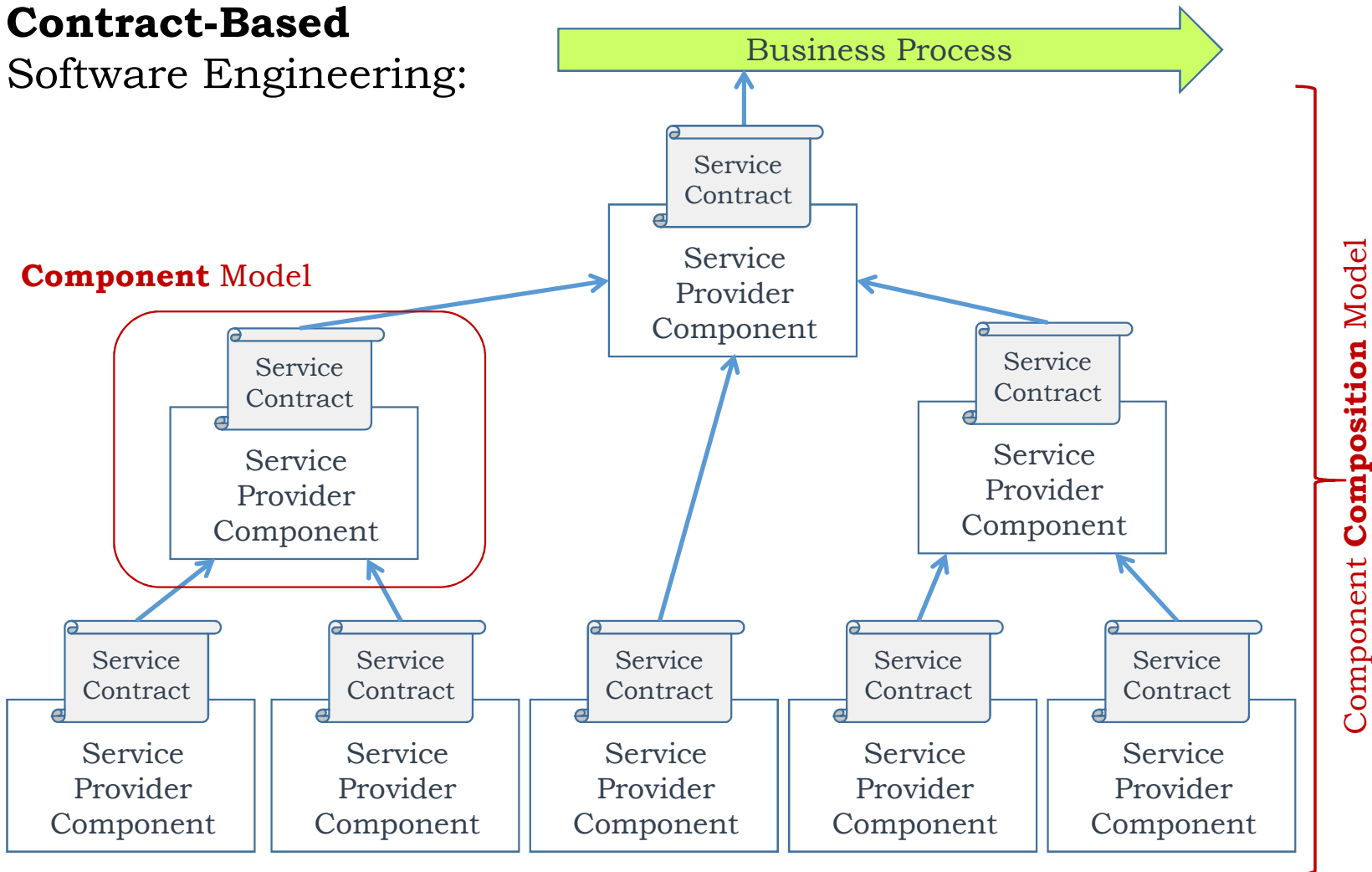
Postcondition:

1. Name registered



Contract-Based Software Engineering:

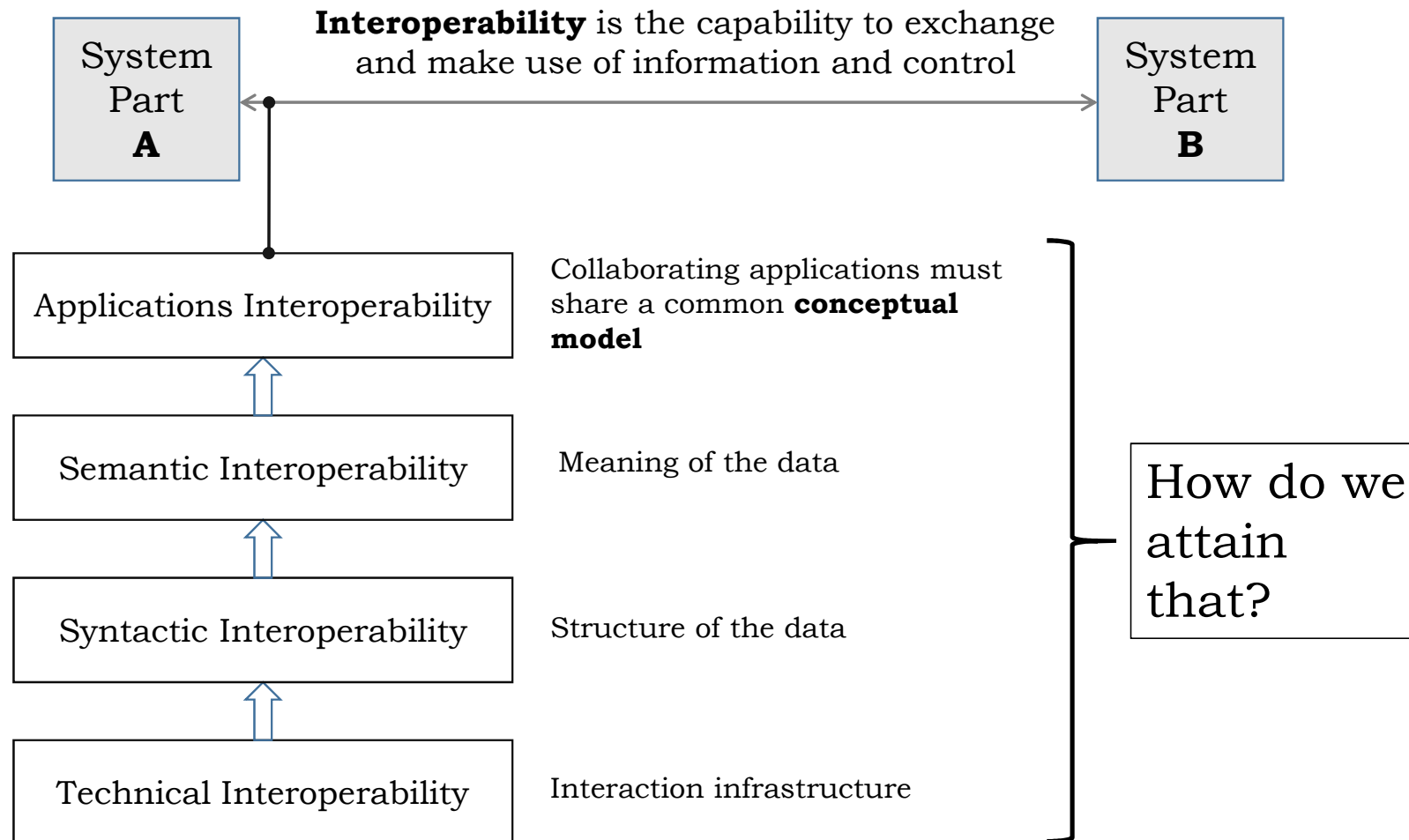
Component Model



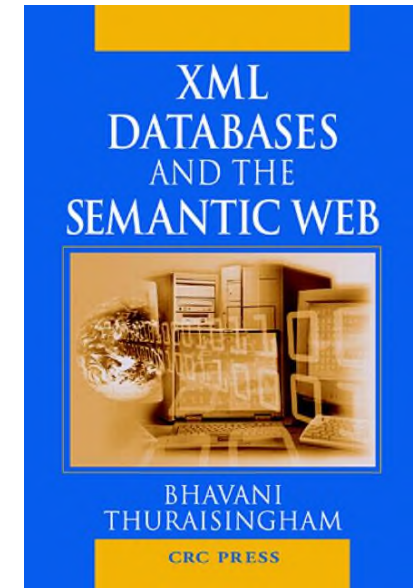
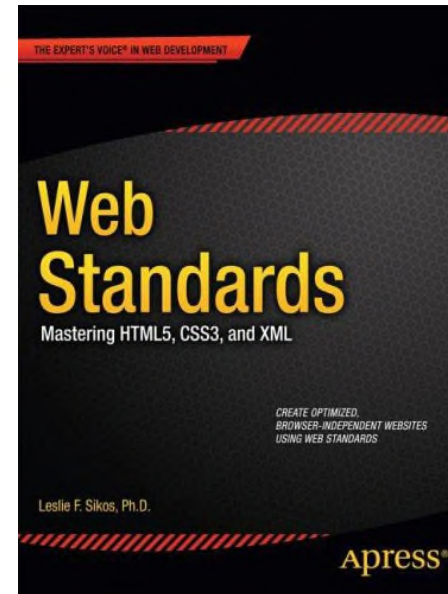
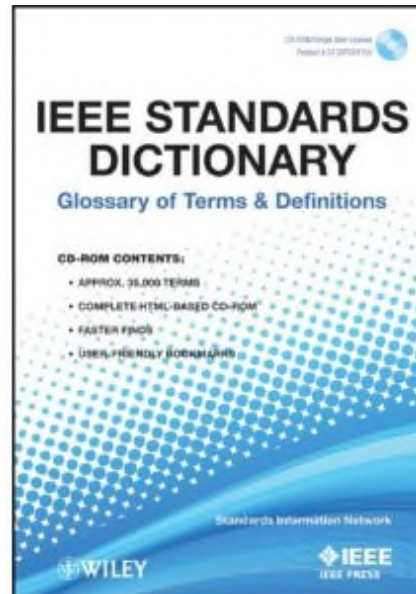
The *functionality* of a system is generated by **composing** service contracts

Service-Oriented Architecture (SOA)





How do we attain **Technological Interoperability**?



... by adapting and enforcing accepted **industry standards**

How do we express **Syntax**? ← machine-readable!

Def: The Extensible Markup Language (**XML**) is a markup language defining a set of rules for representing and encoding „documents“ in machine-readable formats

Note 1: XML is in fact a technology to generate specific markup languages, e.g. domain-languages

Note 2: Today hundreds of specific XML-formats for different purposes exist

Note 3: XML is also human-readable (once you get used to the format)



Tags defined
by the author
of this
document

```
<?xml version="1.0"?>
<note>
  <to>Tove</to>
  <from>Jani</from>
  <heading>Reminder</heading>
  <body>Don't forget me this weekend!</body>
</note>
```

[\[http://www.w3schools.com/xml/\]](http://www.w3schools.com/xml/)

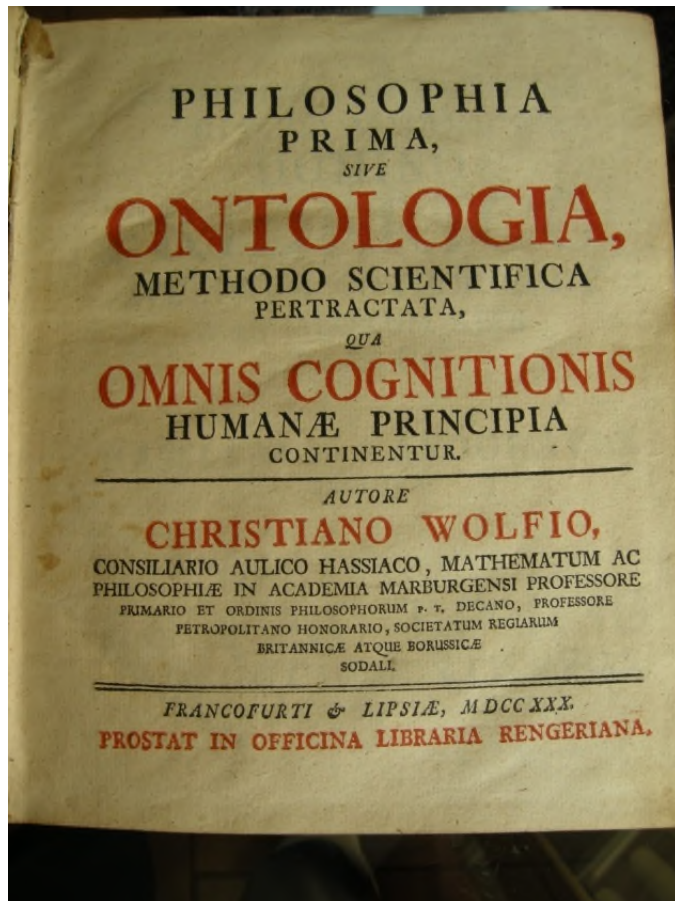
Example: XML Service Syntax

```
<!--
#####
      Service-Id:  DOC_1042
      Service-Name: Request Digital Signature
      Technical Name: Request Digital Signature for an electronic document or a data structure
      History:      v0.1  03.06.2005  Draft
                   v1.0  01.07.2005  Final
#####
-->
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
            xmlns:cs="http://www.cs-standards.org/schema/CS-BASE-1-0"
            xmlns:cif="http://www.cs-standards.org/schema/CS-CIF-BASE-1-0"
            xmlns:ebi="http://www.cs-standards.org/schema/CS-EBI-BASE-1-0"
            xmlns:dss="http://www.cs-standards.org/schema/CS-DSS-BASE-1-0"
            elementFormDefault="unqualified" attributeFormDefault="qualified">

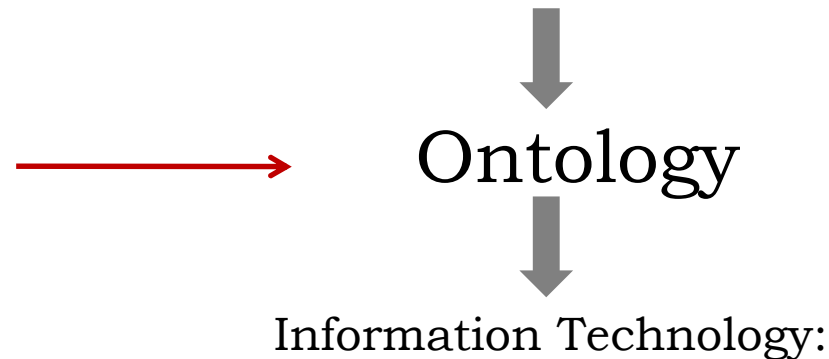
  <xs:import namespace="http://www.cs-standards.org/schema/CS-BASE-1-0" schemaLocation="CS-BASE-1-0.xsd"/>
  <xs:import namespace="http://www.cs-standards.org/schema/CS-CIF-BASE-1-0" schemaLocation="CS-CIF-BASE-1-0.xsd"/>
  <xs:import namespace="http://www.cs-standards.org/schema/CS-EBI-BASE-1-0" schemaLocation="CS-EBI-BASE-1-0.xsd"/>
  <xs:import namespace="http://www.cs-standards.org/schema/CS-DSS-BASE-1-0" schemaLocation="CS-DSS-BASE-1-0.xsd"/>

  <!--
  =====
      ELAR Signature Request slot: 16 ELAR signature requests can be grouped into a single message.
  =====
  -->
  <xs:complexType name="RequestSlotType">
    <xs:sequence>
      <xs:element name="SlotStatus" type="dss:SlotStatus"/>
      <xs:element name="BusinessUnit" type="cs:businessUnitType"/>
      <xs:element name="HashtreeUUID" type="cs:uuid20AsCharType"/>
    <!--
    ~~~~~
```

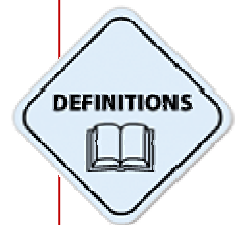

How do we express **Semantics**? ← machine-readable!



“Christiano Wolfio: Ontologia“, 1730



Def: An **ontology** is a formal representation of the *knowledge in a domain* in the form of the *concepts* of the domain and their *relationships*, and the *properties* of the concepts and relationships, as well as the axioms and *principles* which are valid in the domain.



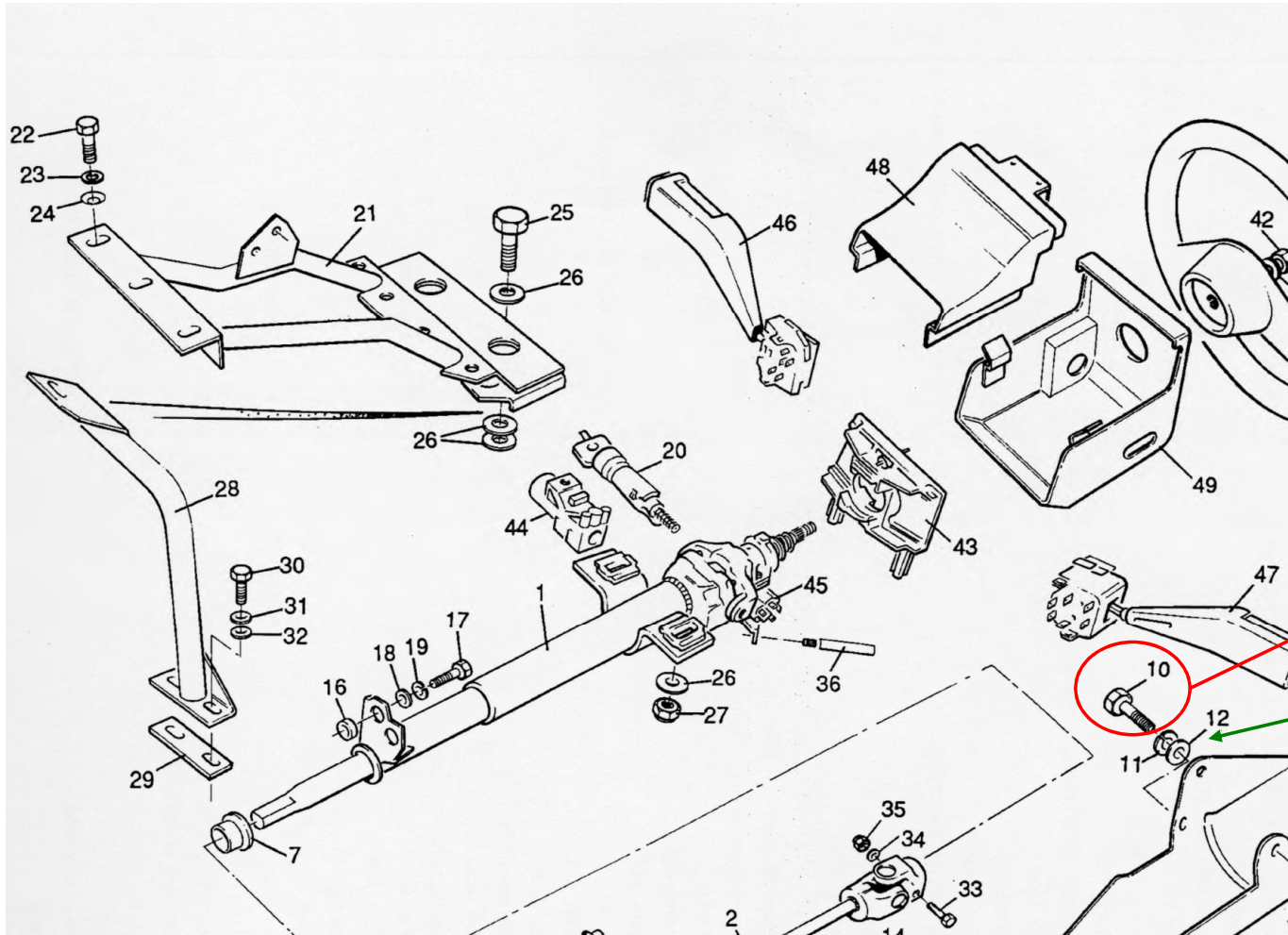
Example: Car Ontology (1/5)

Aston Martin Virage 1991



Example: Car Ontology (2/5)

Aston Martin Virage Shop Manual




The **Car Shop Manual** contains all the parts and relationship information (in graphical form)

Part

Relationship

Example: Car Ontology (3/5)

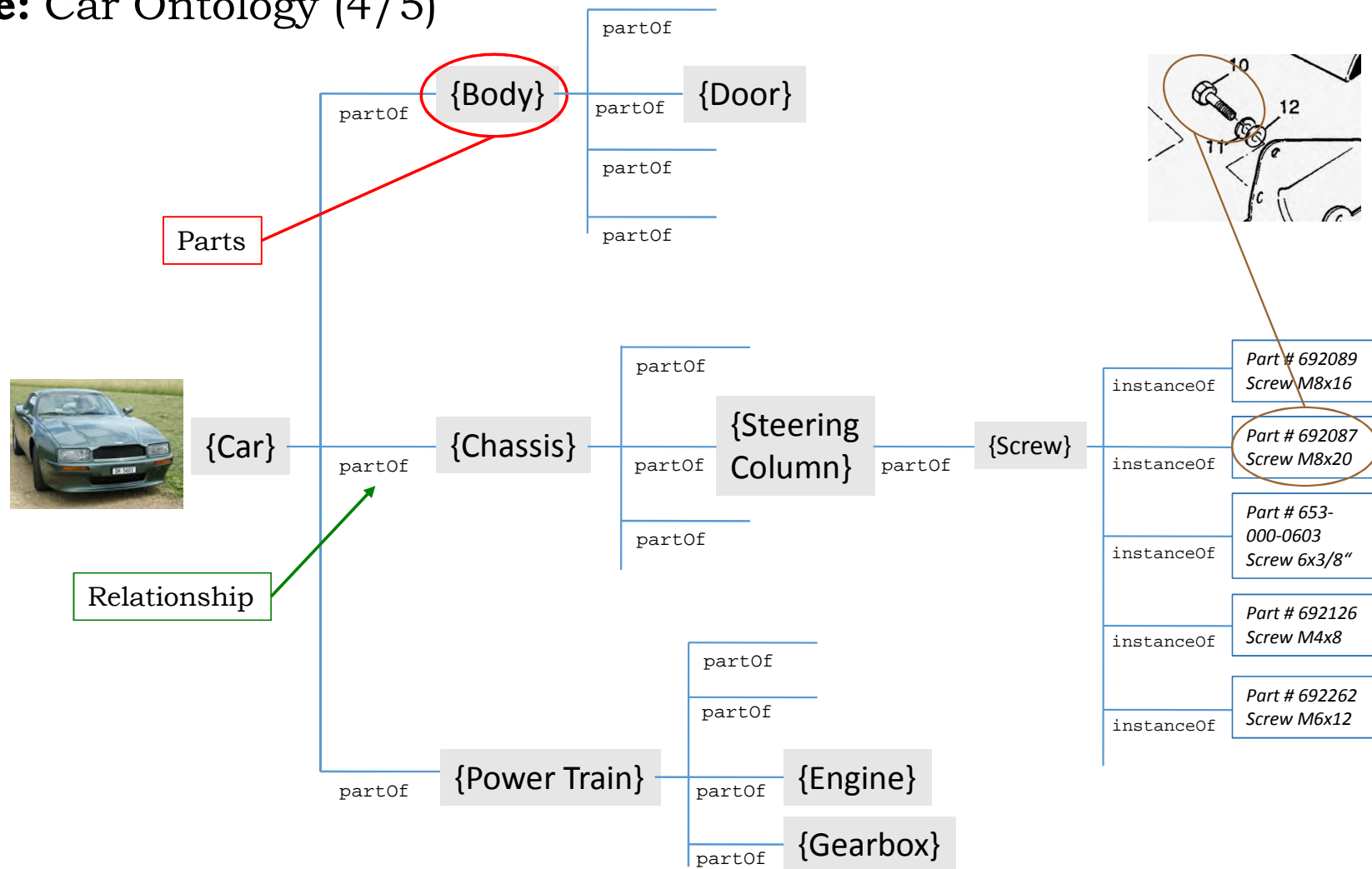


4.3A Suspension and Steering Steering Column

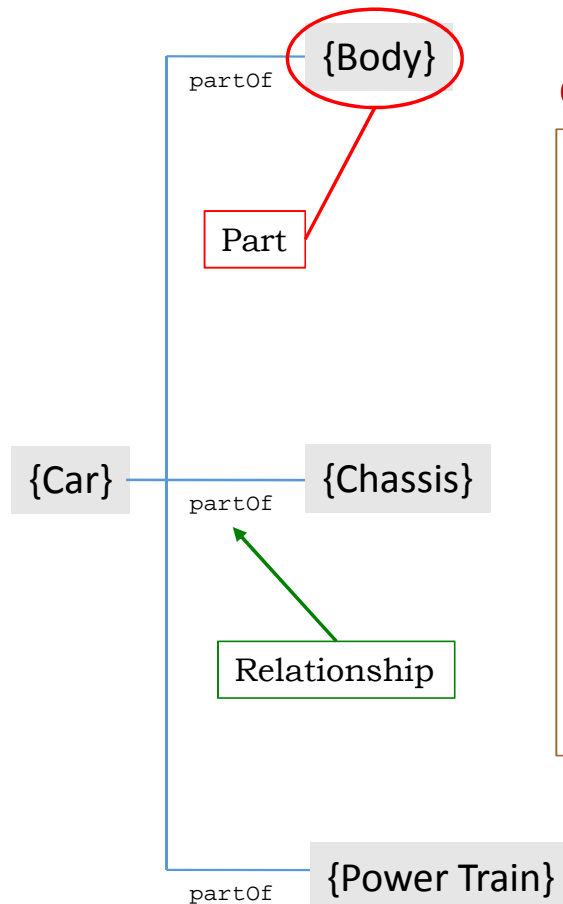
Item	Pt Number	Description	Qty	Remarks
1	25-20371	Steering column	1	
2	25-55229	Steering column lower assembly	1	
3	25-51824	Gaiter, steering column	1	
4	25-51828	Bush, steering gaiter	2	
5	25-51831	Spring, steering gaiter	2	
6	25-52117	Mounting bracket, lower column	1	
7	25-20191	Bearing, lower steering column. I.D.25.45/25.50mm	1	
-	25-21125	Bearing, lower steering column. I.D.25.50/25.53mm	1	Code: Red Alternatives
-	25-21126	Bearing, lower steering column. I.D.25.55/25.58mm	1	Code: Green " " " "
-	25-21127	Bearing, lower steering column. I.D.25.60/25.63mm	1	Code: Blue " " " "
8	25-52122	Angle bracket, RH	1	
9	25-52123	Angle bracket, LH	1	
10	692088	Screw, M6 x 16	4	Angle brkts & lwr shroud to mtg brkt
11	692046	Washer, spring, M6	4	" " " " " " " "
11A	692056	Washer, plain, M6	4	" " " " " " " "
12	25-54458	Washer, special. (RHD manual gearbox cars only)	3	" " " " " " " "
13	692089	Screw, M8 x 16	4	Angle bracket to crossmember

Properties

Example: Car Ontology (4/5)



Example: Car Ontology (5/5)



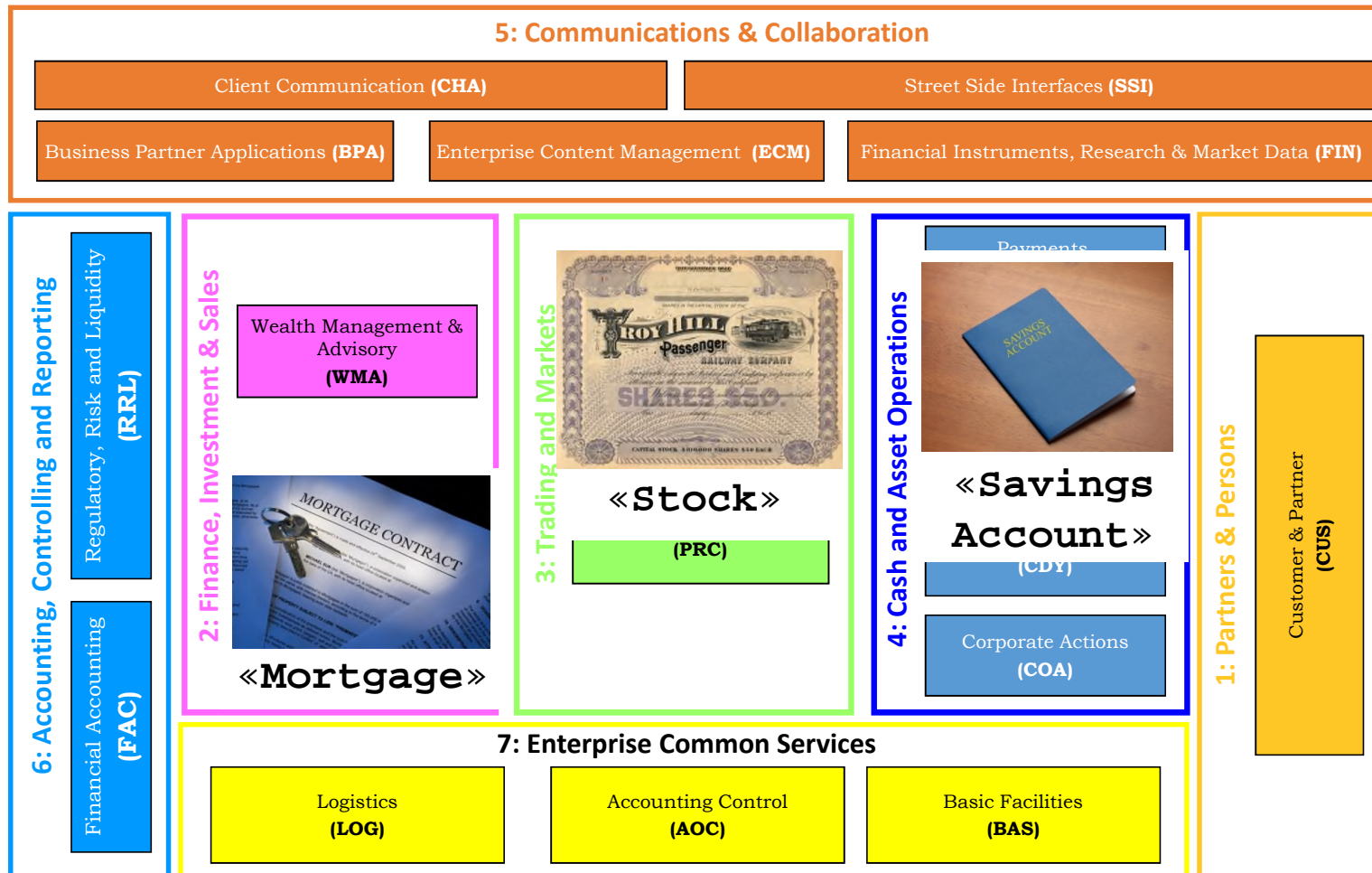
OWL (Web Ontology Language) Representation:

```

<owl:Class rdf:ID="Car"/>
<owl:Class rdf:ID="Body">
    <rdfs:subClassOf rdf:resource="Car"/>
</owl:Class>
<owl:Class rdf:ID="Chassis">
    <rdfs:subClassOf rdf:resource="Car"/>
</owl:Class>
<owl:Class rdf:ID="PowerTrain">
    <rdfs:subClassOf rdf:resource="Car"/>
</owl:Class>
    
```

How do we express **Semantics**?

Domain Model



«Mortgage»



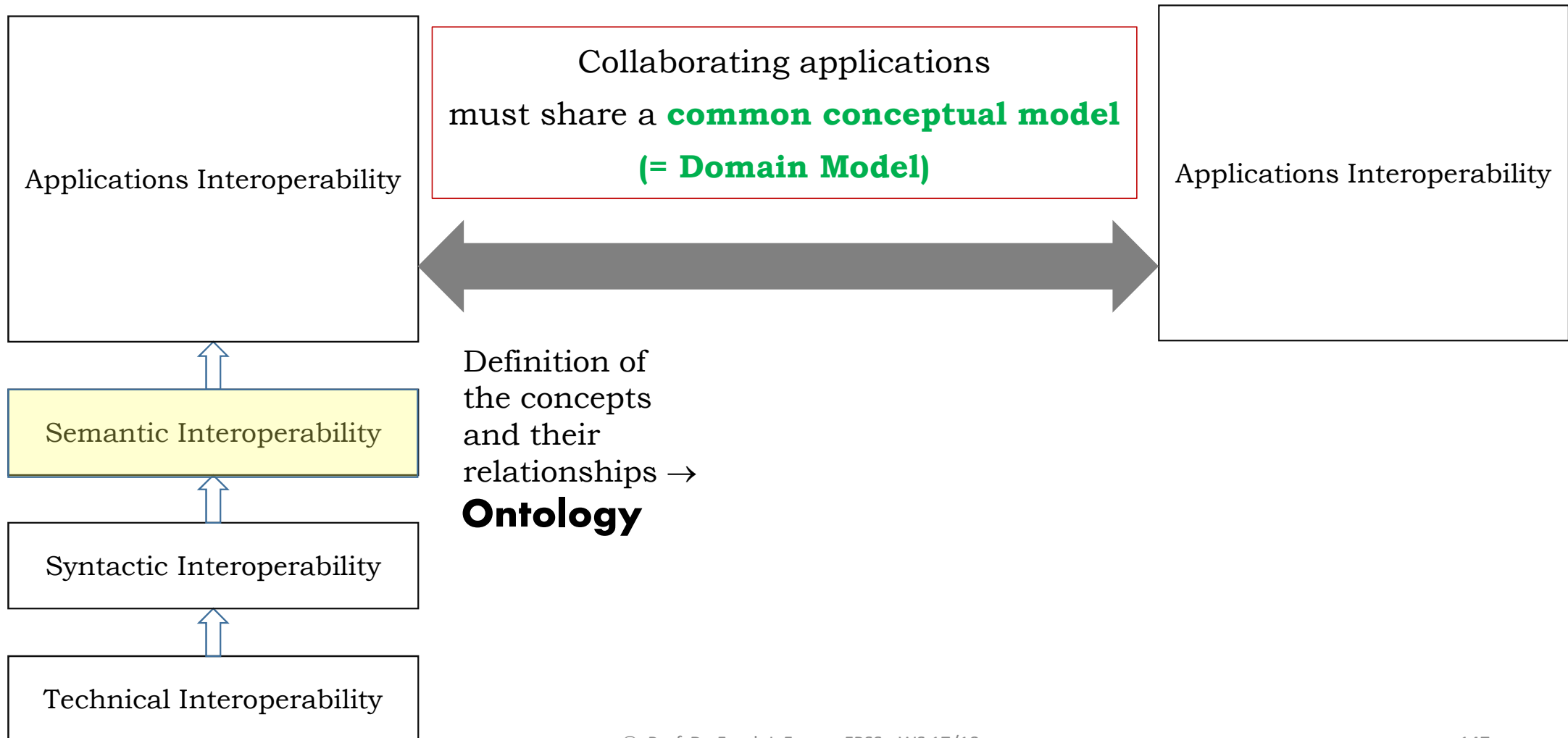
«Savings Account»



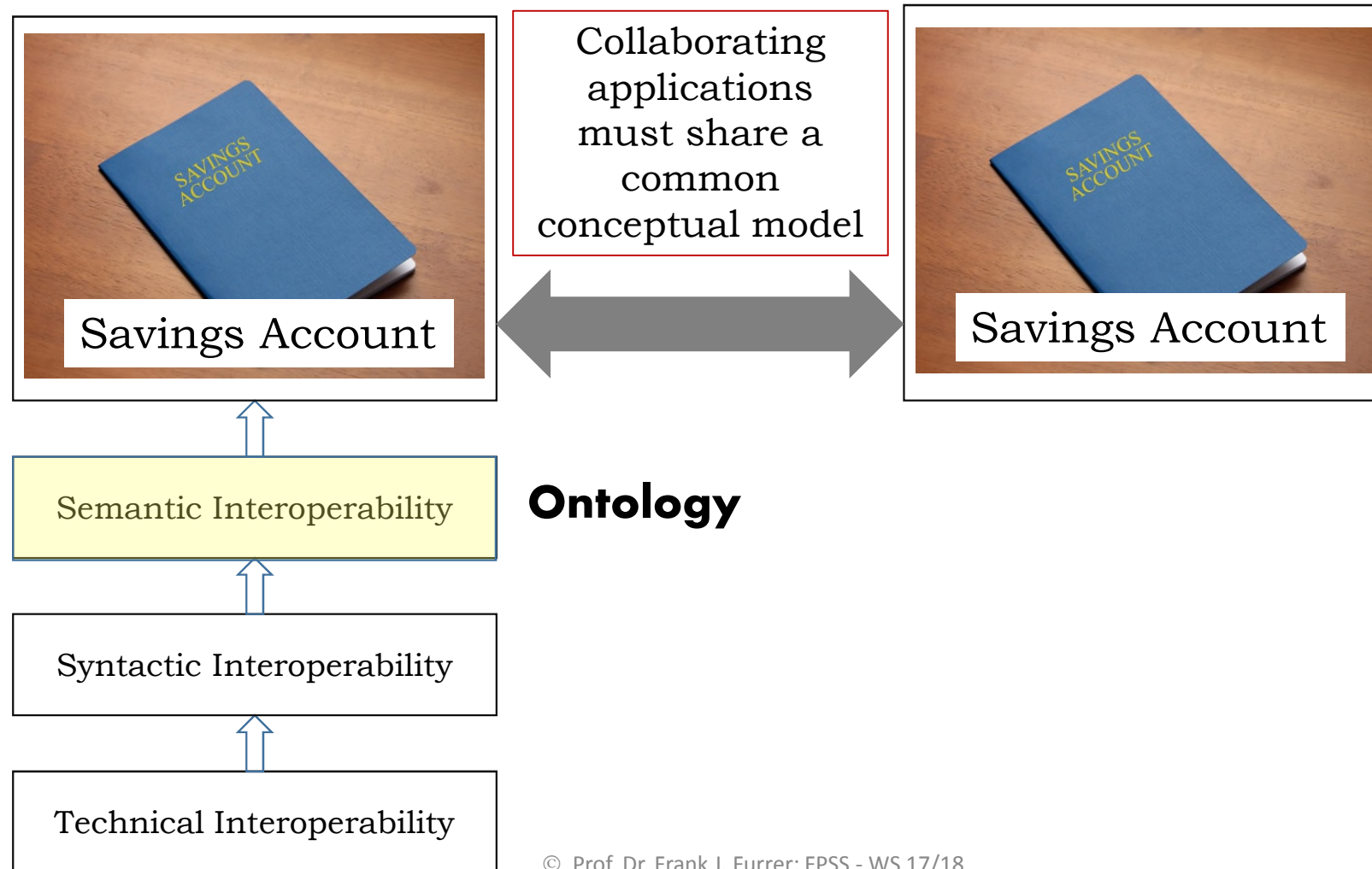
«Stock»

The concepts must be clearly defined for all partners: This is done in the *domain model*

What is needed for full semantics?



What is needed for full semantics?



We have now understood:

- Technical interoperability
- Syntactical interoperability
- Semantic interoperability
- Applications interoperability

What happened to the **quality properties?**

- Security?
- Safety?
- Integrity?
- ...

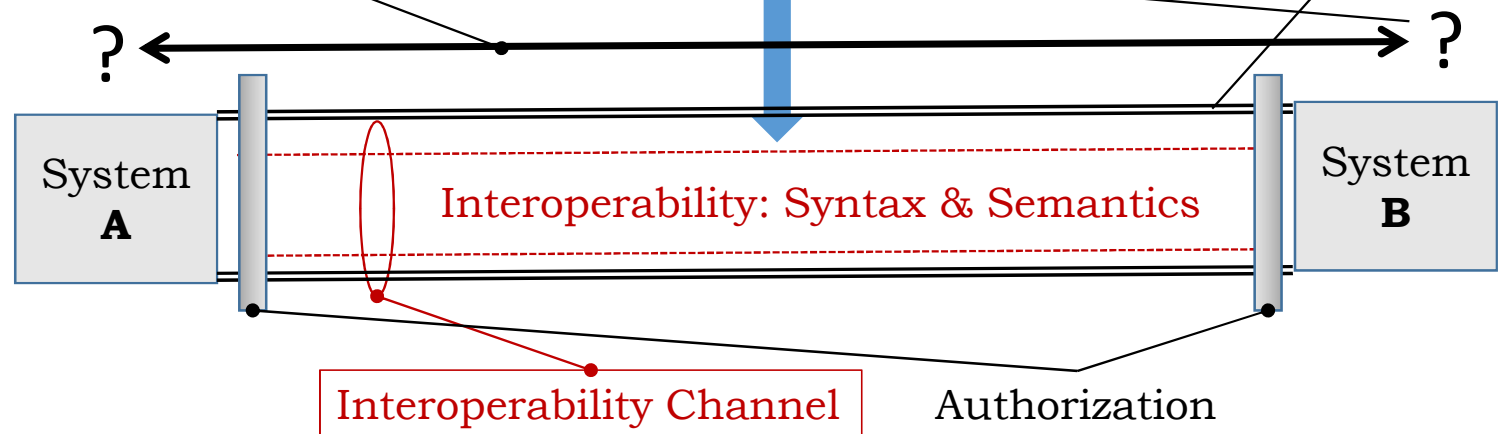
⇒ **Additional concerns**

Example: Secure Interoperability



Authentication

Secure Transmission



Orthogonality

Security Functionality:

Confidentiality,
Authentication,
Authorization,
Integrity,
...

Security functionality and exchange
functionality are **orthogonal**:

*Never mix the two types of
functionality!*

- not in models
- not in architecture
- not in design
- not in implementation

Information & Control Exchange Functionality:

Technical interoperability
Syntactic interoperability
Semantic interoperability
Applications interoperability

A5

Architecture Principle A5:
Interoperability

1. Precisely (formally) specify syntax and semantics in all interoperations
2. Whenever possible use formal contracts for the definition of interfaces
3. Whenever possible adopt and enforce accepted interoperability industry standards

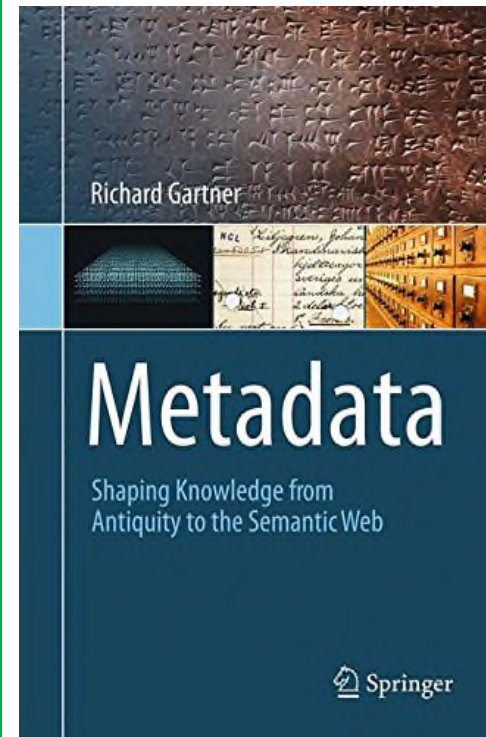
Justification: Successful, unambiguous interoperability is a key factor in today's distributed systems. Interoperability failures have severe consequences and are difficult to pinpoint. Formal contracts isolate the parts of the system.

Textbook



Tomas Erl et. al:
Web Service Contract Design and Versioning for SOA
 Prentice Hall, Inc., USA, 2008. ISBN 978-0-136-13517-3

Textbook



Richard Gartner
Metadata – Shaping Knowledge from Antiquity to the Semantic Web
 Springer-Verlag, Germany, 2016. ISBN 978-3-319-40891-0

A6

Architecture Principle A6:

Common Functions
(X-functions and X-data)

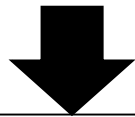
Horizontal Architecture Layer Principles:

- A1: Architecture Layer Isolation
- A2: Partitioning, Encapsulation and Coupling
- A3: Conceptual Integrity
- A4: Redundancy
- A5: Interoperability
- **A6: Common Functions**
- A7: Reference Architectures, Frameworks and Patterns
- A8: Reuse and Parametrization
- A9: Industry Standards
- A10: Information Architecture
- A11: Formal Modeling
- A12: Complexity and Simplification

A disturbing **dilemma**:

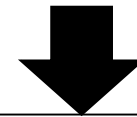
A₂

Partitioning



A₄

Redundancy



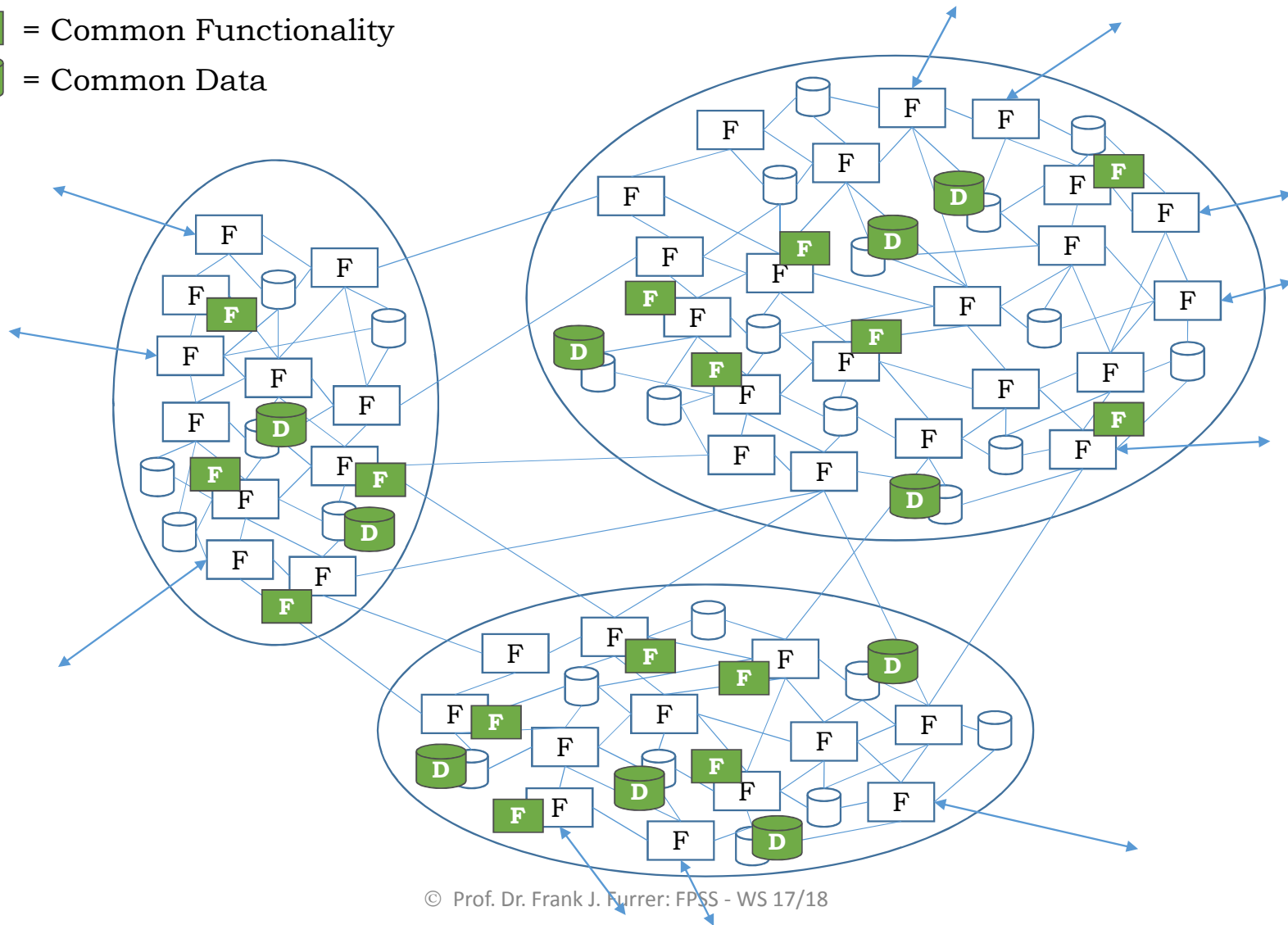
- Assign functionality & data to the **single** correct partition
- No unmanaged redundancy

What do we do if we need
the *same* functionality or data
in several partitions?



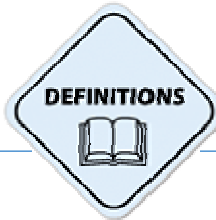
F = Common Functionality

D = Common Data



F = Common Functionality

D = Common Data



Common Functionality & Common Data/Information:

Functions or Data which are used in many parts of the system (and in different encapsulation units)

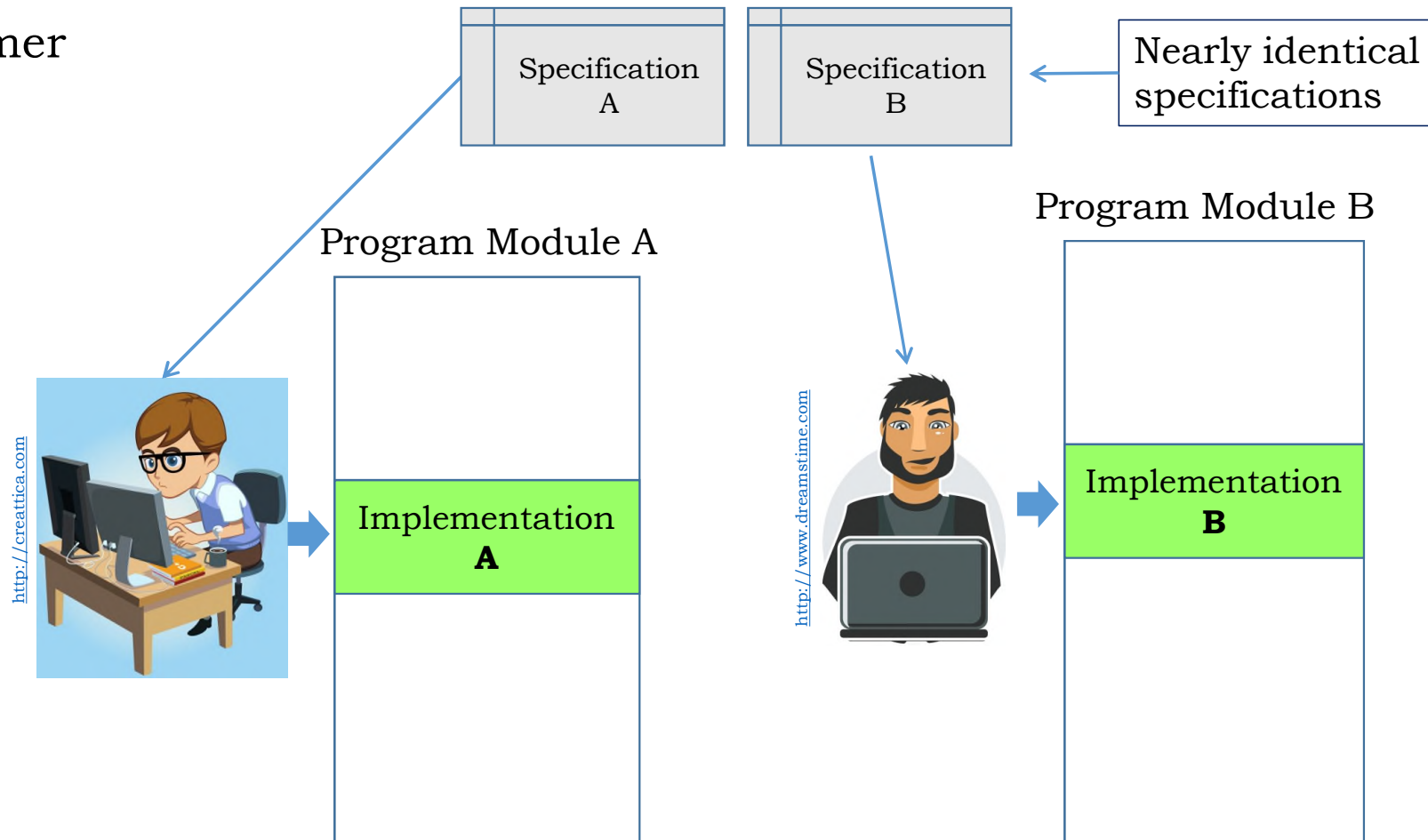
Danger:

- Break the partitioning rule (each function and data → exactly one partition)
- Generate unmanaged redundancy → divergence, inconsistency
- Risk performance problems → slow down, single points of failure

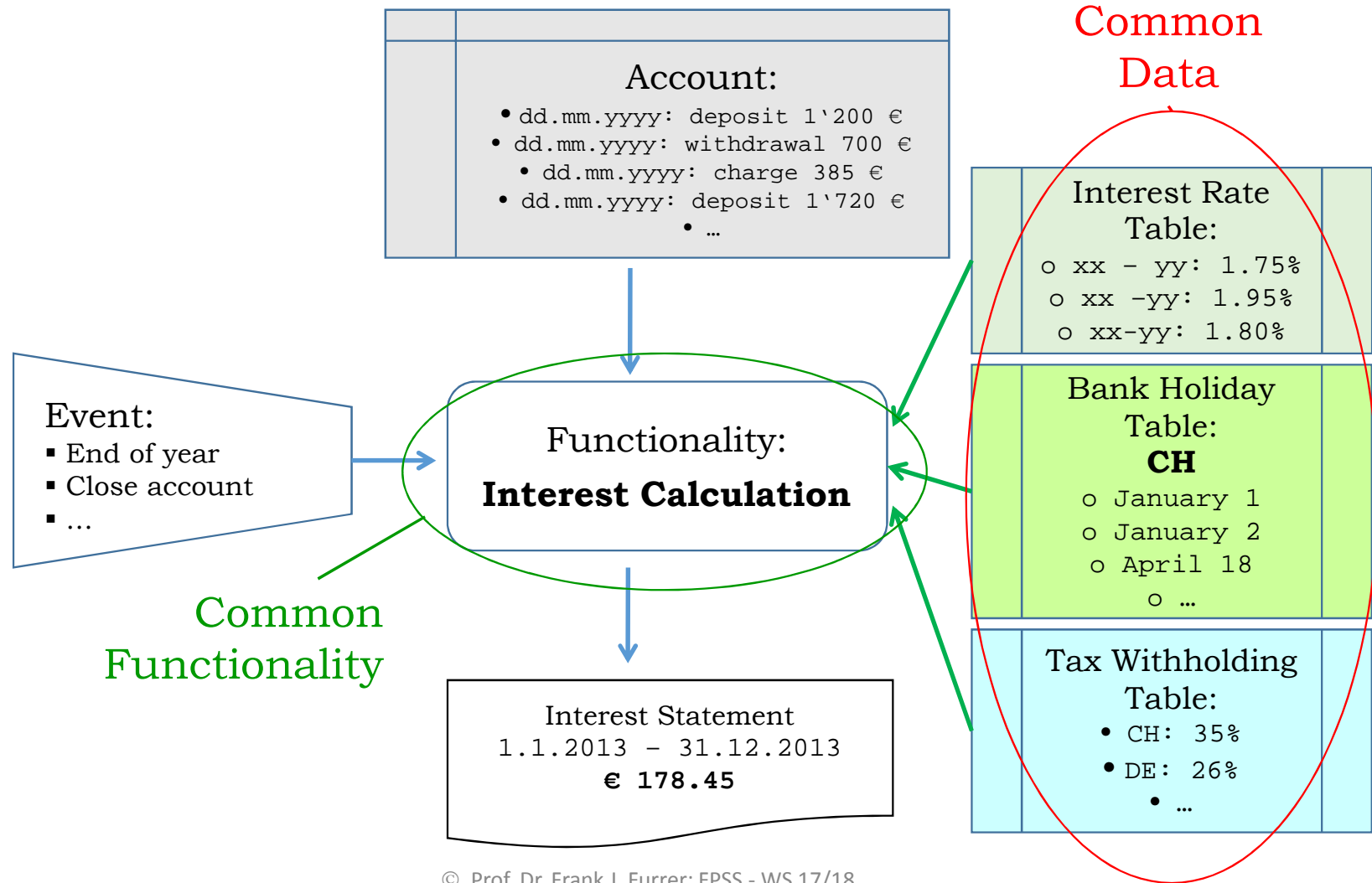


*CAUTION: Common functions can
infiltrate your system unnoticed!
... and they will*

Example: Programmer Action



Example: Interest Calculation

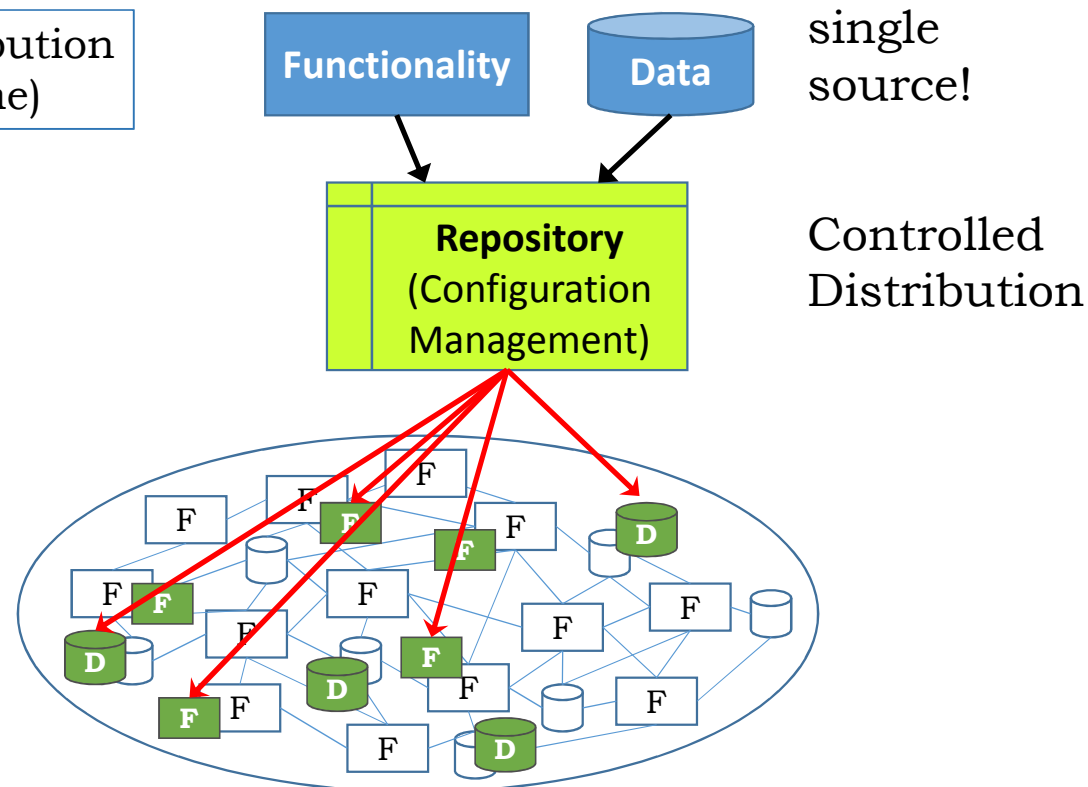


How can we deal with common functionality and data?

Identify, control and manage it!

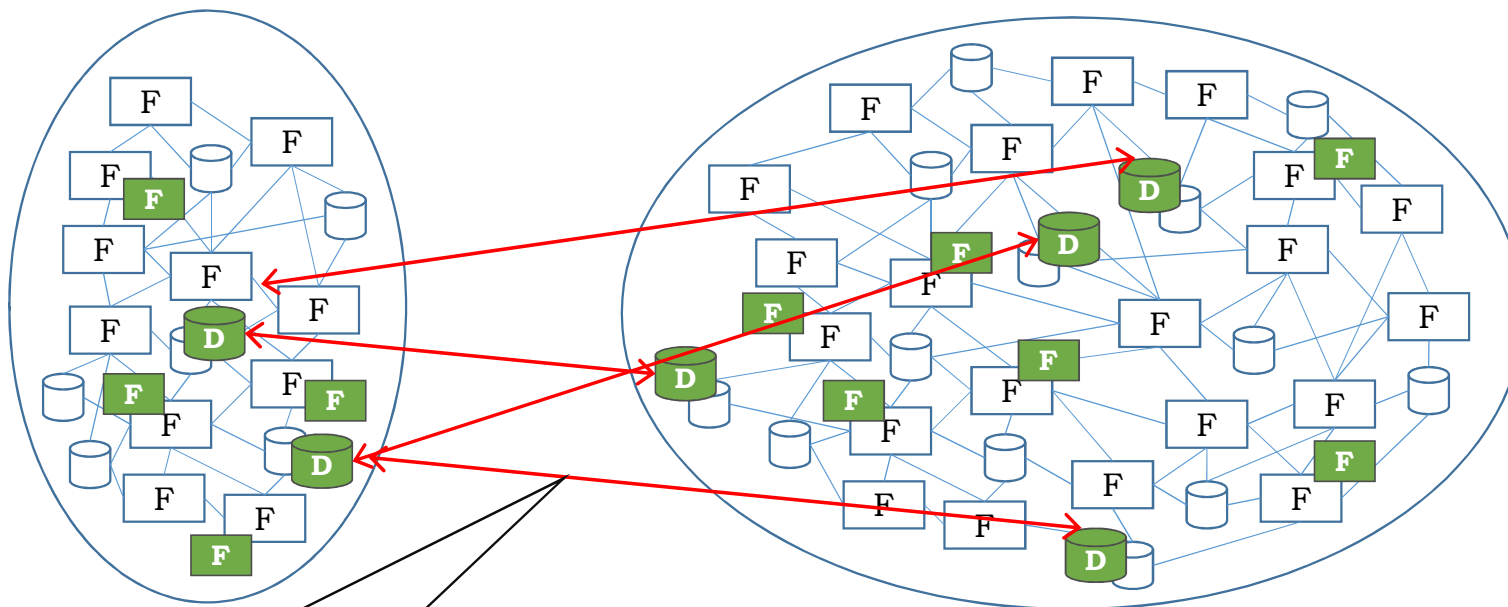
Managed Distribution
(At *Build Time*)

It is exactly known at
all times which
common functionality is
located where in the
system



How can we deal with common functionality and data?

Managed Synchronization (At *Run Time*)



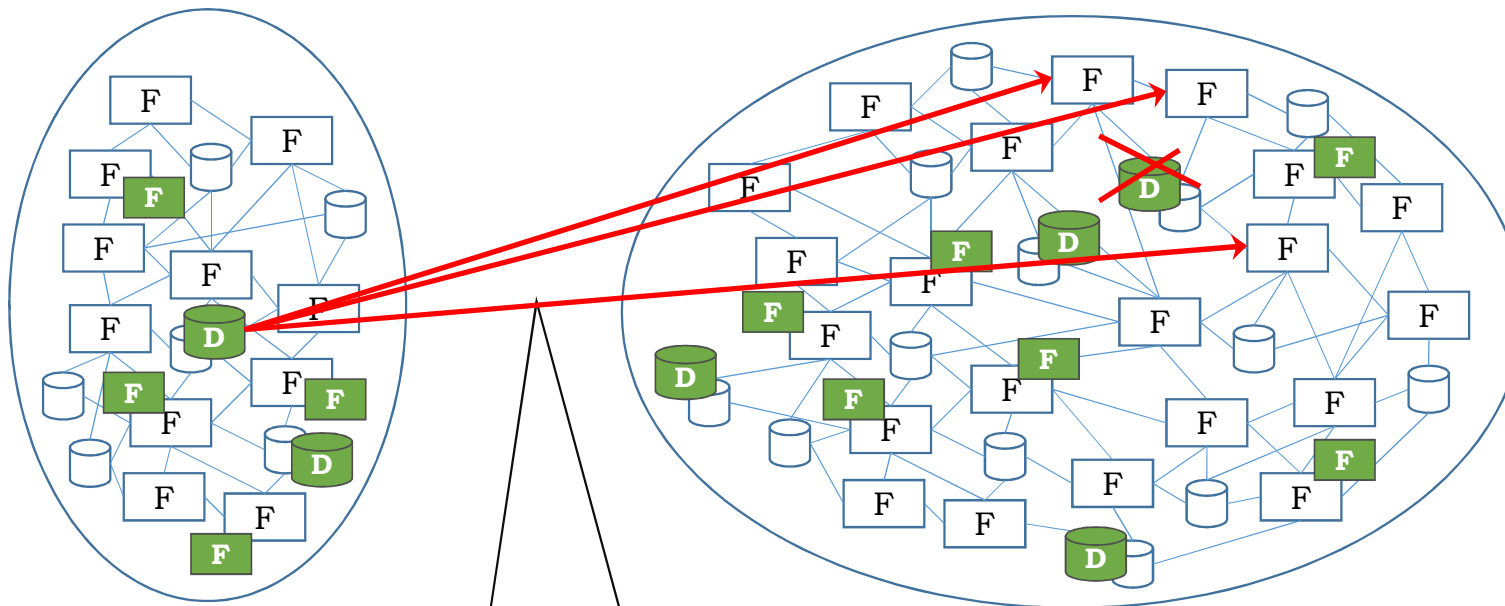
Real-time synchronization

- Content
- Update rate

All data is
correctly and
timely
synchronized
(= **Managed
redundancy**)

How can we deal with common functionality and data?

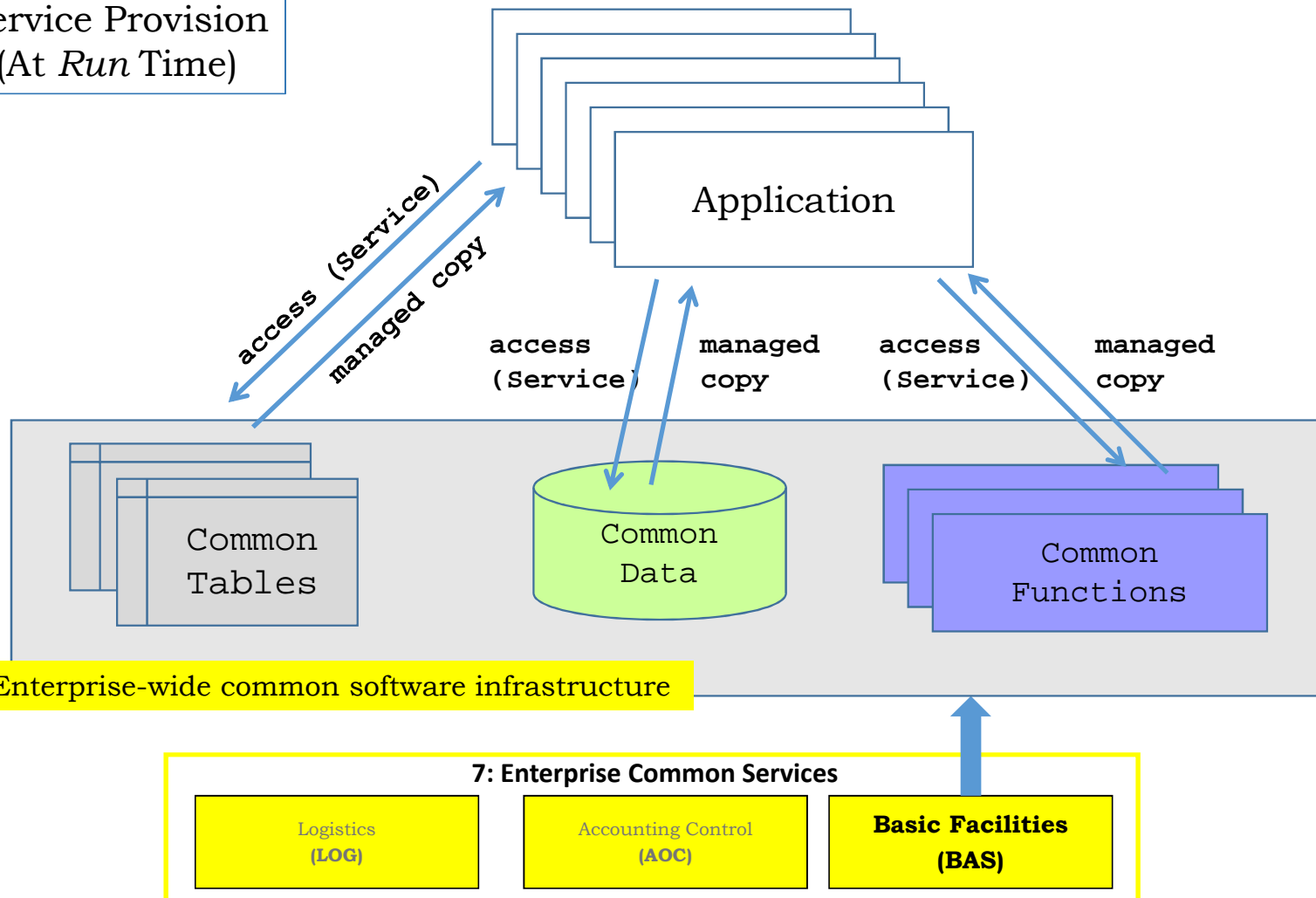
Service Provision
(At *Run Time*)



Provide Access Services

Common
functionality and
data are provided
via **services**

Service Provision
(At Run Time)



Common
functionality and
data are provided
via a ***enterprise-
wide software
infrastructure***

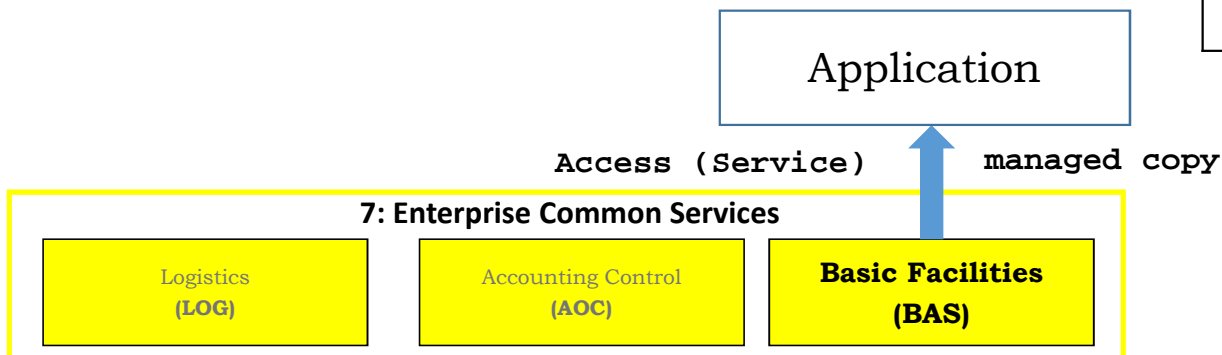
Example: Worldwide Bank Holidays

Common Data: Provide a list of bank holidays for any country and any year, such as 2017 for Cayman Islands

Provision: This function requires the maintenance of a (static) *table* containing all the bank holidays received from the respective local authorities

Bank Holiday 2012	Date
New Year's Day	Monday, January 2
National Heroes Day	Monday, January 23
Ash Wednesday	Wednesday, February 22
Good Friday	Friday, April 6
Easter Monday	Monday, April 9
Discovery Day	Monday, May 21
Queen's Diamond Jubilee	Monday, June 4
Queen's Anniversary	Monday, June 18
Constitution Day	Monday, July 2
Public Holiday	Wednesday, July 18
Remembrance Day	Monday, November 12
Christmas Day	Tuesday, December 25
Boxing Day/Family Day	Wednesday, December 31

List of Cayman bank holidays 2012
<http://www.bank-holidays.com>



A6

Architecture Principle A6:

Common Functions

1. Identify all common functions and common data (= cross-cutting concerns in an IT-architecture)
2. Provide managed solutions to all cross-cutting concerns, avoiding unmanaged redundancy
3. Whenever possible provide and enforce a company-wide software-infrastructure

Justification: Cross-cutting concerns (Common functions and data) have a high inherent risk to diverge and thus cause unmanaged redundancy or inconsistent implementations – which can be an unknown and serious danger to an IT-system (especially a large or very large IT-system)



Remember

Our objective is:

To build, evolve, and maintain
long-lived, mission-critical IT-systems
 with a strong dependability,
 an easy changeability,
 and a high business value.

A₁ ...

A₁₂

Part 3A: A1 - A6

