



## **Architecture** for Cyber-Physical Systems-of-Systems

Ringvorlesung TUD WS 2017/18  
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Prof. h.c. Dr. Frank J. Furrer

2015 (July 1): **Professor h.c.** of the Computer Science Department of the Technical University of Dresden (TUD)

2013/14: **Lehrbeauftragter** TUD Dresden

1975 -2011: **Industry-career** in industrial control systems and in system/software architecture for very large IT systems

1974: **Ph.D.EE** (Dr. sc. techn. ETHZ) from the Swiss Federal Institute of Technology, Zurich (ETH-Z)

1970: **MS** in Electrical Engineering 1970 from the Swiss Federal Institute of Technology, Zurich (ETH-Z)

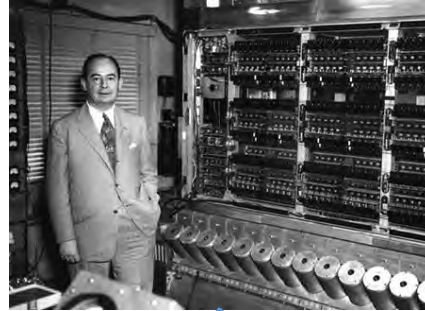
1945 (January 27): Born in Switzerland (Zurich)

[frank.j.furrer@bluewin.ch](mailto:frank.j.furrer@bluewin.ch)

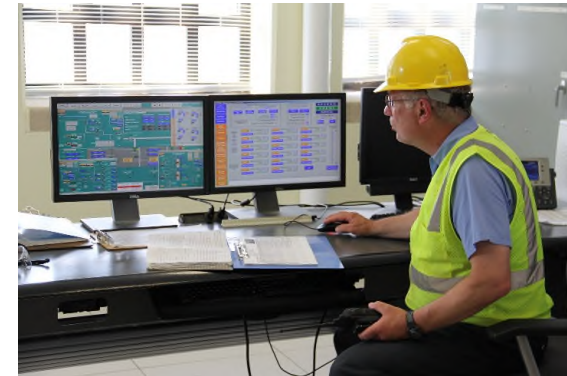
[frank.furrer@mailbox.tu-dresden.de](mailto:frank.furrer@mailbox.tu-dresden.de)



**1951:** John von Neumann at the Princeton Institute for Advanced Studies [IAS Computer]

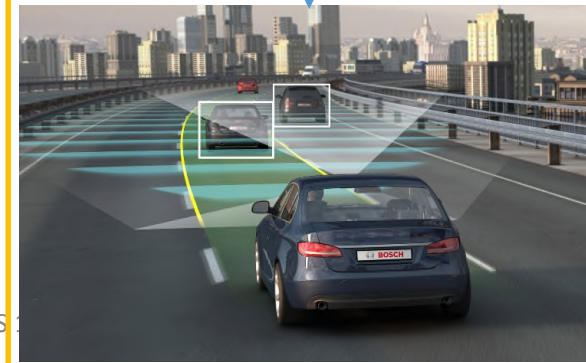


Enterprise Computing



Computing for Control

e-Business



Cyber-Physical Systems

## Content:

### **Part 1: Definitions**

- Introduction
- Cyber-Physical Systems (CPS)
- Cyber-Physical Systems-of-Systems (CPSoS)

### **Part 2: CPSoS Opportunities & Risks**

- CPSoS Opportunities
- CPSoS Risks

### **Part 3: CPSoS Architecture for Dependability**

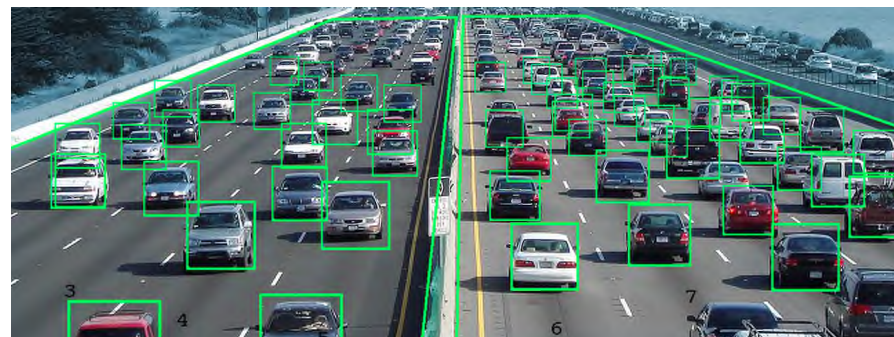
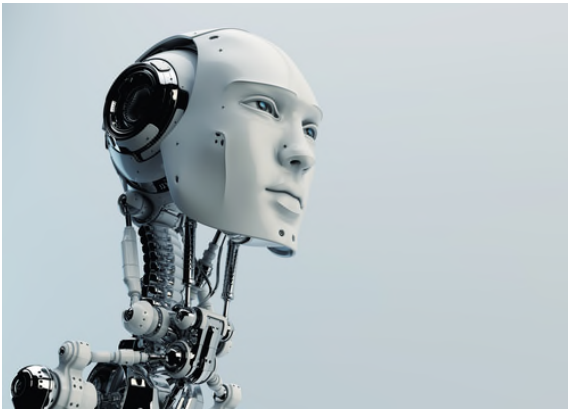
- CPSoS Architecture Framework
- CPSoS Dependability Engineering

*Recommended Reading*

## Introduction



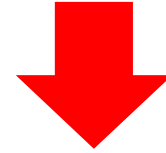
Most of today's interesting systems are Cyber-Physical Systems-of-Systems (CPSoS)



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They give us phantastic  
**chances**



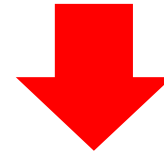
... but also introduce significant  
**risk**



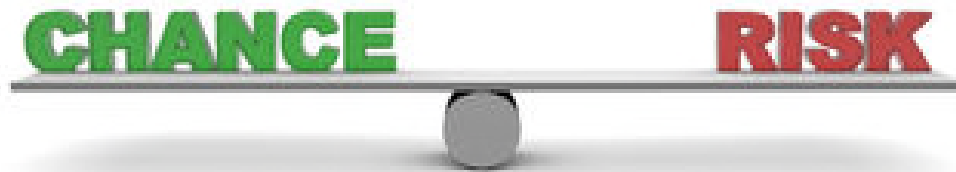
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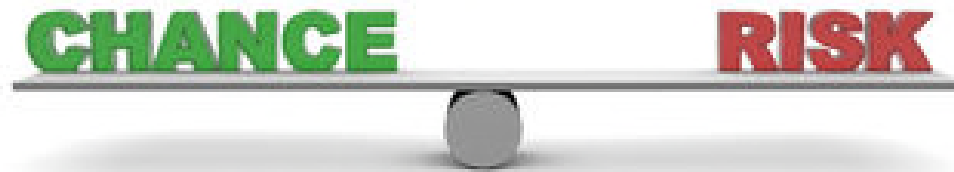


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As **systems engineers**  
we must **ensure**  
a **fair balance** between chances and risks





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Today much of the functionality is  
implemented in **software**



We must engineer  
and implement  
**Dependable Software**



## Dependable Software

The strong foundation for Dependable Software are  
an adequate **system architecture**  
and proven architecture principles

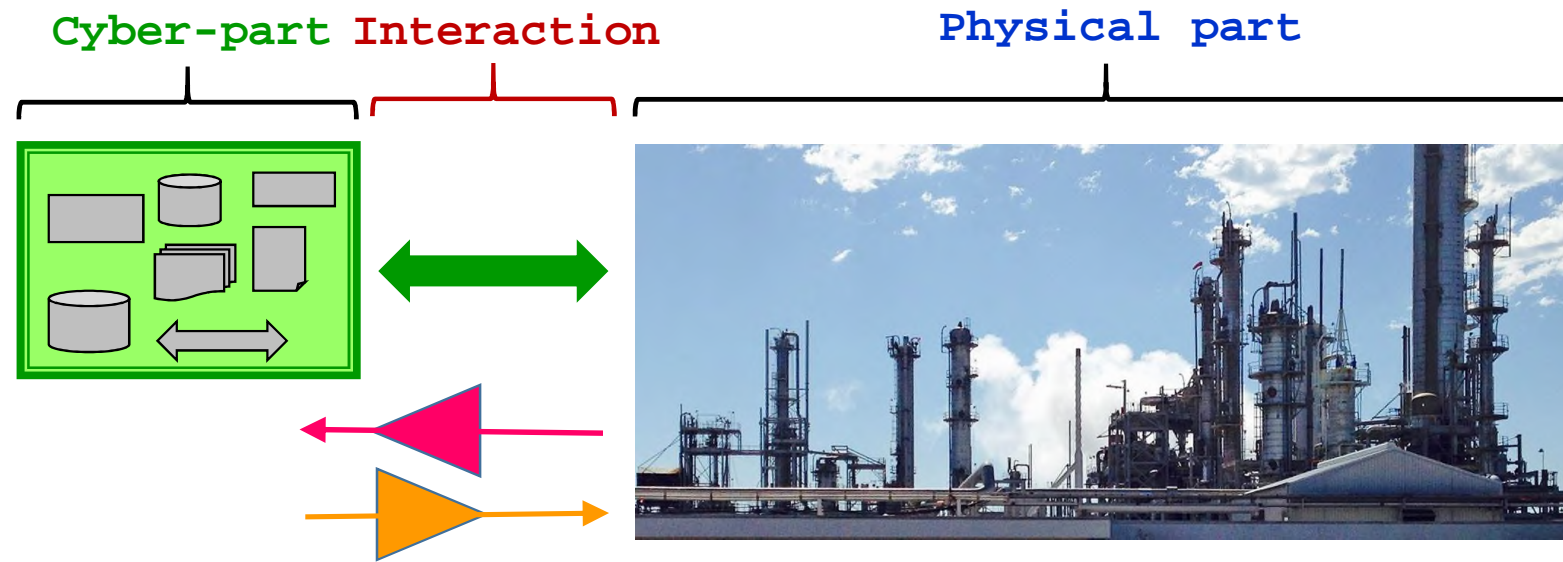
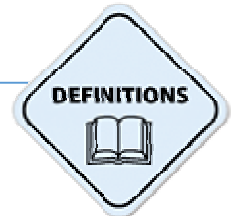
Architecture for  
**dependable** cyber-physical  
systems-of-systems

## Cyber-Physical Systems (CPS)

## Cyber-Physical System (CPS)

A **cyber-physical system** (CPS) consists of a computing device interacting with the physical world in a feedback loop

[Rajeev Alur, 2015]



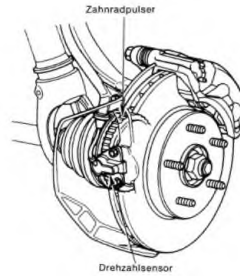
**Sensors:** Read plant information

**Actuators:** Control plant

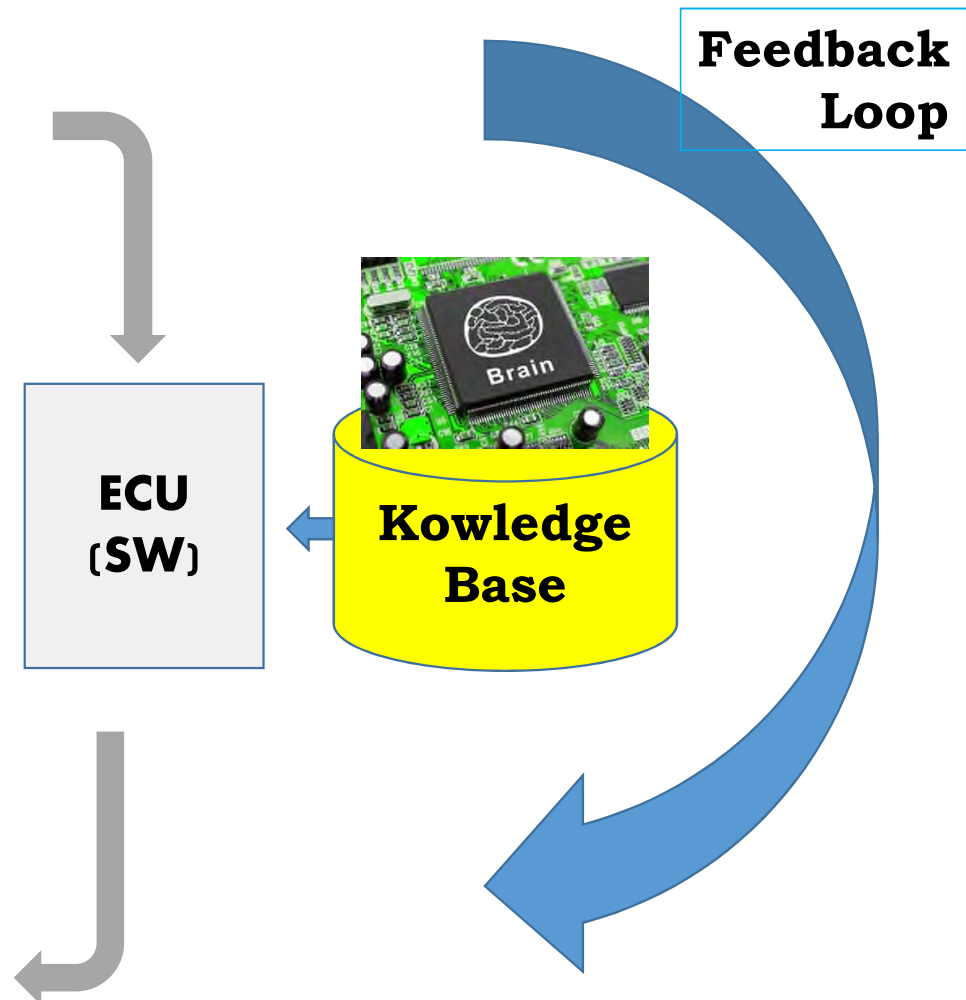
<http://www.etemaadaily.com>

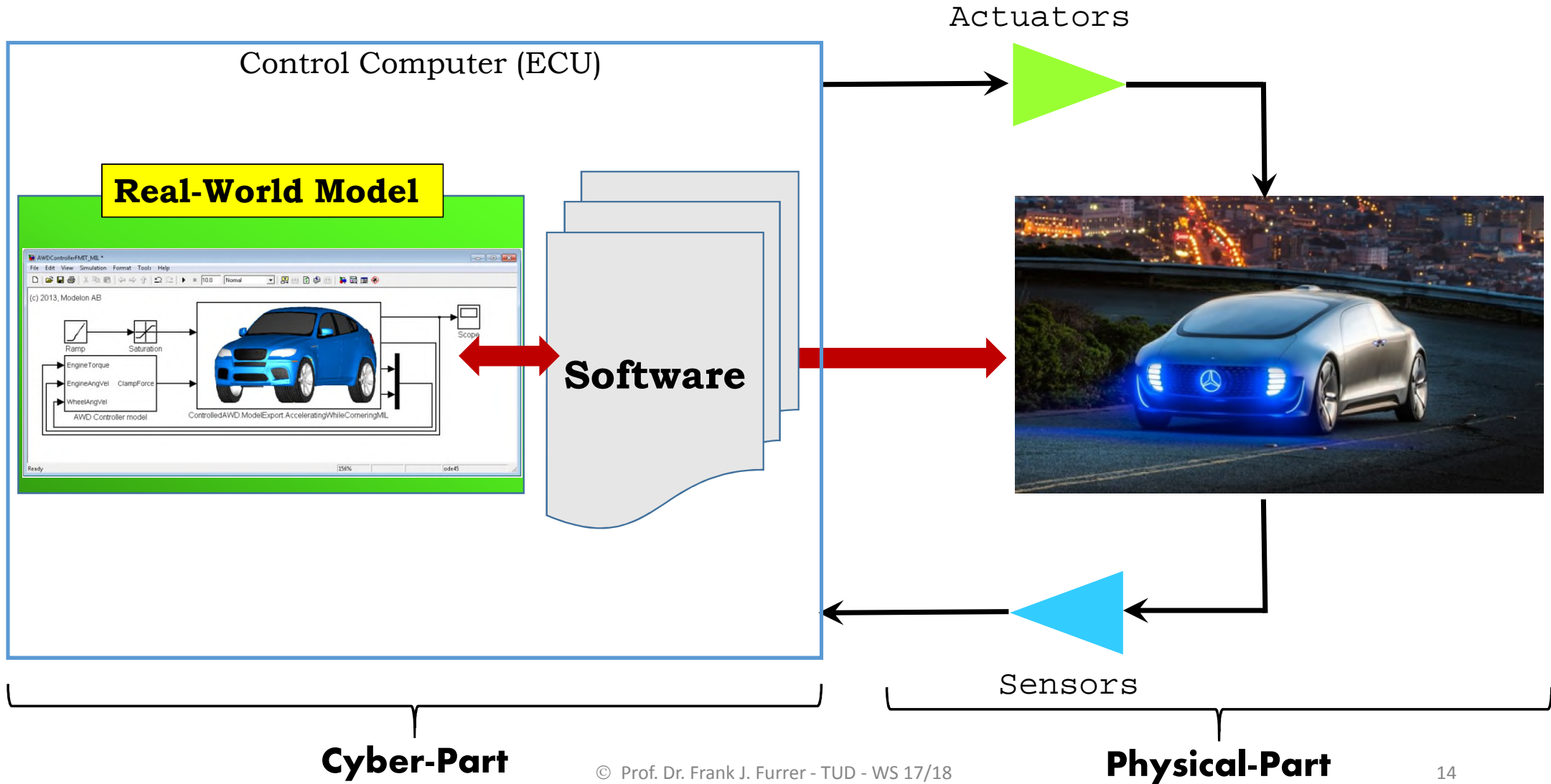


## CPS-Example: ESC



<http://www.polizeiticker.ch>

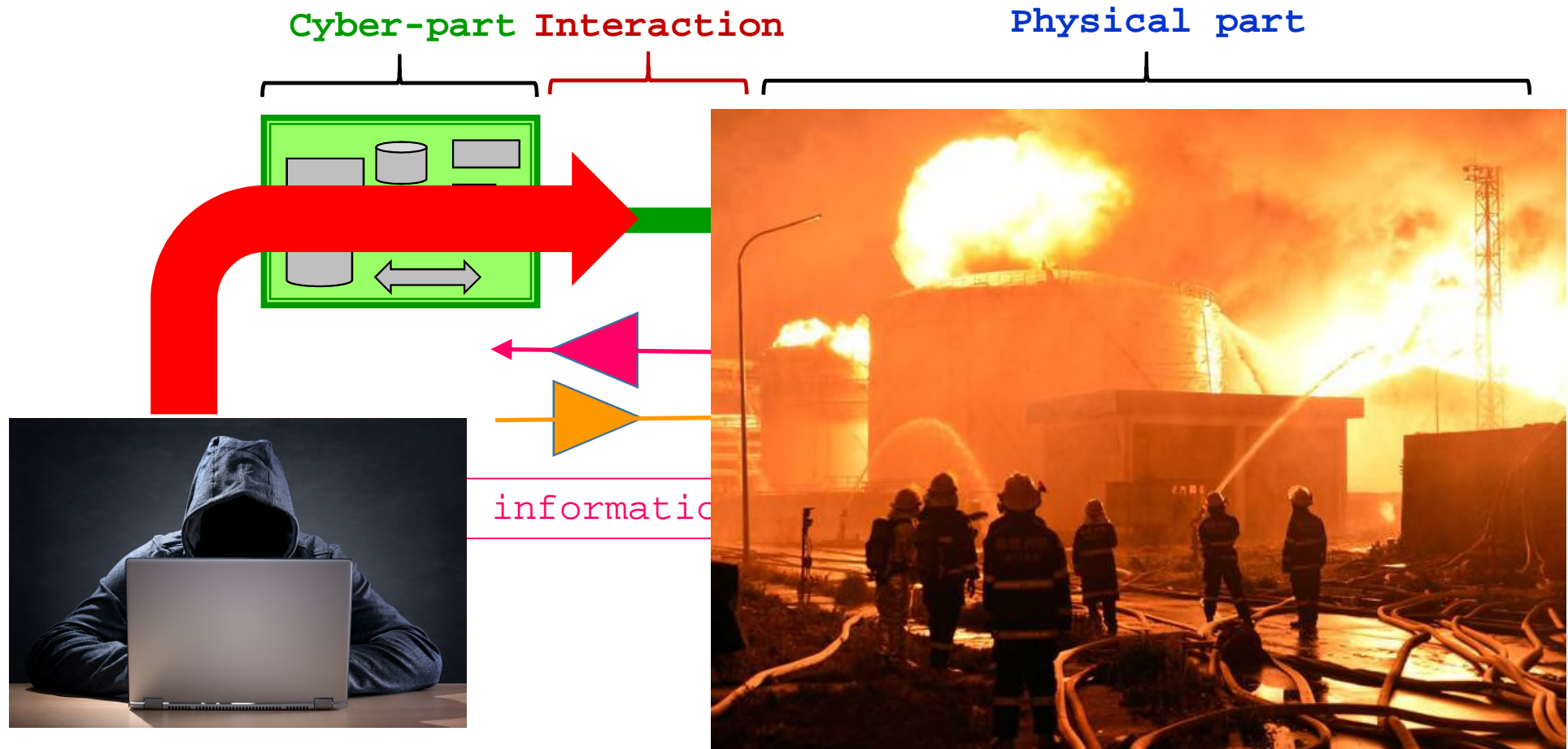




http://www.modelon.com

http://cdn1.alphr.com

## Risk: Cyber-Physical Attacks

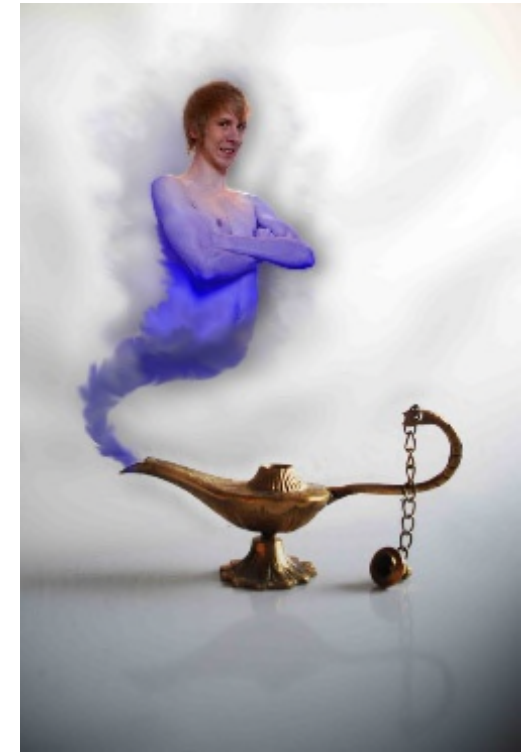
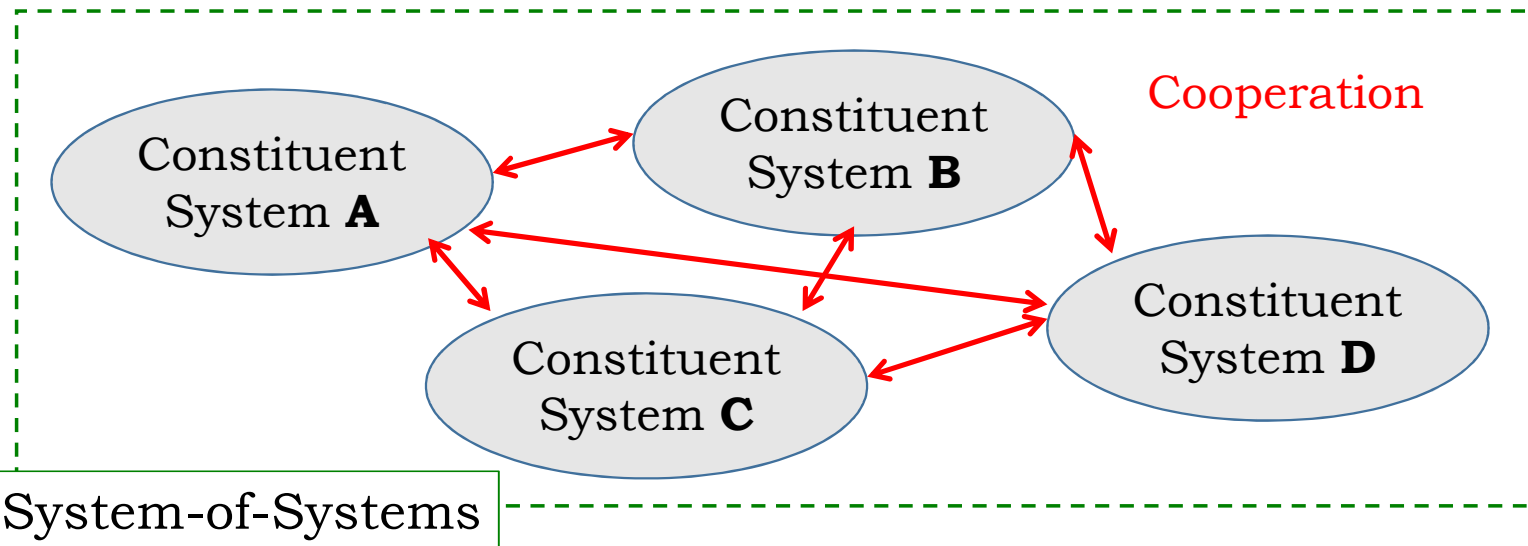


## Cyber-Physical Systems-of-Systems (CPSoS)



Cyber-Physical Systems (CPS) ✓

Cyber-Physical Systems-of-Systems (CPSoS)



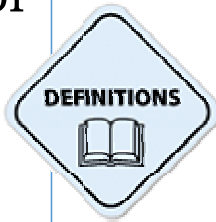
New capability, emergent property

## System-of-Systems (SoS)

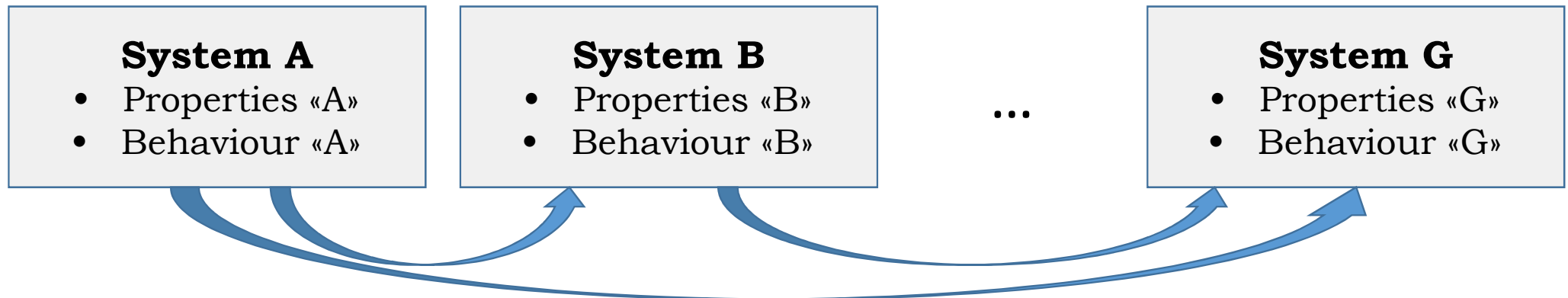
A **system of systems (SoS)** brings together a set of *cooperating systems* for a task that none of the systems can accomplish on its own (= emergent property).

Each constituent system keeps its own management, goals, and resources while coordinating within the SoS and adapting to meet SoS goals.

ISO/IEC/IEEE 15288 Annex G



New capability: emergent *property* & emergent *behaviour*



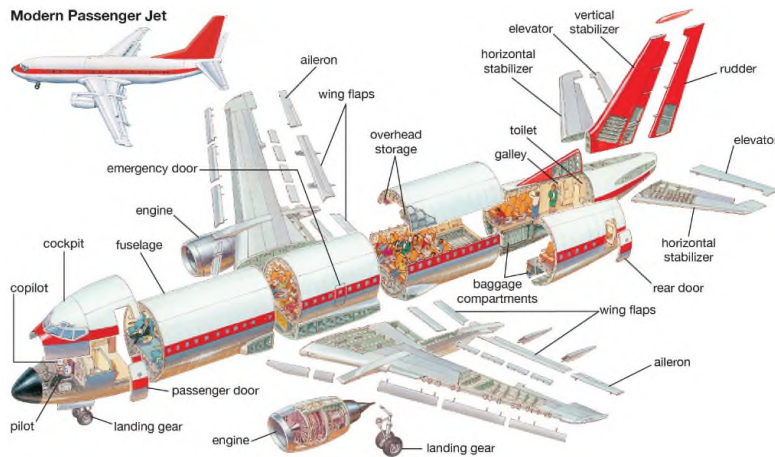
Agreed Collaboration: **SoS Goal**



New, desired property or behaviour  
**NOT**  
available from the individual constituent systems



## Example 1: Emerging Properties: „flying“



### Constituent systems (CS) of an aircraft:

- engines
- body
- wings
- cockpit
- etc.

... none of the constituent systems is able to fly !

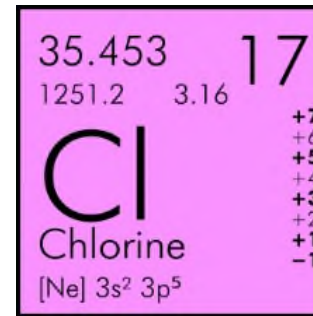
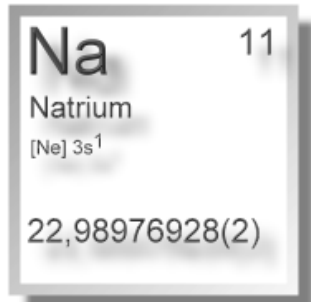
Assemble the essential constituent systems:

**Emerging property:** the assembly (= airplane) is able to **fly** !



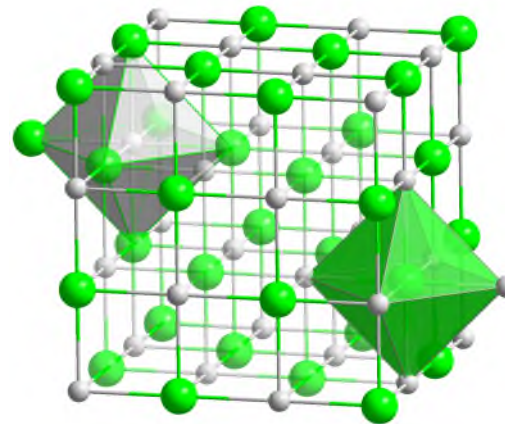


**Example 2: Emergent Properties (NaCl)**



Constituent systems:

Na  
Cl



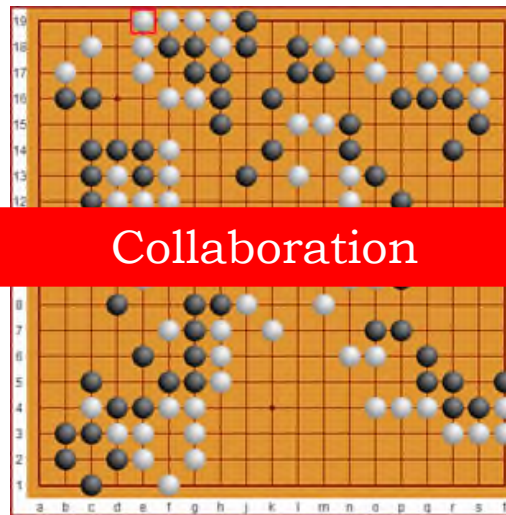
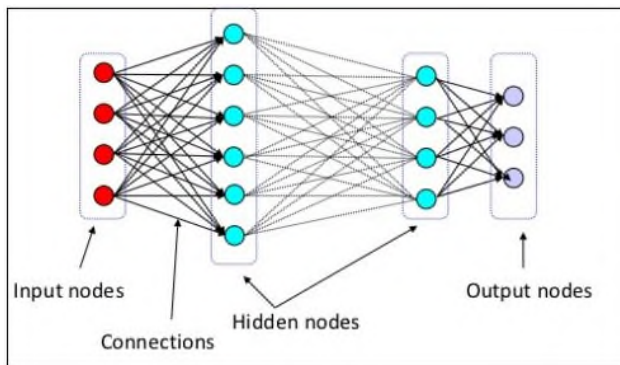
■ Na<sup>+</sup>    ■ Cl<sup>-</sup>

Table Salt  
(= Natriumchloride NaCl)

**Example 3:** Emerging properties: „AlphaGo Zero“

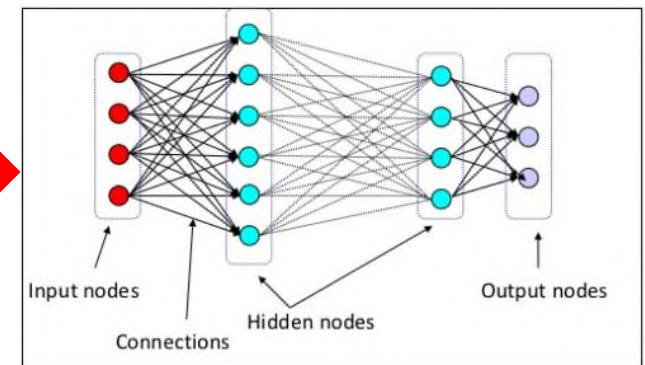
AlphaGo's team published an article in the journal Nature on 19 October 2017, introducing **AlphaGo Zero**, a version created without using data from human games, and stronger than any previous version

Constituent System **A**



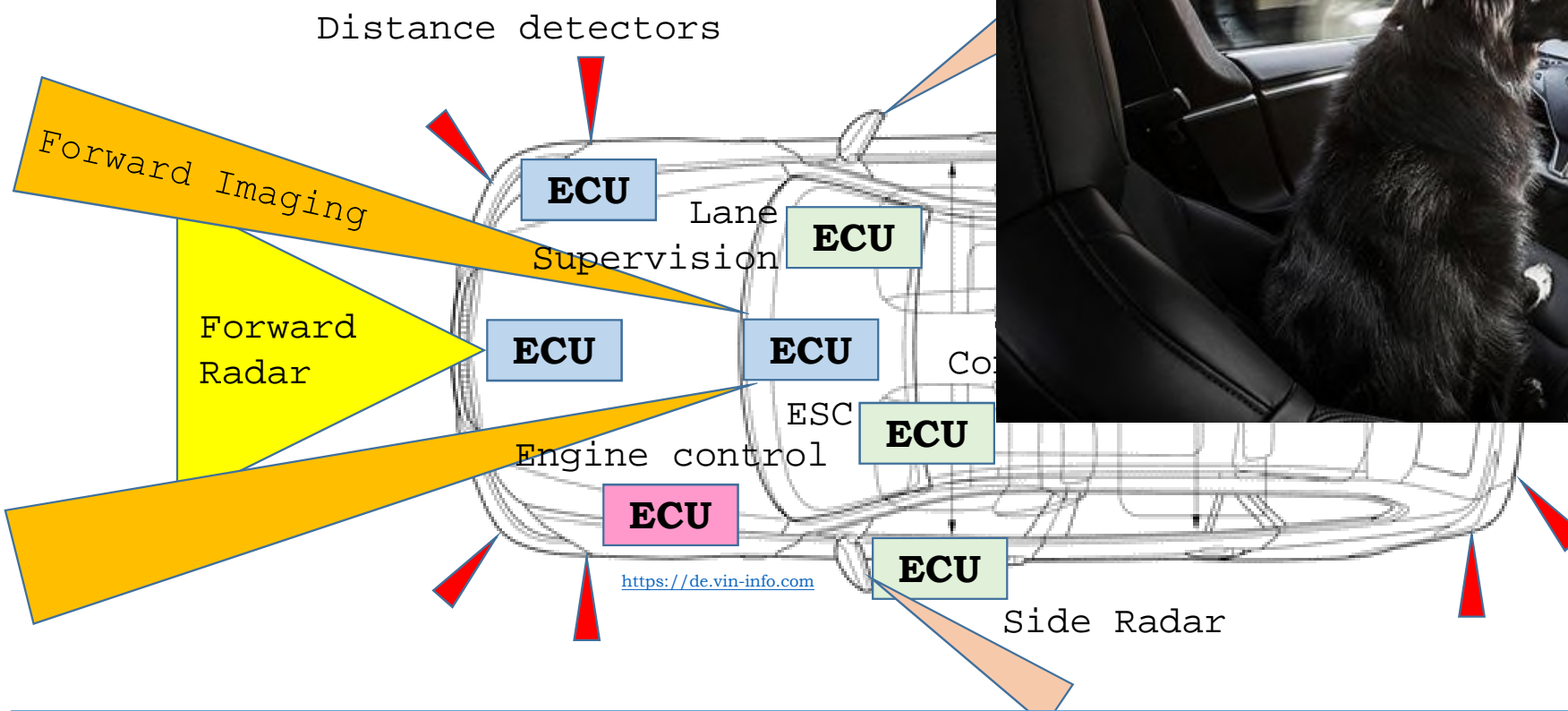
Collaboration

Constituent System **B**



AlphaGo Zero is so powerful because it is "*no longer constrained by the limits of human knowledge*" [Demis Hassabis, 2017]

## Example 4: Emerging Property «Autonomous»



<https://hips.hearstapps.com>

A modern high-end car contains more than 100 networked ECUs (Electronic Control Units)

**Example 5:** Emerging Property «Runway Accident»

<http://avioners.net/2011/03/airbus-a319-approach-to-landing.html>



Constituent systems:

- Airplane (DC-8)
- Airport (Runway)

October 8, 1979: Swiss Air Flight 316 overran the Athens runway – 14 deaths



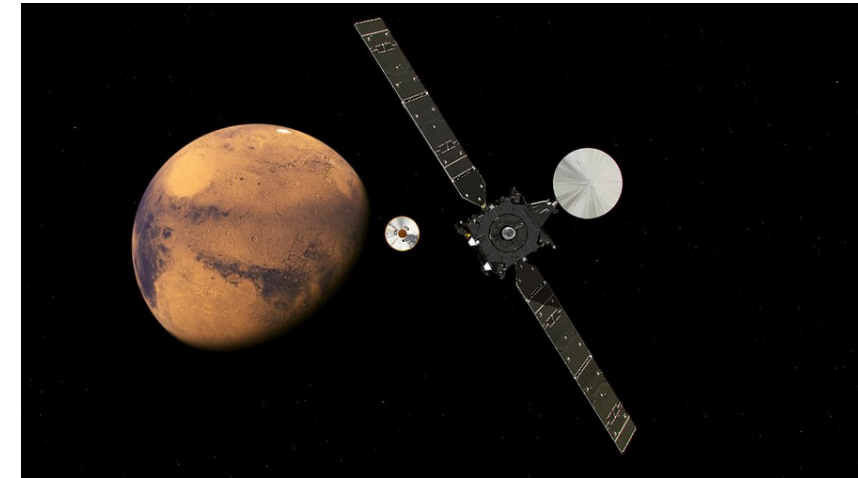
**Cause:** „Interface“ between the runway and the airplane

- Landing when braking action is less than good
- Crew mistakes



**Example 6:** Emerging Property «Mars Lander Crash»

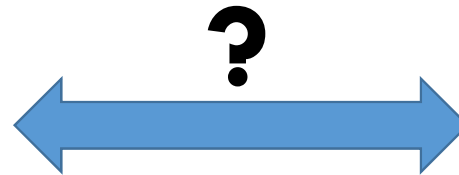
19. October 2016:  
The Mars Lander «**Schiaparelli**»  
crashes to the ground



<http://www.aergerzeitung.ch>

Radar-  
Altimeter

<https://de.wikipedia.org>







<http://www.militaryaerospace.com>

Navigation  
Computer

Software **Interoperability** problem between  
Radar-Altimeter and Navigation Computer in the Lander



SoS Emergent Behaviour Classification

<b>Emergence</b>	Desirable/positive	<b>Undesirable/negative</b>
<p><b>Expected</b> emergent behavior</p>	<p>Reason for building the SoS (<b>SoS objective</b>)</p> 	<p><b>Mitigate</b> by appropriate design measures, such as threat/risk analysis and countermeasures</p> 
<p><b>Unexpected</b> emergent behavior</p>	<p>Sometimes (however, quite rarely) an SoS shows unexpected, <b>beneficial behaviour</b></p> 	<p><u>Unexpected</u> &amp; <u>undesirable</u> negative emergent behavior is one of the <b>critical risks</b> of most SoS</p> 

Mark W. Maier:  
**SoS** Criteria

Monolithic systems  $\leftrightarrow$  Systems-of-systems:

1. Operational Independence of the Elements

2. **Managerial Independence of the Elements**

3. Evolutionary Development

4. **Emergent Behaviour**

5. Geographic Distribution

[5 Maier criteria, 1998]

**Governance**

**Total = more  
than the sum of  
its parts**

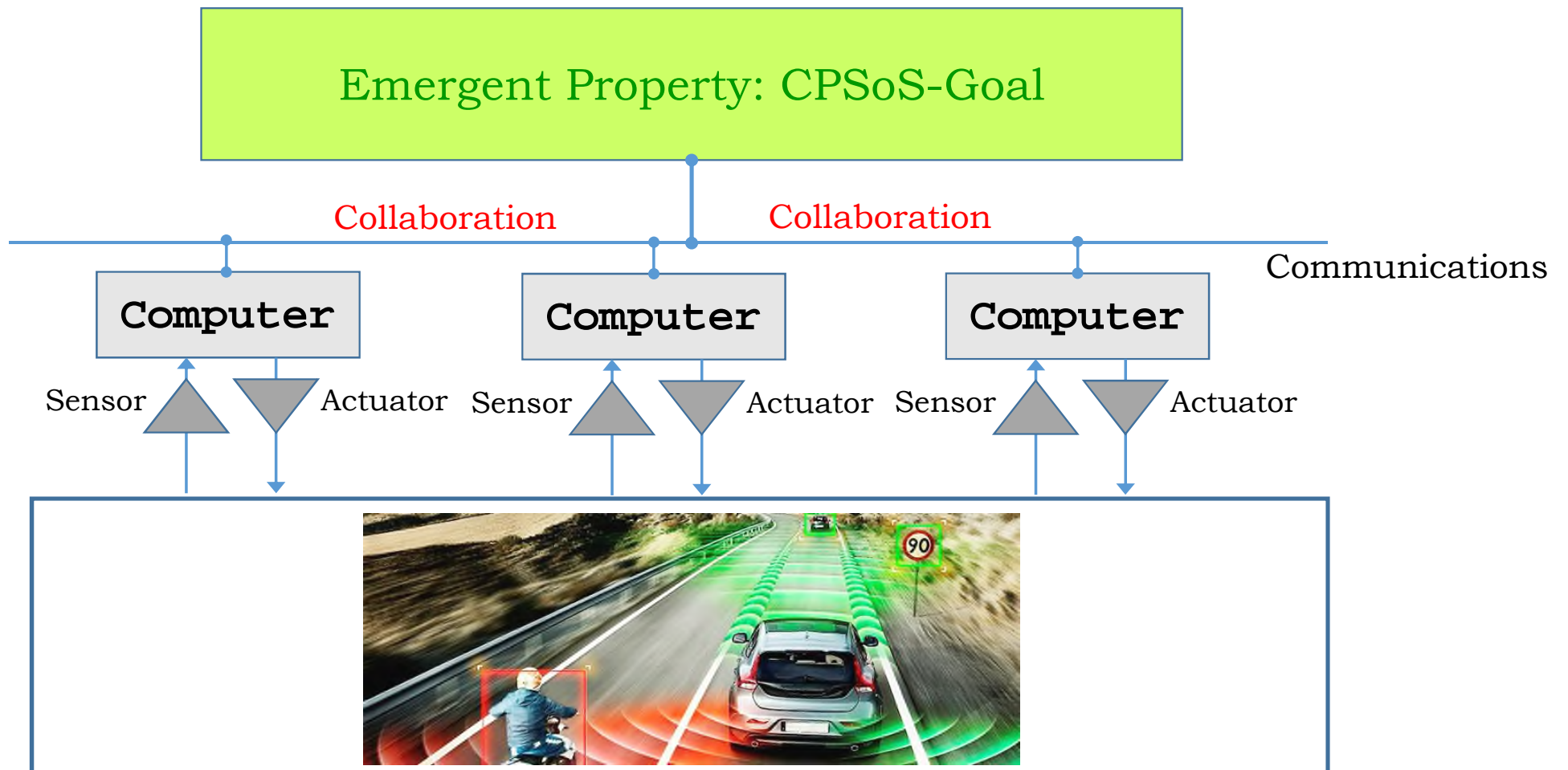


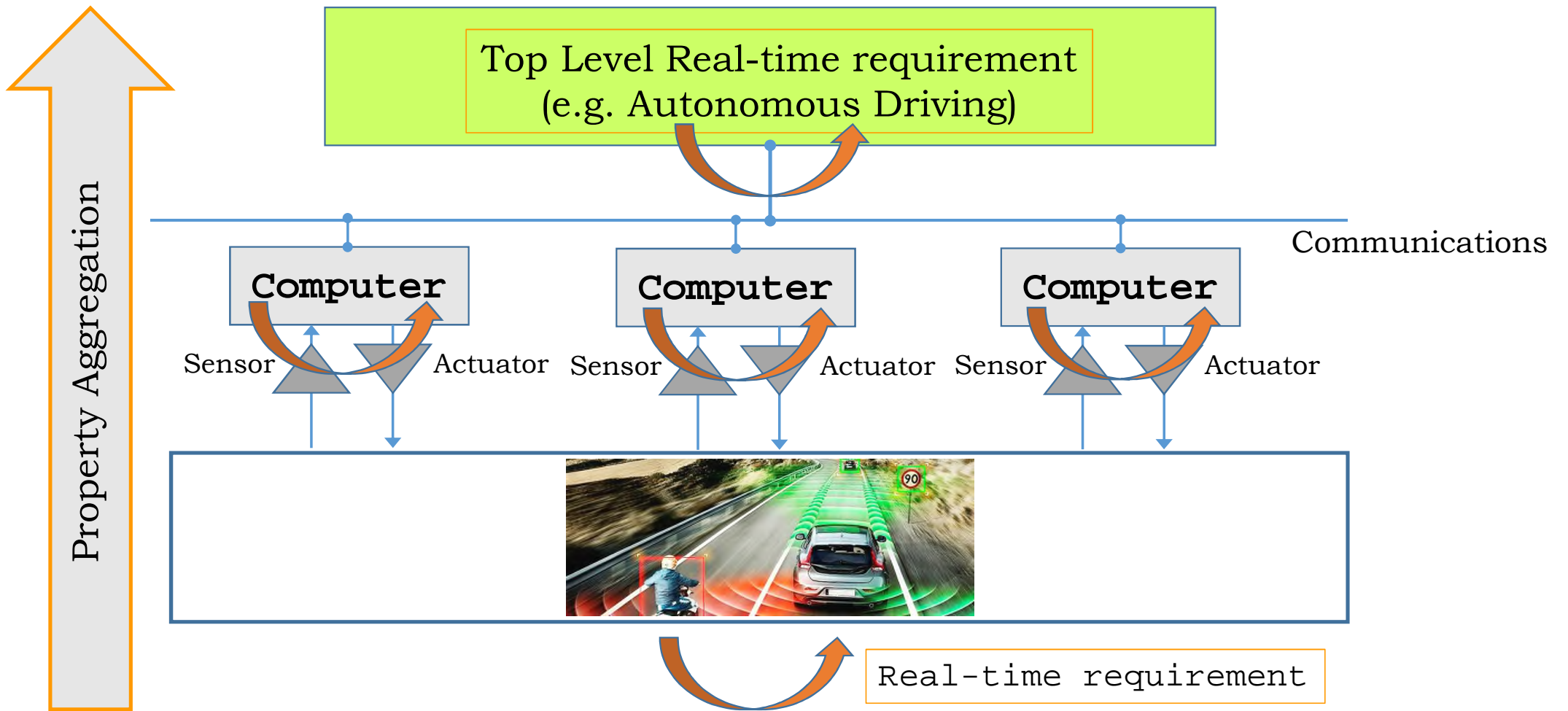
**Collaboration**

**Collaboration  
Contract**

- functional
- operational
- commercial
  - legal

## Cyber-Physical System-of-Systems (CPSoS)





**CPSoS Example: Roborace [Unmanned Automobile Racing]**



24 mechanically identical cars / 12 teams / F1-race circuits

**NO drivers**

Fully electric cars,  $V_{\max} = 300 \text{ km/h}$

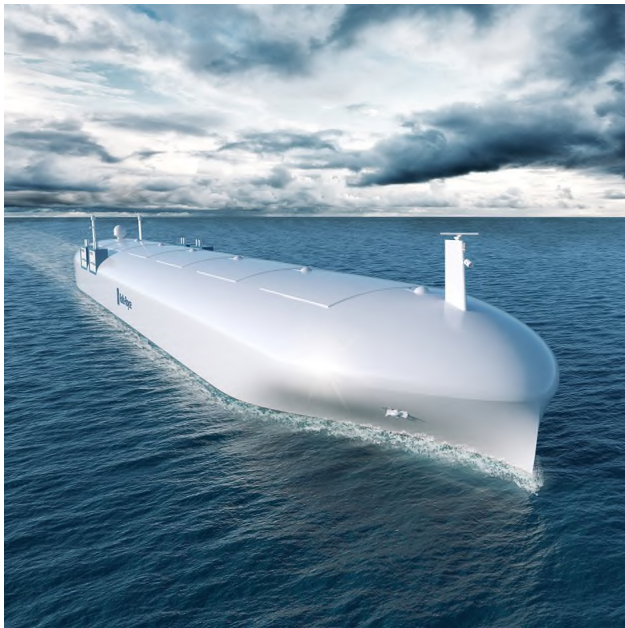


**Winner: Cognitive and autonomic CPSoS-SW (24-Teraflops-Computers on-board)**



## CPSoS Opportunities

CPSoS enable tremendous opportunities – *today and tomorrow*

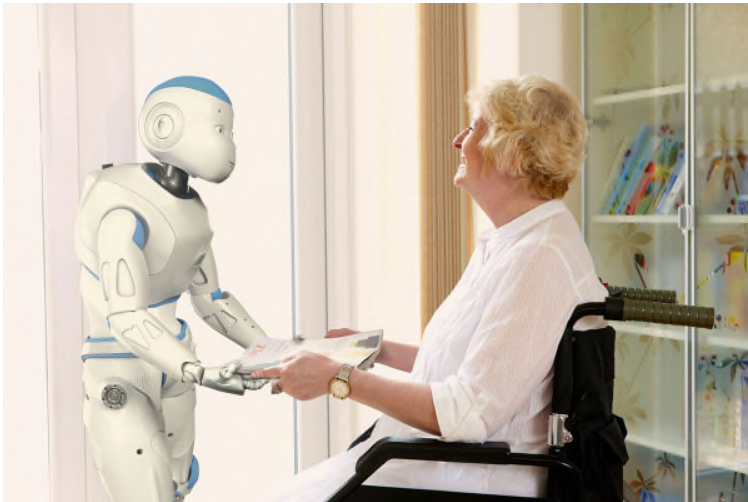


CPSoS allow us to:

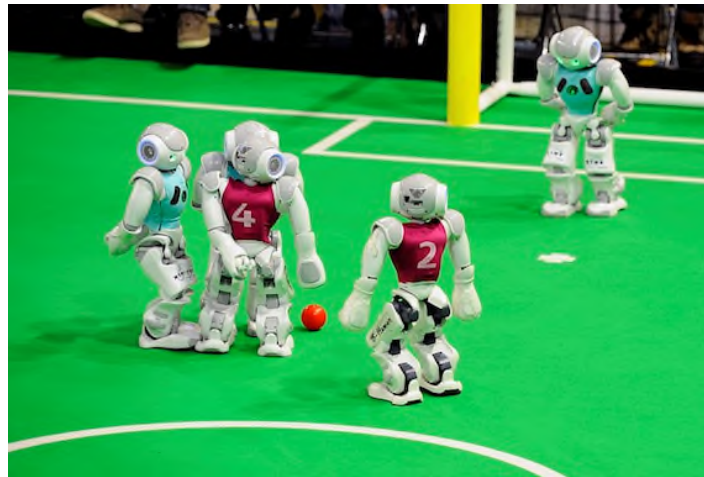
- Build and manage *highly complex* systems
- Build systems which interact and control *real world* systems
- Build and manage systems which could not be managed by people
- Make *optimum usage* of natural and technical resources (Energy, pollution, traffic space, electromagnetic spectrum, water, ...)
- Increase the *safety* and *security* of technical infrastructure
- Use the support of *artificial intelligence* in real-world situations
- ... and more



Humans will have to learn to **cooperate** with CPSoS's:



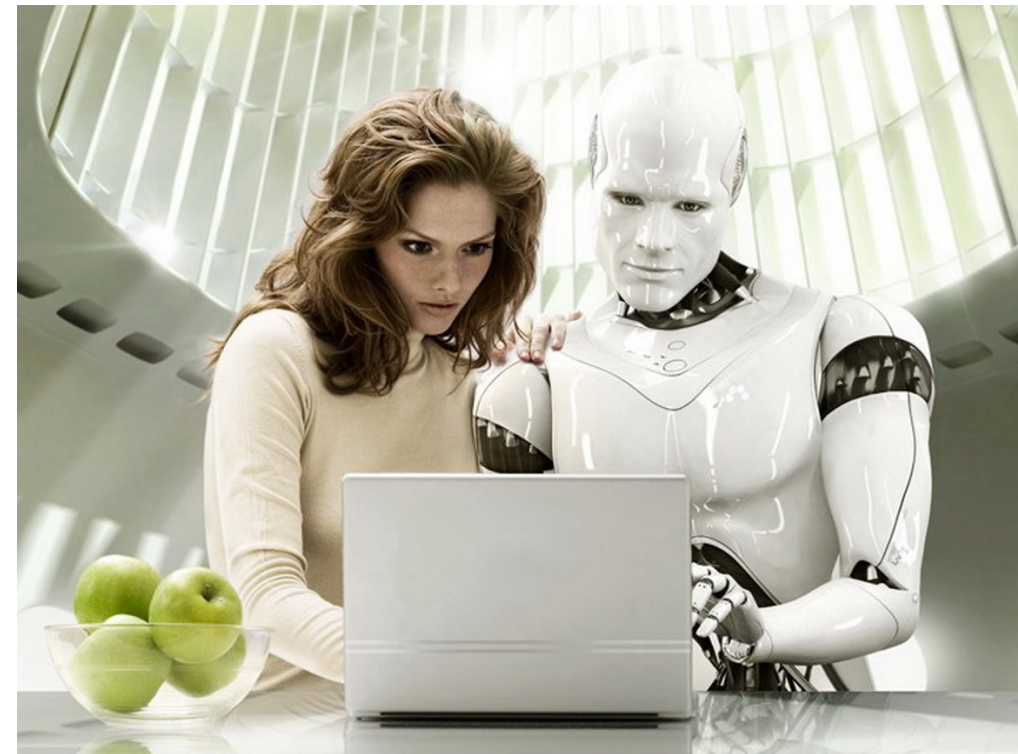
<http://public.media.smithsonianmag.com>



<https://www.hrw.org>



CPSoS's – in various forms – will become **partners** for humans:





## CPSoS Risks

CPSoS Risks

Technical Risks

Risks for Society

- Unemployment

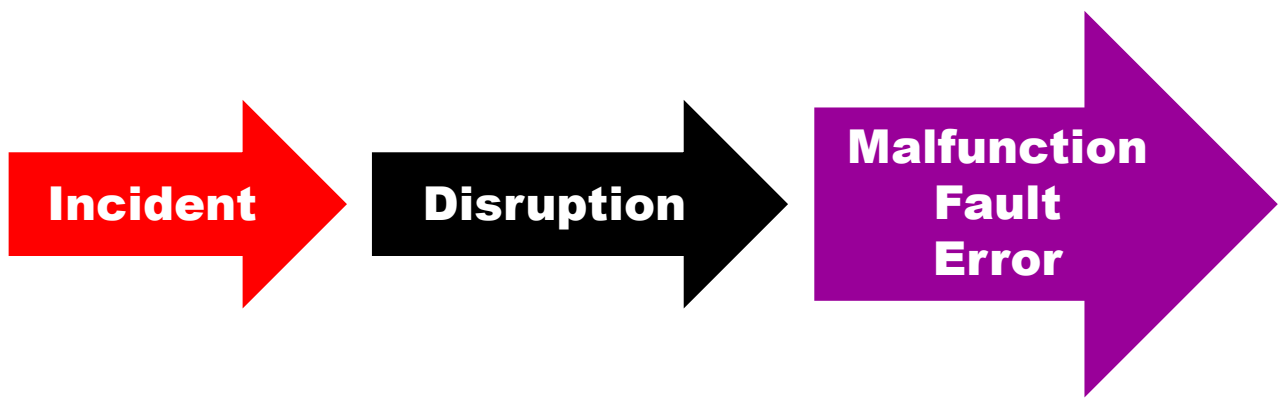
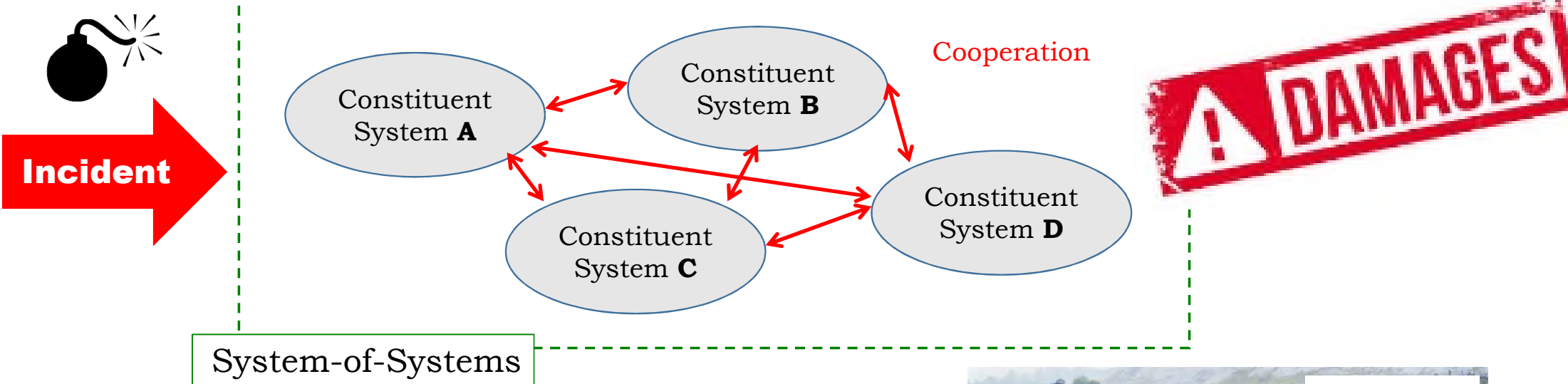
- Identity theft

- etc.



**Blackout**





## CPSoS Risk Example 1: Unauthorized Access

### theguardian

Thu 31 Aug '17: Hacking risk leads to recall of 500,000 pacemakers due to patient death fears

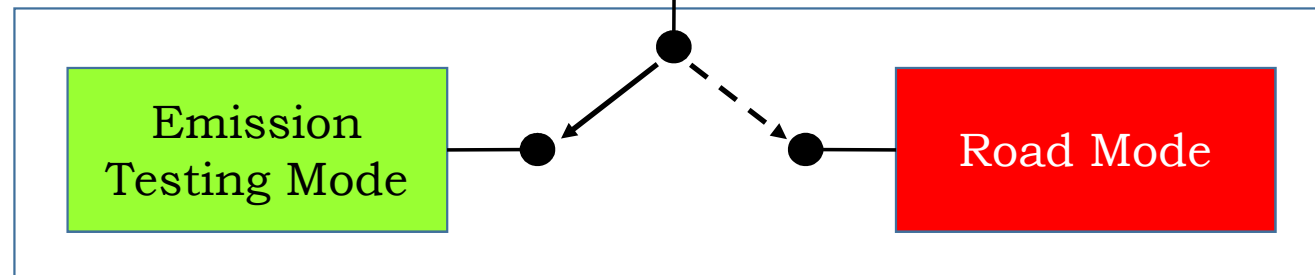


The FDA says that the *embedded software vulnerability* allows an unauthorised user to access a device using commercially available equipment and reprogram it.

The hackers could then deliberately run the battery flat, or conduct “administration of inappropriate pacing”. Both could, in the worst case, result in the death of an affected patient.

<https://www.theguardian.com/technology/2017/aug/31/hacking-risk-recall-pacemakers-patient-death-fears-fda-firmware-update>

## CPSoS Risk Example 2: Emission Cheating





### CPSoS Risk Example 3: NFC intervention

naked security by SOPHOS



Android NFC hack lets subway riders evade fares

**Near Field Communications**



24 Sep 2012:

Subway riders in the New Jersey and San Francisco transit systems can use near-field communication (NFC) Android smartphones to endlessly *replenish their fare cards for free*, security researchers demonstrated Thursday at the EUsecWest security conference in Amsterdam

<https://nakedsecurity.sophos.com/2012/09/24/android-nfc-hack-lets-subway-riders-evade-fares/>

## CPSoS Risk Example 4: Car hacking



16.8.2017: A Deep Flaw in Your Car Lets Hackers Shut Down Safety Features



*"You could disable the air bags, the anti-lock brakes, or the door locks, and steal the car" says Federico Maggi. Maggi says the attack is stealthier than previous attempts, foiling even the few intrusion detection systems some companies have promoted as a way to head off car hacking threats. "It's practically impossible to detect at the moment with current technology" he says.*

[https://link.springer.com/chapter/10.1007/978-3-319-60876-1\\_9](https://link.springer.com/chapter/10.1007/978-3-319-60876-1_9)

## CPSoS Risk Example 5: Water poisoning threat



30.3.2016: Hackers Infiltrate Water Plant, Modify Chemical Levels



<https://d.ibtimes.co.uk>

Hackers infiltrated the control system at a *water treatment plant* and managed to *manipulate the level of chemicals* being used at the facility

The fallout from the hack was not as bad as it could have been. The water company reversed chemical and flow changes before any customers became ill

<https://www.wateronline.com/doc/hackers-infiltrate-water-plant-modify-chemical-levels-0001>

## CPSoS Risk Example 6: Airplane Hacking



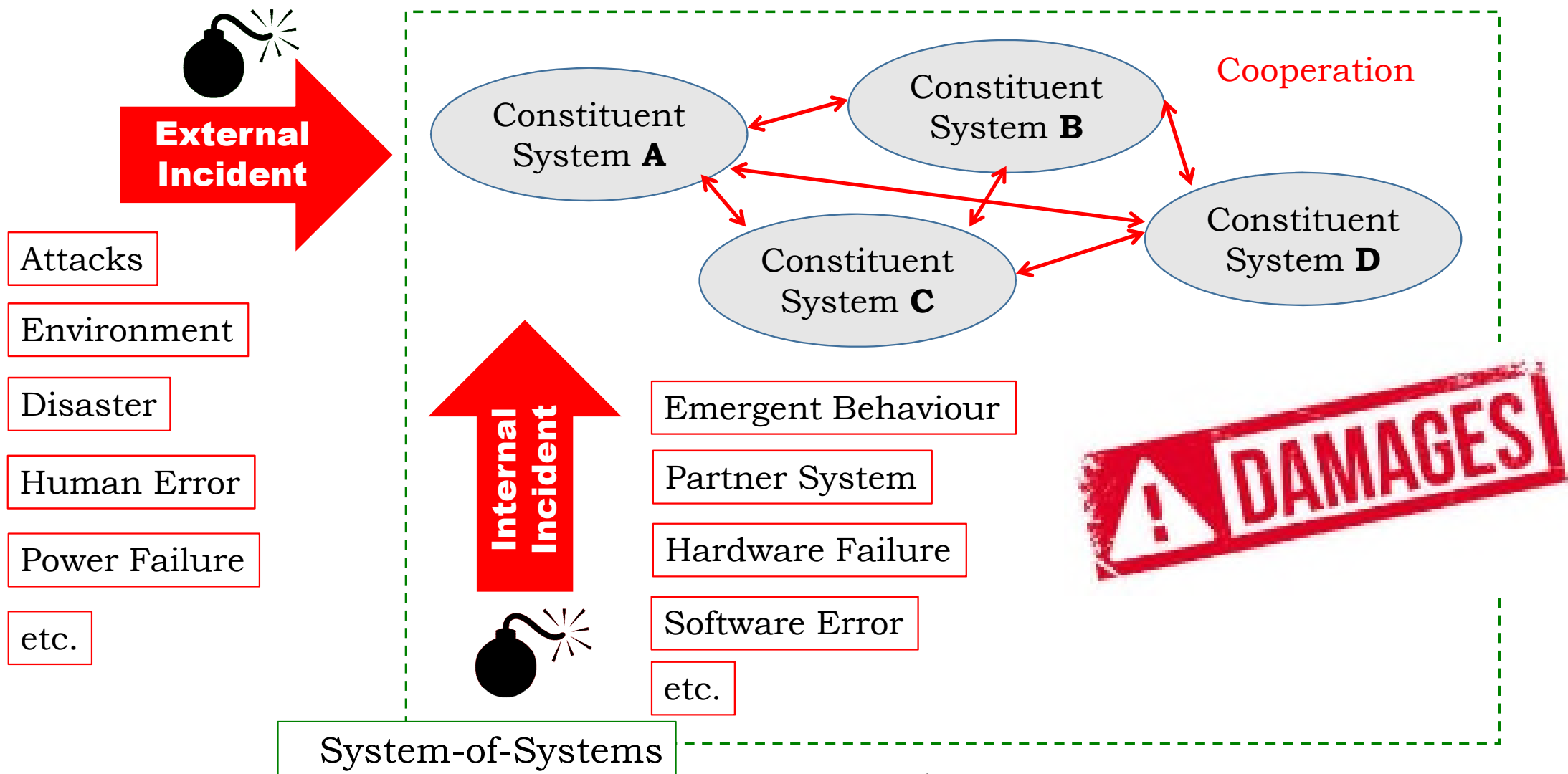
WIRED

15.4.2015:

Boeing 787 Dreamliner jets, as well as Airbus A350 and A380 aircraft, have Wi-Fi passenger networks that use the same network as the avionics systems of the planes, raising the possibility that a hacker could hijack the navigation system or **commandeer the plane through the in-plane network**, according to the US Government Accountability Office, which released a report about the planes today.

<https://www.wired.com/2015/04/hackers-commandeer-new-planes-passenger-wi-fi/>







Dependable (resilient) Architecture

Dependability Principles & Patterns

Risk Management in Development & Operation

```

    graph TD
      IDENTIFY --> ANALYZE
      ANALYZE --> CONTROL
      CONTROL --> TRANSFER
      TRANSFER --> REDUCE
      REDUCE --> ASSESS
      ASSESS --> IDENTIFY
      ASSESS --> RM[RISK MANAGEMENT]
      ANALYZE --> RM
      CONTROL --> RM
      TRANSFER --> RM
      REDUCE --> RM
  
```

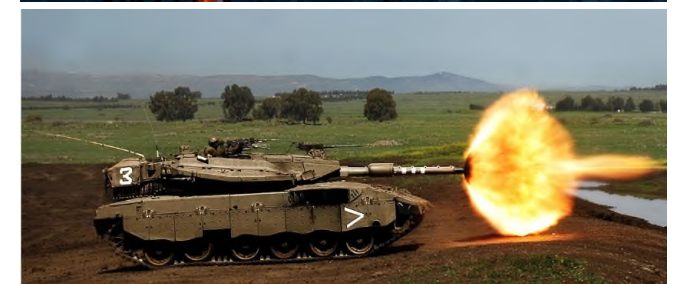
Monitoring & Intervention



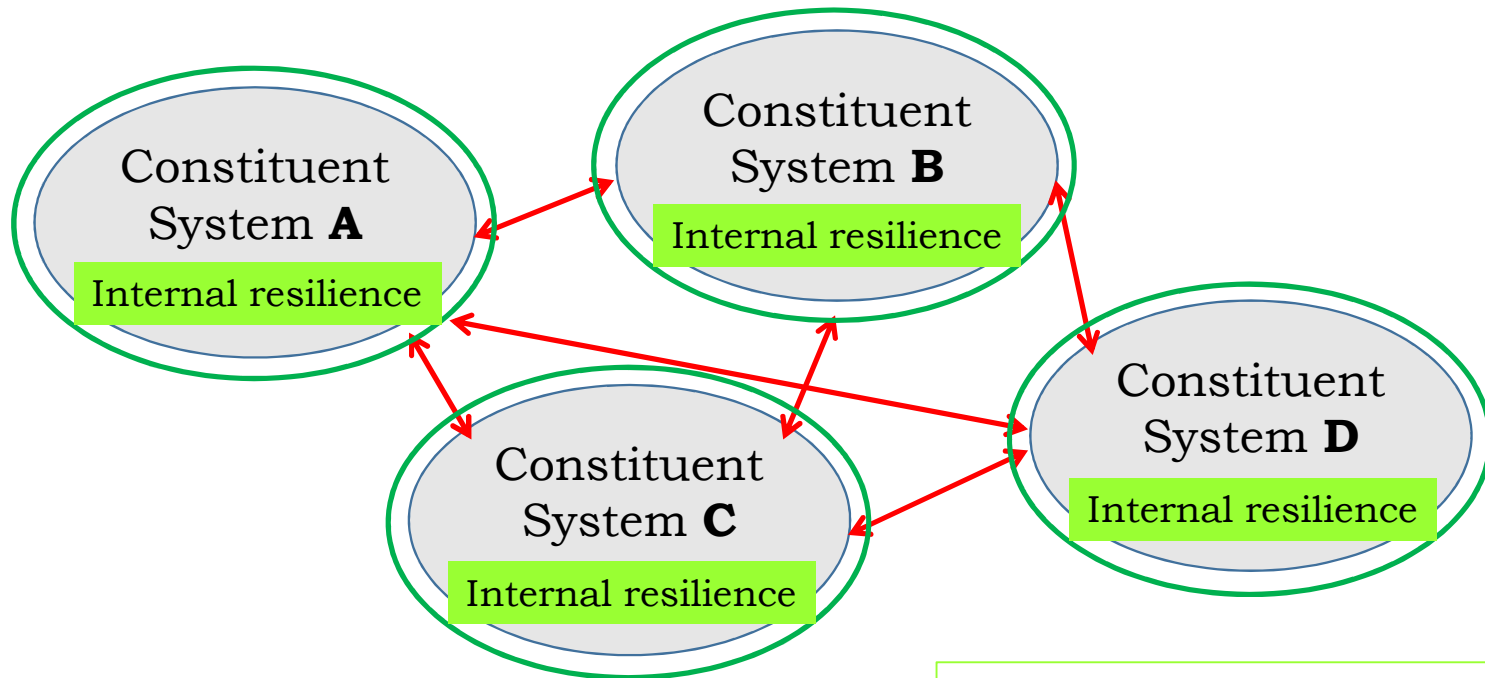
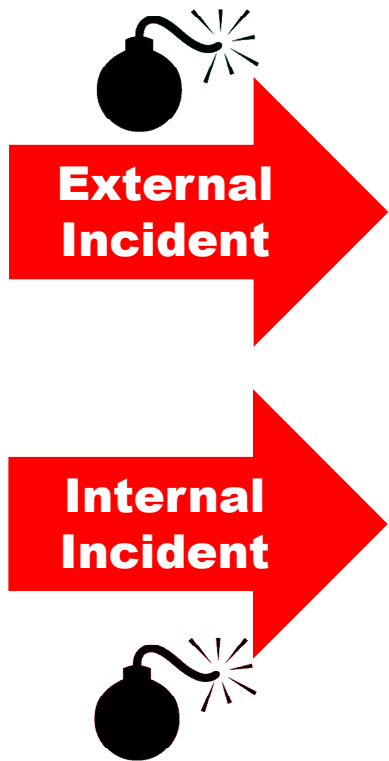
## Dependability =

- Safety
- Security
- Integrity
- Availability
- Confidentiality
- Trustworthiness
- etc.

Weight depending on application area:



## Perimeter Defense

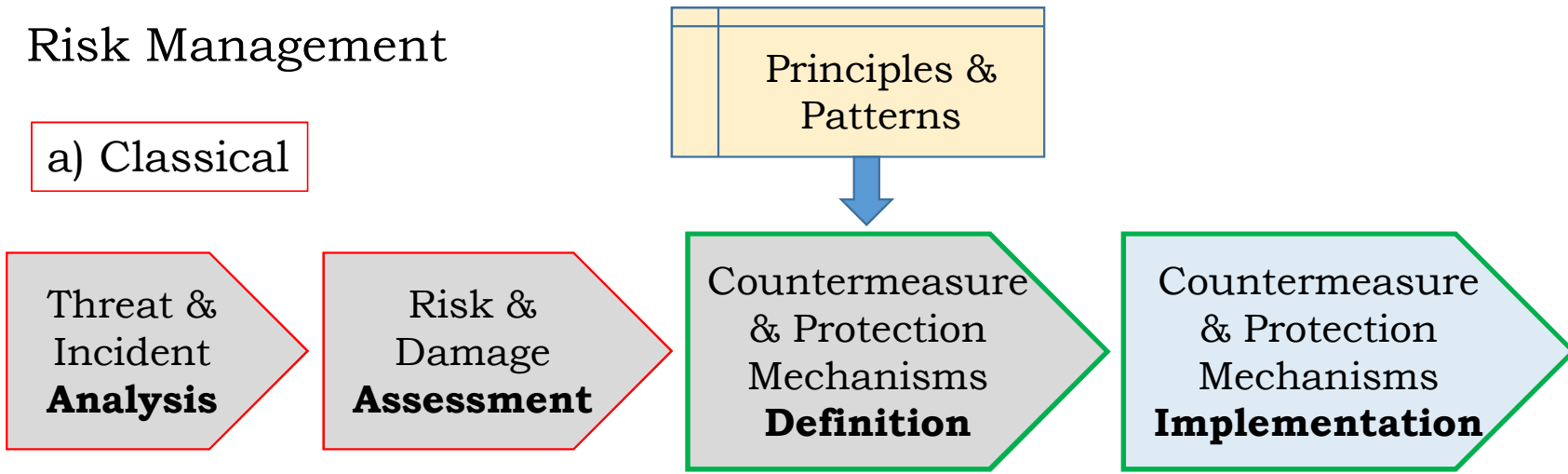


## System-/Software Resilience

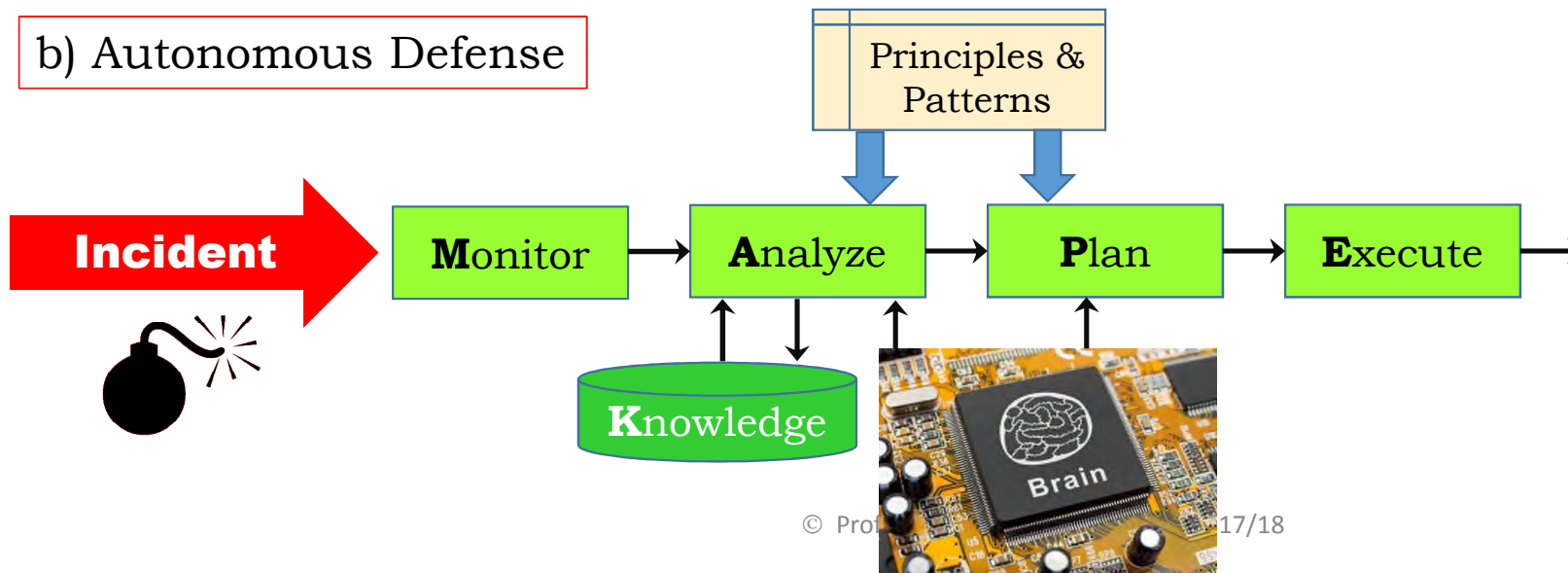


## Risk Management

a) Classical



b) Autonomous Defense



## CPSoS Architecture Framework

Canterbury  
Cathedral



**Architecture** guarantees:  
Functionality, **structural stability**, and beauty

Quality  
Properties

Cyber-Physical  
Systems-of-Systems



«The system does what it should, and does NOT what it should NOT do»

**Architecture** is the *foundation* for:  
Functionality, dependability, and other quality properties



What is the key challenge with CPSoS ?



**COMPLEXITY !**

Large number of parts

Many, rich interactions

Complicated functionality

Emergent behaviour

High dynamicity

Fragmented governance

Uncoordinated evolution

etc.

What is the key challenge with CPSoS's ?

## Main CPSoS architecture tasks:

- Separation of concerns
- Partitioning and encapsulation
- Interface management

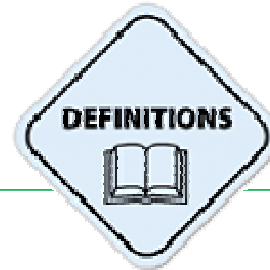


*Collaborate*



Definition: **Architecture Framework**

Long-lived, industrially or commercially relevant IT-system

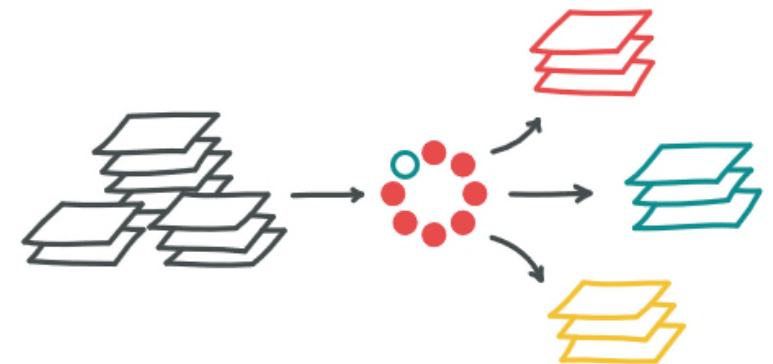


**Architecture Framework =**

A conceptual framework for structuring and separating the functionality and the quality properties of IT-systems to enable partitioning, verification, and life-cycle management.

**Objective:**

Separate and partition the dimensions of an IT-system in order to organize and manage both complexity and the stakeholders



## Definition: **Architecture Framework**

Functional Architecture Decomposition



Hierarchical Decomposition

Quality Properties Decomposition

Functionality

Data

Models, etc.

Principles  
Patterns

- **Separation of Concerns**
- **Order: Partitioning & Encapsulation**
- **Interface boundaries**



Horizontal Architecture Layers

Hierarchy

Business  
Architecture

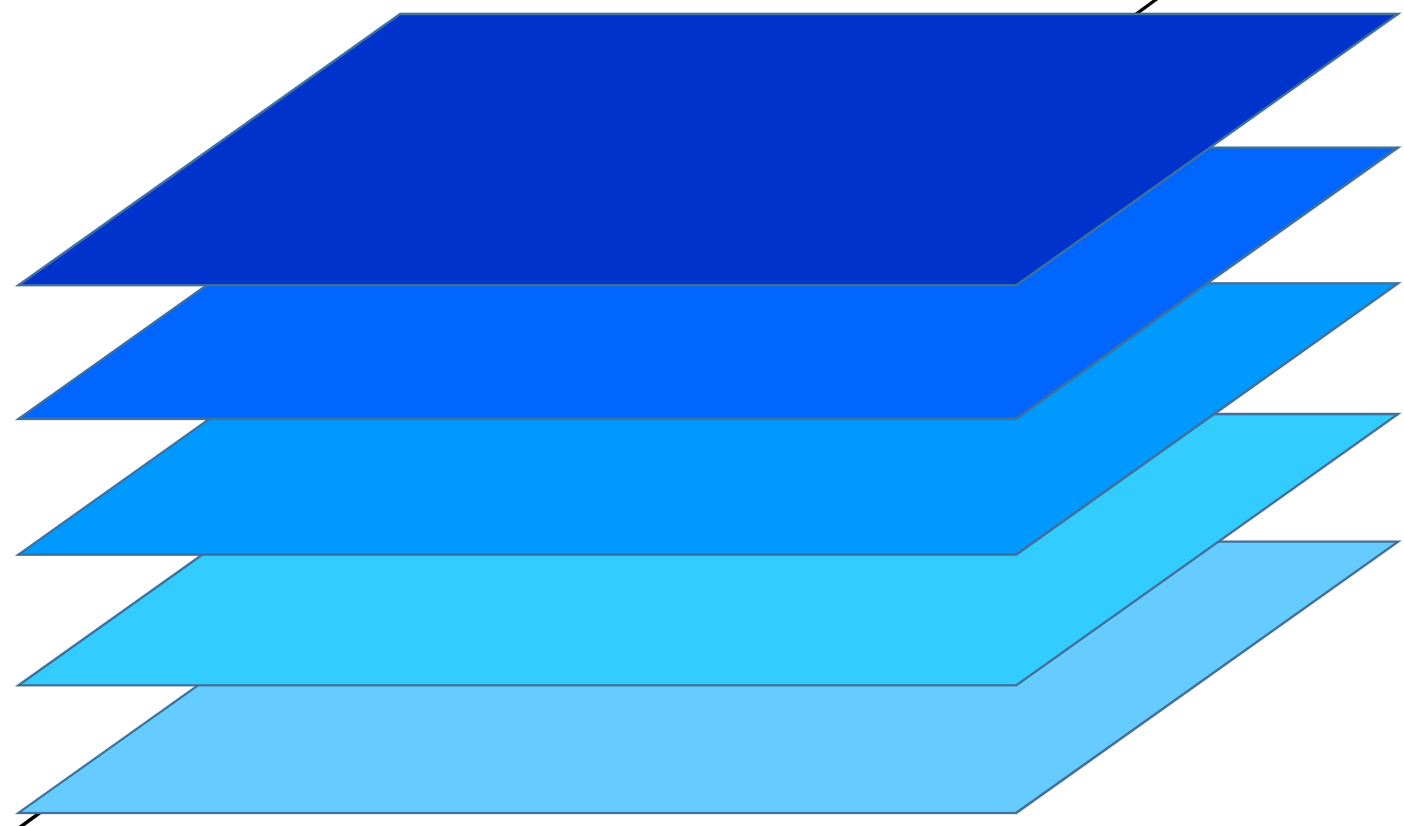
Application  
Architecture

Information  
Architecture

Integration  
Architecture

Technical  
Architecture

Vertical  
Architecture  
Layers



Horizontal Architecture Layers

Hierarchy

Business  
Architecture

Application  
Architecture

Information  
Architecture

Integration  
Architecture

Technical  
Architecture

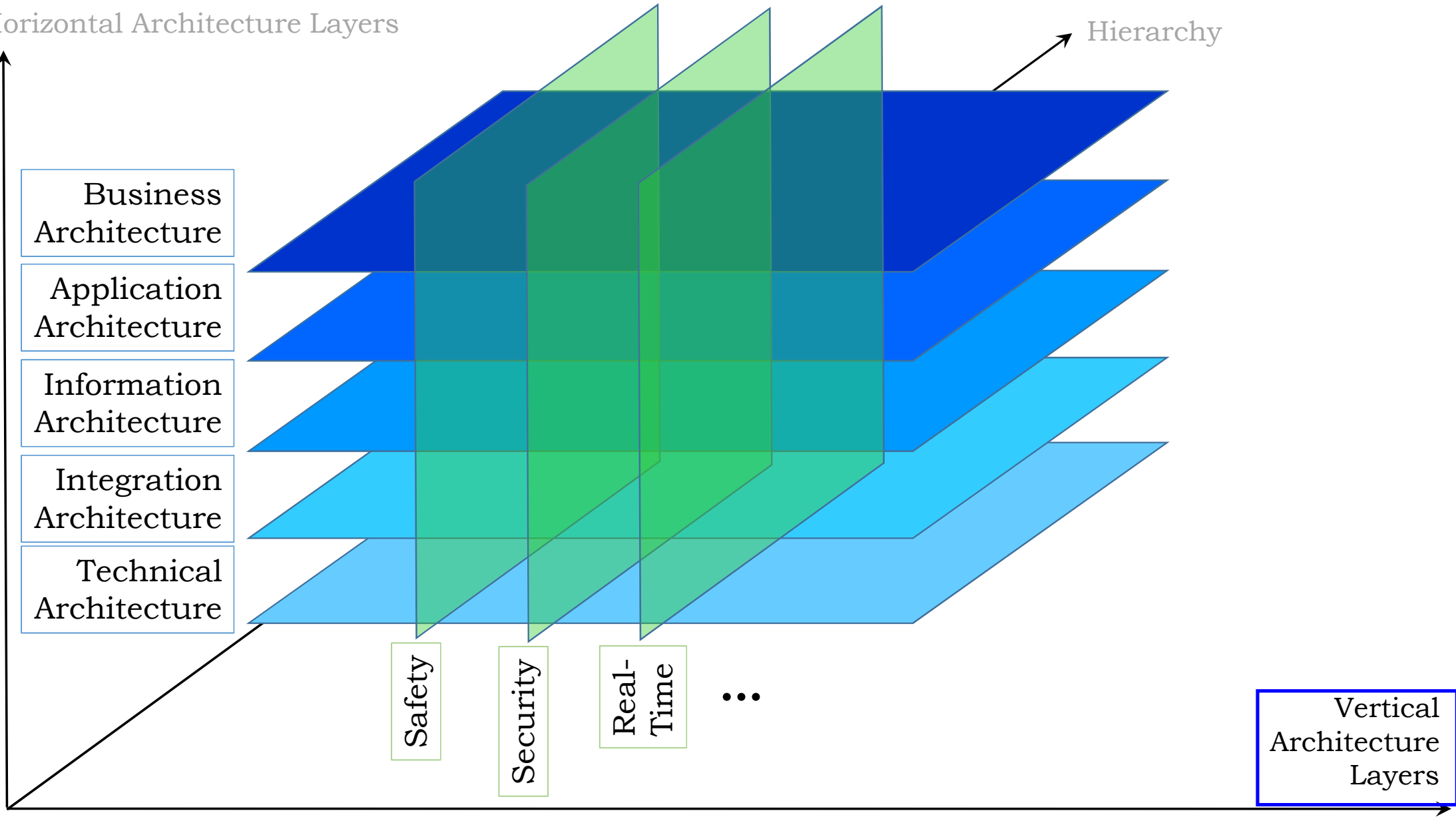
Safety

Security

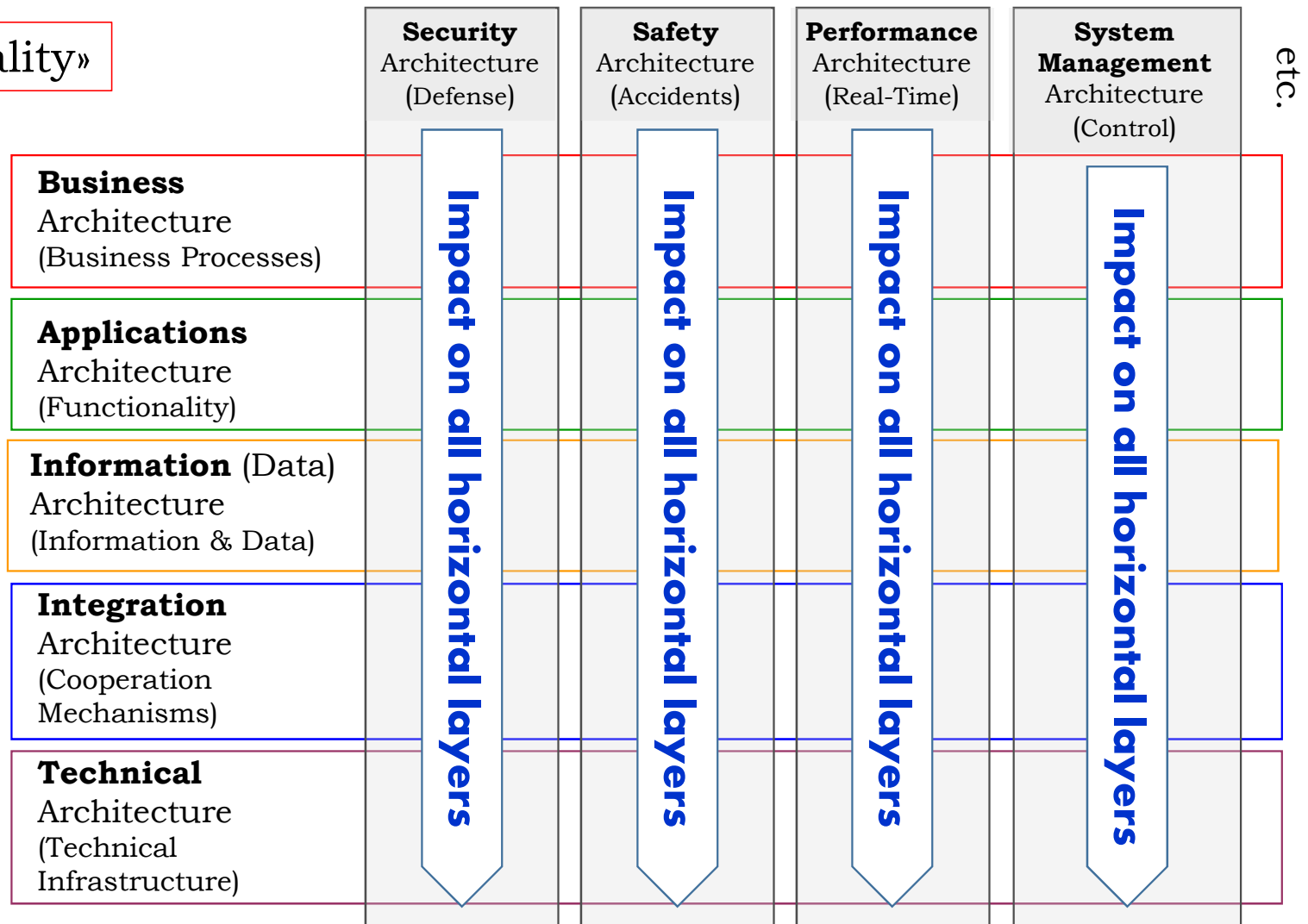
Real-  
Time

⋮

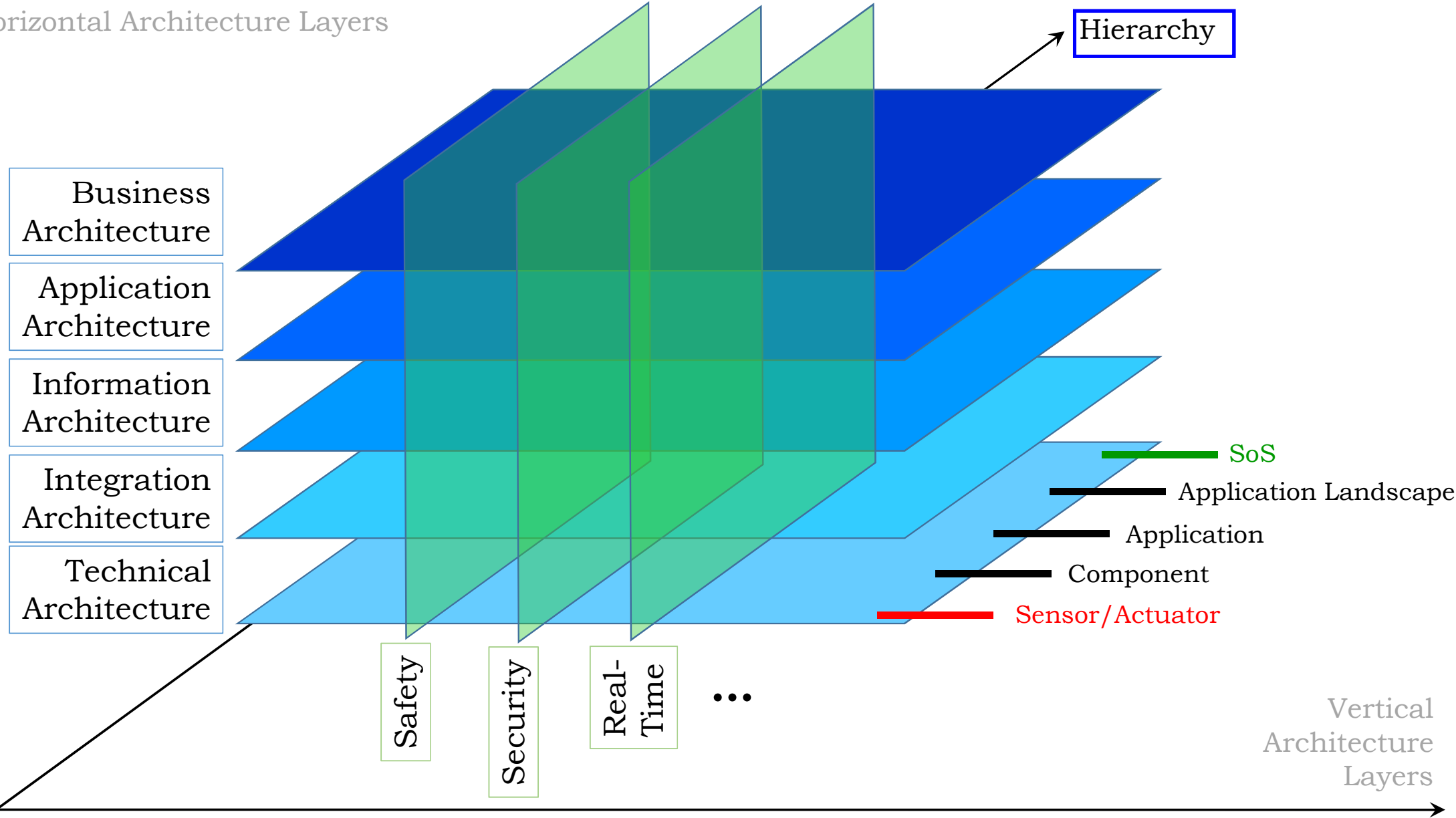
Vertical  
Architecture  
Layers



«Canon of Orthogonality»



Horizontal Architecture Layers



Hierarchy

Business Architecture

Application Architecture

Information Architecture

Integration Architecture

Technical Architecture

Safety

Security

Real-Time

...

SoS

Application Landscape

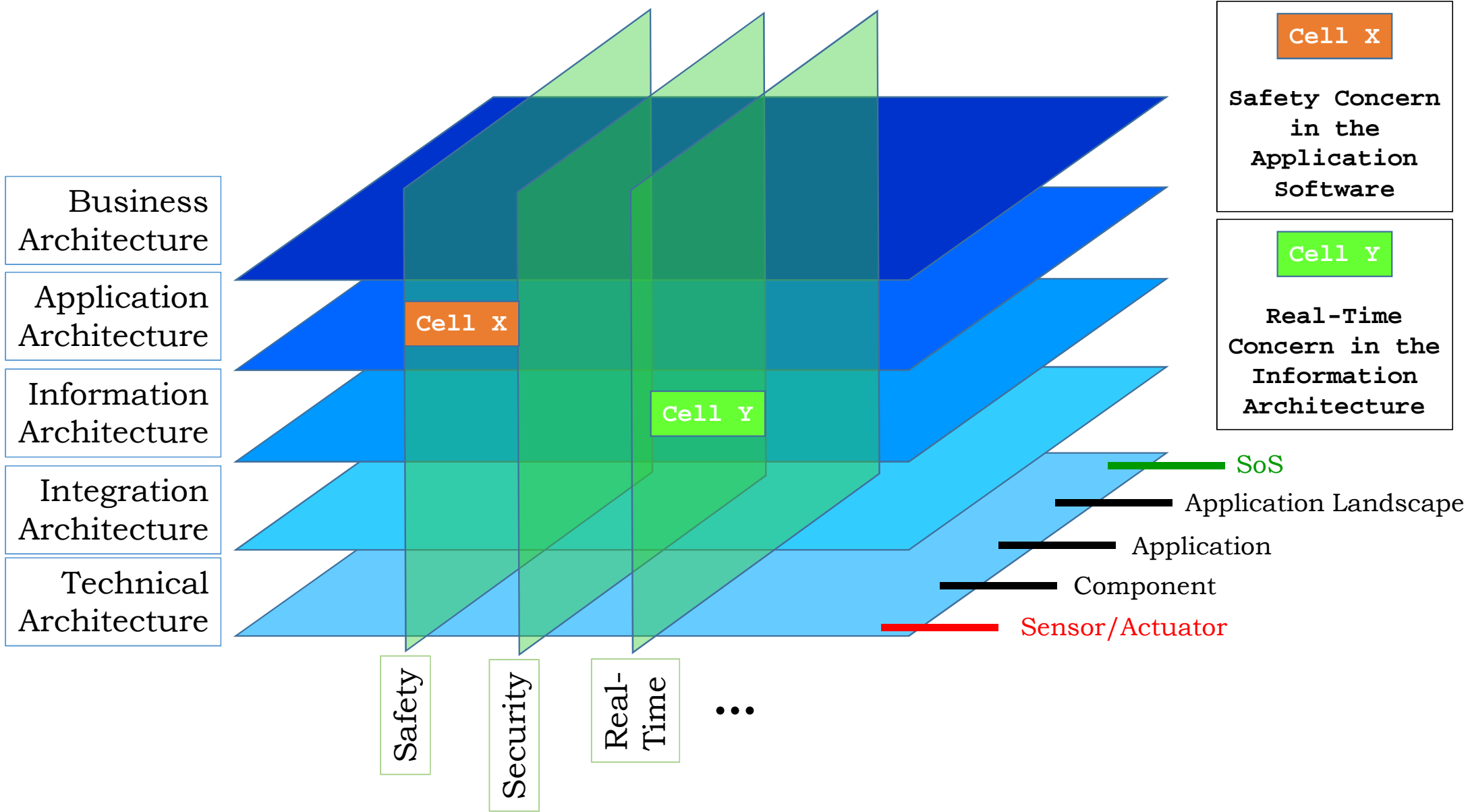
Application

Component

Sensor/Actuator

Vertical Architecture Layers





Business Architecture

Application Architecture

Information Architecture

Integration Architecture

Technical Architecture

Safety

Security

Real-Time

...

Cell X

Safety Concern in the Application Software

Cell Y

Real-Time Concern in the Information Architecture

SoS

Application Landscape

Application

Component

Sensor/Actuator

Cell X

= Safety Concern in the Application Software

## Architecture Framework Cells =

Allow assignment, structuring, and separating of the functionality and of the quality properties of IT-systems to enable partitioning and life-cycle management.

⇒ **Formulation of Powerful Set of Architecture Principles,**

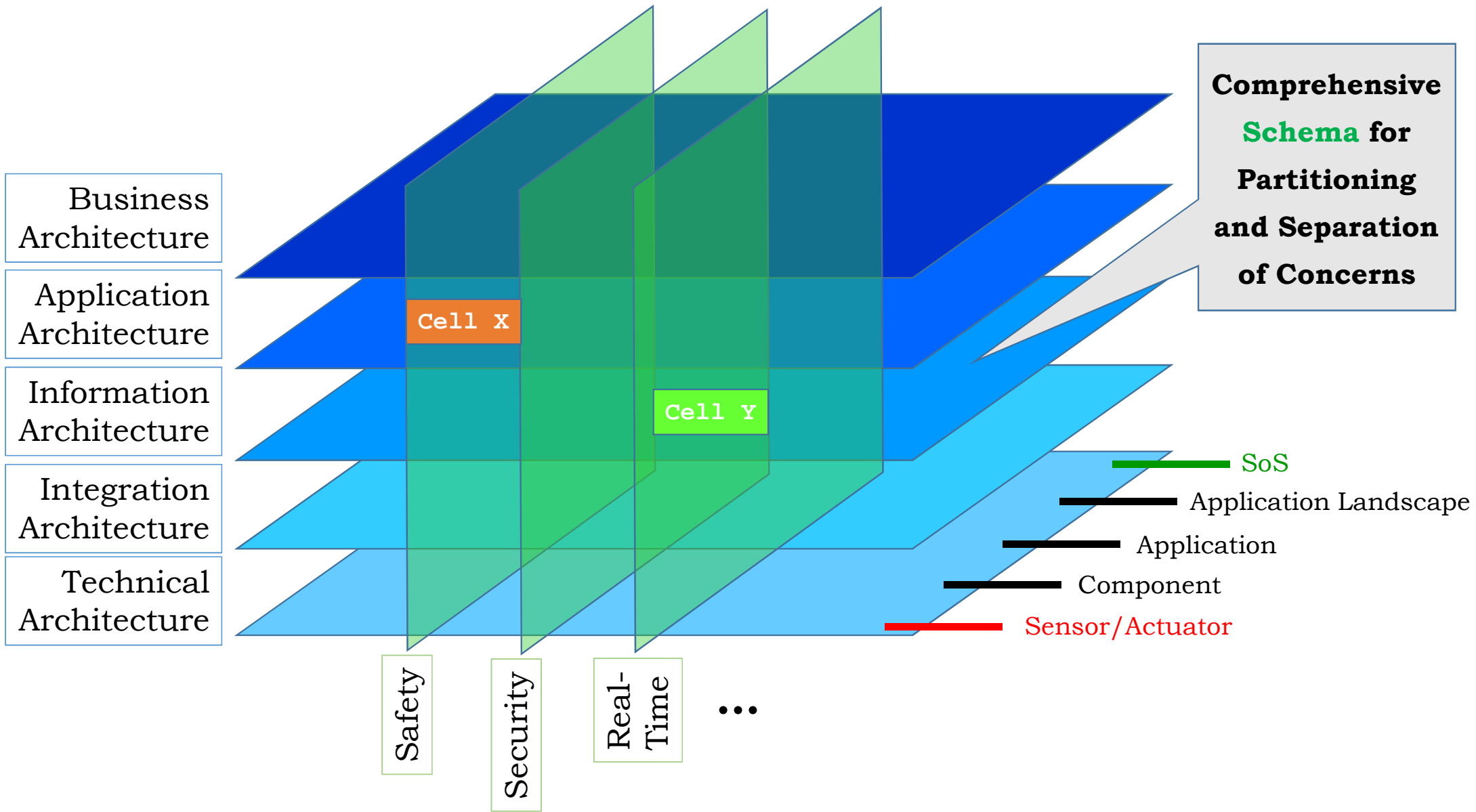
e.g.:

**NEVER** implement security functionality in the applications software

... but only allow calls to the security functionality

«Canon of Orthogonality»





Business Architecture

Application Architecture

Information Architecture

Integration Architecture

Technical Architecture

Safety

Security

Real-Time

...

**Comprehensive Schema for Partitioning and Separation of Concerns**

SoS

Application Landscape

Application

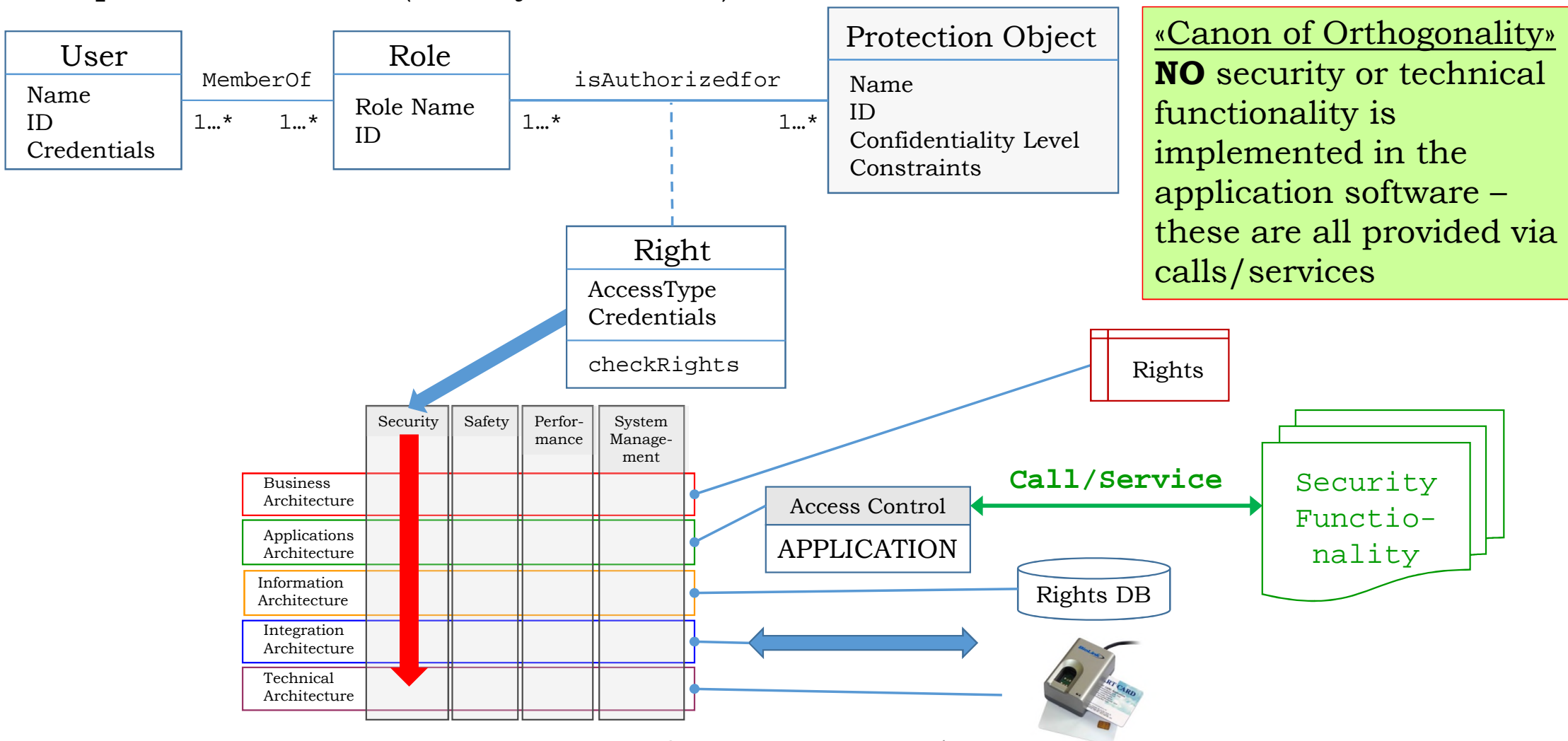
Component

Sensor/Actuator

Cell X

Cell Y

## Example: Access Control (Security Architecture)



«Canon of Orthogonality»  
**NO** security or technical functionality is implemented in the application software – these are all provided via calls/services



## CPSoS Risk Example 6: Airplane Hacking

WIRED



Highly dangerous **mix** of concerns:

- Passenger WI-FI NW
- Avionics control NW

on the same technical infrastructure

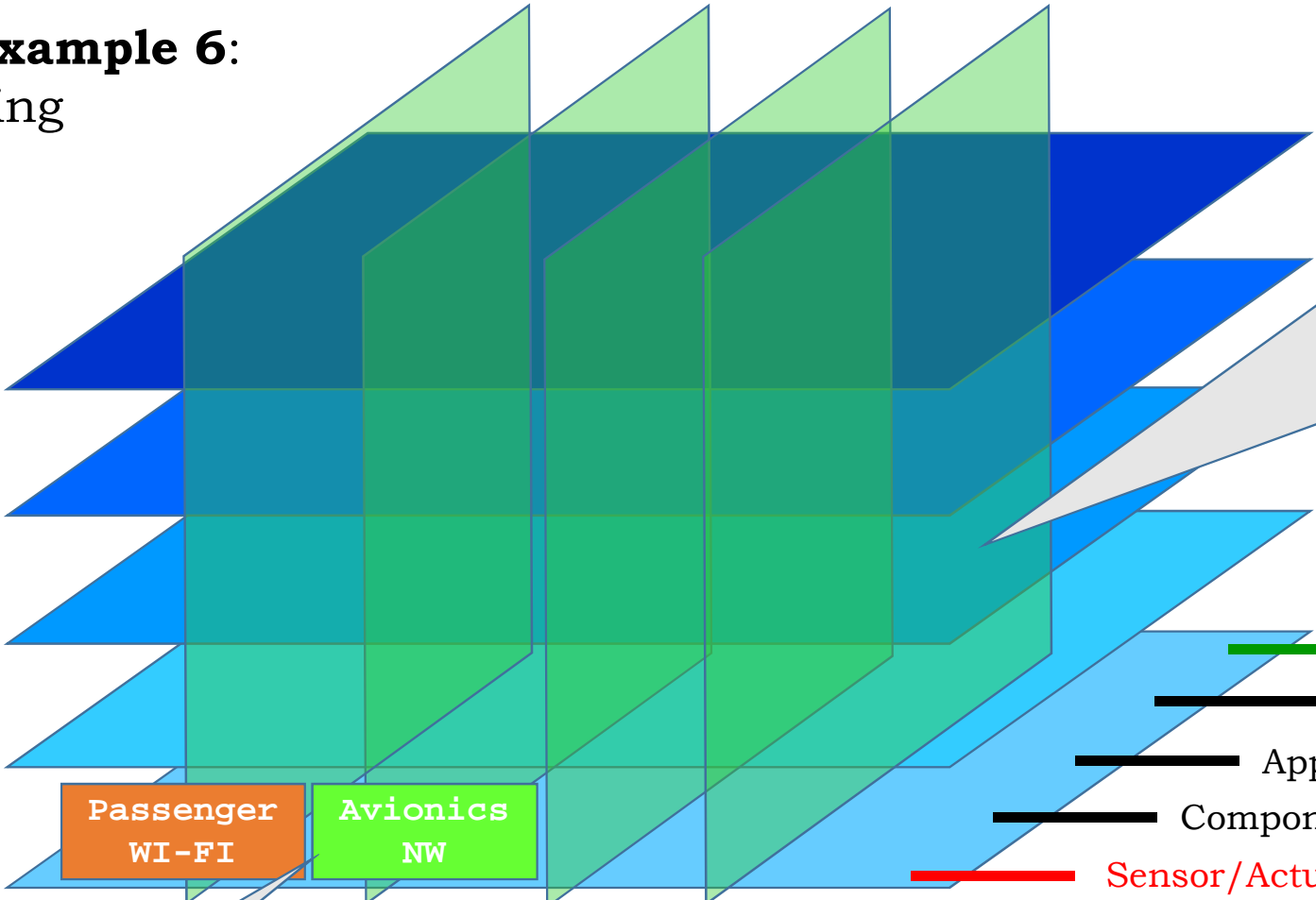
15.4.2015:

Boeing 787 Dreamliner jets, as well as Airbus A350 and A380 aircraft, have Wi-Fi **passenger networks that use the same network as the avionics systems of the planes**, raising the possibility that a hacker could hijack the navigation system or commandeer the plane through the in-plane network, according to the US Government Accountability Office, which released a report about the planes today.

<https://www.wired.com/2015/04/hackers-commandeer-new-planes-passenger-wi-fi/>

# CPSoS Risk Example 6: Airplane Hacking

- Business Architecture
- Application Architecture
- Information Architecture
- Integration Architecture
- Technical Architecture



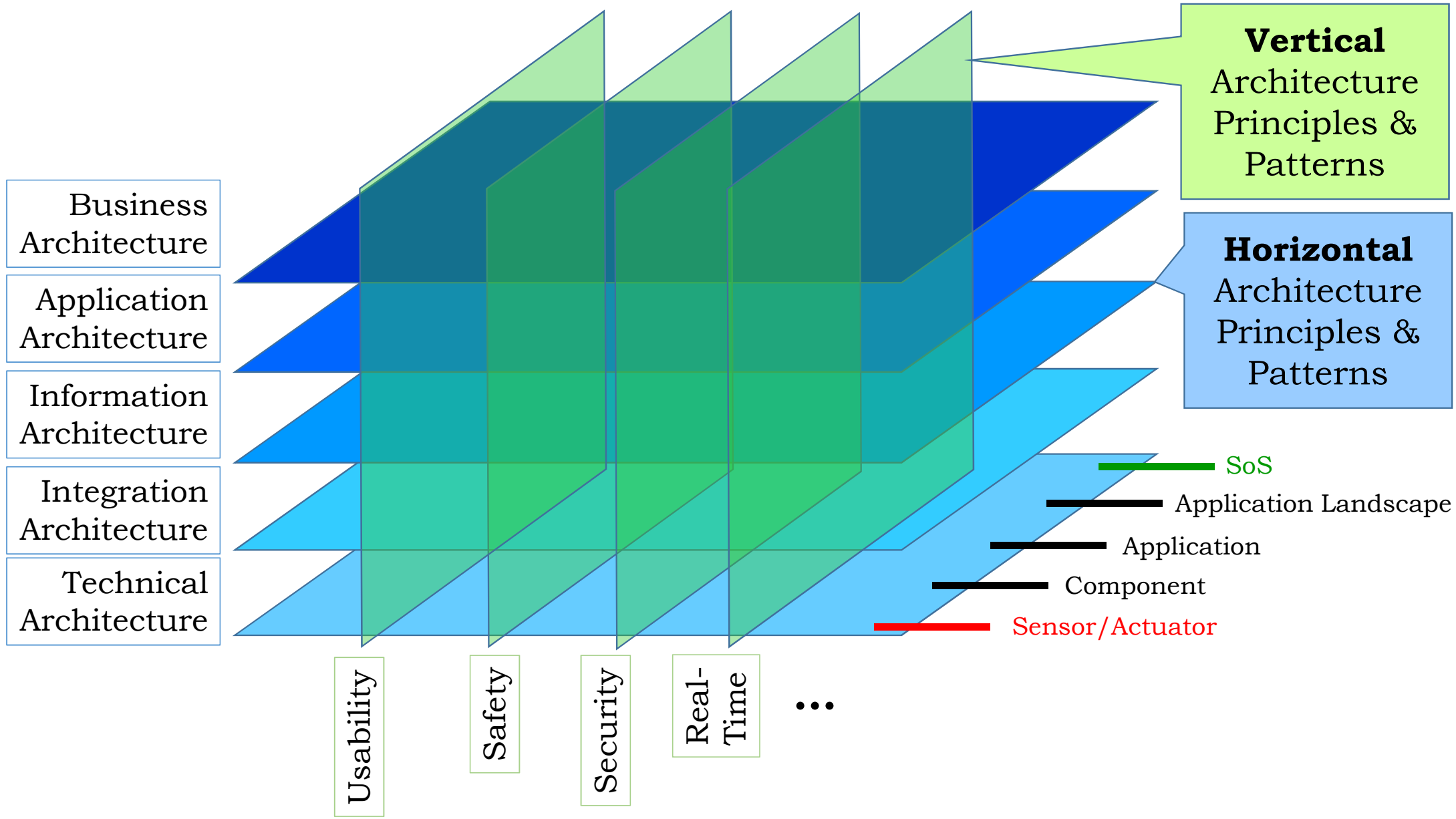
The Architecture Framework is a strong tool for the *separation of concerns* and *correct design*

Passenger WI-FI  
Avionics NW

- Usability
- Safety
- Security
- Real-Time
- ...

- SoS
- Application Landscape
- Application
- Component
- Sensor/Actuator

Common Implementation = **Risk**



## Architecture Principles and Patterns

### Architecture Principles:

Fundamental insights – formulated as *enforcable rules* – how a good software-system should be built [← «Eternal Truths»]

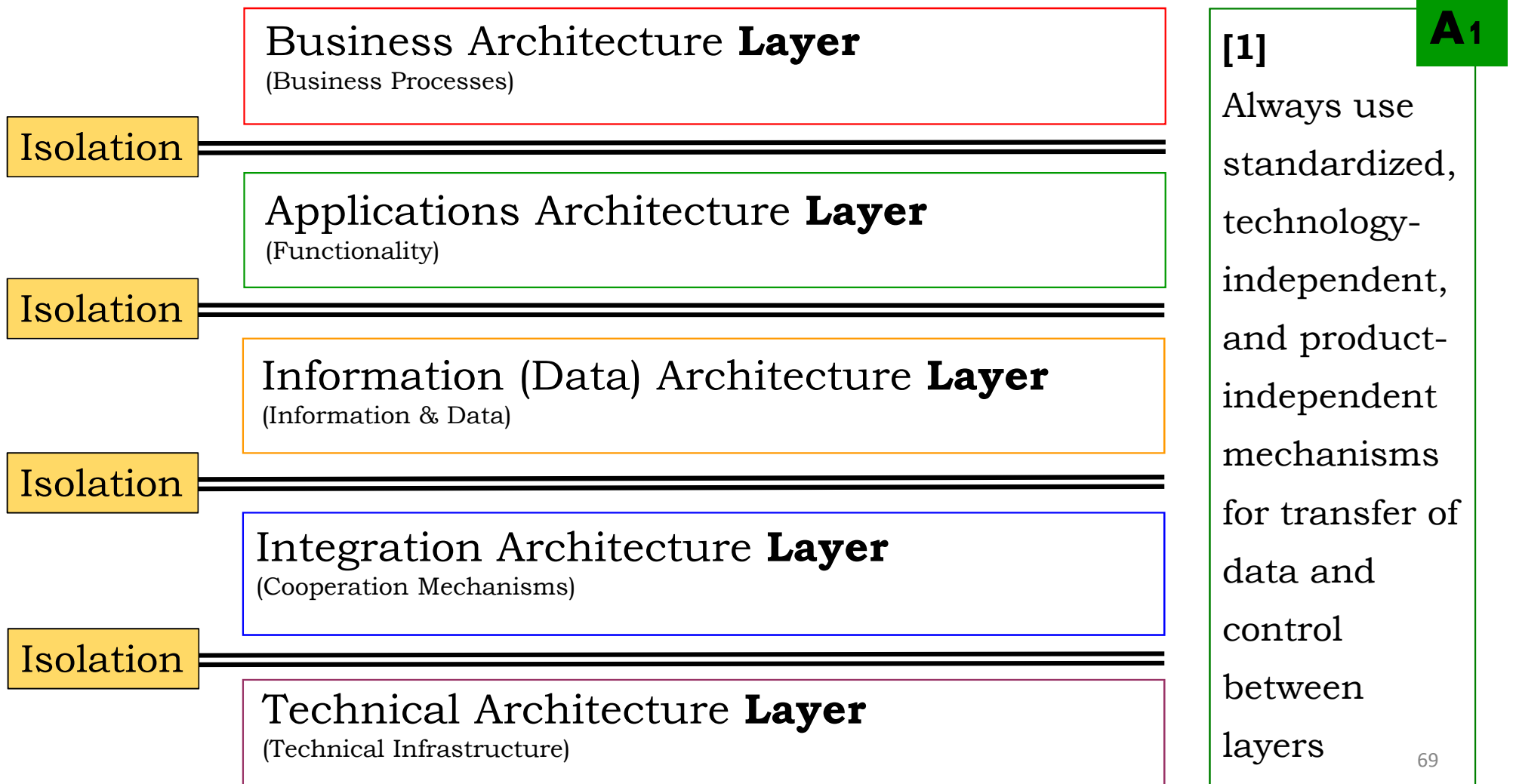


### Architecture Patterns:

Proven, *generic solutions* to clearly specified architectural problems which can be adapted to the task at hand

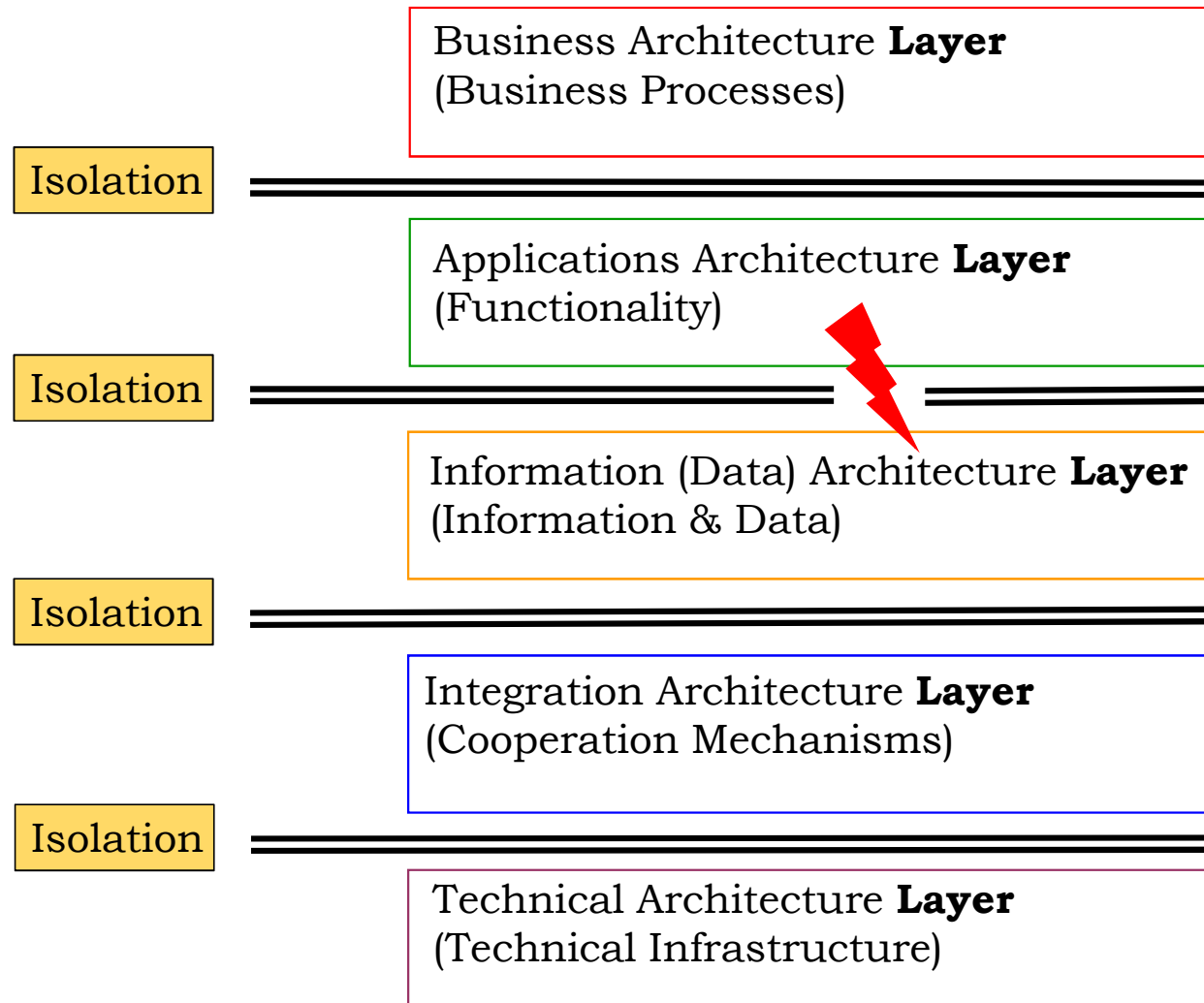


**Example:** *Horizontal* **Architecture Principle** «Architecture Layer Isolation» (1 / 3)





**Example:** *Horizontal* **Architecture Principle** «Architecture Layer Isolation» (2/3)



**Breaking Layers**

Direct access – **bypassing** the standardized, technology-independent mechanisms

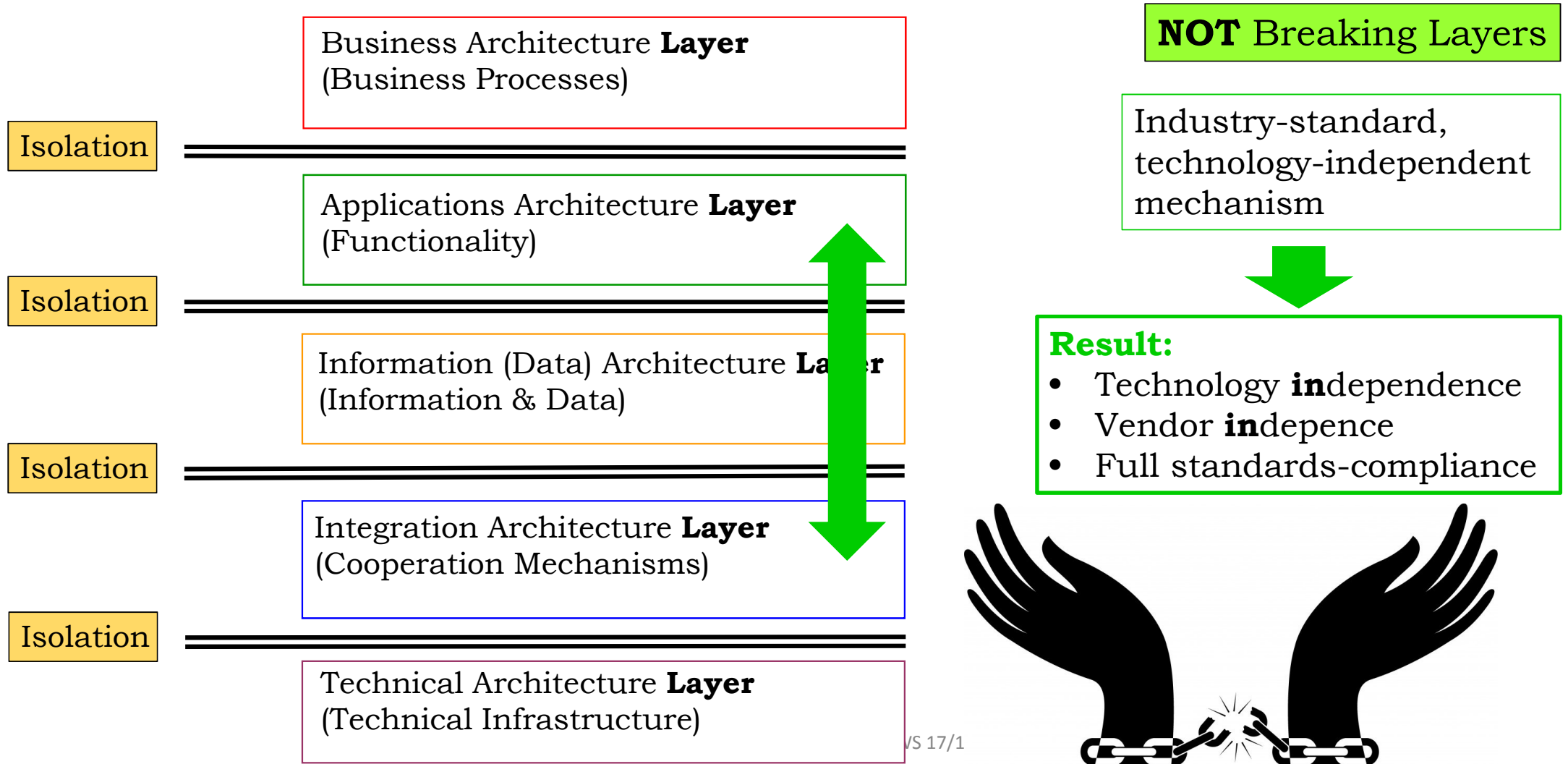


**Result:**

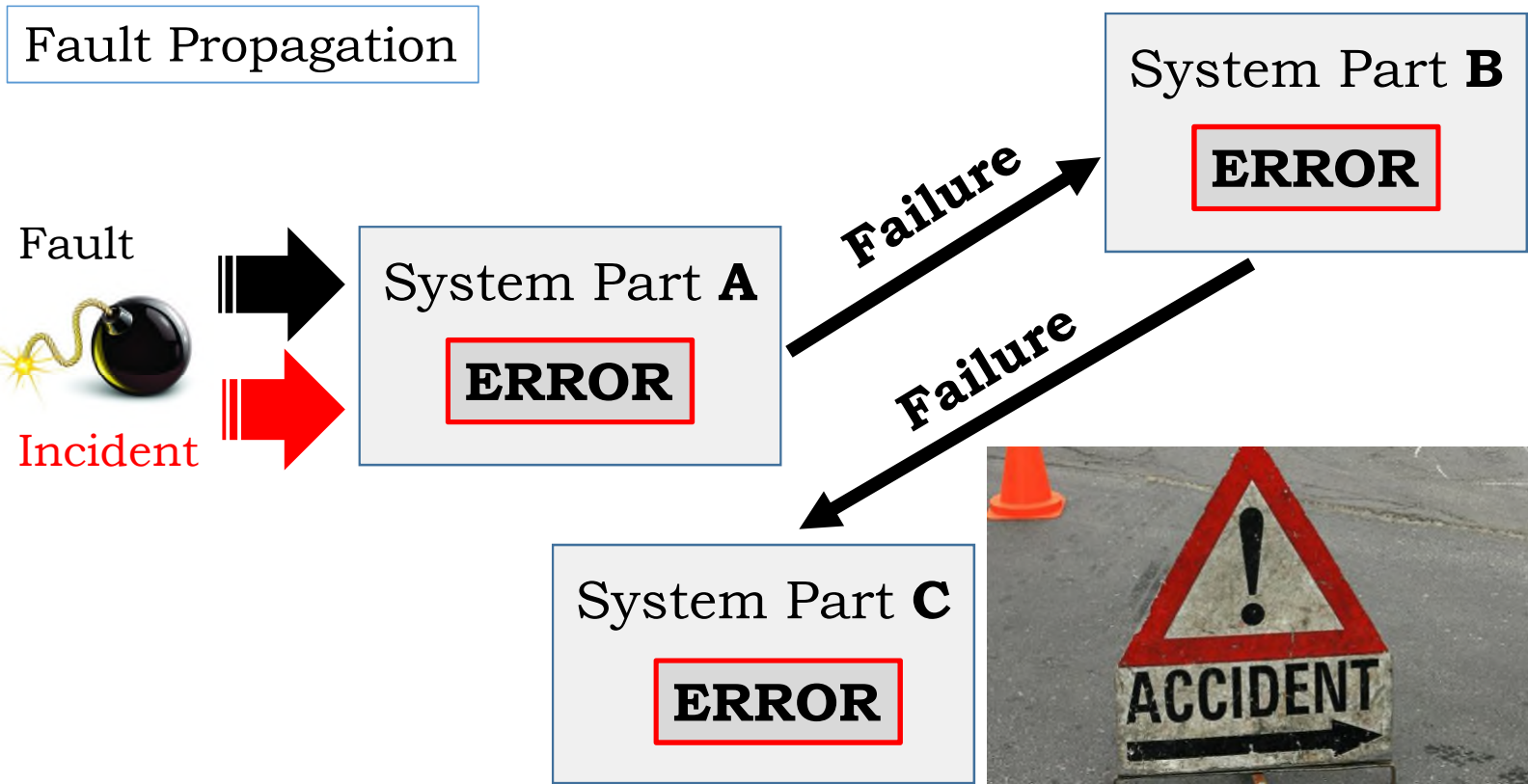
- Technology dependence
- Vendor lock-in
- No standards-compliance



## Example: Horizontal **Architecture Principle** «Architecture Layer Isolation» (3/3)

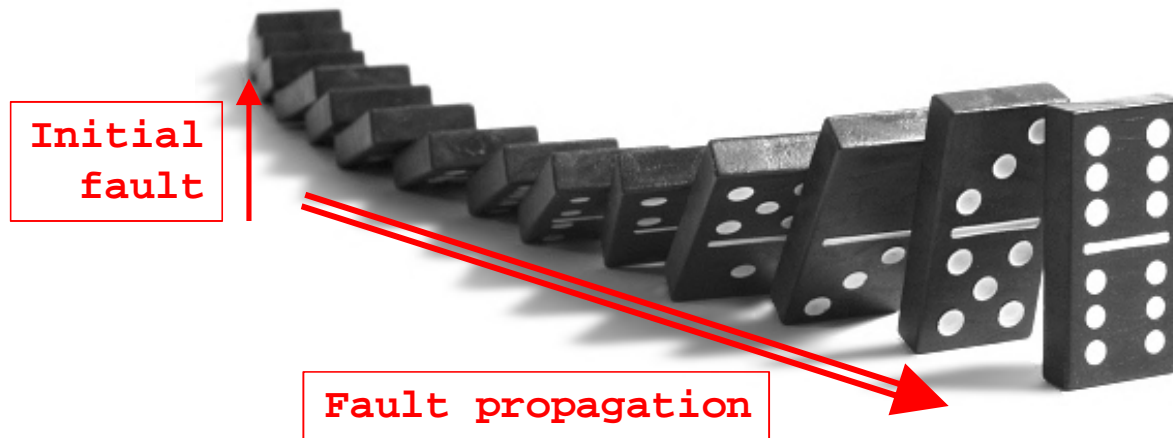


**Example:** *Vertical* **Architecture Principle** «Fault Containment» (1/3)



The consequences of a *fault* – the ensuing *error* – can **propagate** either by an erroneous message or by an erroneous output action of the faulty part

**Example:** *Vertical* **Architecture Principle** «Fault Containment» (2/3)

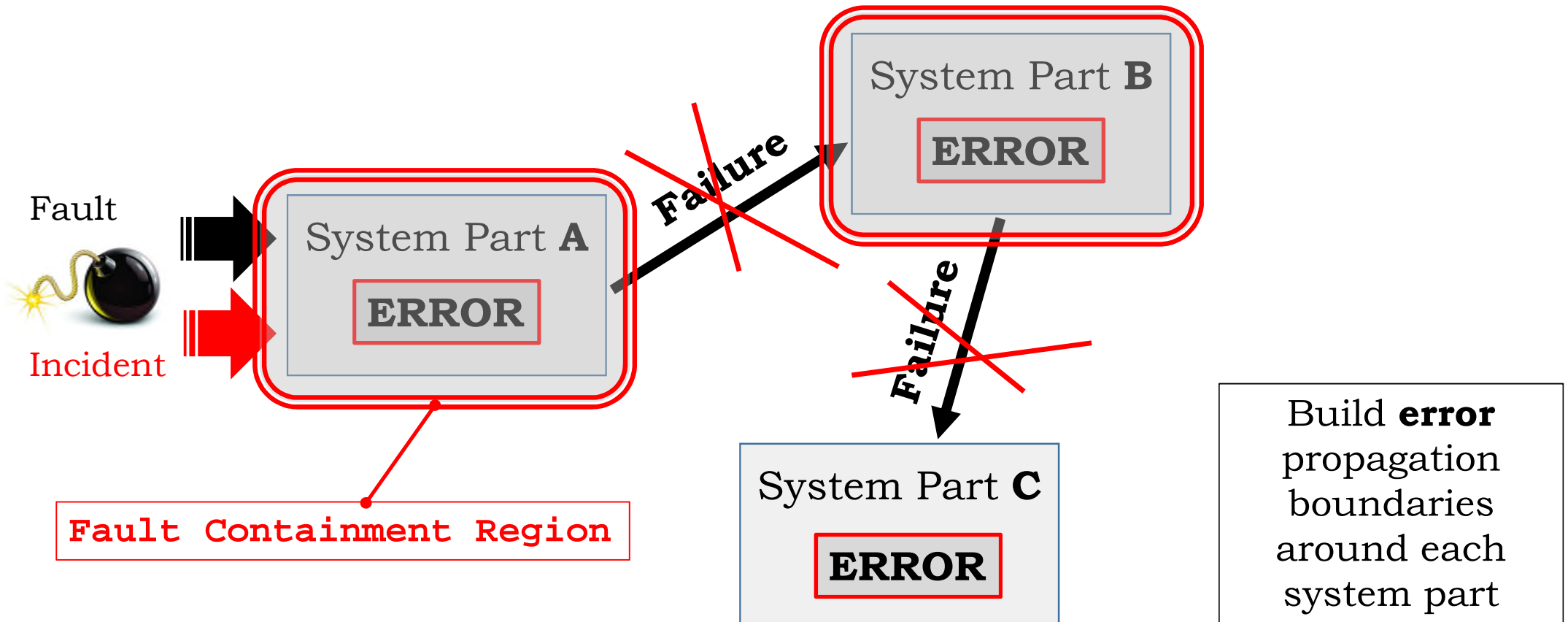


Fault propagation can consecutively affect system parts  
( $\Rightarrow$  Domino effect)

The result may be severe malfunctions or the loss of the  
system

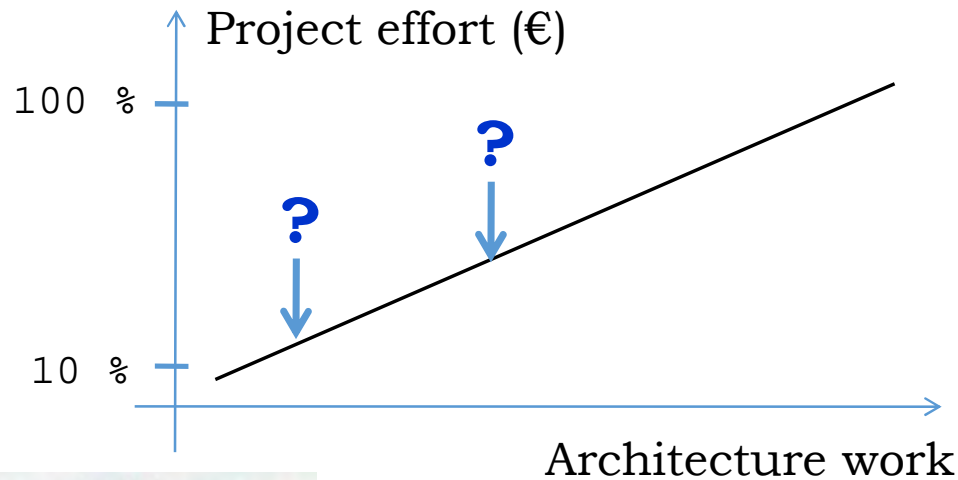
Fault propagation is difficult to predict

**Example:** *Vertical* **Architecture Principle** «Fault Containment» (3/3)

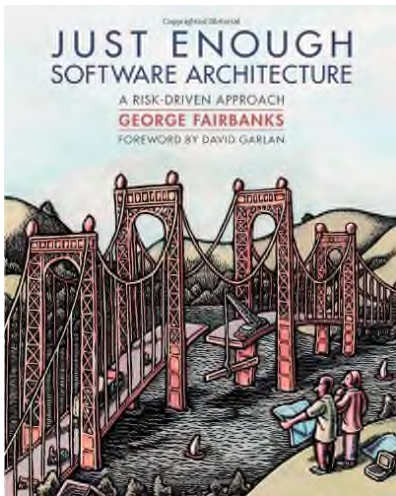




## How much Architecture is enough ?



http://imgur.com



### Answer:

- System creation/extensions with **high risk** need much architecture work
- System creation/extensions with **low risk** need little architecture work

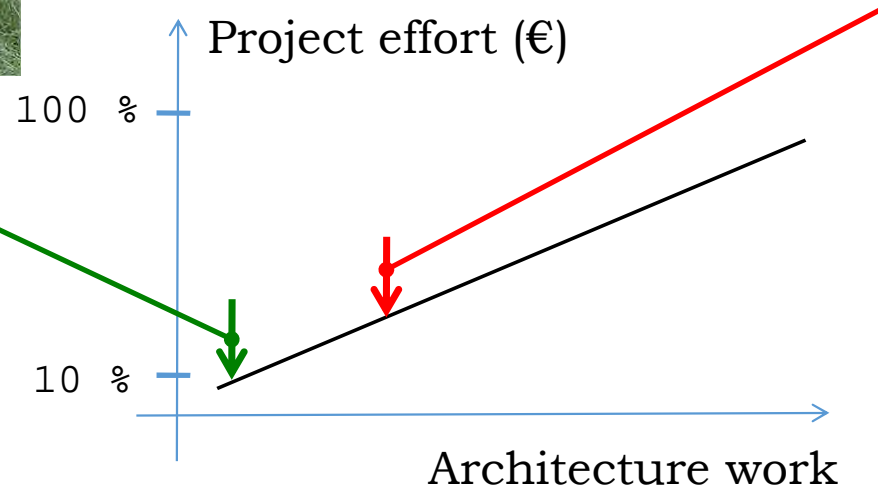
(George Fairbanks - ISBN 978-0-9846181-0-1, 2010)

# How much Architecture is enough ?

Low Risk



High Risk



## Outlook



## The Message

Cyber-Physical Systems-of-Systems (CPSoS) offer great **opportunities**

*Many of today's interesting systems are CPSoS*

However, CPSoS also generate significant **risks**

*We have seen serious examples of accidents*

Cyber-Physical Systems-of-Systems (CPSoS) must be built and operated with **high dependability**

An adequate **architecture** is the *foundation* of correct functionality, high dependability, and other quality properties

### **Building** dependable CPSoS:

- *Separation of concerns*
- *Partitioning and encapsulation*
- *Architecture principles & patterns for dependability*

### **Operating** dependable CPSoS:

- *Monitoring*
- *Run-time dependability mechanisms*
- *Continuous risk assessment & management*





Cyber-Physical Systems-of-Systems  
are an *important part of our future*

... but we need to build and operate  
them in a **dependable** way

If we continue to develop our  
technology without wisdom or  
prudence, our servant may prove to be  
our executioner

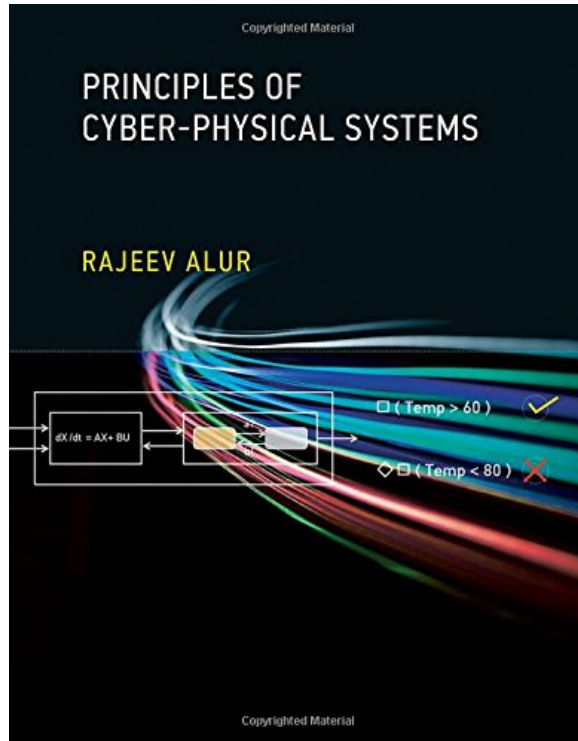
Omar N. Bradley (U.S. Army General, Chairman of  
the Joint Chiefs of Staff [1949])





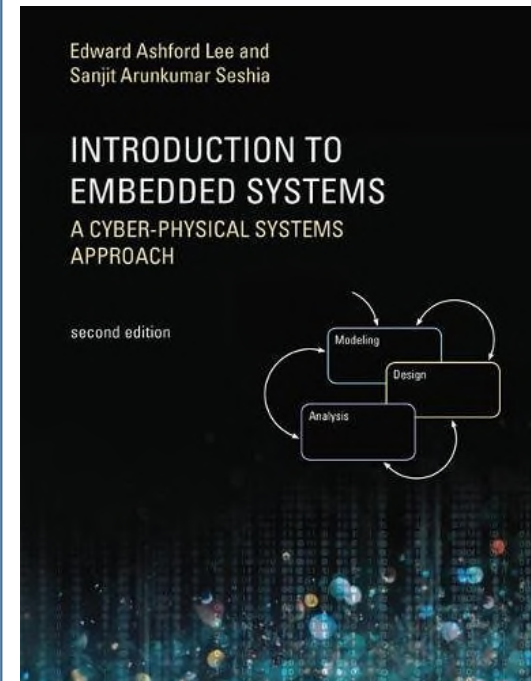
## Recommended Reading

## Recommended Reading: **CPS**



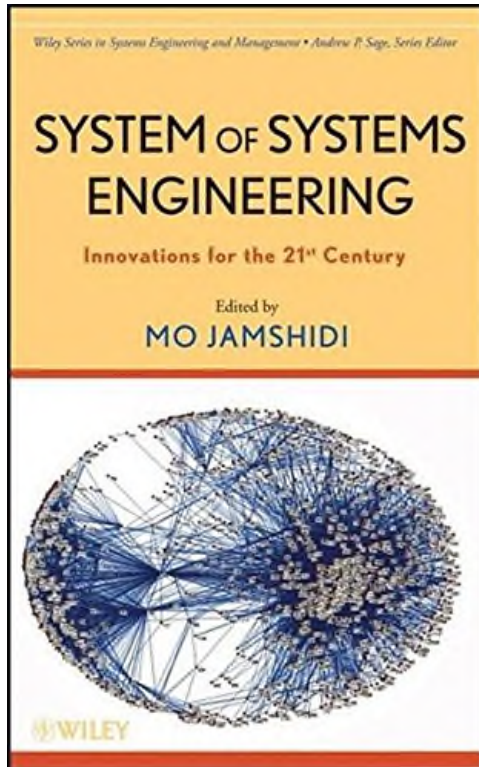
Rajeev Alur:  
**Principles of Cyber-Physical Systems**  
 MIT Press, Cambridge, MA, USA, 2015. ISBN  
 978-0-262-02911-7

## Recommended Reading: **CPS**



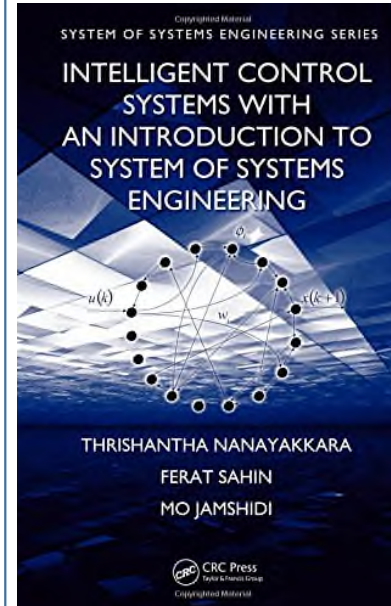
Edward A. Lee, Sanjit A. Seshia:  
**Introduction to Embedded Systems: A Cyber-Physical Systems Approach**  
 MIT Press, Cambridge, MA, USA, 2<sup>nd</sup> edition  
 2017. ISBN 978-0-262-53381-2

Recommended Reading: **SoS**



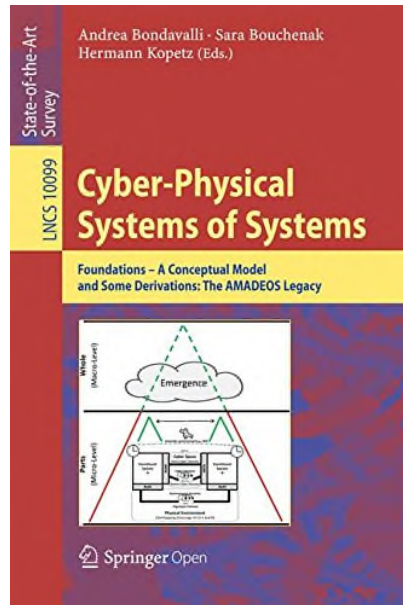
Mohammad Jamshidi:  
**System of Systems Engineering**  
Wiley Inc., USA, 2008. ISBN 978-0-470-19590-1

Recommended Reading: **SoS**



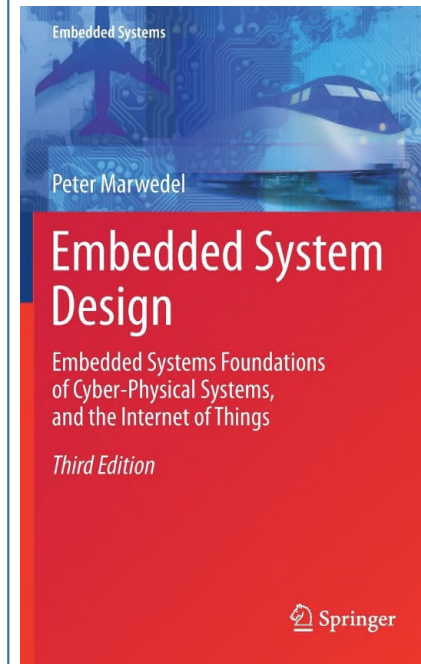
Thrishantha Nanayakkara, Ferat Sahin, Mo  
Jamshidi:  
**Intelligent Control Systems with an  
Introduction to Systems of Systems  
Engineering**  
CRC Press (Taylor & Francis), USA, 2009.  
ISBN 978-1-4200-7924-1

Recommended Reading: **CPSoS**



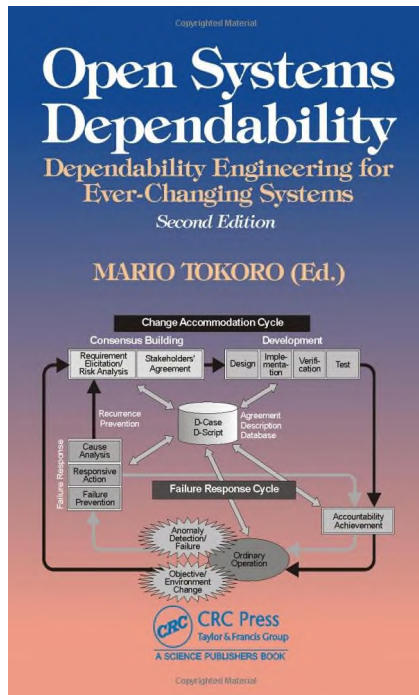
Andrea Bondavalli, Sara Bouchenak, Hermann Kopetz (Editors):  
**Cyber-Physical Systems of Systems: Foundations - A Conceptual Model and some Derivations**  
 Springer-Verlag, Germany, 2016. ISBN 978-3-319-47589-9

Recommended Reading: **CPSoS**



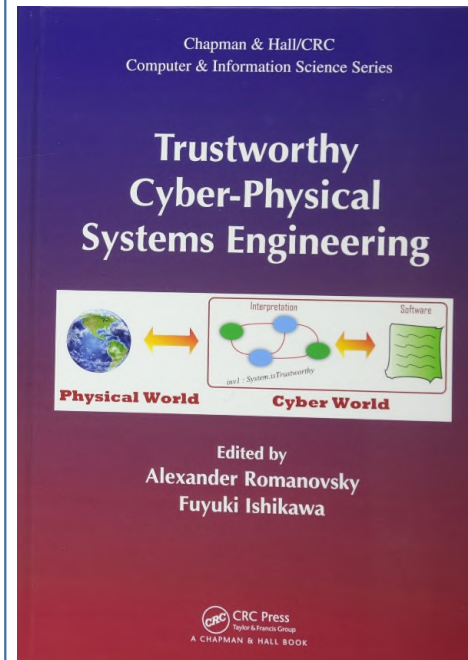
Peter Marwedel:  
**Embedded System Design: Embedded Systems Foundations of Cyber-Physical Systems, and the Internet of Things**  
 Springer-Verlag, Germany, 3<sup>rd</sup> edition, 2018. ISBN 978-3-319-56043-4

## Recommended Reading: **Dependable SW**



Mario Tokoro (Editor):  
**Open Systems Dependability: Dependability Engineering for Ever-Changing Systems**  
 CRC Press, Taylor & Francis Inc., USA, 2<sup>nd</sup> edition 2015. ISBN 978-1-4987-3628-2

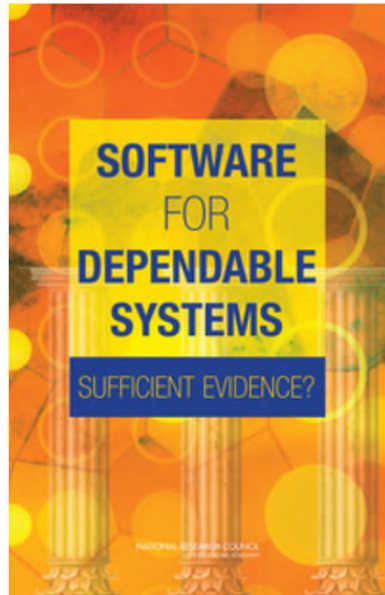
## Recommended Reading: **Dependable SW**



Alexander Romanovsky, Fuyuki Ishikawa (Editors):  
**Trustworthy Cyber-Physical Systems Engineering**  
 CRC Press, Taylor & Francis Inc., USA, 2016. ISBN 978-1-4987-4245-0



## Recommended Reading: **Dependable SW**

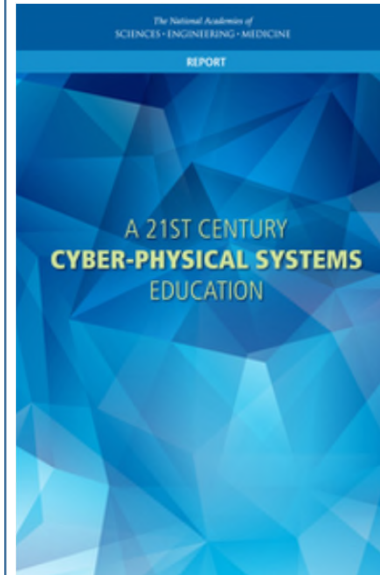


Daniel Jackson, Martyn Thomas, Lynette I. Millett (Editors):

### **Software for Dependable Systems – *Sufficient Evidence?***

The US National Academic Press, Washington, 2007. ISBN 978-0-309-38450-6. Available at <http://nap.edu/11923>

## Recommended Reading: **Dependable SW**

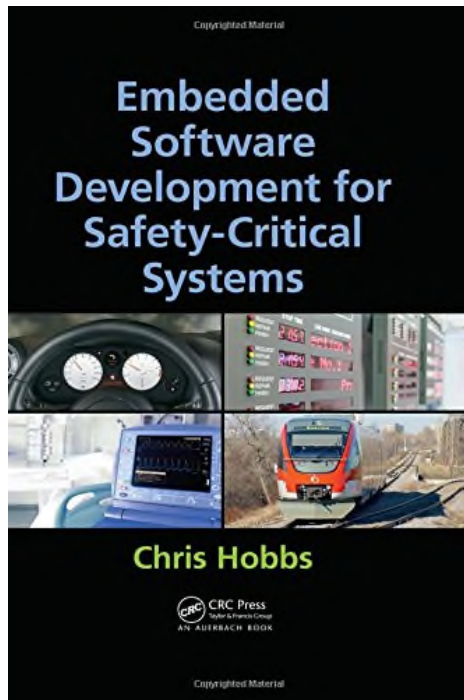


Committee on 21st Century Cyber-Physical Systems Education:

### **21st Century Cyber-Physical Systems Education**

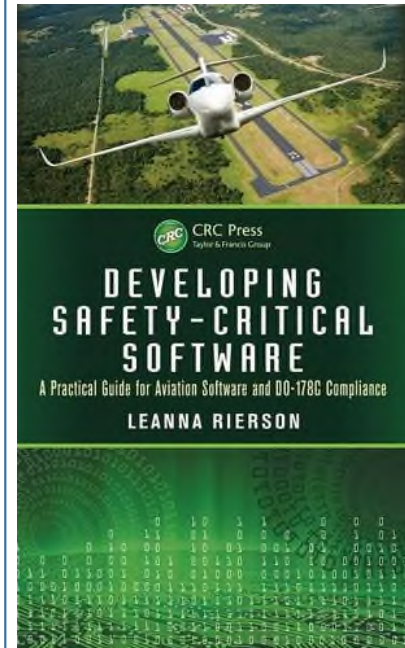
The US National Academic Press, Washington, 2016. ISBN 978-0-309-45163-5. Available at <http://nap.edu/23686>

## Recommended Reading: **Dependable SW**



Chris Hobbs:  
**Embedded Software Development for Safety-Critical Systems**  
Taylor & Francis Inc., USA, 2015. ISBN 978-1-4987-2670-2

## Recommended Reading: **Dependable SW**



Leanna Rierson:  
**Developing Safety-Critical Software: A Practical Guide for Aviation Software and DO-178C Compliance**  
Taylor & Francis Inc., USA, 2013. ISBN 978-1-4398-1368-3

