



Future-Proof Software-Systems (FPSS)

Part 1

Lecture WS 2019/20: Prof. Dr. Frank J. Furrer



Lecture Navigation

Preliminary Definition

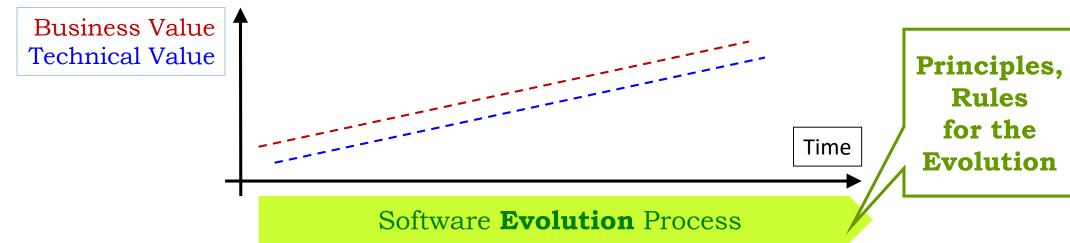


Future-Proof Software-Systems =

Software-Systems which are built and evolved in such a way,

that their business value and technical value

increases over time





Lecture Structure:

Part 1: Introduction

Part 2: Managed Evolution Strategy for Software Systems

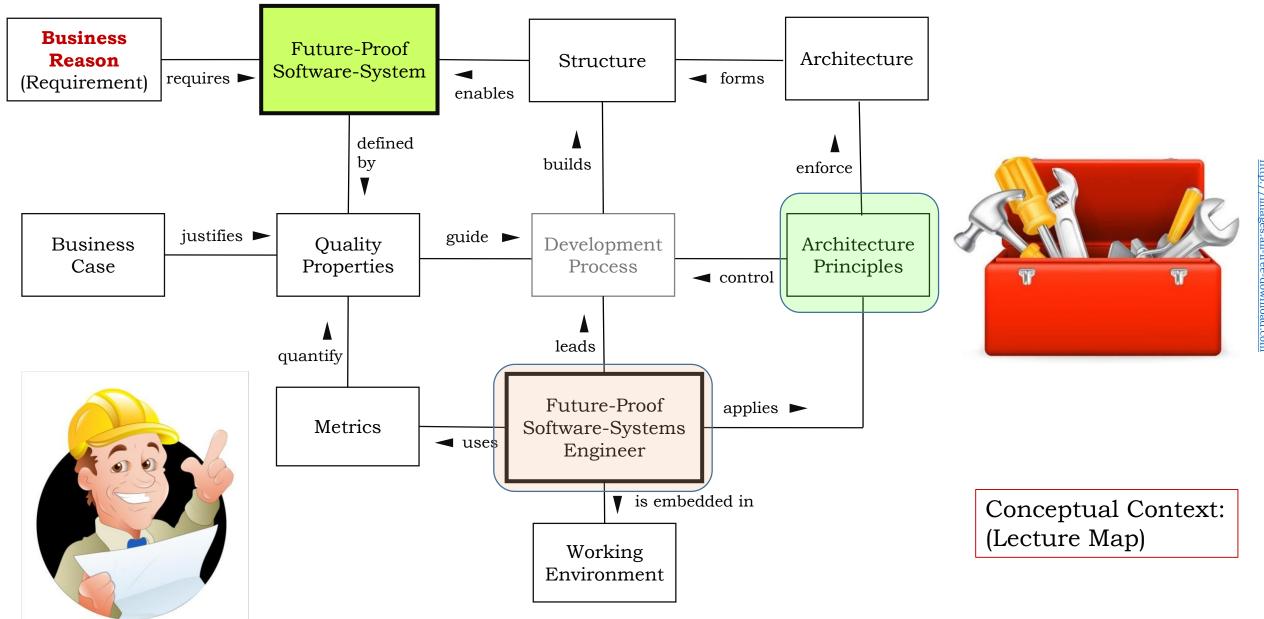
Part 3: Architecting for Changeability

Part 4: Architecting for Dependability

Part 5: Skills of a Future-Proof Software Engineer

Slides + Additional Information:

http://st.inf.tu-dresden.de/teaching/fps



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Foreign Line CARRY'S Edwar of Gothers never System of Graginghy.

«Software Syste

Systems & Software Engineering

Three devils of Systems Engineering

CHANGE

everywhere»

Managed Evolution Strategy

Technial Debt Architecture Erosion Future-Proof Software-Systems

Architecture

Architecting for Changeability

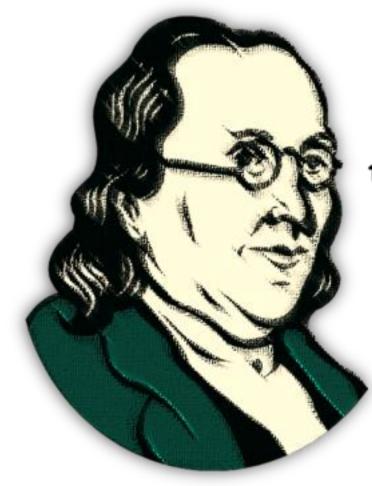
Special Topics



The Future-Proof Software-Systems Engineer

Architecting for Dependability





"Tell me and I forget, teach me and I may remember, involve me and I learn."

-Benjamin Franklin

... please be interactive!



Contents Part 1:

- 1.1 «Software Everywhere»: Introduction
- 1.2 Systems & Software Engineering
- 1.3 3 Devils of Systems Engineering
- 1.4 Future-Proof Software-Systems



«Software Everywhere»

2020:

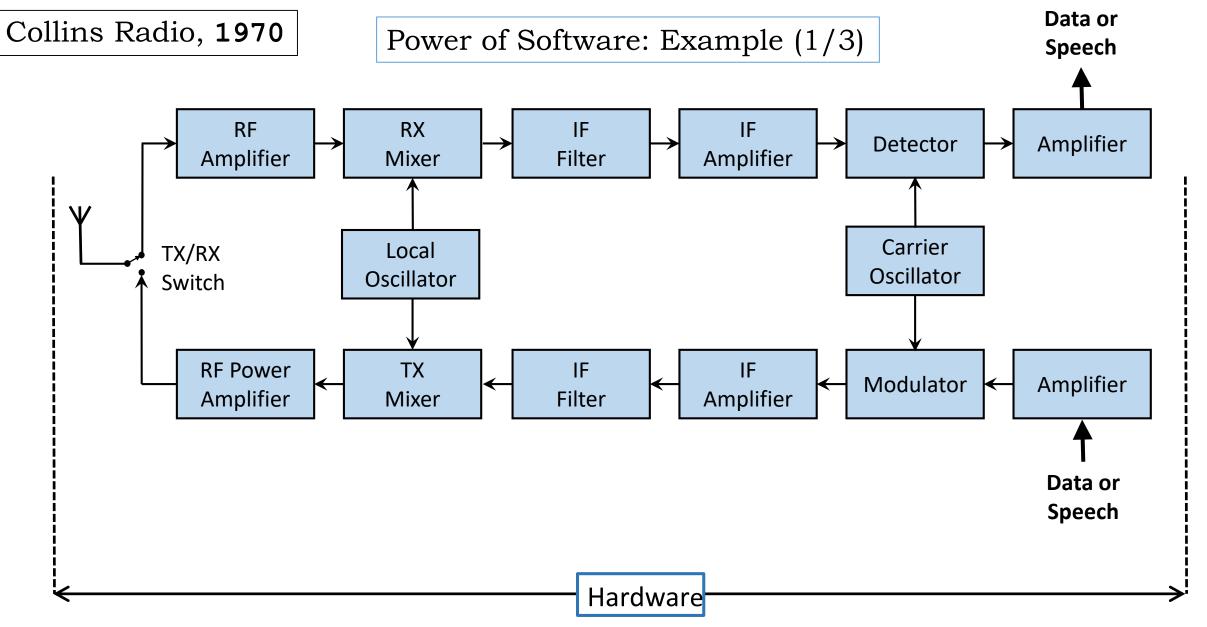
It is difficult to find a product or service which is <u>not</u> controlled by **software**

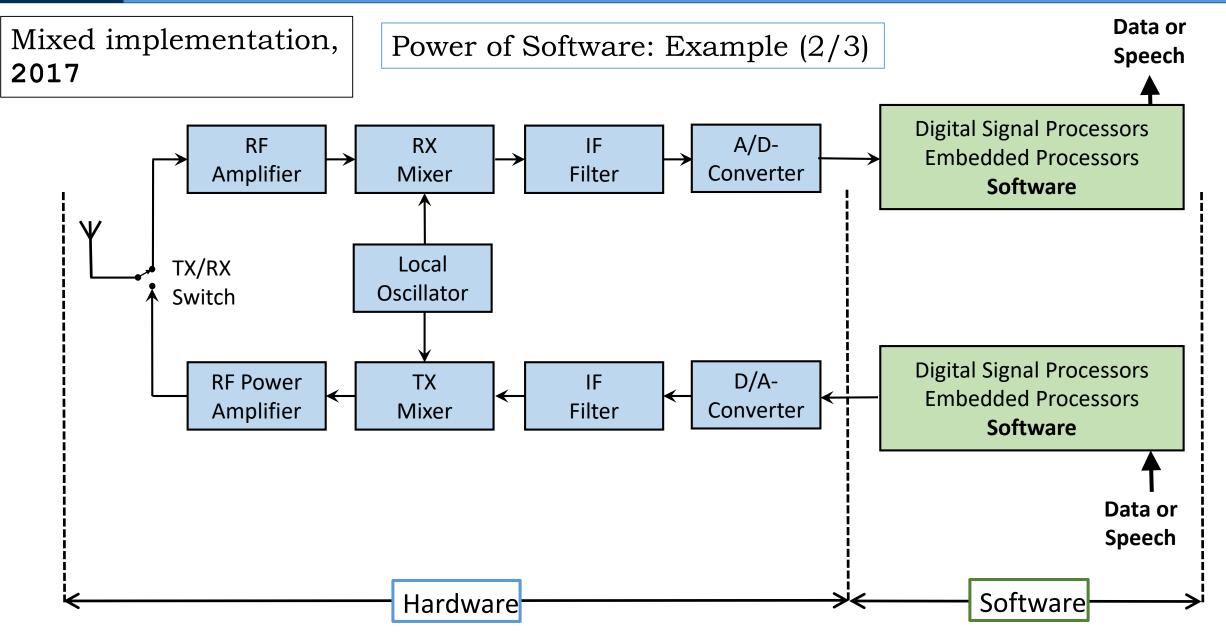
Future:

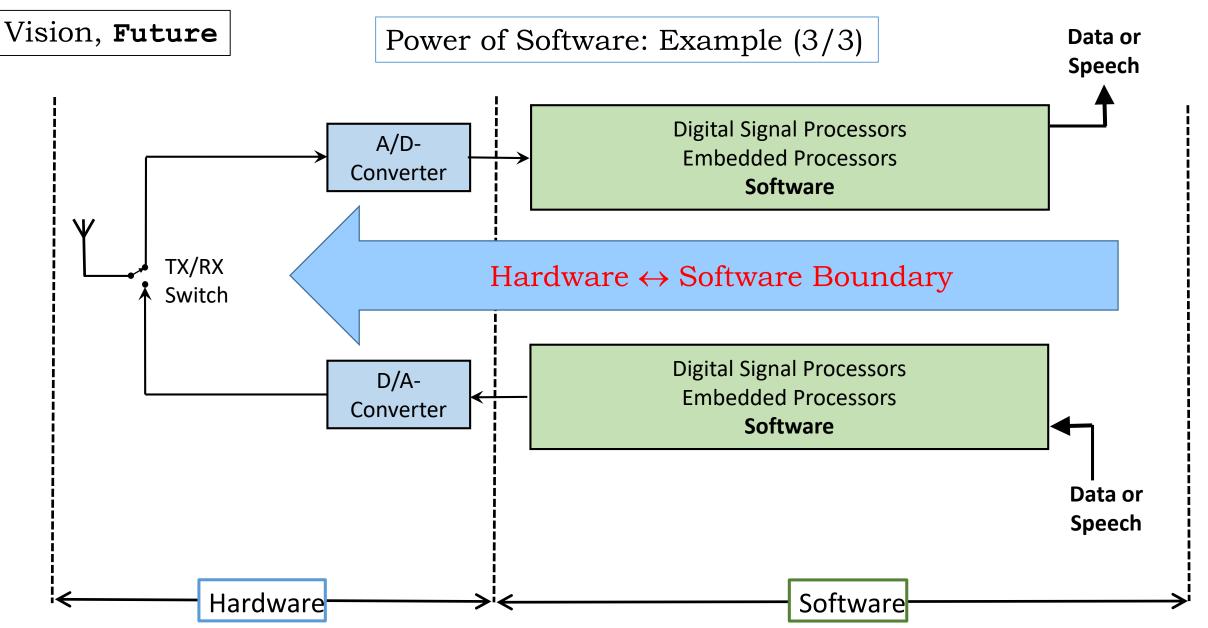
The software is **conquering** more and more of our life and work

Example: COLLINS KWM-2 Short Wave Transceiver









«Software Everywhere»



Enterprise Software



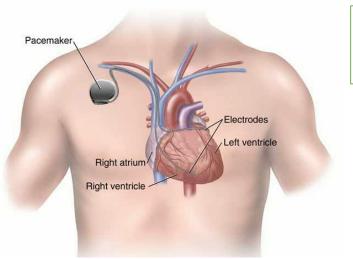












«Software Everywhere»

Embedded Software





ittps://www.cn

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((

«Software Everywhere»

Cyber-Physical Systems (CPS)









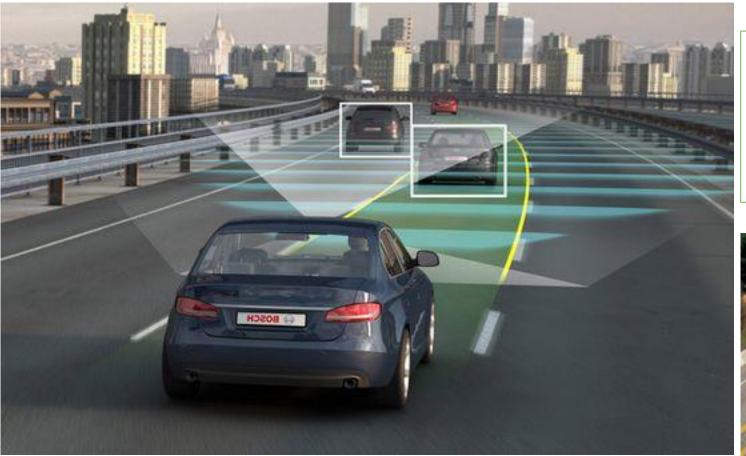
1.1

«Software Everywhere»

- Success Stories
- Failure Stories
- ... Some recent examples



Software Success Story Example 1: Autonomous Driving



In the near future, road traffic will be autonomous. Even complex situations will be handled without human intervention

on nent



Cars will be driven *purely by software*, based on information from sensors and from the environment



Software Success Story Example 1: Autonomous Driving



Roborace – Global Championship of Intelligence and Technology

http://roborace.com

10 teams will have *equal* cars (2 each), but will have to develop their own real-time computing algorithms and artificial intelligence technologies

The Robocars have top speeds of more than 300 km/h (190 mph). The series will be held on the same tracks the FIA Formula E Championship uses. It will be the first global championship for driverless (autonomous) cars





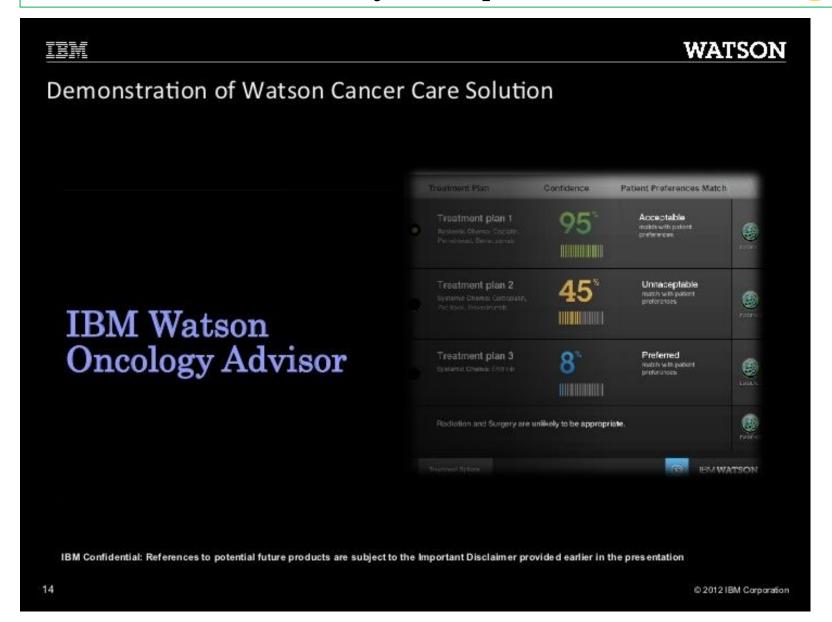
Software Success Story Example 2: 2016 European Truck Platooning Challenge



Truck platooning, where trucks travel in <u>convoy very close to each other</u>, provides many benefits. The <u>first truck does the driving</u> while the ones following are connected by a wireless electronic communications system, like the carriages of a train

https://www.eutruckplatooning.com/default.aspx

Software Success Story Example 5: Medical Oncological Advisor "Watson"



Software expert system for diagnosis and therapy of

cancer

Knows and «understands»
the complete oncological
knowledge



Software Success Story Example 5: Medical Oncological Advisor "Watson"

Did AI just save its first life?

The University of Tokyo is reporting that Watson has correctly diagnosed a **rare form of leukemia** in a 60-year-old Japanese woman. Doctors turned to Watson after the patient failed to respond to drugs they were administering. After 10 minutes of crunching the data, Watson correctly diagnosed her ailment and recommended the appropriate treatment

https://www.top500.org



Watson Oncology:

Expert system + Doctor interaction = powerful diagnosis & treatment tool



Software Success Story Example 6: Early Tumor Detection

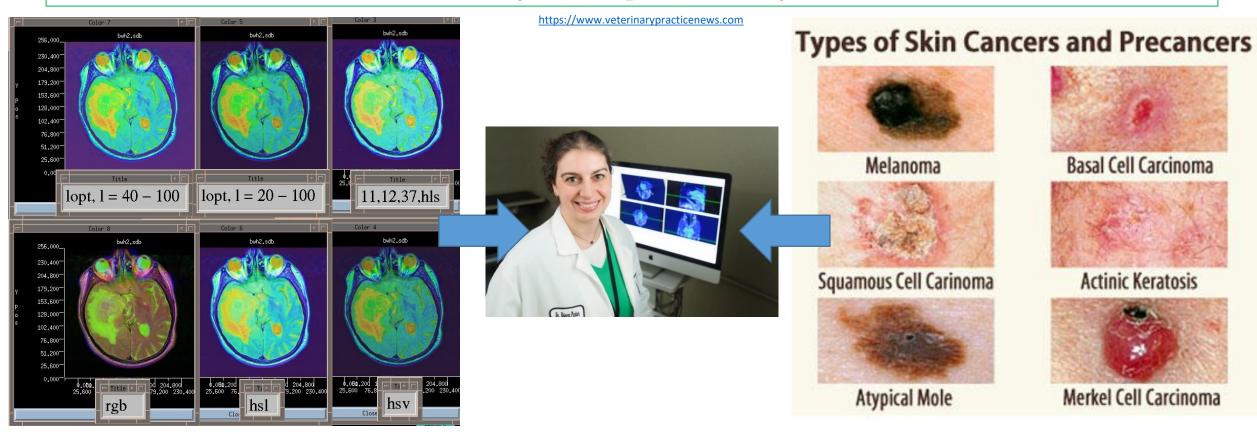


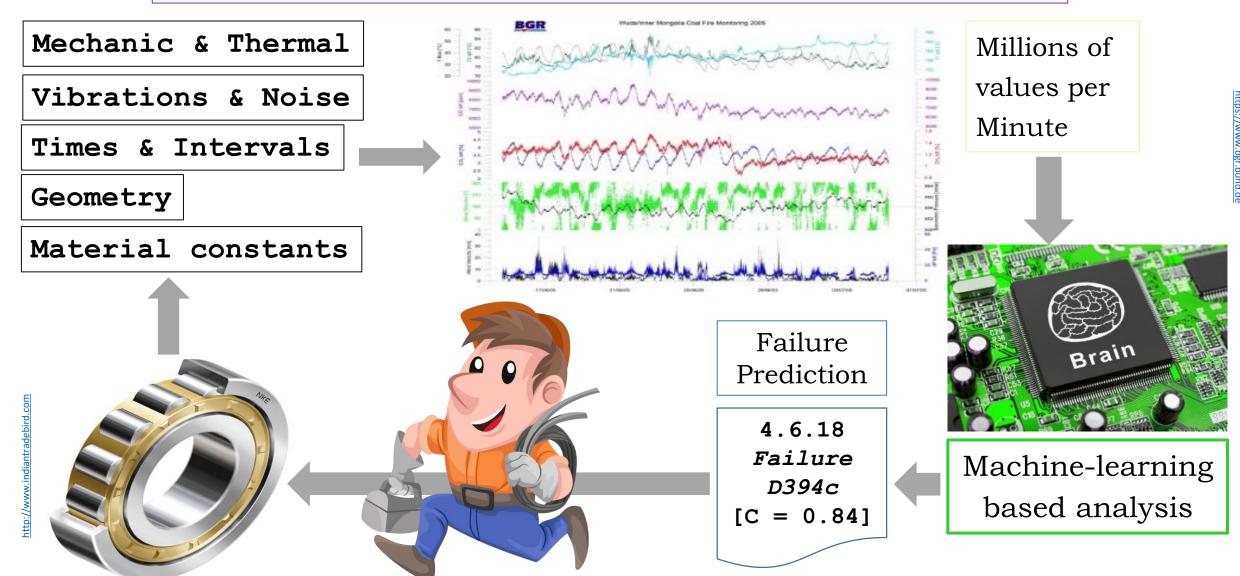
Image processing systems for early detection and precise diagnosis for various forms of **cancer**Self-learning, massie improement



Software Success Story Example 7: Preventive maintenance



How to prevent?



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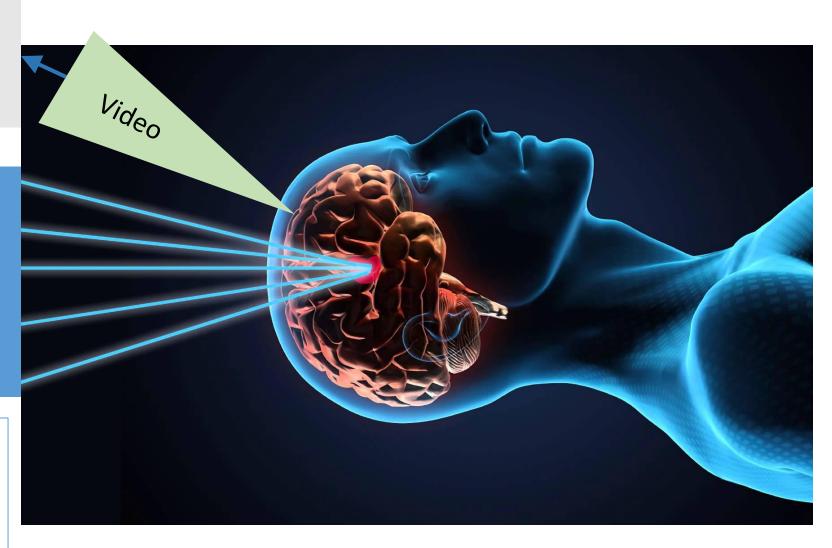
http://diysolarpane

Software Success Story Example 8: Gamma Knife Surgery



201 precise, focussed γ-Rays

Precise, targetted **tumor-elimination** with minimum side-effects

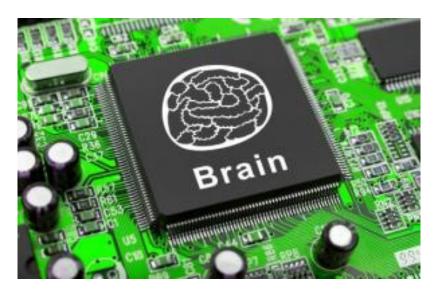


Software Success Story Example 9: Autonomous PREDATOR Drone



TECHNISCHE UNIVERSITÄT DRESDEN

Software Success Story Examples: Artificial Intelligence/Machine Learning



The software with the most impact: Artificial Intelligence (AI) and Machine Learning (ML)



Testing ground for AI/ML: Games

Software Success Story Example 10: Artificial Intelligence/Machine Learning

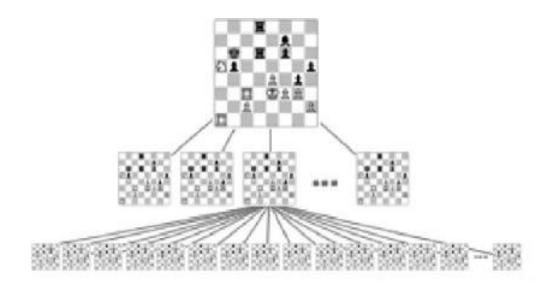


Note that this is <u>not</u> artificial intelligence, but brutal computing power!

New York, 1997:

Chees World Champion – and arguably the eerbest chess player of all times – **Garry Kasparov** loses the championship against **Deep Blue**.

→ A Computer is chess world champion and has never been conquered again!



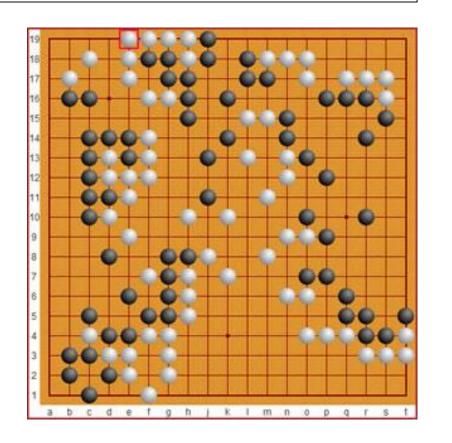


Software Success Story Example 10a: GO

«GO» is a strategy board-game which was invented 2`500 years ago in China



Board: 19 x 19 lines, unlimited number of black and white stones



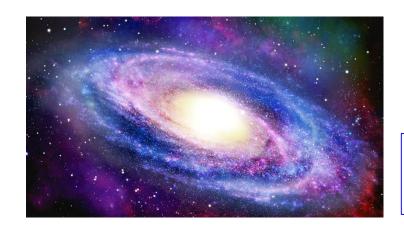
Goal: Occupy as much territory as possible



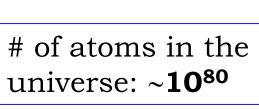




Number of different positions on the GO-board: $\sim 4,63 \times 10^{170}$



Chess: ~10⁴³







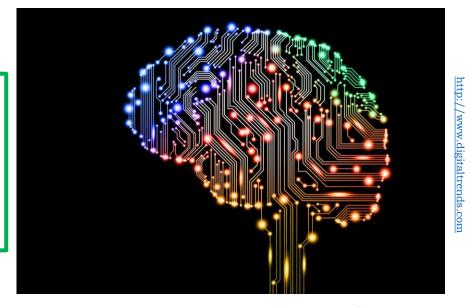
Software Success Story Example 10a: GO



March 2016: The AI-program «AlphaGO» wins a tournament against the GO World champion Lee Sedol 4:1

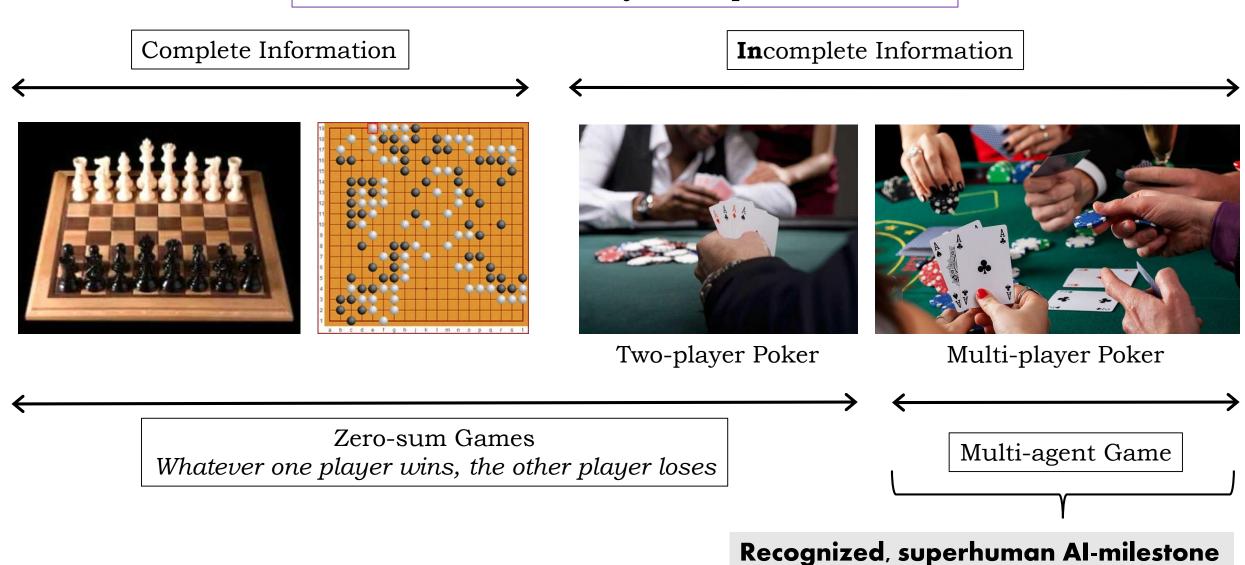
Impressive/Worrying:
 «AlphaGO» is **NOT** an algorithm,
but a self-learning software

[Deep Learning]





Software Success Story Example 10b: Poker





Software Success Story Example 10c: Two-Player Poker

Poker game: «**No Limits Texas Hold em'all**» is a card game 1:1 where strategy & bluffing is important

https://www.pokervip.com/strategy-articles/poker-rules/texas-holdem



of different hands: $\sim 10^{160}$



of atoms in the universe: ~10⁸⁰



Software Success Story Example 10c: Two-Player Poker

Two-Player Poker Tournament January 2017, simulataneously against 4 professionals:

Our representatives of humanity:

Jason Les, Dong Kyu Kim, Daniel McAulay and Jimmy Chou

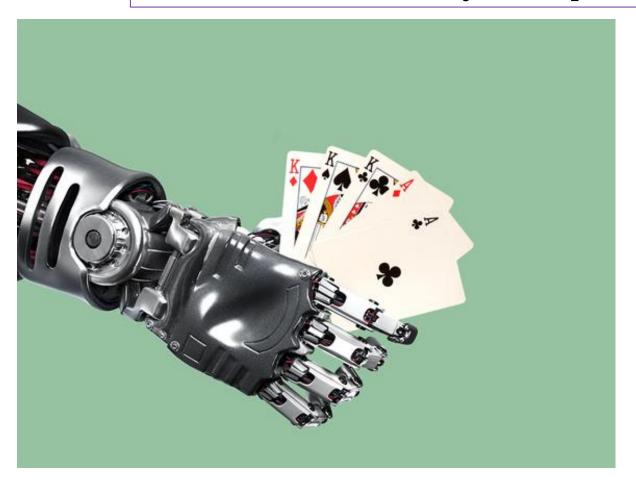
kept things relatively tight at the outset.



At the end of day 20 and after 120,000 hands, the AI-program **Libratus** claimed victory with daily totals of \$206'061 in theoretical chips and an overall pile of \$1'766'250



Software Success Story Example 10d: Multi-Player Poker



Six-player, no-limit Texas
Hold'em all still remains one of
the most elusive **challenges for AI-systems**

July 2019:

Pluribus was evaluated by playing against an elite group of players that included several World Series of Poker and World Poker Tour champions

Pluribus played 10,000 hands of poker against five human players selected randomly from the pool. Pluribus's win rate was estimated to be about 5 big blinds per 100 hands (5 bb/100), which is considered a **very strong victory** over its elite human opponents



Software Success Story Example 10d: Multi-Player Poker

Pluribus learned poker by playing against copies of itself.

Starting from scratch, and playing randomly at first, the program steadily improved its performance.

After eight days, it had devised a "blueprint strategy", which it uses for the first round of betting. For subsequent rounds, Pluribus looks ahead to hone its strategy. It aims to be unpredictable to wrongfoot its opponents

Noam Brown, Tuomas Sandholm: Superhuman AI for multiplayer poker.

Science, 11. July 2019

https://science.sciencemag.org/content/early/2019/07/10/science.aay2400/tab-pdf

⇒ Opens up new **AI-applications** with massively incomplete information and a high degree of uncertainty





Software Success Story Example 10d: Rubik's Cube

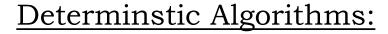
Starting point: Random colour combination of the faces

End point: All faces have one colour

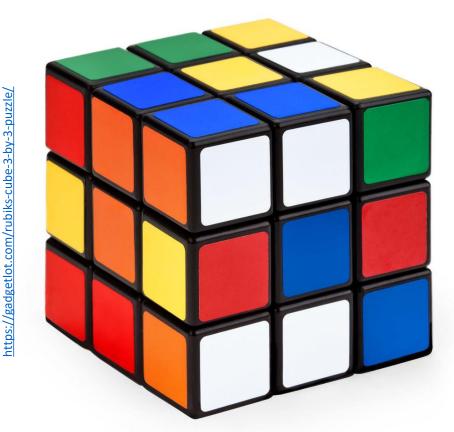




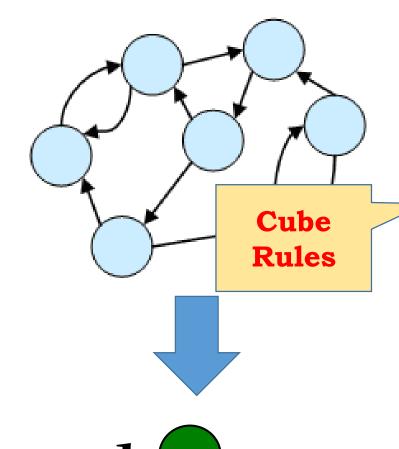




2008: Tomas Rokicki proved the minimum of moves to be **20**



$$8! \times 3^7 \times (12!/2) \times 2^{11} = 43,252,003,274,489,856,000$$





We solve the Rubik's cube with **DeepCubeA**, a <u>deep</u> reinforcement learning approach that learns how to solve increasingly difficult states in reverse from the goal state without any specific domain knowledge.

DeepCubeA solves 100% of all test configurations, finding a shortest path to the goal state 60.3% of the time

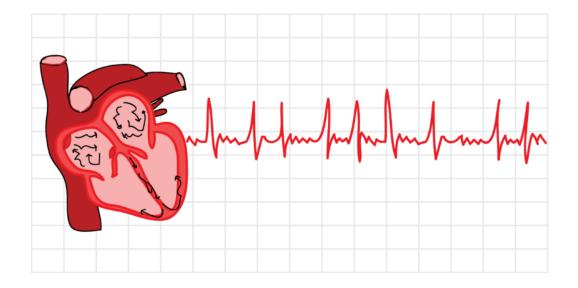
DeepCubeA is able to solve *planning problems* with large state spaces and few goal states by learning a cost-to-go function, parameterized by a DNN, which is then used as a heuristic function for weighted A* search

https://www.nature.com/articles/s42256-019-0070-z

intelligence

Software Success Story Example 11: Atrial Fibrillation Detection

Atrial fibrillation (Vorhofflimmern) is frequently asymptomatic and thus underdetected but is associated with stroke, heart failure, and death



Existing screening methods require prolonged monitoring and are limited by cost and low yield



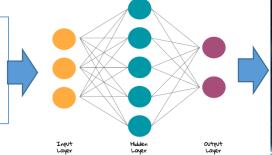
Software Success Story Example 11: Atrial Fibrillation Detection

We developed an artificial intelligence (AI)-enabled electrocardiograph (ECG) using a convolutional neural network to detect the electrocardiographic signature of atrial fibrillation present during normal sinus rhythm

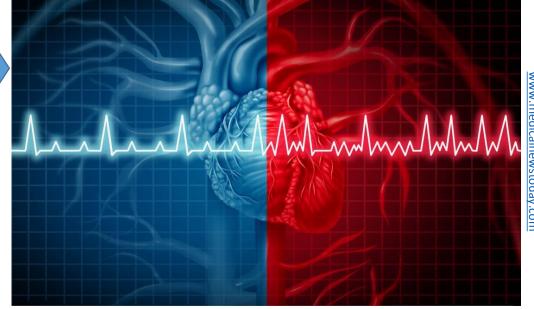
https://www.thelancet.com/journals/lancet/article/PIIS0140-6736(19)31721-0/fulltext

Training data:

We included 180 922 patients with 649 931 normal sinus rhythm



Algorithm result: overall accuracy of **79,4%**



Software Success Stories

... innumerable and new ones daily!





1.1

«Software Everywhere»

- Success Stories
- Failure Stories
- ... Some recent examples



Software Catastrophe Example 1: Crash Airbus A400M (9. Mai 2015)



A400M: Military Transport Plane

Capacity: 37'000 kg

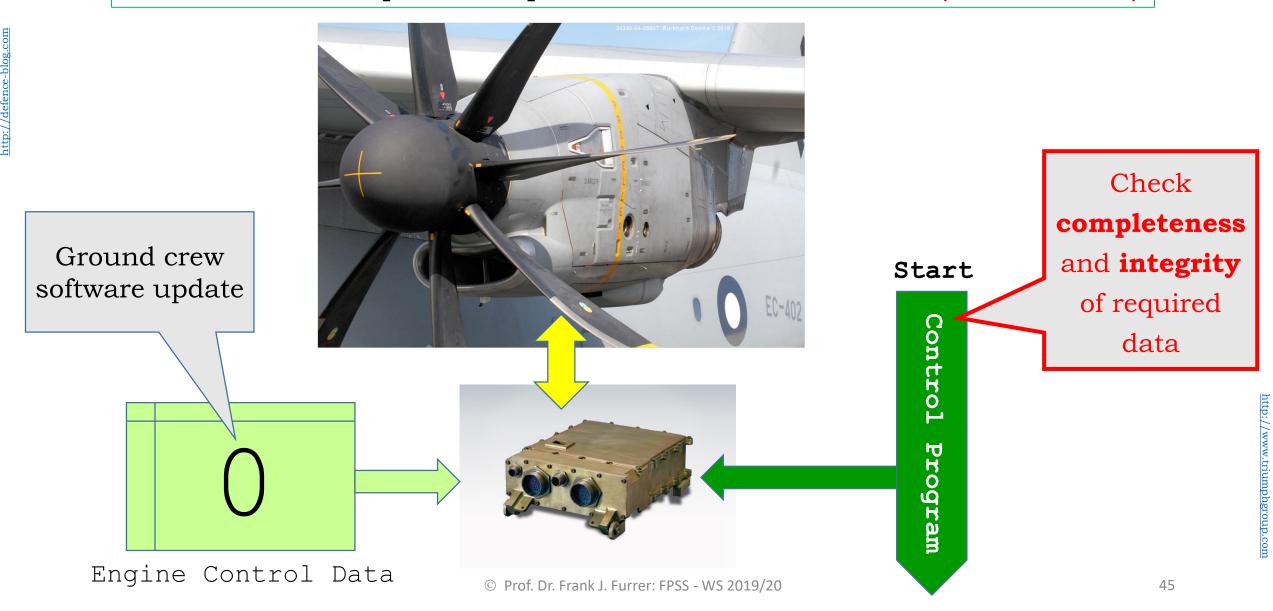
Range: > 3'000 km

Failure of the thrust control of 3 engines shortly after the start





Software Catastrophe Example 1: Crash Airbus A400M (9. Mai 2015)





Software Catastrophe Example 2: US\$ 951 Million cyber-theft



In February 2016, instructions to **steal US\$ 951 million** from the central bank of Bangladesh, were issued via the SWIFT network

Five transactions issued by hackers, worth \$101 million, succeeded

The Federal Reserve Bank of NY blocked the remaining thirty transactions, amounting to \$850 million





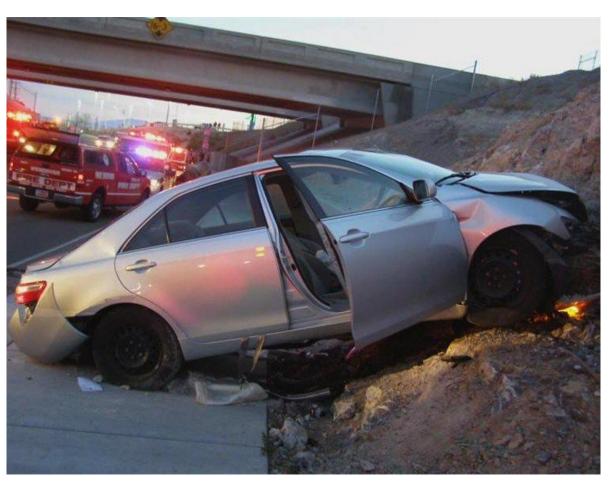
Software Catastrophe Example 3: Unwanted acceleration of Toyota cars



The unwanted acceleration of Toyota and Lexus cars caused **89 traffic deaths** and **52 injured** from 2000 to 2010

tp://www.autoevolution.con

Software Catastrophe Example 3: Unwanted acceleration of Toyota cars



Toyota claimed in the beginning that the **doormat** was the source of the acceleration

Independent research demonstrated a **software-problem** in the throttle control

19. March 2014: Toyota pays a US-fine of 1.2 Billion US\$

Software Catastrophe Example 4: Automated Trading Big Loss



Knight Capital:

Computer-Trader

= high-frequency automated computer-trading

[10'000 Trades/sec Holding: Milliseconds]

Computer-traded Loss on 1.8.2012 (NYSE): **440 Million US\$** (in 20 minutes)

Software Catastrophe Example 4: Automated Trading Big Loss



On 1.8.2012 at 9:30 the computers generated (without human activity) millions of *faulty trades*

At 9:58 Knight Capital had lost **440 Millionen US\$**

Reason: **Programming mistake** in the high-frequency automated trading algorithm after a software-update



Software Catastrophe Example 5: Blockchain Code Exploit



A **blockchain** is a cryptographic, anonymous public ledger of all cryptocurrency transactions that have ever been executed in a community.

The blockchain-technology is the base for nearly all **FinTech** ventures.

http://www.bitcoinisle.com

Anyone who invested Ether into the *DAO fund* received a particular number of DAO tokens, which enabled them to vote on the projects that the DAO will fund. By the end of May, the DAO had raised more than **US\$150 million** worth of Ether from investors.





Software Catastrophe Example 6: Cryptocurrency Exchange Hacks



A brief History of Crypto Exchanges Hacks Total loss to date (Jul 11 – Sep 18): \$1,542,620,000.-

Source: https://discover.ledger.com/hackstimeline/

- + Wallet hacking
- + Mining hacking

Software Catastrophe Example 7: US Clinton e-Mail Hack

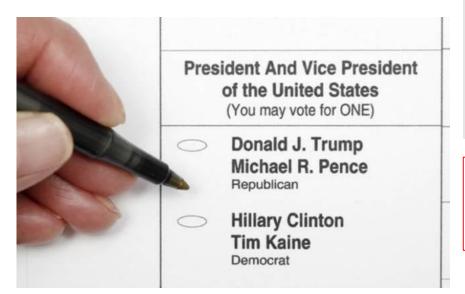


In March 2016, the personal Gmail account of John Podesta, the chairman of Hillary Clinton's 2016 U.S. presidential campaign, was compromised in a data breach, and a collection of his **e-mails**, many of which were work-related, were stolen

The e-mails were subsequently published by WikiLeaks.

https://www.theatlantic.com:

"Conservatives will see corruption and liberals will see corporatism and expedience, but the exchanges simply expose the candidate who's been there all along"



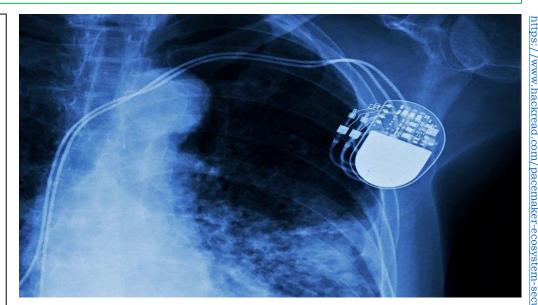
The leaks certainly damaged Hilary Clinton's campaign and possibly decided the outcome

Software Catastrophe Example 8: Heart Pacemaker Vulnerability



August 30, 2017:

An estimated 465,000 people in the US are getting notices that they should *update the firmware* that runs their life-sustaining pacemakers or risk falling victim to potentially *fatal hacks*





Software Catastrophe Example 9: EQUIFAX Hacking



7. September 2017:

Data of 143 million Americans exposed in hack of credit reporting agency Equifax

https://www.washingtonpost.com

Hackers gained access to sensitive personal data — Social Security numbers, birth dates, home addresses, credit histories — for up to 143 million Americans, a major cybersecurity breach at a firm that serves as one of the three major clearinghouses for Americans' credit histories



Software Catastrophe Example 10: CAPITOL ONE Hacking

A hacker gained access to 100 million
Capital One
credit card applications and accounts

By Rob McLean, <u>CNN Business</u> Updated 2117 GMT (0517 HKT) July 30, 2019



Paige Thompson is accused of breaking into a <u>Capital One server</u> and gaining access to 140,000 Social Security numbers, 1 million Canadian Social Insurance numbers and 80,000 bank account numbers, in addition to an undisclosed number of people's names, addresses, credit scores, credit limits, balances, and other information, according to the bank and the US Department of Justice

Software Catastrophe Example 11: Boeing 737 MAX Accidents

Both planes crashed **nose-down** What happened?

Lion Air Flight 610: On 29 October 2018, the Boeing 737 MAX 8 crashed into the Java Sea 12 minutes after takeoff, killing all 189 passengers and crew



Ethiopian Airlines Flight 302: Six minutes after takeoff, the plane crashed near the town of Bishoftu, Ethiopia, killing all 157 people aboard.





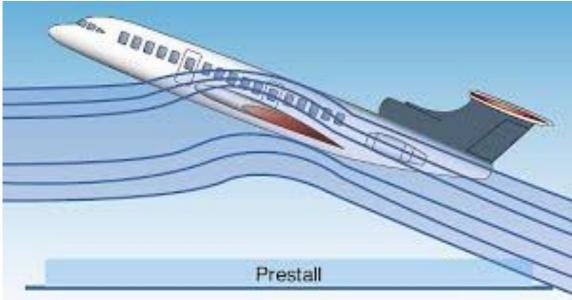
Software Catastrophe Example 11: Boeing 737 MAX Accidents

The 737 MAX was equipped with new, more fuel-efficient engines



Airflow ↓ Lift



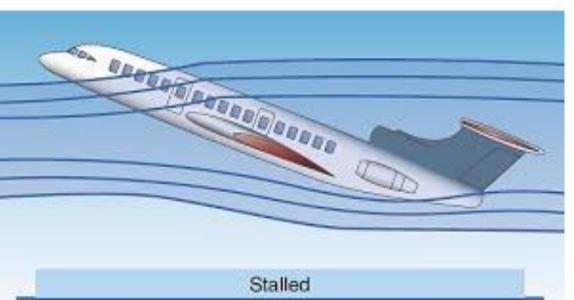


https://leehamnews.com

The larger engines augmented the risk of **stalling**

Lift
Loss

↓
Stalling



https://www.youtube.com

Software Catastrophe Example 11: Boeing 737 MAX Accidents



Dangerous nose-up angle

→ Risk of stalling (= loss of uplift)

Software-Fix:

MCAS takes readings from sensors to determine how much the plane's nose is pointing up or down. If the software detects the nose is pointing up at a dangerous angle it automatically pushes the nose to **stop the plane stalling**

... However:

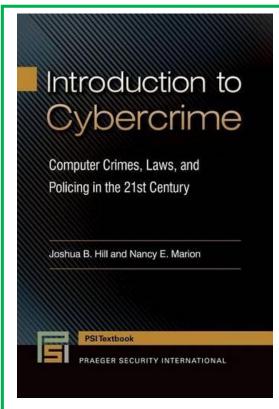
- The pilots were **not** informed about this (new) functionality
- The MCAS (= Software) decisions/actions could **not** be overridden by the pilots





Textbook

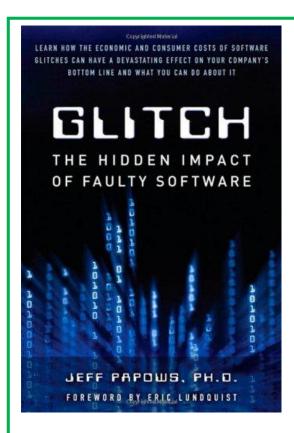




Nancy Marion:

Introduction to Cybercrime – Computer Crimes, Laws, and Policing in the 21^{st} Century

Praeger Security International, 2016. ISBN 978-1-4408-3533-9



Jeffrey Papows:

Glitch – The Hidden Impact of Faulty Software

Prentice Hall Inc., USA, 2010. ISBN 978-0-132-16063-6



«Software Everywhere»

... and what is the message?

«Software Everywhere» ... and what is the message?

Success Stories



Software generates **Business Value**

⇒ Products, Services, Quality of Life, ...



Failure Stories

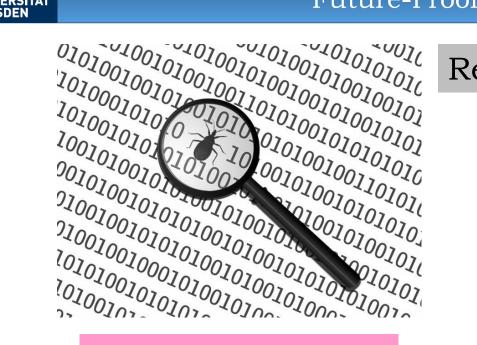


Software creates **Risks**

⇒ Accidents, failures, malfunctions, ...







Reasons:



Software Fault

Software Vulnerability

Application-Software

- Bug
- Malfunction
- Fault/Error/Failure
- Design/Implementation Flaw

...

Trusted Engineering

Application & Systems-Software

- Malware entry-point
 - Unauthorized access way
 - Insider crime



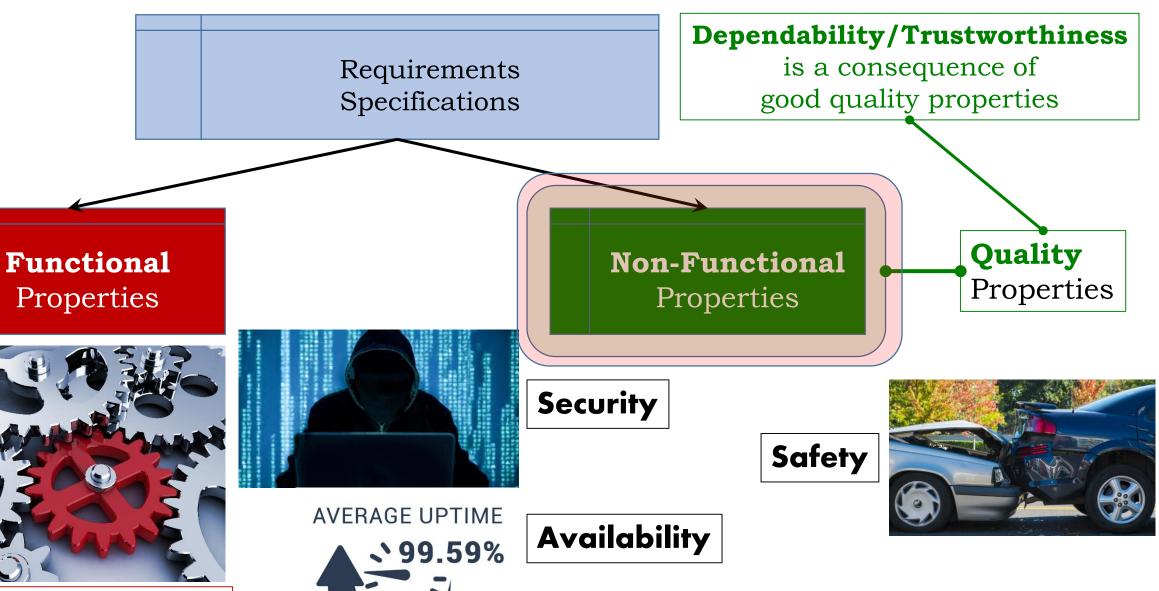
Responsibility of the Software Community:



To build and operate **dependable/trustworthy** software



... "The software does what it should do, and does not what it should not do»



Business-/Applications Functionality

... etc.



Objective:

To build and operate dependable/trustworthy software

... "The software does what it should do, and does not what it should not do"

To generate **business value** for its owner (and the community)

... «The software industry is today one of the largest industries in the world»

To maintain a high **changeability** of the software:

... «The software must efficiently be adaptable to new requirements»







Our objective is: to build, evolve, and maintain long-lived, mission-critical IT-systems with a strong dependability, an easy changeability and a high business value.

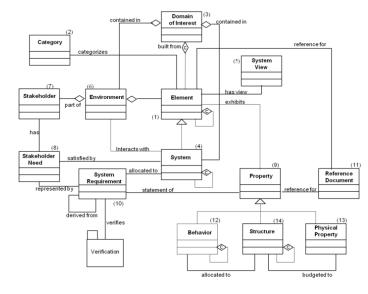


Systems-Engineering

Software-Engineering

... and some definitions

Existing Software-System



New Requirements

- ✓ Functional
- ✓ Non-functional (Properties)
 - ✓ Legal
 - ✓ Operational

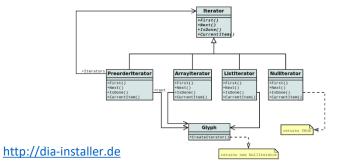


Managed Evolution Strategy



Development, Integration & **Deployment Processes**

Architecture Principles



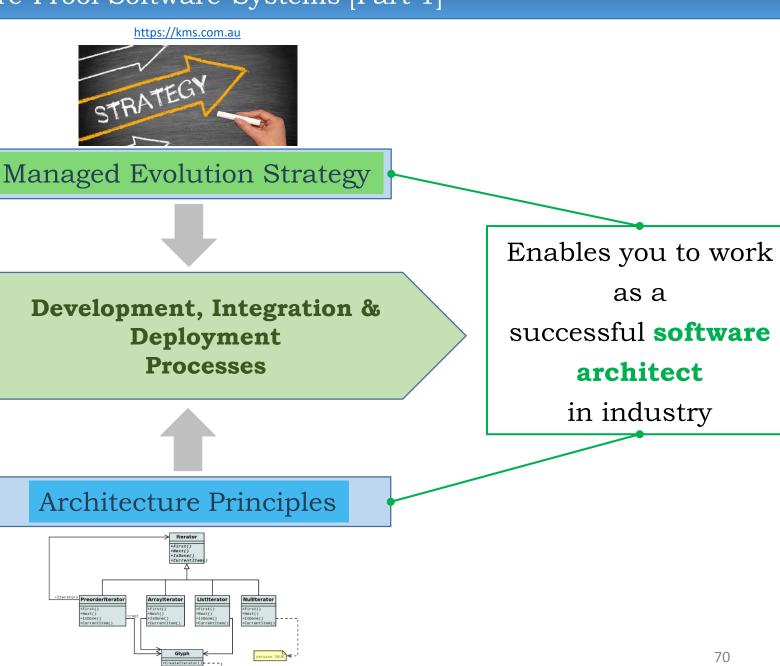
Extended Software-System

```
...code omitted...
   Text textRef = TextBuilder.create()
      .layoutY(100)
      .textOrigin(VPos.TOP)
      .textAlignment(TextAlignment.JUSTIFY)
      .wrappingWidth(400)
      .text(message)
     .fill(Color.rgb(187, 195, 107))
.font(Font.font("SansSerif", FontWeight.BOLD, 24))
    TranslateTransition transTransition = TranslateTransitionBuilder.create()
      .duration(new Duration(75000))
      .node(textRef)
      .toY(-820)
      .interpolator(Interpolator.LINEAR)
      .cycleCount(Timeline.INDEFINITE)
      .build();
   Scene scene = SceneBuilder.create()
      .width(516)
      .height(387)
      .root(
       GroupBuilder.create()
           .children(
            ImageViewBuilder.create()
              .image(new Image("http://projavafx.com/images/earthrise.jpg"))
            ScrollPaneBuilder.create()
              .layoutX(50)
              .layoutY(180)
              .prefWidth(440)
               .prefHeight(85)
               .hbarPolicy(Sc
              .vbarPolicy(Sc:
              .pannable(true)
               .content(textRé
              .style("-fx-bac
.build()
                                               transparent:")
          .build()
      .build();
...code omitted..
```

Future-Proof Software-Systems [Part 1]

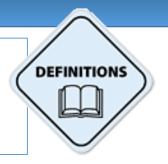
http://dia-installer.de







Software engineering is the application of engineering to the design, development, implementation, testing and maintenance of software using systematic methods



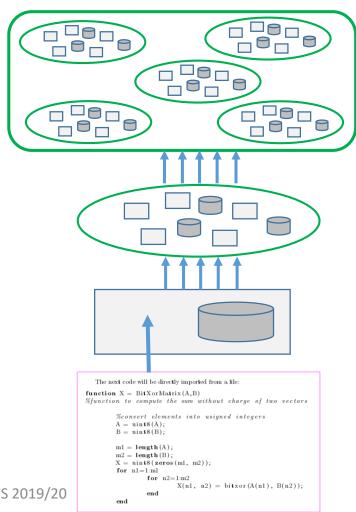
Software Hierarchy:

Application Landscape

Application

Component

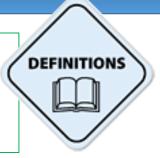
Program, Module

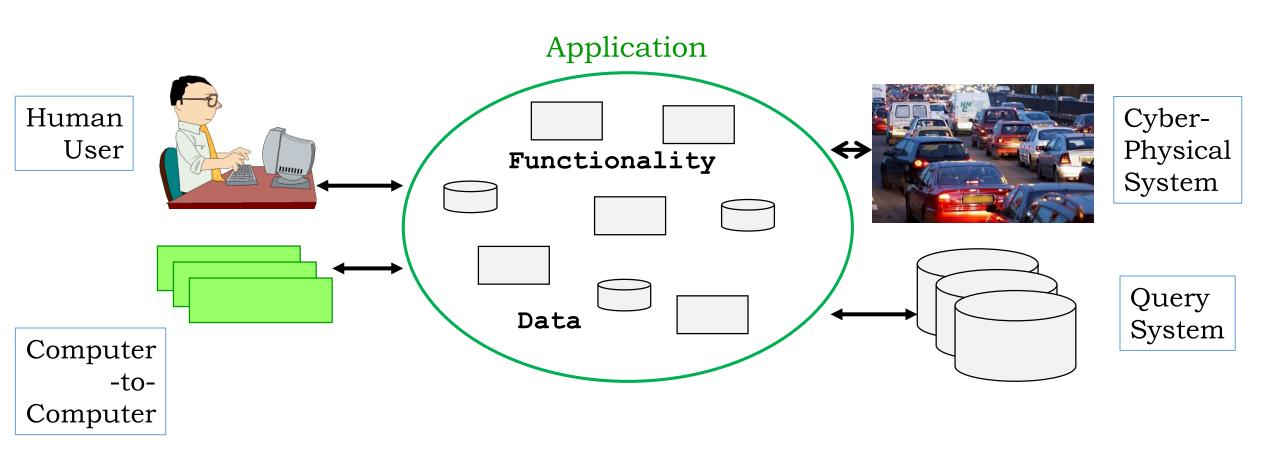




Application (software) =

Software designed to fulfill <u>specific needs</u> of a user: for example, software for navigation, payroll, or process control (IEEE Std 610.12-1990)



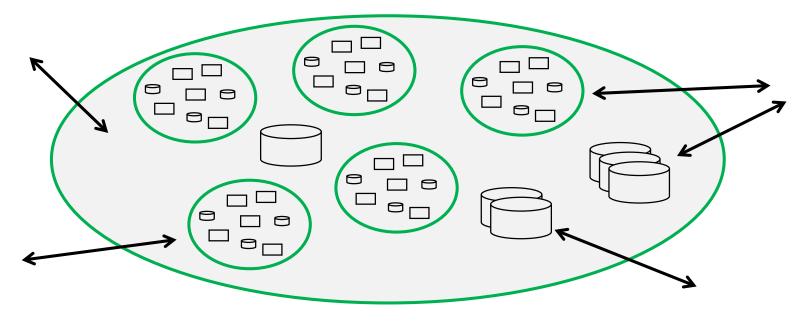




Application Landscape =

Set of <u>interacting applications</u> and <u>data</u> cooperating to achieve a common objective: for example operate a bank, drive a car, or control a manufacturing process

Application Landscape

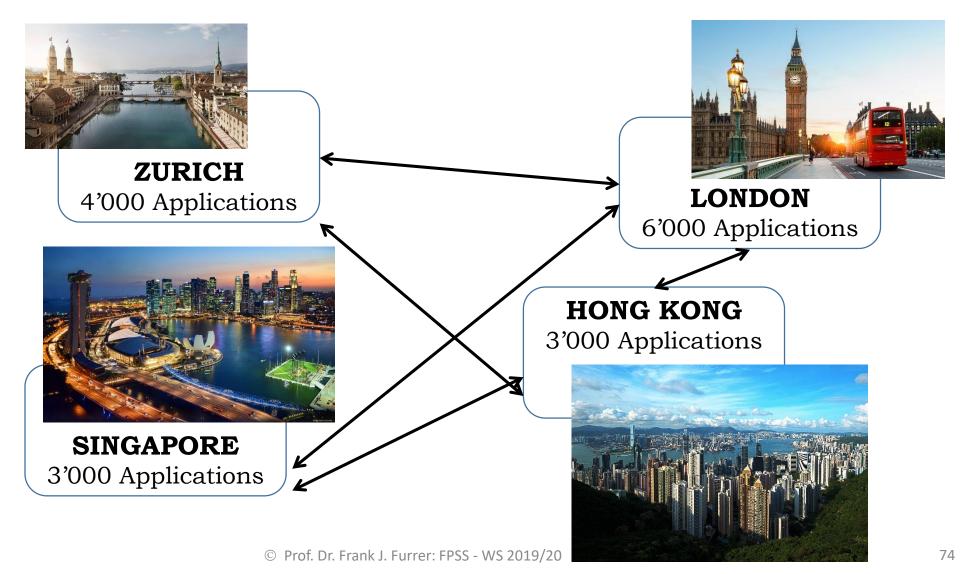


DEFINITIONS



Example: CREDIT SUISSE distributed Application Landscape







http://wolcottwhisper.com

Project =

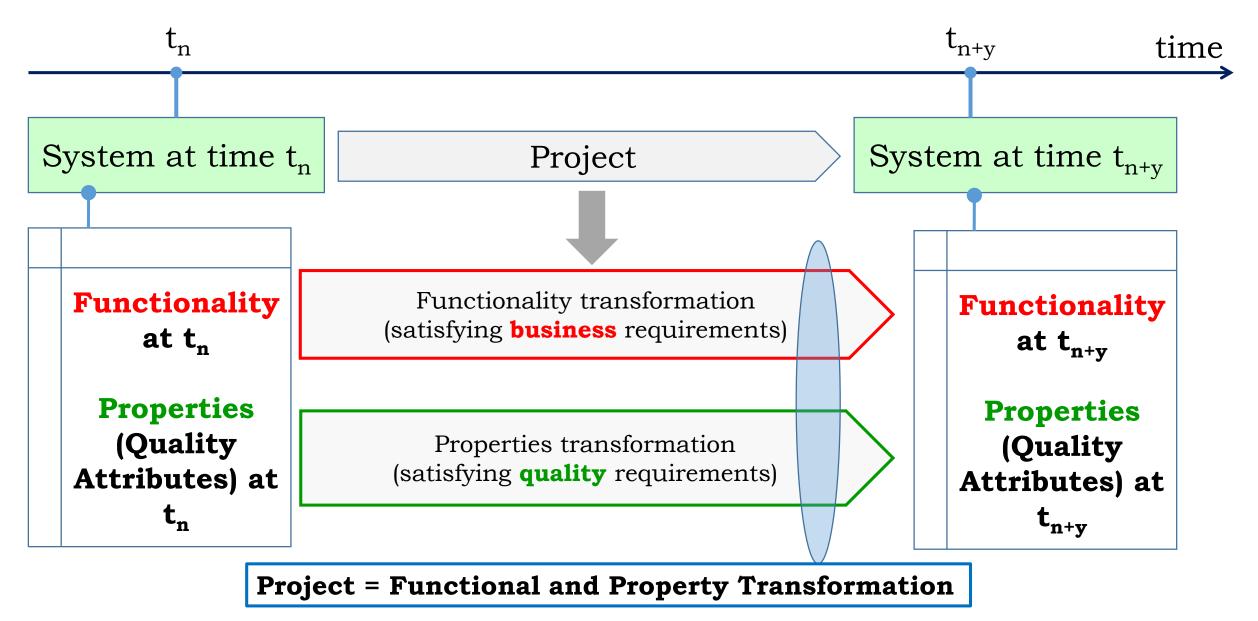
Planned set of interrelated tasks to be executed over a <u>fixed period</u> and within certain <u>cost</u> and other <u>limitations</u>

DEFINITIONS

http://www.businessdictionary.com/definition/project.html

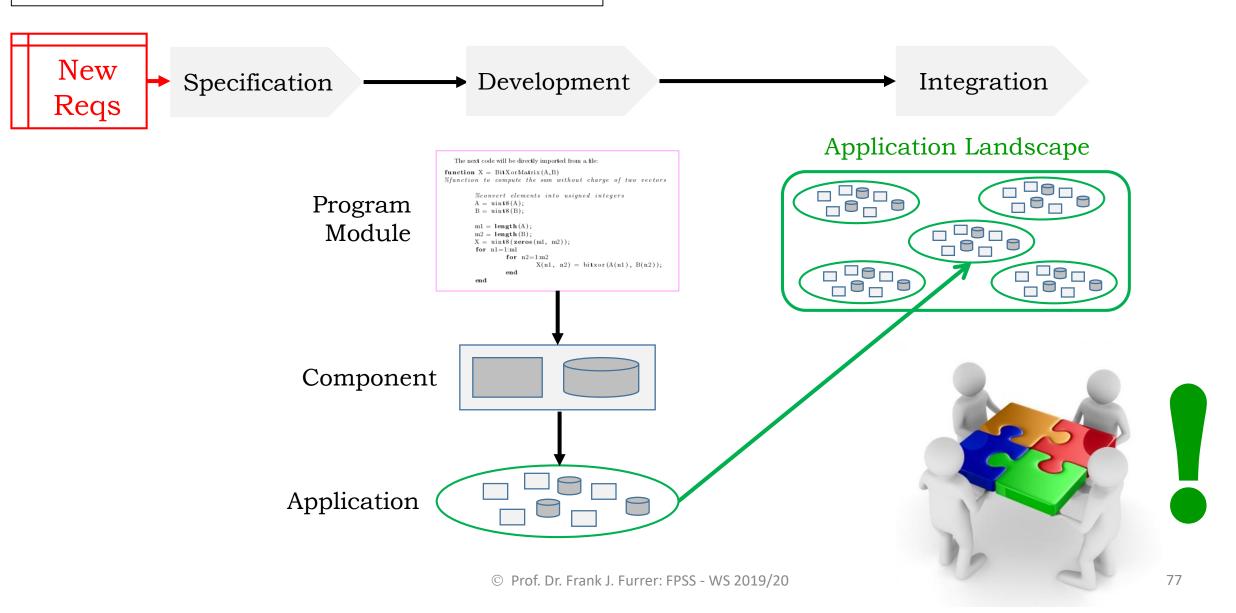




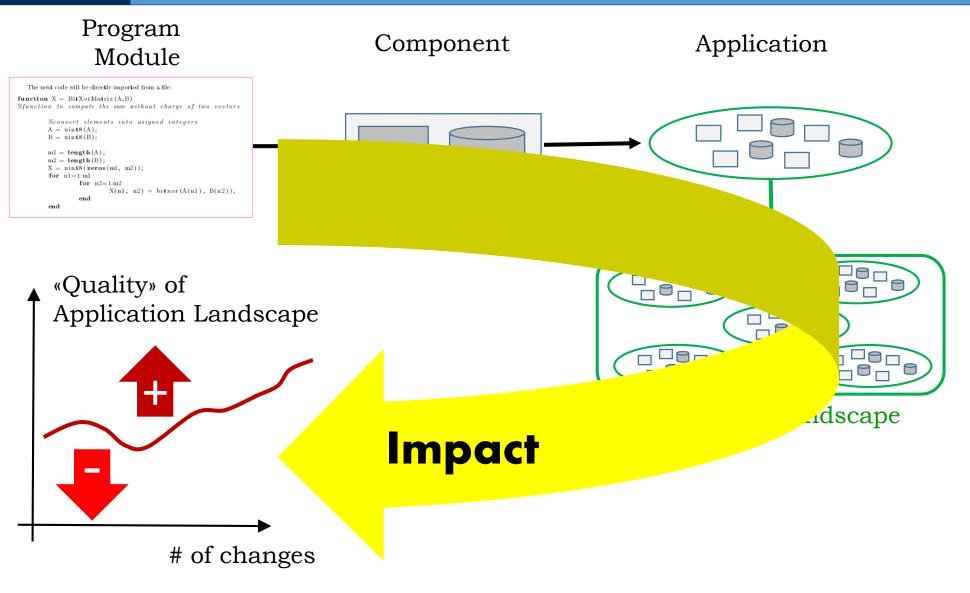




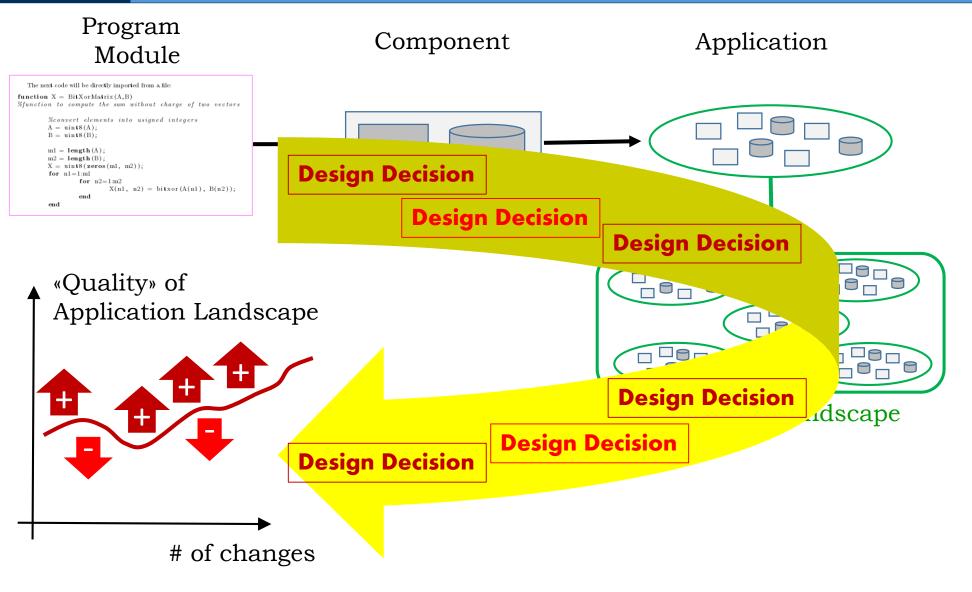
Software Development & Integration



Future-Proof Software-Systems [Part 1]



Future-Proof Software-Systems [Part 1]



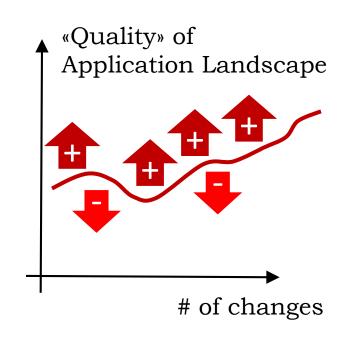
The «quality» of the application landscape is the sum of all design & implementation decisions



... defined later

The «quality» of the application landscape is a consequence of:

- Architecture choices
- Design decisions
- Implementation options



The «quality» of the application landscape is the sum of all design & implementation decisions









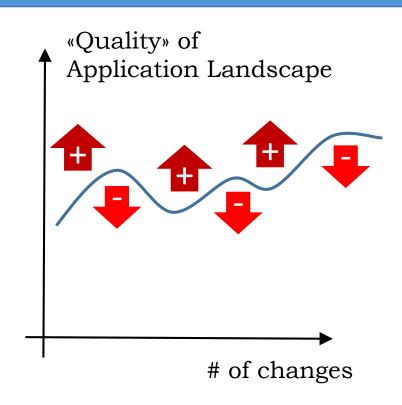


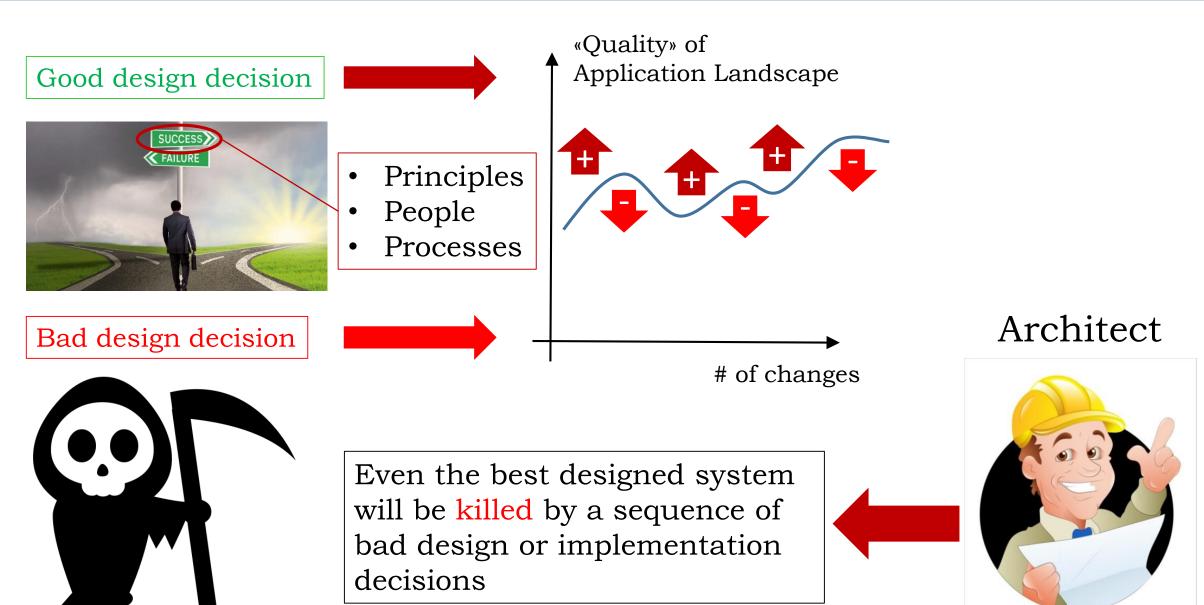
Good design decision

- Proven principles
- Good people
- Quality process
- Sufficient resources

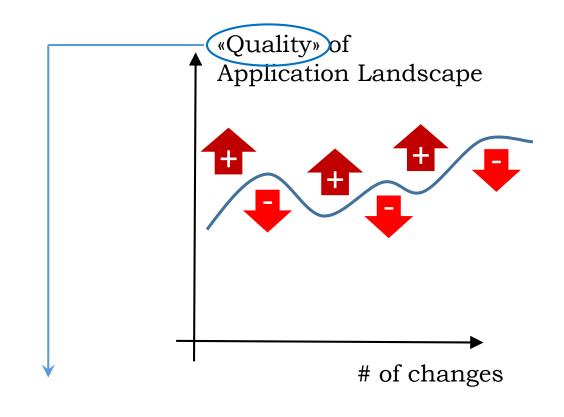
Bad design decision

- Missing overall architecture
- Lack of principles enforcement
- Careless people
- Technical debt accumulation
- Time & resource shortage









Functional:

- free of defects
- match specifications

Non-Functional:

- ... «-illities»
- Security, agility, safety, ...



• ... «-illities»

Non-functional properties [= Quality Attributes]

- Safety
- Security
- Availability
- Integrity
- Performance
- Maintainability
- Recoverability
- Resource consumption (power, memory, ...)
- Diagnosability

•

Which quality attributes are most important?

⇒ Depends on the application!



• ... «-illities»

Application

Quality
Attributes
Scorecard

Quality Attributes:

- Resources
- Security •
- Safety —
- Availability
- Performance
- Integrity •
- Maintainability
- Standards conformance

• ...





Dependability

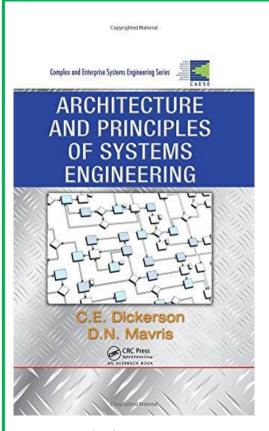
Dependability objective:

No harm to life and property (internal and external)



Textbook

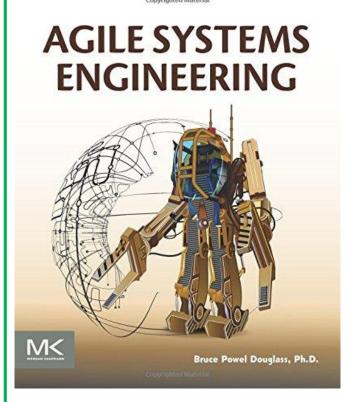
Textbook



C.E. Dickerson, D.N. Mavris:

Architecture and Principles of Systems Engineering

CRC Press (Taylor & Francis), Boca Raton, USA, 2010. ISBN 978-1-4200-7253-2



Bruce Powel Douglass:

Agile Systems Engineering

Morgan Kaufmann Publishers (Elsevier), Waltham, MA, USA, 2016. ISBN 978-0-12-802120-0





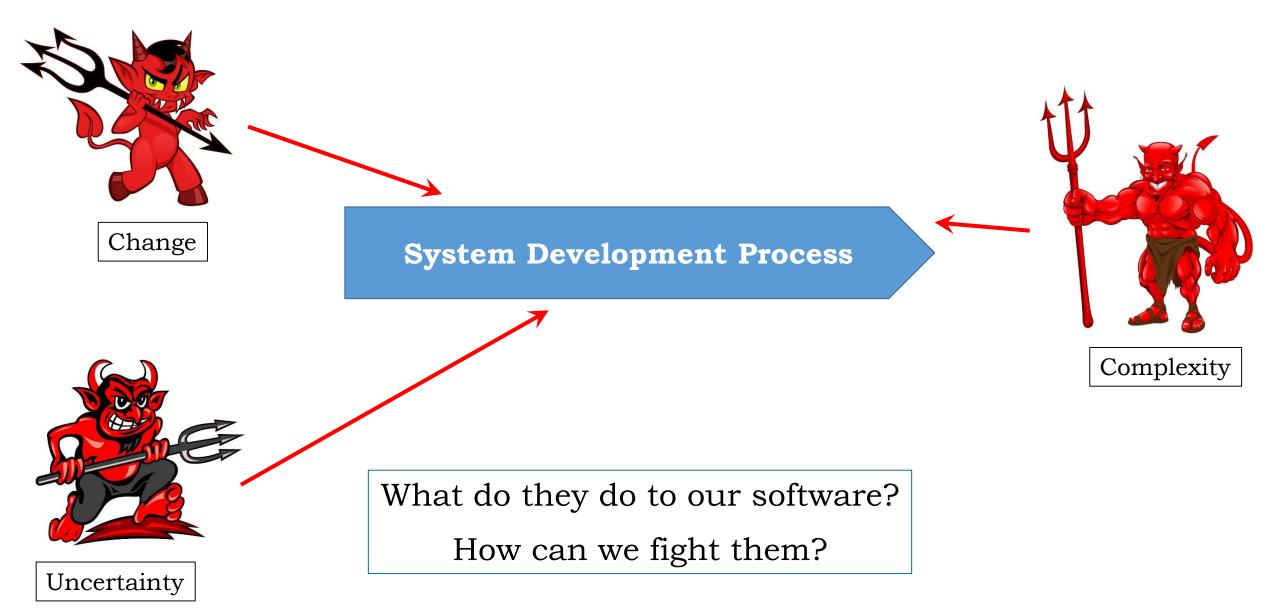


The
3 Devils
of
Systems-Engineering

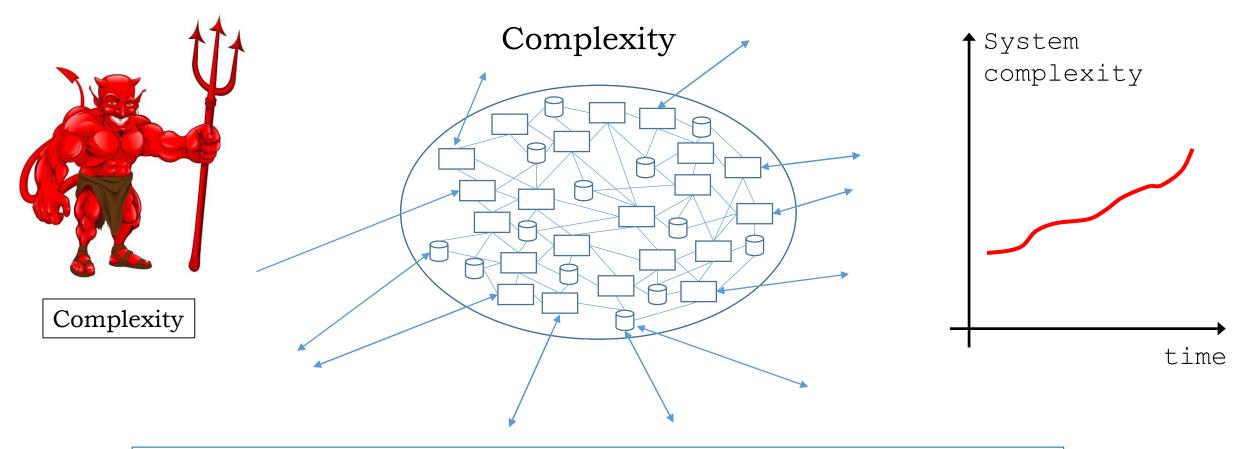


Complexity









"Complexity is that property of an IT-system which makes it difficult to formulate its overall behaviour, even when given complete information about its parts and their relationships"





Complexity

Complexity: Negative Impact on Software-Systems

High difficulty to understand and document



Loss of conceptual integrity

Duplication of models, functionality and data

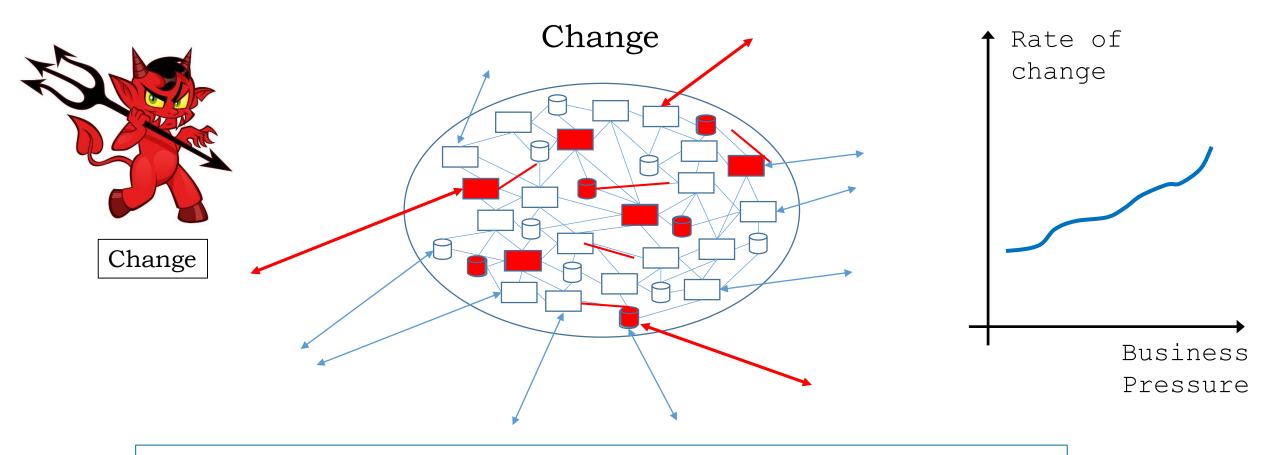
Inconsistent architecture

«Far»-effects: Changing one part may having unexpected effects in another part

Emergence: The system develops unexpected poperties or behaviour

Complexity must be managed through the whole systems engineering process





"Continuous – sometimes disruptive – **change** forces relentless adaptation of the system to new requirements, to changes in the environment and to technological progress"





Change: Negative Impact on Software-Systems

High intricacy to coordinate and balance



Change

Uncoordinated projects

Redundancy in req's, spec's and implementation artefacts

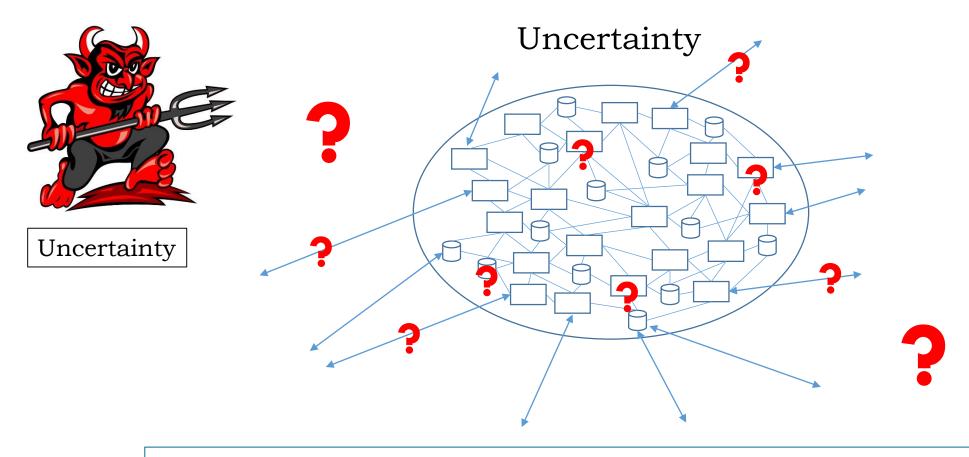
Architecture erosion

Accumulation of technical debt

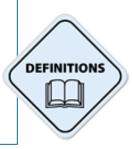
Conflicting req's, spec's and implementations

Change must be organized and coordinated





"Uncertainty – both during development and during operation – forces weakly founded decisions with possibly far-reaching consequences"







Uncertainty

Uncertainty: Negative Impact on Software-Systems

Unknown or unforeseen impacts or effects



Unfounded or inadequate decisions

Badly adjusted implementations

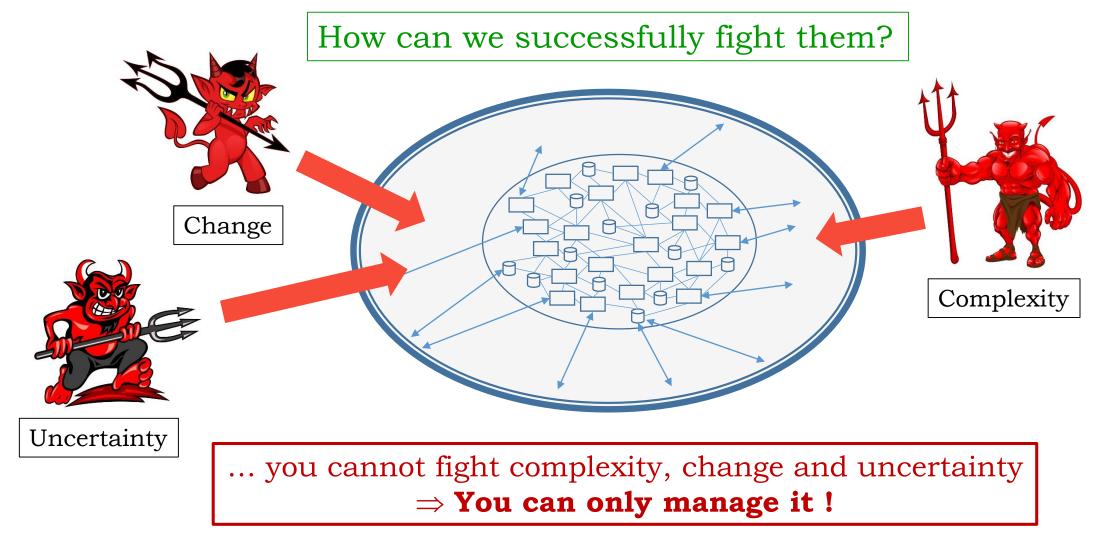
Unanticipated risks or hazards

Unprepared disasters and catastrophes

Sudden changes in markets, operating environment or user behaviour

Uncertainty must be assessed, risk-mitigated, and tracked



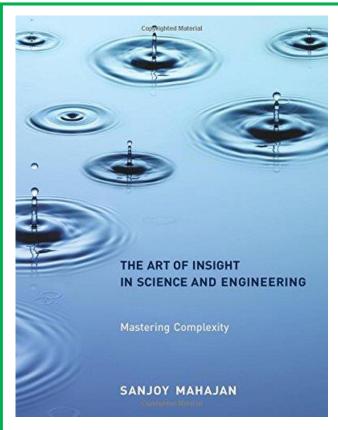


... by using principles, methods, strategies, and processes for **future-proof software-systems**



Textbook

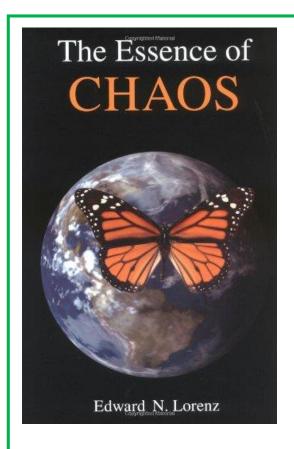




Sanjoy Mahajan:

The Art of Insight in Science and Engineering – Mastering Complexity

The MIT Press, Cambridge, USA, 2014. ISBN 978-0-262-52654-8



Edward N. Lorenz:

The Essence of Chaos

Jessie & John Danz Lectures (Reprint), 1996. ISBN 978-0-2959-7514-6



Future-Proof Software-Systems





To build and operate dependable software

... «The software does what it should do, and does not what it should not do»

To generate business value for its owner (and the community)

... «The software industry is today one of the largest industries in the world»

To maintain a high **changeability** of the software:

... «The software must efficiently be adaptable to new requirements»

... this is the fundamental objective of future-proof software-systems engineering

Future-Proof Software-Systems engineering

and generally modern software development –
 is strongly based on semi-formal and formal methods

Formal methods used in developing computer systems are *mathematically based techniques* for describing system behaviour and system properties. Such formal methods provide frameworks within which people can specify, develop, and verify systems in a *systematic*, rather than ad hoc, manner

Encyclopedia of Software Engineering, 2nd edition, 2002



DEFINITIONS



Using semi-formal and formal methods means (during the architecting phase):

- Precise definitions
- Adequate models
- Strong, enforcable principles
- Proven patterns
- Reliable industry standards
- Time-tested reference architectures
- Established frameworks
- Architecture Description Languages









Gerard O'Regan

Concise Guide to Formal Methods

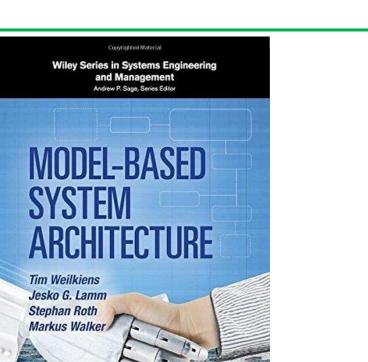
Theory, Fundamentals and Industry Applications

2 Springer

Gerard O'Regan:

Concise Guide to Formal Methods – Theory, Fundamentals and Industry Applications Springer Verlag, 2017. ISBN 978-3-319-64020-4

Textbook



Textbook

Tim Weilkiens, Jesko G. Lamm, Stephan Roth, Markus Walker:

Model-Based System Architecture

John Wiley & Sons, Inc., USA, 2016. ISBN 978-1-118-89364-7





A future-proof software-system is a structure that enables the management of complexity, change and uncertainty with the least effort, with acceptable risk, and with specified quality properties





Activity: Steering the development & evolution

→ Strategy

Parts of the system and their relationsships

→ Architecture

A future-proof software system is a structure

that enables the management

of complexity, change and uncertainty

with the least effort.

with acceptable risk,

Acceptable probability for undesired effects and consequences

 \rightarrow Dependability

and with specified quality properties

Assuring the desired non-functional properties

→ "Fit for Purpose"

Best value for the parameters 'money' and 'time-to-market'

 \rightarrow Changeability





Managed Evolution Strategy

Architecture Principles

A future-proof software-system is a structure

that enables the management

of complexity, change and uncertainty

with the least effort, with acceptable risk, and with specified quality properties

Changeability

Dependability

Domain-specific

Quality Properties





Our objective is: to build, evolve, and maintain long-lived, mission-critical IT-systems with a strong dependability, an easy changeability and a high business value.





Primary Characteristics:

- Business Value
- Changeability
- Dependability

FPSS Properties

Secondary Characteristics (Domain-specific):

- Non-functional properties:
 - o Performance, Real-time, ...
 - Hardware Resource Consumption
 - Adherence to industry-standards
 - o etc.





What are the characteristics of Future-Proof Software-Systems?

Primary Characteristics:

- Business Value
- Changeability
- Dependability

Definition

Metric

Example

Importance



If it can't be expressed in figures, it is not science; it is opinion

Robert Heinlein (1973)





Business Value

Business Value: Definition



Business Value (of a software development) =

The opportunity to gain an advantage for the business

- Financial advantage (earnings), but also:
- Cost avoidance
- Competitive advantage (innovative functionality),
- Compliance to laws and regulations,
- Process improvements
- etc.



Business Value: Metric

Metric: NPV (Net Present Value)

$$\mathbf{NPV} = \sum_{\mathbf{n}} \frac{\mathsf{Benefit}_{\mathsf{year-n}}}{(1+\mathsf{i})^{\mathbf{n}}} - \mathsf{I}$$

NPV = Net Present Value(€)

 $I = Investment(\in)$

i = Yearly interest rate (%)

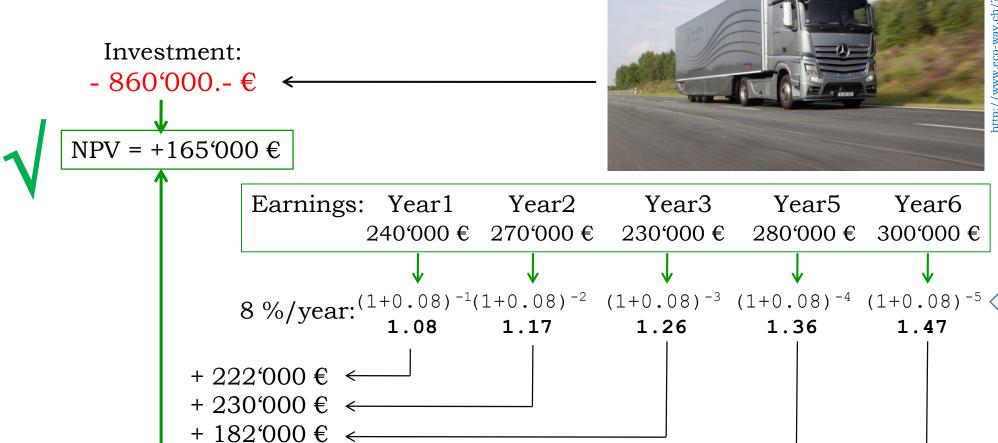
n = year (n=0: Project start)

NPV is the most common formula for calculating business value. It comes from business-economics.



Business Value: Example

Business Value = Net Present Value (**NPV**)



+ 205′000 € ←

+ 186′000 € ←

+ 1′025′000 €



Year6

Discount Factor



Changeability





A future-proof software-system is a structure

that enables the management

of complexity, change and uncertainty

with the least effort, with acceptable risk, and with specified quality properties

Changeability



Changeability: Definition

Changeability =

The *capability* to develop and introduce new features with:

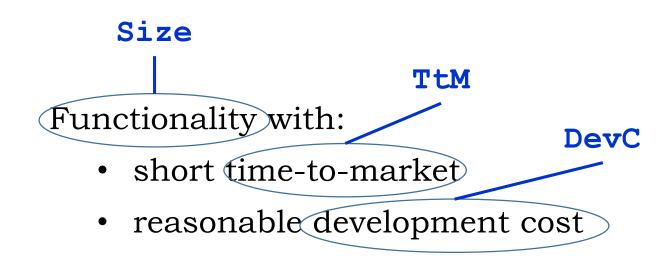
- short time-to-market
- reasonable development cost

<u>Important note</u>: This capability is a property of an *organization*, but is heavily based on a good, evolvable *structure* of the system

Architecture



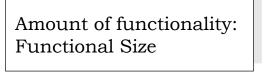
Changeability: Metric



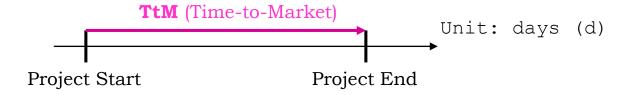
Metric Idea: Changeability ~ Size²/(TtM*DevC)



Changeability: Metric



Functionality produced



Project Duration



Project Cost

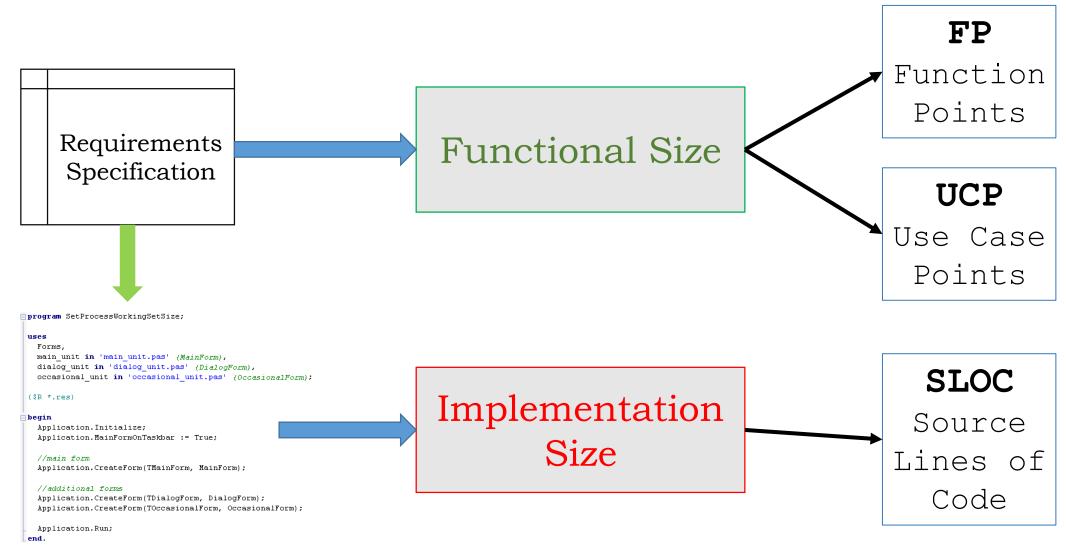
Changeability =
$$\frac{\sum TtM_i * \sum DevC_i}{(\sum Size_i)^2}$$

Unit:
$$(days*k \in) / \#UCP^2$$

Metric for Changeability



Clarification: **Software Size**





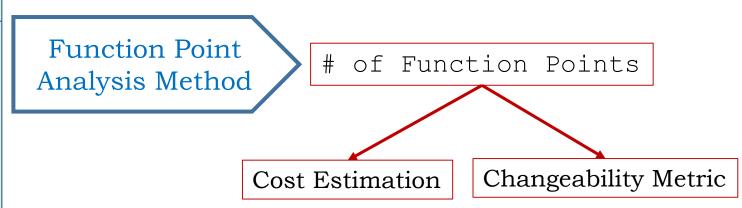
Clarification: **Function Points** (FP)

FP Definition:

A <u>function point</u> is a unit of measurement to express the amount of **business functionality** an information system provides to its users (https://en.wikipedia.org/wiki/Function_point)

Requirements

- Function A
- Function B
- Function C
- ..



- David Garmus, David Herron: Function Point Analysis Measurement Practices for Successful Software Projects. Addison-Wesley, Boston, USA, 2001. ISBN 978-0-201-69944-3
- IFPUG: International Function Point Users Group (http://www.ifpug.org)

DEFINITIONS



Clarification: **Use Case Points** (UCP)

UCP Definition:

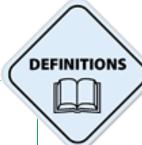
Use Case Points (UCP) is an estimation method that provides the ability to estimate an **application's size** and effort from its <u>use cases</u> (http://www.codeproject.com/Articles/9913/Project-Estimation-with-Use-Case-Points)

UCP = TCF * ECF * UUCP * PF

Use
Cases

1. Technical Complexity Factor (TCF).
2. Environment Complexity Factor (ECF).
3. Unadjusted Use Case Points (UUCP).
4. Productivity Factor (PF).

Roy Clem: *Project Estimation with Use Case Points*. Code Project, 22 March 2005 http://www.codeproject.com/Articles/9913/Project-Estimation-with-Use-Case-Points





Changeability: Example

Project data

Project	Size (#UCP)	TtM _i (days)	DevC _i (k€)	End Date
\mathbf{P}_1	1'200	900	5 ′ 600	Jan 2012
P ₂	650	645	2 ′ 566	Jan 2012
P 3	4'400	5 ′ 280	27 ′ 270	March 2012
P ₄	980	620	5 ′ 400	April 2012
P ₅	11 ′ 250	6 ′ 600	75 ′ 600	April 2012
P 6	2 ′ 300	1 ′ 900	13 ′ 900	June 2012
P ₇	800	390	6 ′ 200	August 2012
P 8	1'850	1 ′ 250	13 ′ 200	August 2012
etc.				

CREDIT SUISSE values:

~ 4.2 k€/UCP

~ 0.8 days/UCP

[Murer/Bonati/Furrer ISBN 978-3-642-01632-5]

measurement period

Changeability =
$$\frac{\sum TtM_i * \sum DevC_i}{(\sum Size_i)^2}$$

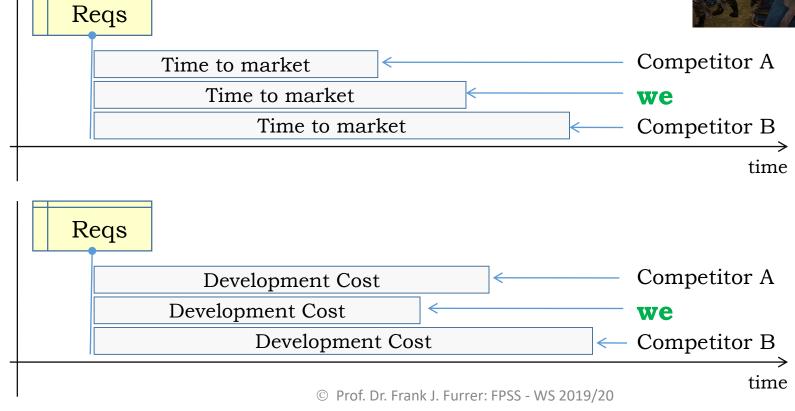
Unit: (days*k€)/#UCP2



Changeability: Importance

Why is *changeability* so important?

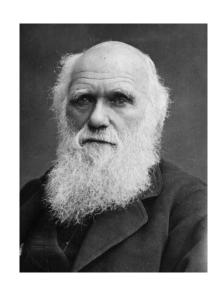






Changeability: Importance

Why is *changeability* so important?



"It is not the strongest of the species that survives, nor the most intelligent that survives.

It is the one that is the most adaptable to change."

Charles Darwin: The Origin of Species (1859)

<u>Today</u>: «most adaptable to change» applies to software-systems and the companies which live from them





Changeability: Importance

Why is *changeability* so important?

- ✓ Changeability impacts **every** project
 - Low changeability: (all) projects are late and expensive
 - = **high** resistance to change \leftarrow bad!
 - High changeability: (all) projects are in time and costefficient
 - = **low** resistance to change ← good!
- ✓ High changeability allows to use the company resources more efficient
- ✓ Changeability is an important competitive market factor







A future-proof software-system is a structure

that enables the management

of complexity, change and uncertainty

with the least effort, with acceptable risk, and with specified quality properties

Dependability



Dependability



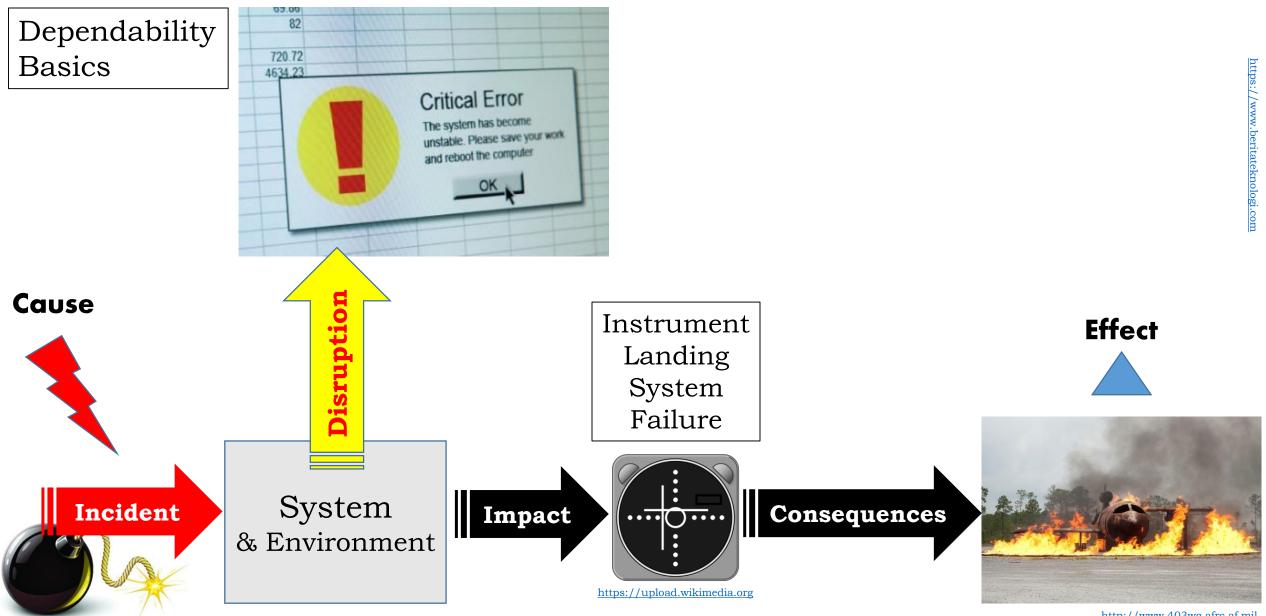
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Why is *dependability* very important for future-proof software?

⇒ The world has become a dangerous place for software









Dependable System

"Dependability" refers to the user's ability to depend on a system in its intended environment, with its intended use, as well as when these assumptions are violated or external events cause disruptions.

"The software does what it should do, ... and does not what it should not do" **External incident** Dependable **Impact** System Consequences **Internal incident** Dependability expectations Dependability properties

DEFINITIONS





Dependability expectations

Examples

e-banking system:

- security (= defense against hackers)
- integrity (= don't digitally lose my money)
- *confidentiality* (= "it's my business")
- availability (= 24 h/7 days).

Dependability expectations

Application domain



Car:

- *safety* (= no accidents)
- security (= no hostile influence)
- reliability (= no engine failures on the motorway)
- conformance to all laws and regulations





Dependability





Application Domain

Resilience

General Principles \Rightarrow valid for all dependable systems

User expectations

Safe to use Efficient Frienly

Community expectations

Safe and secure Clean operation Energy-efficient

© Prof. Dr. Frank J. Furrer: FPSS - WS 2019/20

Legal & compliance expectations

Comply with laws Adhere to regulations Auditable Certified



Dependability expectations



Dependability properties



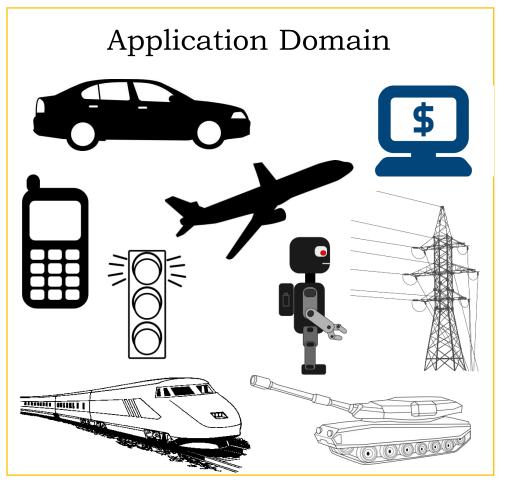
Resilience

General property

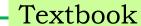
- Safety
- Security
- Integrity
- Confidentiality
- Real-time capability

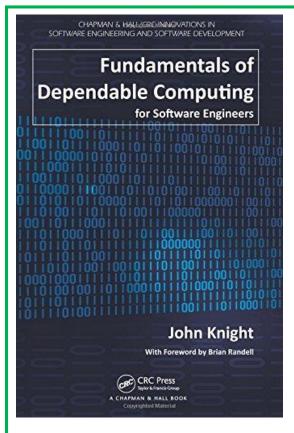
•

Specific properties



Textbook

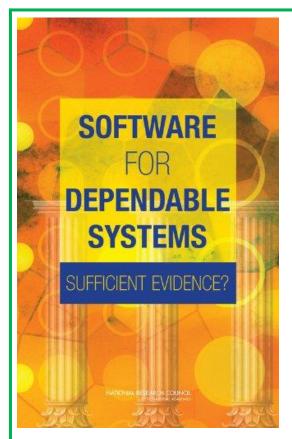




John Knight:

Fundamentals of Dependable Computing for Software Engineers

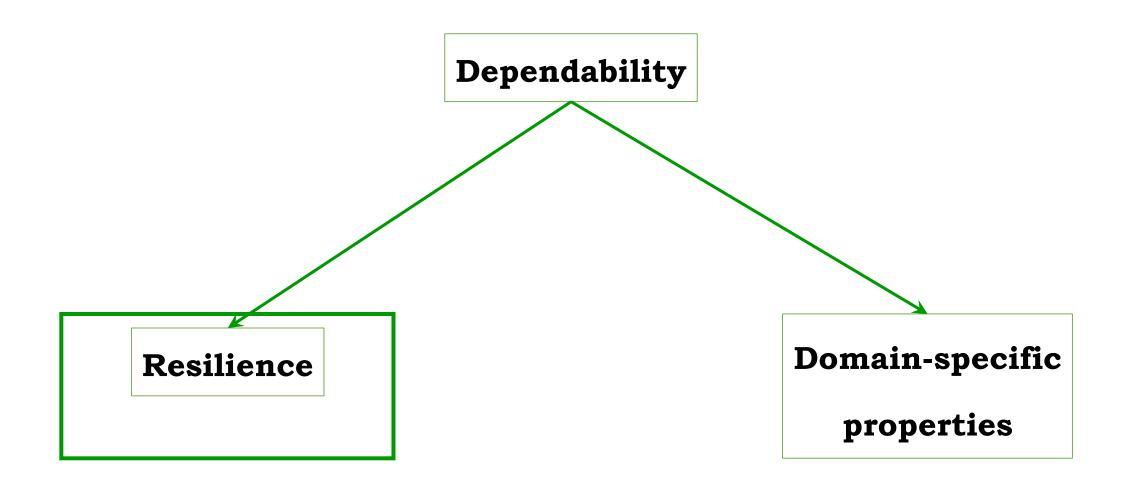
CRC Press (Taylor & Francis), USA, 2012 ISBN 978-1-4398-6255-1



Daniel Jackson, Martyn Thomas, and Lynette I. Millett (Editors: **Software for Dependable Systems: Sufficient Evidence?**

U.S. National Academy Press, 2007. ISBN 978-0-309-10394-7 [https://www.nap.edu/download/11923]









General Principles

⇒ valid for **all** dependable systems

Resilience is the *capability* of a system

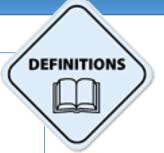
- to *absorb* the **incident**,
- to <u>recover</u> to an acceptable level of performance,
- to <u>sustain</u> that level for an acceptable period of time

http://www.incose.org/practice/techactivities/wg/rswg/

Engineering Profession: Resilience Engineer

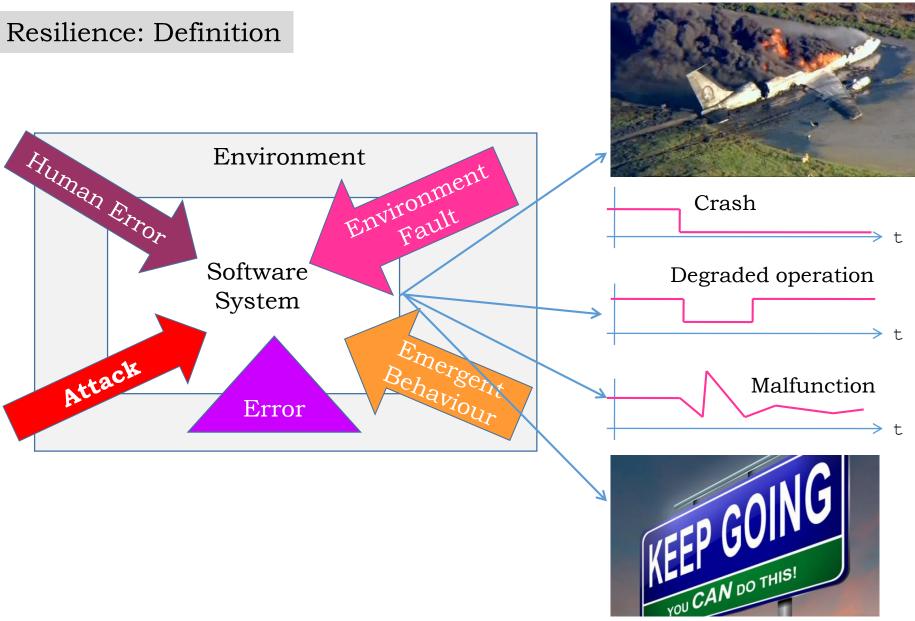
Resilience Engineering Tasks:

- Before Allows anticipation and corrective action to be considered
- During How the system survives the impact of the disruption
- *After* How the system recovers from the disruption





Future-Proof Software-Systems [Part 1]



Worst Case

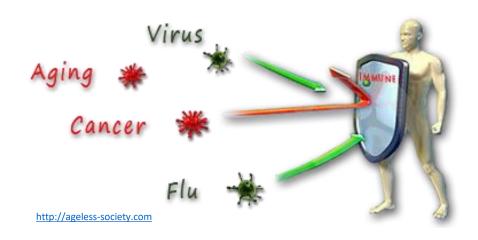
Best Case

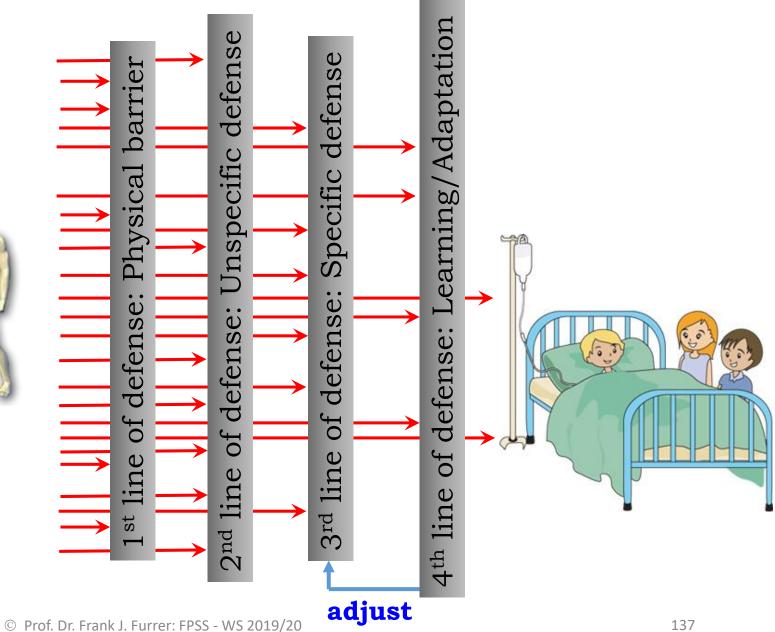
(= Engineering objective)



Resilience Example:

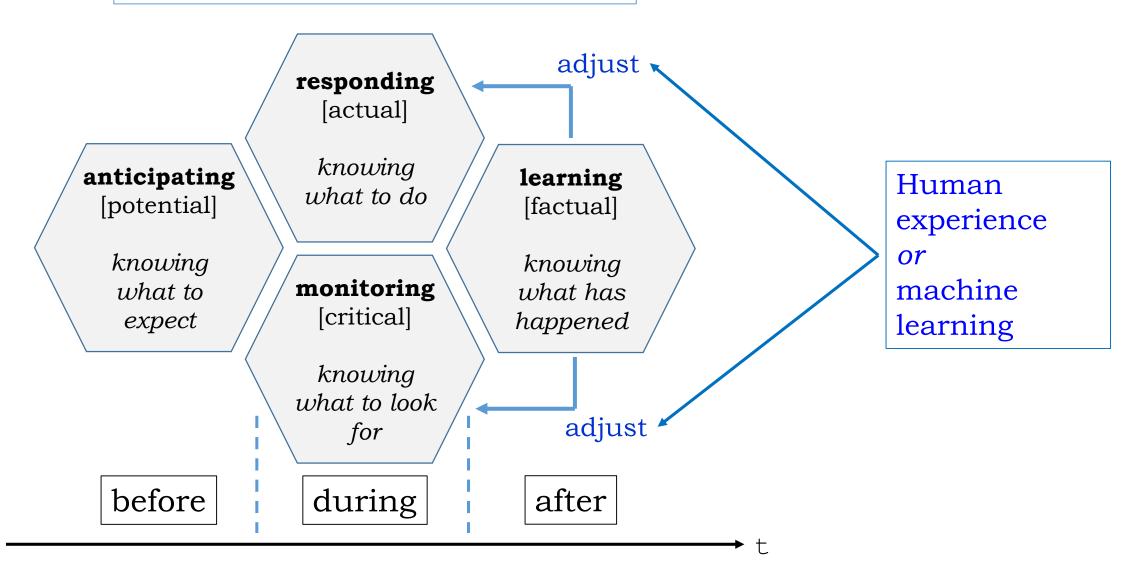
Human Immune System



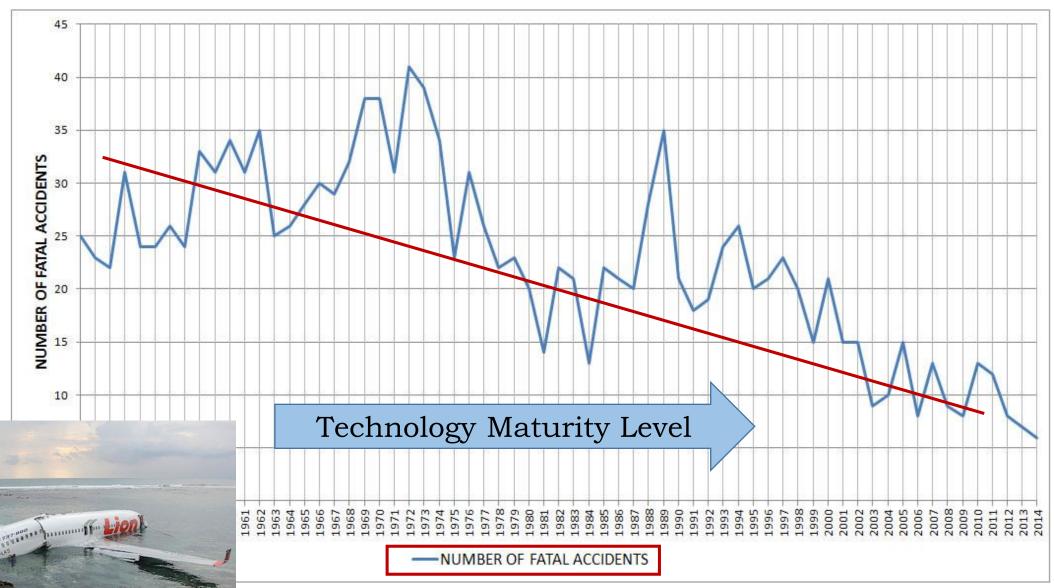




The four cornerstones of resilience



Airplane Accident Learning Curve







Resilience Metric



Dependability Metric

Metrics for domain-specific quality properties

- Safety
- Security
- Integrity
- Confidentiality
- Real-time capability
- •••

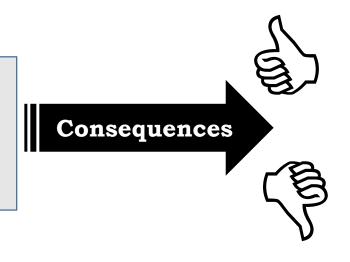




EmpiricalDependability
Metric



System & Environment



Damage **Potential** of the Incident:

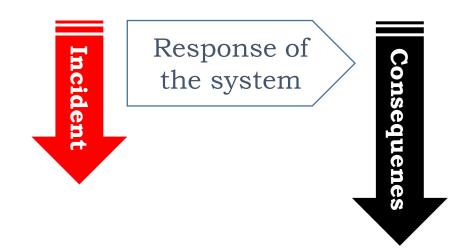
- catastrophic
- critical
- severe
- marginal
- negligible

Response of the system

Resulting **Consequences**:

- catastrophic
- critical
- severe
- marginal
- negligible





Damage **Potential**:

- catastrophic: 5
- critical: 4
- severe: 3
- marginal: 2
- negligible: 1

Weight:

- Predicted (= before
 - the incident)
- Actual (= after the incident)

Actual Damage:

- catastrophic: 5
- critical: 4
- severe: 3
- marginal: 2
- negligible: 1

Assessment/Simulation **before** the incident

Actual damage **after** the incident



Resilience against 1 incident: φ_1 = [Potential - Damage]

Example:

Amplification

Resilience

Empirical resilience metric:

System resilience over a time period τ :

$$\rho_{\tau} = \frac{1}{n} \sum [Potential_i - Impact_i]; i = 1 ... n$$

 $\bf n$ incidents in a time period au



Example: Banking System Incidents

Date	Incident	Damage Potential	Damage	Impact		Remarks
4.1.13	DB2 Database Crash	4	Operational blackout for 3 hrs (Recovery time)	2	4-2=2	Save & recovery procedures worked well
6.1.13	Semnager Virus Infection	3	Small number of customers affected	2	3 – 2 = 1	Payment check procedures worked well
21.2.13	Crash of authentication servers	3	Employees could not access the IT system for 1 hour	1	3 – 1= 2	Backup/recovery mechanisms worked well
4.5.13	Fibre trunk cable damaged (by construction work)	4	No external communications for 5 hours	3	4 – 3 = 1	Emergency repair in time
9.12.13	Illegal financial transaction executed (fault in sanction filter)	3	Legal & compliance consequences	3	3 – 3 = 0	Sanction filter update process improved

System resilience over 5 incidents: $\rho_5 = \frac{1}{n} \sum_i \rho_i = 1.20$



Why is *resilience* so important?



Software has an enormous impact on people and society:

- Functionality in all areas of life and work
- Tremendous business opportunities & risks
- etc.

Software failures may have grave consequences:

- Accidents in safety-critical systems (death, injury)
- Financial or reputation loss
- Legal & regulatory consequences
- Product liability cases
- etc.



Resilience must be planned and built-in

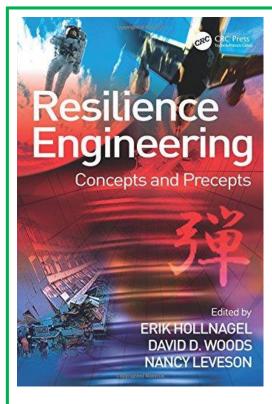
- **Not** added as an afterthought!





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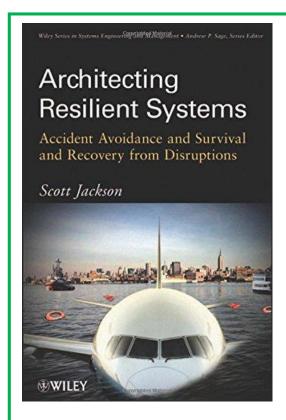




Erik Hollnagel, David D. Woods, Nancy Leveson (Editors):

Resilience Engineering – Concepts and Precepts

Ashgate Publishing Ltd., Aldershot, UK, 2006. ISBN 978-0-7546-4904-5

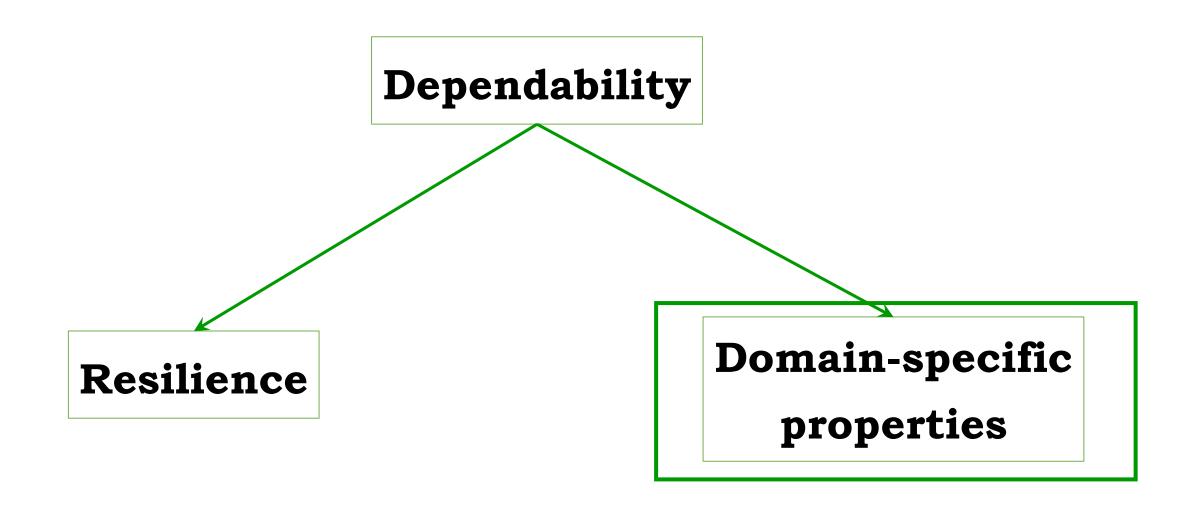


Scott Jackson:

Architecting Resilient Systems – Accident Avoidance and Survival and Recovery from Disruptions

John Wiley & Sons, Inc., New Jersey, USA, 2010. ISBN 978-0-470-40503-1







Dependability expectations



Dependability properties

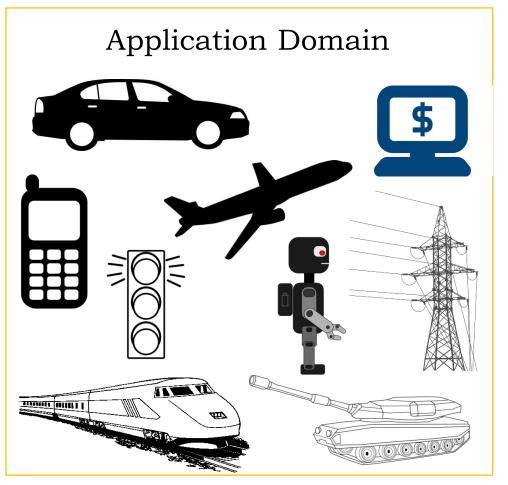


Resilience

General property

- Safety
- Security
- Integrity
- Confidentiality
- Real-time capability
- •••

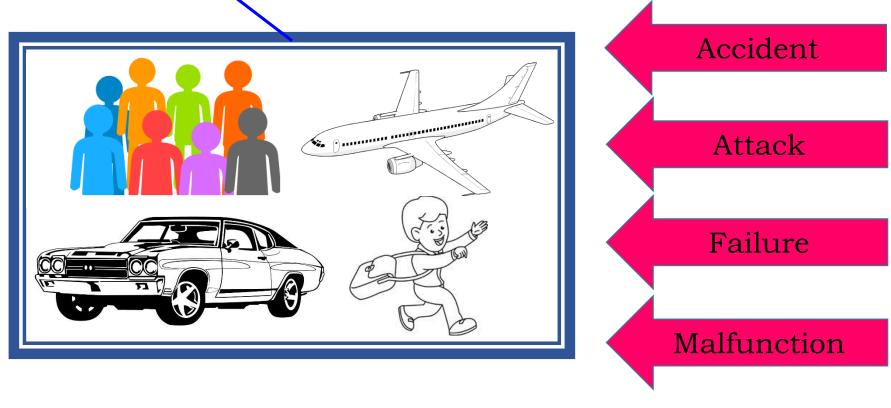
Specific properties





Safety

Safety is the sustainable state in which the risk of harm to people, organizations or property is maintained below an *acceptable level*



DEFINITIONS



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Metrics

Company Management





Safety

- Security
- Integrity
- Confidentiality
- Real-time capability
- •

Metrics for *domain- specific* quality
properties



Company-specific set of **safety** metrics

Certification Authorities







Administration

Example: Aircraft Safety Incident

In the Boeing 787 Dreamliner's first year of service (2014), at least four aircraft suffered from electrical system problems stemming from its **lithium**-ion batteries



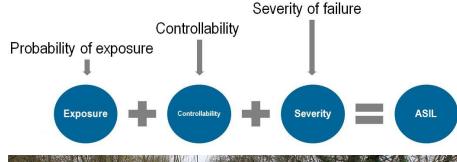


The Lithium batteries caused:

- An **electrical fire** aboard an All Nippon Airways 787
- A similar fire found by maintenance workers on a landed Japan Airlines 787 at Boston's Logan International Airport

Safety Metric Example: Automotive Safety Integrity Level (ASIL)

Automotive Safety Integrity Level (**ASIL**) is a risk classification scheme defined by the ISO 26262 (Functional Safety for Road Vehicles). ASIL is established by performing a *risk analysis* of a potential hazard by looking at the Severity, Exposure and Controllability of the **vehicle operating scenario**



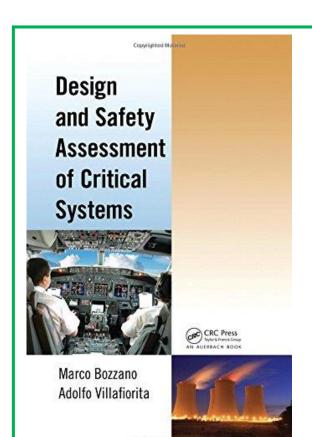


ASIL	Impact of Failure	Controllability	Exposure	In-Car Examples
Α	Slight injury	Normally controllable	High probability	Lag in display from rear-view camera
В	Severe injury	Normally controllable	High probability	Failure of collision avoidance tone
С	Fatal/Survival uncertain	Difficult to control	Medium Probability	 Anti-Lock Braking system wheel lock-up Out-of-control automatic transmission
D	Fatal / survival uncertain	Difficult to control	High Probability	 Steering-control lock-up Airbag deployment while driving



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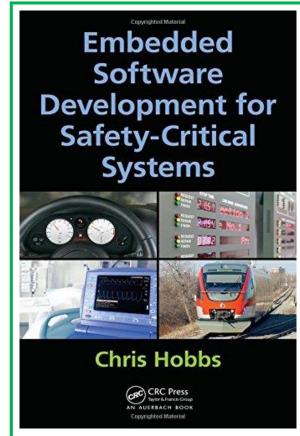
- Textbook



Marco Bozzano, Adolfo Villafiorita:

Design and Safety Assessment of Critical Systems

CRC Press (Taylor & Francis Ltd., USA), 2010. ISBN 978-1-439-80331-8



Chris Hobbs:

Embedded Software Development for Safety- Critical Systems

CRC Press (Taylor & Francis Inc.), USA, 2015. ISBN 978-1-498-72670-2

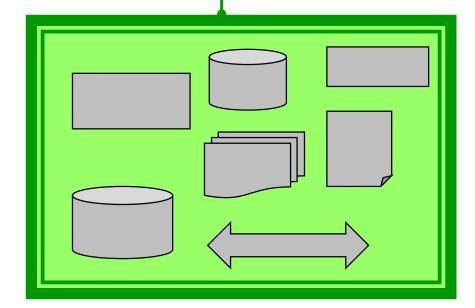


Security

Protection of an information system's assets with a known and acceptable risk of a security break



Protection means



Break

Today: IT-Security ⇒ Technology battle



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Metrics

Company Management



- **Domain Experts**

- Safety
- Security
- Integrity
- Confidentiality
- Real-time capability
- ...

Metrics for *domain- specific* quality
properties



Company-specific set of **security** metrics

Security Standards



National Institute of Standards and Technology U.S. Department of Commerce

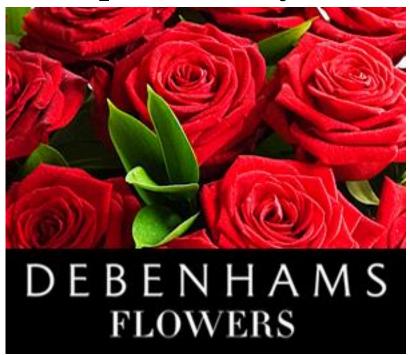
The Cybersecurity Framework

Cybersecurity Means Business





Example: Security break



05.05.2017:

Hackers have stolen the personal data and financial details of tens of thousands of UK Debenhams customers, the company has admitted.

In a cyber attack against a third party firm that runs the retailer's online florist, Debenhams Flowers, hackers managed to take the *names*, *addresses* and financial information of 26,000 customers

http://www.telegraph.co.uk/technology/2017/05/05/debenhams-flowers-hack-credit-card-details-26000-people-stolen/





Security Metric Example (1/2): Open Vulnerabilities in Server-Farm



Server

Operating System
Middleware
Communications-SW
Load-Balancer
Utilities
Monitoring

Vulnerability List

Institutional Communications



National Institute of Standards and Technology
Technology Administration, U.S. Department of Commerce

https://nvd.nist.gov/vuln/full-listing



https://www.us-cert.gov/ncas/alerts/TA15-119A



Security Metric Example (2/2): Open Vulnerabilities in Server-Farm



Server

Operating System
Middleware
Communications-SW
Load-Balancer
Utilities
Monitoring

Scanning...

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Measurement

August 4, 2017

Server A: 27 severe vulnerabilities

Server B: **38** severe vulnerabilities

Server C: **11** severe vulnerabilities

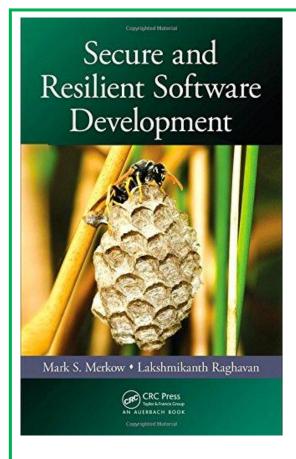
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total # of severe vulnerabilities Metric time

Up-to-date **Vulnerability List**

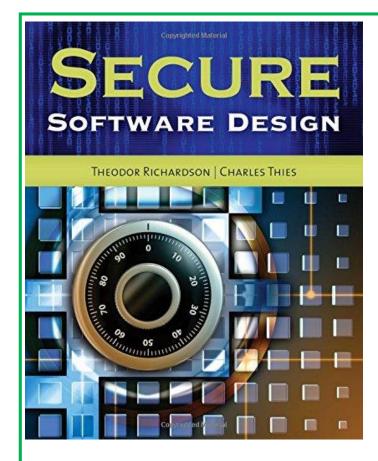
Textbook

- Textbook



Mark S. Merkow:

Secure and Resilient Software Development Auerbach Publishers Inc., USA, 2010. ISBN 978-1-439-82696-6



Theodor Richardson, Charles N. Thies:

Secure Software Design

Jones & Bartlett Publisher, Inc., 2012. ISBN 978-1-4496-2632-7





Part 1: Introduction

