

21. Technical Space TreeWare

Simplification and Attribute Analysis on Trees

How to Simplify and Interpret Programs and Models

Prof. Dr. rer. nat. Uwe Aßmann

Institut für Software- und
Multimediatechnik

Lehrstuhl Softwaretechnologie

Fakultät für Informatik

Technische Universität
Dresden

[http://st.inf.tu-dresden.de/
teaching/most](http://st.inf.tu-dresden.de/teaching/most)

Version 21-1.1, 04.12.21

- 1) Tree Simplification and Tree Rewriting
- 2) Analysis on Trees
 - 1) Metric Interpretation
 - 2) Attribute Analysis
- 3) Attributed Trees and Attributed Grammars for Interpreters on Trees



DRESDEN
concept
Exzellenz aus
Wissenschaft
und Kultur

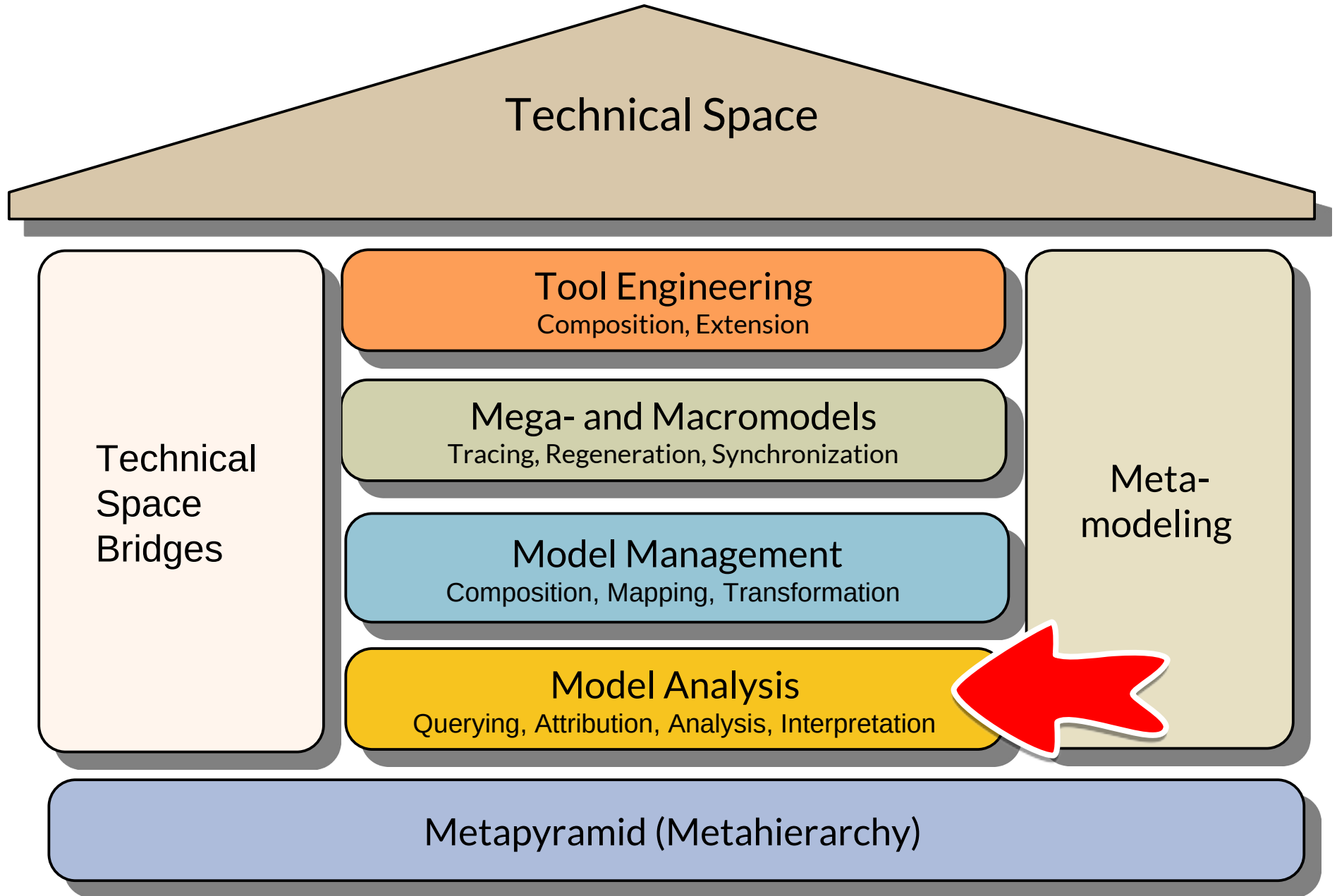
Obligatory Literature

- ▶ List of analysis tools
 - http://en.wikipedia.org/wiki/List_of_tools_for_static_code_analysis
- ▶ Paakki, Jukka. 1995. „Attribute grammar paradigms—a high-level methodology in language implementation“. ACM Comput. Surv. 27 (2) (Juni): 196–255.
- ▶ [KSV09] Lennart C. L. Kats, Anthony M. Sloane, Eelco Visser. Decorated Attribute Grammars. Attribute Evaluation Meets Strategic Programming (Extended Technical Report). Report TUD-SERG-2008-038a, Delft University
- ▶ [SKV09] Anthony M. Sloane, Lennart C. L. Kats, Eelco Visser. A Pure Object-Oriented Embedding of Attribute Grammars. Report TUD-SERG-2009-004, Delft University
- ▶ [LLL] Rüdiger Lincke, Jonas Lundberg, and Welf Löwe. 2008. Comparing software metrics tools. In Proceedings of the 2008 international symposium on Software testing and analysis (ISSTA '08). Association for Computing Machinery, New York, NY, USA, 131–142. DOI:<https://doi.org/10.1145/1390630.1390648>

Other Literature on Attribute(d) Grammars

- ▶ Knuth, D. E. 1968. „Semantics of context-free languages“. Theory of Computing Systems 2 (2): 127–145.
- ▶ Hedin, Görel. 2000. „Reference Attributed Grammars“. Informatica (Slovenia) 24 (3): 301–317.
- ▶ Boyland, John T. 2005. „Remote attribute grammars“. Journal of the ACM 52 (4) (Juli): 627–687.
- ▶ Bürger, Christoff, Sven Karol, Christian Wende, und Uwe Aßmann. 2021. „Reference Attribute Grammars for Metamodel Semantics“. In Software Language Engineering, LNCS 6563:22–41.
- ▶ Examples on: www.jastemf.org
- ▶ Andrew S. Tanenbaum, Hans van Staveren, and Johan W. Stevenson. 1982. Using Peephole Optimization on Intermediate Code. ACM Trans. Program. Lang. Syst. 4, 1 (Jan. 1982), 21–36. <https://dl.acm.org/doi/10.1145/357153.357155>

Q10: The House of a Technical Space



Glossary for Automated Rewriting on Strings, Terms and Graphs

- ▶ **Rewrite rule:** rule (left, right hand side) to match left-hand side in the graph and to transform it to the right-hand side
- ▶ **Rewrite system (RS):** set of graph rewrite rules
- ▶ **Start data (axiom):** input data to rewriting process
- ▶ **Rewrite problem:** a rewrite system applied to a start data
- ▶ **Manipulated data (host data):** data which is rewritten in rewrite problem
- ▶ **Redex (reducible expression):** application place of a rule in the manipulated data
- ▶ **Rule mapping:** the mapping of a rule to a redex
- ▶ **Normal form:** result data of rewriting; manipulated data without further redex
- ▶ **Derivation:** a sequence of rewrite steps on the manipulated graph, starting from the start data and ending in the normal form
- ▶ **Unique normal form:** unique result of a rewrite system, applied to one start data
- ▶ **Deterministic RS:** rewrite system with one normal form
- ▶ **Terminating RS:** rewrite system that stops after finite number of rewrites
- ▶ **Confluent RS:** two derivations always can be commuted, resp. joined together to one result
- ▶ **Strong confluent RS:** all pairs of rewrite steps can be commuted
- ▶ **Convergent RS:** terminating deterministic rewrite system that always yields unique results (equivalent to terminating and confluent)

21.1 Simplification - Rewritings with the Term Rewriting Systems



Two Forms of Program and Model Analysis

- ▶ **Flat Analysis** is the process of finding information about a program, e.g.,
 - finding a pattern in a syntax tree
 - computing attributions
 - computing metrics
- ▶ **Deep Analysis** is the process of collecting information about *the value flow in* a program and storing it into attributes of the syntax tree so that it can be used for further analysis.
 - Deep analysis *interprets* the program to find out about value computation
 - **Dynamic** interpretation
 - **Simulation** on a virtual machine, not hardware
 - **Static** interpretation
 - **Symbolic execution** (collecting semantics, all-possible-path interpretations)
 - **Abstract interpretation** (possible-value interpretations)

Term and Tree Rewrite Systems (Termersetzungssysteme, TRS)

- ▶ An **attributed (syntax) tree** is a (program) tree with attributes (record tree).
 - An **attributing function (attribution, stencil)** reads and stores its results from and into an attributed tree.
- ▶ Programs can be interpreted by *rewriting transformations*
- ▶ **Rewrite Systems** enable the specification of **transformative semantics (reductive semantics)**
 - They reduce a data structure to a normal form, i.e., “give it a semantics”
 - They apply rewrite rules until a fixpoint
- ▶ **Term rewrite systems (Termersetzungssysteme)** transform tree- or term data structures
 - Can be used to rewrite an (abstract) syntax tree (AST), Based on RTG
 - If pattern is a unordered tree, we speak of **tree rewriting**
 - If pattern is a term (ordered tree), we speak of **term rewriting**
- ▶ Use for Analysis:
 - **Identification** of tree patterns (pattern matching)
 - **Computation of metrics**
- Transformation:
 - **Simplifications** such as peephole optimization, constant folding
 - **Normalisations**, such as expanding abbreviations
 - **Inlining** and **outlining** of functions
 - **Interpretation**, rewriting a program tree to an normal form (result)

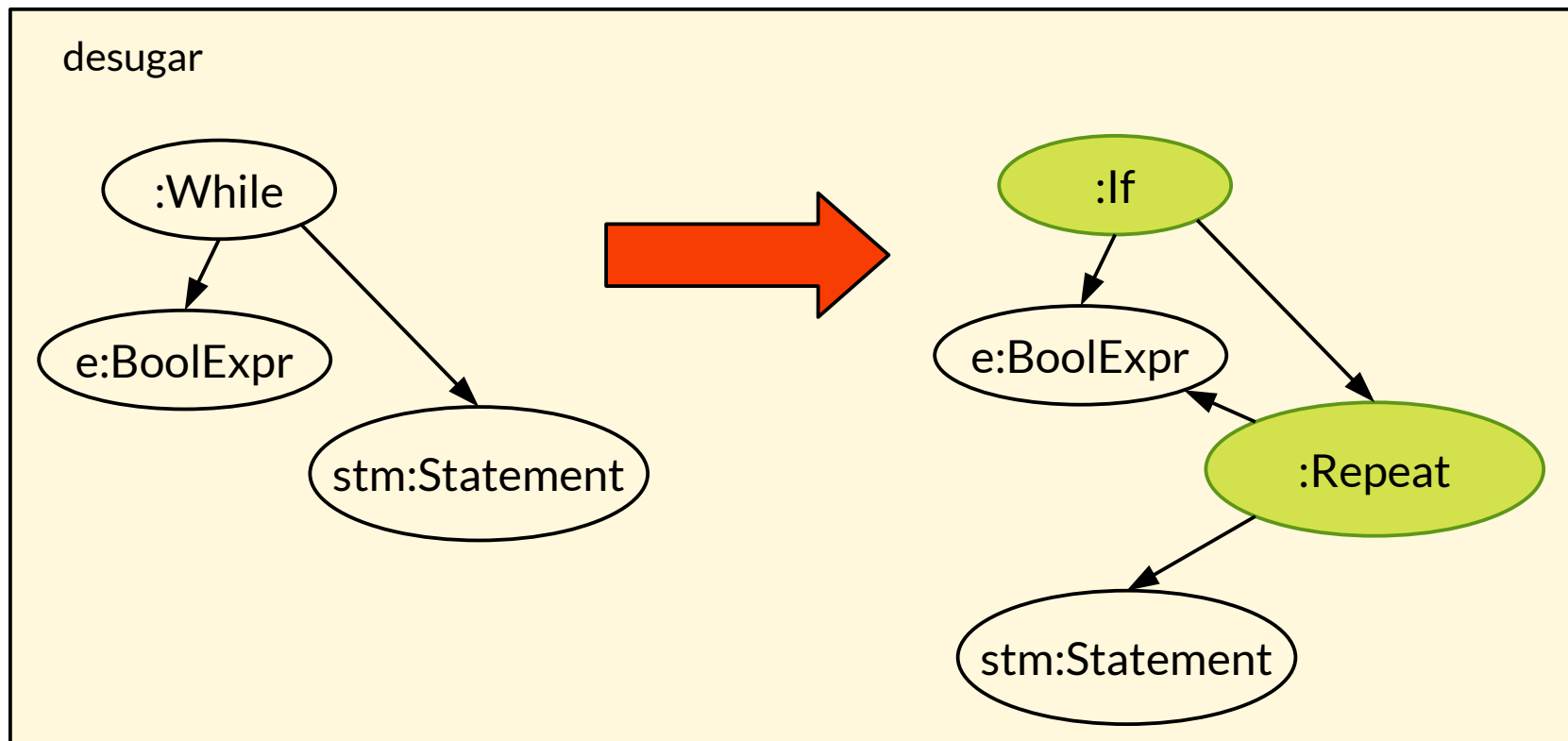
Stratego Term Rewrite System

<https://strategoxt.org>
<http://www.metaborg.org/en/latest/>

- ▶ Syntax of a Stratego rewrite rule is based on RTG patterns

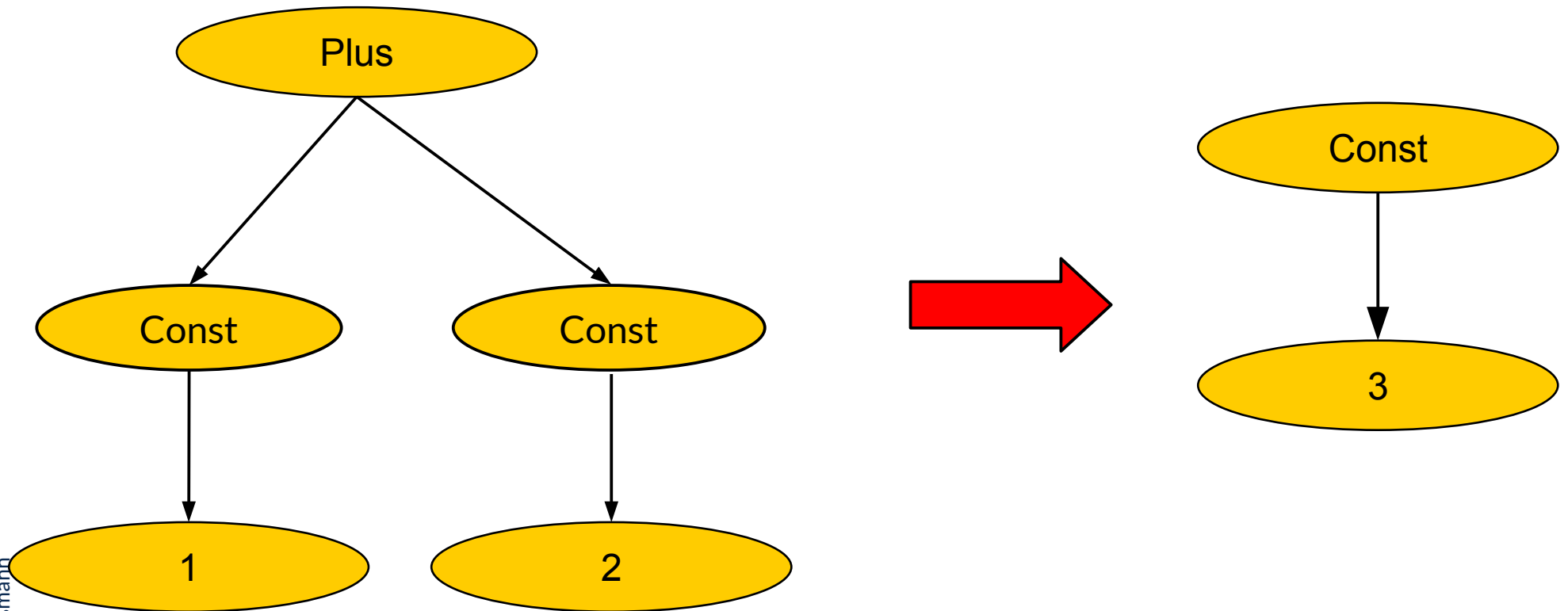
Name : RTG-Pattern „->“ Pattern

```
// Example: lowering all While statements to If statement with Repeats  
desugar : While(e, stm) -> If(e, Repeat(stm, e))
```



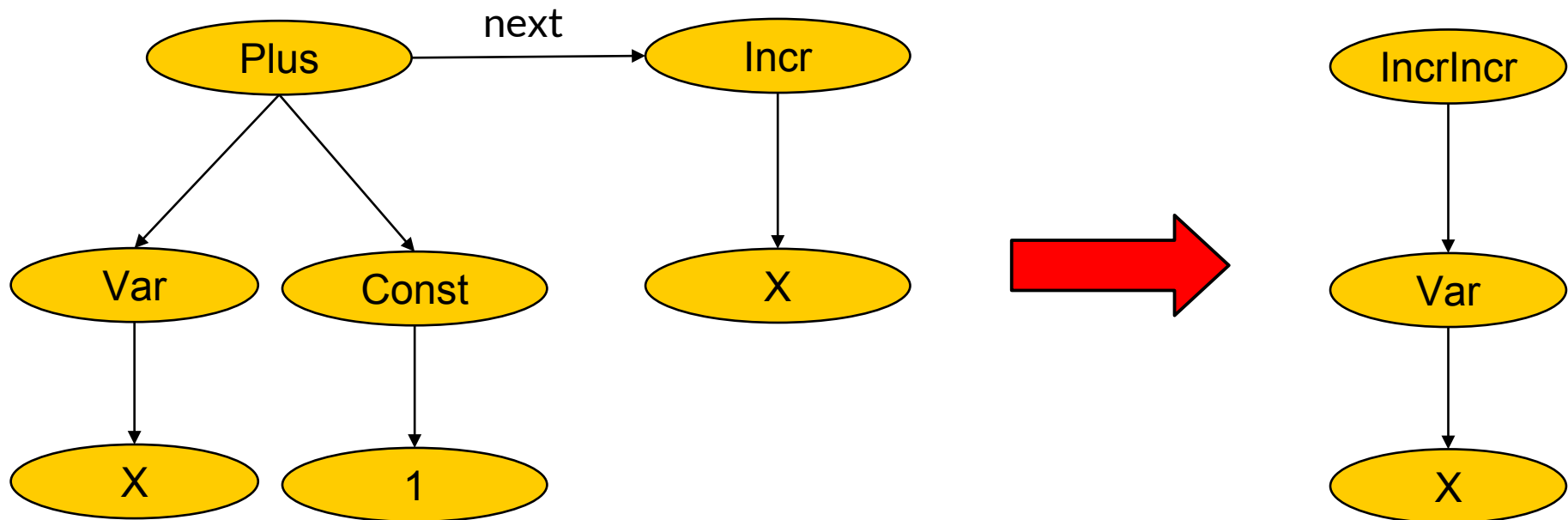
Constant Folding as Subtractive TRS

// Example: a special case of constant folding as RTG pattern rewriting
foldPlus : Plus(Const(1), Const(2)) -> Const(3)



Peephole Optimization as Subtractive TRS

// Example: a special case of peephole optimization
peepPlusIncr : next(Plus(Var(X),Const(1)), Incr(X)) -> IncrIncr(Var(X))



A Constant Folder Programmed in Stratego

- ▶ Constant folding in the TIL language
- ▶ <http://hydra.nixos.org/build/23332578/download/1/manual/chunk-chapter/examples.html>

<http://hydra.nixos.org/build/23332578/download/1/manual/chunk-chapter/demo-rewriting.html#ref-til-sim-til-eval.str>

```
// constant folding in Stratego
module til-eval
imports TIL
rules
compare(s) = if s then !True() else !False() end
EvalAdd : Add(Int(i), Int(j)) -> Int(<addS>(i,j))
EvalAdd : Add(String(i), String(j)) -> String(<conc-strings>(i,j))
EvalSub : Sub(Int(i), Int(j)) -> Int(<subtS>(i,j))
EvalMul : Mul(Int(i), Int(j)) -> Int(<mulS>(i,j))
EvalDiv : Div(Int(i), Int(j)) -> Int(<divS>(i,j))
EvalMod : Mod(Int(i), Int(j)) -> Int(<modS>(i,j))
EvalLt : Lt(Int(i), Int(j)) -> <compare(ltS)>(i,j)
EvalGt : Gt(Int(i), Int(j)) -> <compare(gtS)>(i,j)
EvalLeq : Leq(Int(i), Int(j)) -> <compare(leqS)>(i,j)
EvalGeq : Geq(Int(i), Int(j)) -> <compare(geqS)>(i,j)
EvalEqu : Equ(Int(i), Int(j)) -> <compare(eq)>(i,j)
EvalOr : Or(True(), e) -> True()
EvalOr : Or(False(), e) -> e
EvalAnd : And(True(), e) -> e
EvalAnd : And(False(), e) -> False()
AddZero : Add(e, Int("0")) -> e
AddZero : Add(Int("0"), e) -> e
MulOne : Mul(e, Int("1")) -> e
MulOne : Mul(Int("1"), e) -> e
EvalS2I : FunCall("string2int", [String(x)]) -> Int(x)
         where <string-to-int> x

EvalI2S : FunCall("int2string", [Int(i)]) -> String(i)
EvalIf : IfElse(False(), st1*, st2*) -> Block(st2*)
EvalIf : IfElse(True(), st1*, st2*) -> Block(st1*)
EvalWhile : While(False(), st*) -> Block([])
```

Stratego System

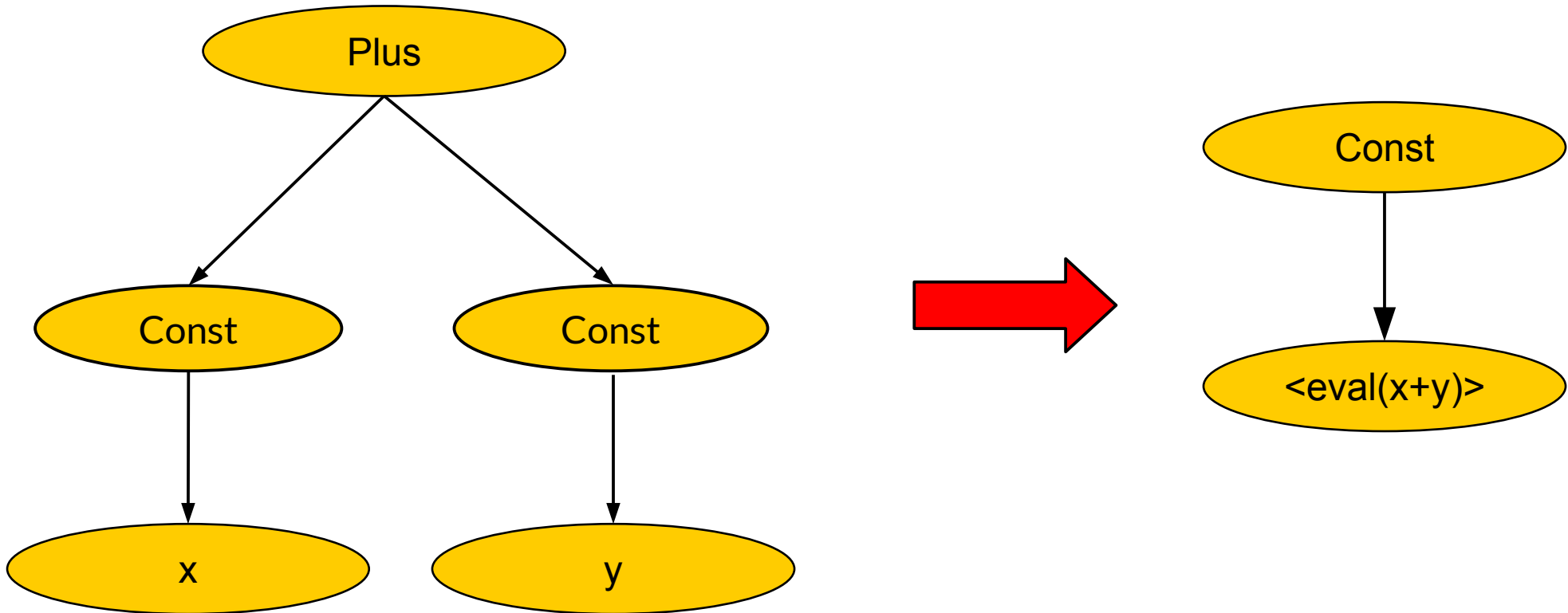
- ▶ TRS compiled to C
 - Terms represented with the C-based Aterm tree library
- ▶ TRS compiled to Java
 - Rewriting the Java-based syntax trees of Eclipse JDT

Rewriting Strategies

- **Free (chaotic) rewriting:** all rules are applied until a fixpoint, the point of no change
- **Confluent rewriting:** when free rewriting ends up always with the same result (same normalform), the rewriting is confluent
- **Strategies** are second order rules that can steer the application of normal, first-order rules:
 - Top-down topdown(r)
 - Bottom-up bottumup(try(r))
 - Left-to-right depth-first / breadth-first
 - Right-to-left depth-first / breadth-first
 - Try a rule try(r) = r <+ id
- Strategies are important for non-confluent rewriting problems
 - Ex.: Alternating search and delete of redexes

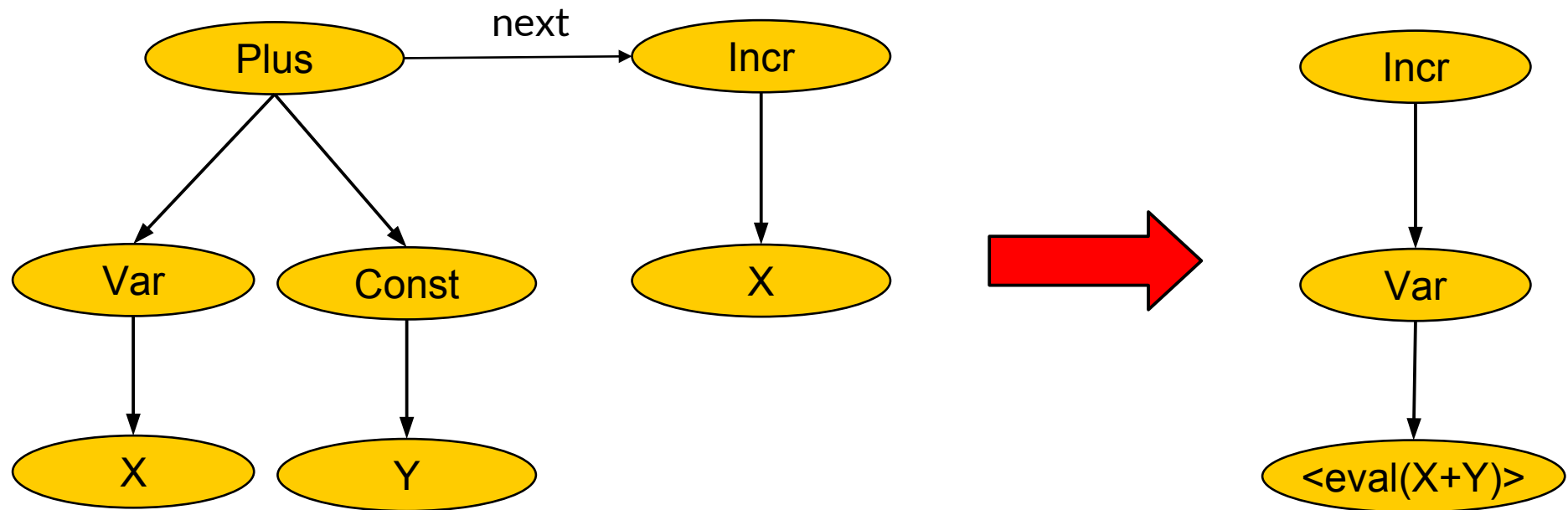
Constant Folding with Strategic Rewriting

```
// Evaluation goes bottom-up of a (possibly big) term  
foldPlusOperations: bottomup(Plus(Const(x), Const(y)) ->  
Const(<eval(x+y)>))
```



Peephole Optimization with Topdown Rewriting

```
// Example: a more general case of peephole optimization  
peepConstants:  
topdown(next(Plus(Var(X),Const(Y)), Incr(X))) -> Incr(Var(<eval(X+Y-1)>))
```



21.1.2 The TXL Tool

- ▶ <External slide set from TXL distribution: TXLintro.pdf>
 - <http://www.txl.ca/docs/TXLintro.pdf>
- ▶ <http://www.txl.ca/txl-resources.html> is a page with all available grammars
- ▶ [https://en.wikipedia.org/wiki/TXL_\(programming_language\)](https://en.wikipedia.org/wiki/TXL_(programming_language))
- ▶ James R. Cordy, TXL - A Language for Programming Language Tools and Applications, Electronic Notes in Theoretical Computer Science, Volume 110, 2004, Pages 3-31, ISSN 1571-0661, <https://doi.org/10.1016/j.entcs.2004.11.006>

```
// Grammar rule types
define N ... end define      // Nonterminal (node) definition
redefine N ... end redefine // override an imported grammar rule
[opt N]                     // optional N
[repeat N]                  // sequence 0..k
[N*]                        // sequence 0..k
[list N]                    // comma-separated sequence 0..k
[N+]                        // sequence 1..k
[N,+]                       // comma-separated sequence 1..k
```

Tree Transformations in TXL

- ▶ Rules may transform the trees

```
// Transformations: constant folding, operator strength reduction:  
  
rule Fold2  
  replace [expr] 1 + 1 by 2  
end rule  
rule Inc  
  replace [expr] N + 1 by inc N  
end rule  
rule StrengthReduction  
  replace [expr] N * 2 by shiftright N  
end rule
```

TXL Exercise:

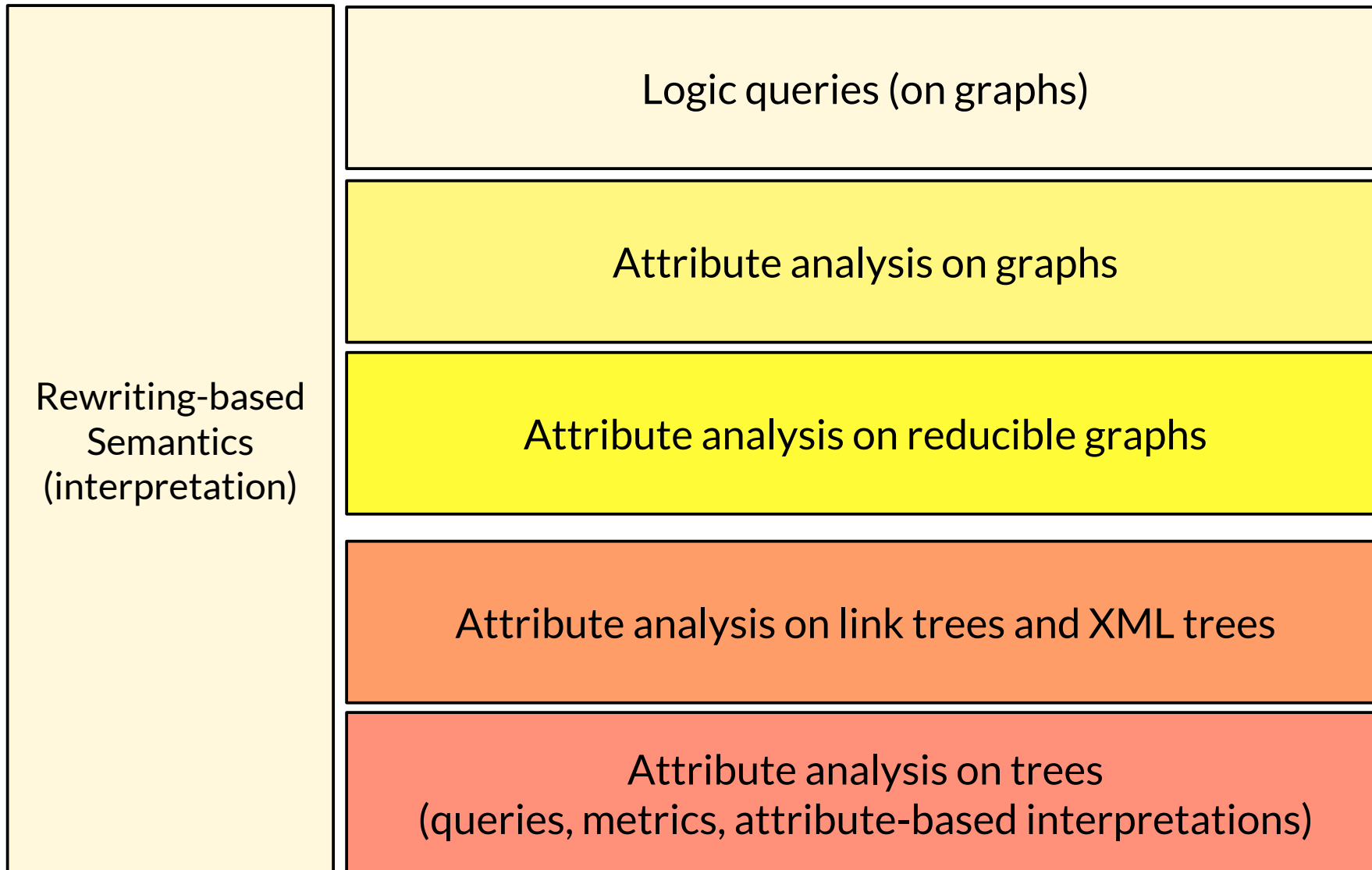
- ▶ Download the TXL distribution (10.8) from
 - <http://www.txl.ca/>
- ▶ Investigate the TXL Pascal Grammar.
 - [examples/analysis/tracing/Txl/Pascal.Grm](#)
- ▶ Then look at the `ptract.txl` specification, which adds tracing statements to a Pascal program:
 - [examples/analysis/tracing/Txl/ptrace.Txl](#)
- ▶ Try to run it and see the result.

21.2 Attribution-based Tree Analysis

- ▶ **Attribution analysis** in an MDSD tool requires queries, metrics, and deep analysis



The Hierarchy of Analyses



21.2.1 Metric Analysis in an MDSD Tool

- ▶ **Analysis** in an MDSD tool requires queries, metrics, and deep analysis
- ▶ Queries are done in a query language, see later



Metrics Compute Code/Program Attributes

Metrics Commonly Used

- ▶ **Coupling metrics** measure the coupling of two packages, classes or modules
 - **CBO**: “Coupling between object classes” counts links to other classes
 - **RFC**: “Response for a class” counts the number of methods called in response to a message to an object
- ▶ **Cohesion metrics** measure the cohesion of one package, class, or module
 - **LCOM**: “Lack of cohesion of methods” in a unit
- ▶ **Inheritance metrics**
 - **DIT**: “Depth of inheritance tree”
 - **BIT**: “Breadth of inheritance tree”
- ▶ **Composition metrics**
 - **DCAT**: “Depth of composition/aggregation tree”: how deep is an object structured from other objects
 - **BCAT**: “Breadth of composition/aggregation tree”: how deep is an object structured from other objects
 - **DCAT**: “Depth of adapter tree”: how deep is an adapter tree
 - **BCAT**: “Breadth of adapter tree”: how deep is an adapter tree
- ▶ **Size and complexity metrics**
 - **LOC**: “lines of code” - quite weak metrics
 - **LOP**: “Lines of procedures” - how long is a procedure
 - **EXC**: “expression count” in a method
 - **NOM** “number of methods” in a class
 - **WMC** “weighted methods per class” with cyclomatic complexity

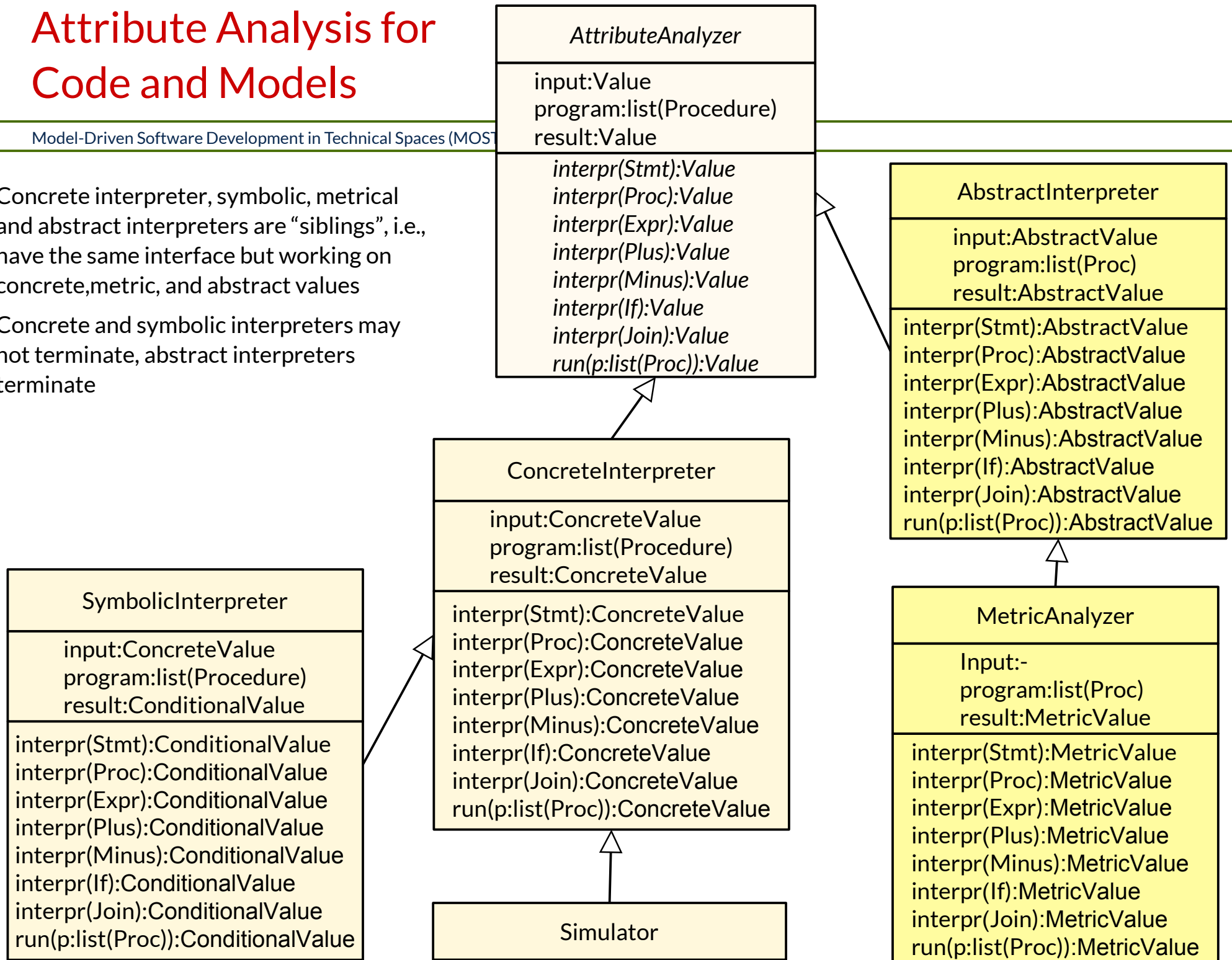
21.2.2 Attribute-based Analysis and Interpretation

- ▶ In **attribute-based analysis**, the code or the model stays invariant, but **attributes** are evaluated on the code, based on *stencil functions (transfer functions, attribution functions)*
- ▶ A **symbolic interpreter** executes the program, but builds up a lookup table, under which conditions which values are produced. The table may be infinitely large.



Attribute Analysis for Code and Models

- ▶ Concrete interpreter, symbolic, metrical and abstract interpreters are “siblings”, i.e., have the same interface but working on concrete, metric, and abstract values
- ▶ Concrete and symbolic interpreters may not terminate, abstract interpreters terminate



21.3 Attribute(d) Grammars for Interpretation, Simulation, Metric, and Abstract Interpretation

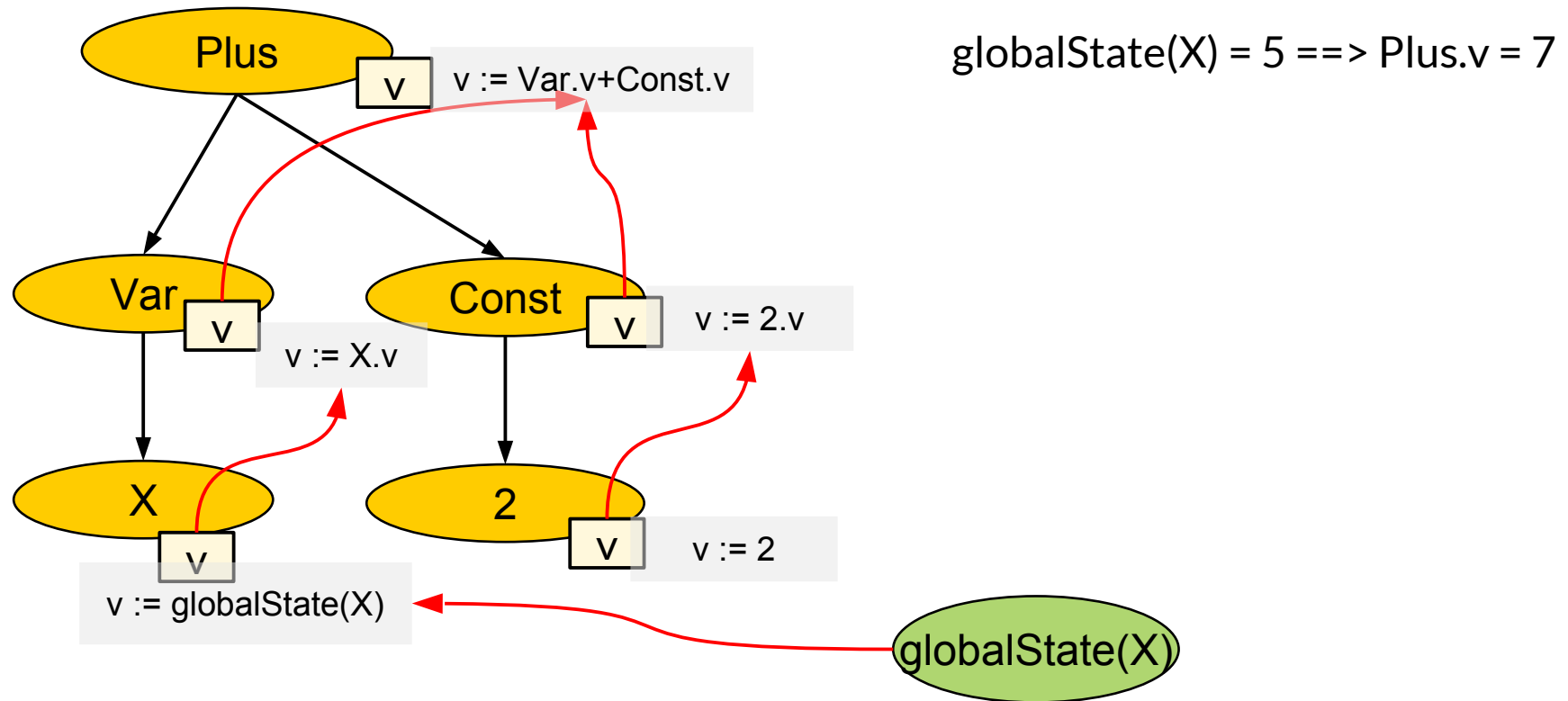


Attribute(d) Grammars (AG) for Interpreters on Piecelists and Trees

- ▶ An **attribute(d) grammar** is a regular tree grammar (RTG) in which all nonterminals are adorned with attributes, and every rule contains a set of **attributions (attribute equations, stencil functions)**
 - The stencil functions write their results into the tree (**attribution**)
 - AG are declarative and partition the stencil function space with their tree nodes (tree-node-specific functions)
- ▶ Instead of rewriting a tree for interpretation, AG compute their results with functions over attributes
 - **Data-Driven Programming:** the data structure of the tree is in primary focus, the attribution follows
- ▶ AG describe calculations on trees (part lists, piece lists, Stücklisten), e.g., for
 - Metrics on piece lists in information systems
 - Concrete interpretation, metric, and abstract interpretation on syntax trees of programs
 - Computation of visualization attributes on trees

Stencils

- ▶ AG are **stencil computation systems**, because they keep the trees invariant, but compute tree attributes with stencil functions over them
 - The tree grammar computes the part list (phase 1)
 - The stencil functions compute the attributes (phase 2)
 - The rewrites rebuilt the part list (optional phase 3)



Interpretation of Programs with Attribute(d) Grammars (AG)

- ▶ An **attribute(d) grammar** describes an interpreter on a syntax tree (a hierarchical program representation)
 - The (dynamic) syntax tree is described by a (finite) Regular Tree Grammar
 - The nodes of the program in the syntax tree are augmented with values, **attributes**.
 - The resulting data structure is called **attributed syntax tree (AST)**
 - (Graph representations are not possible in pure AGs)
 - There is a set of **attribution functions (attribution rules, attribute equations)** which define **interpretation functions** on all nodes of the syntax tree
 - Usually, the rules are interpreted with recursion along the attributed syntax tree
- ▶ Because the underlying program representation is hierarchic, often
 - AG-based interpreters can be proven to terminate
 - can be compiled to code, instead of interpreted (pretty fast)

AG-based concrete interpreters can analyze syntax trees by concrete interpretation evaluating their attribution functions



21.3.1 Different Notations for Attribute(d) Grammars



The Pattern-Major Form of AG (Node-Major Form, Window Form)

- ▶ In the **pattern-major form (window form)** of an AG, the tree node patterns of the RTG used to describe the tree form the *windows* (the major groups of attribute definitions)
- ▶ Attribution Functions are written in a functional language. They take node attributes as parameters and results.
- ▶ A **stencil** is an assignment of an attribute by a attribution function.
 - In one window, many stencils may appear.

```
Interpretation evalArithmeticExpr(Tree → Tree)
  in pattern-major form {
  Attribute definitions of Root(st) {
    this.result := st.result;
    <println(„Result is %S“, this.result)>
  }
  Attribute definitions of Plus(st1, st2) {
    this.result := <st1.result + st2.result>
  }
  Attribute definitions of Minus(st1, st2) {
    this.result := <st1.result - st2.result>
  }
  Attribute definitions of Mult(st1, st2) {
    this.result := <st1.result * st2.result>
  }
  Attribute definitions of Div(st1, st2) {
    this.result := if (st2 == 0) then {
    <println(„Error, div by zero“) > -999 }
    else <st1.result / st2.result>
  }
  Attribute definitions of Leaf(value:Integer) {
    this.result := value
  }
}
```

Ex.: Global Minima

- ▶ Transforming a tree to a new tree with leaf nodes carrying the global minimum of all leaf nodes

Transformation `repmin(Tree → Tree)` in pattern-major form {

Attribute definitions of `Root(st)` {

`st.global-min := st.min`

`this.min := st.min`

`this.replace := Root(st.replace)`

}

Attribute definitions of `Pair(st1, st2)` {

`st1.global-min := this.global-min`

`st2.global-min := this.global-min`

`this.min := <min(st1.min, st2.min)>`

`this.replace := Pair(st1.replace, st2.replace)`

}

Attribute definitions of `Leaf(value:Integer)` {

`this.min := value`

`this.replace := Leaf(this.global-min)`

}

}

Attribute-Major Format (Stencil Format)

- ▶ In **attribute-major format (stencil format)**, attribution functions are *sorted along attributes*, while pattern matching (node case analysis) is used inside the definition of the attribution function
- ▶ A **stencil** is an attribution that defines an attribute for *all* nodes in the tree.

```
Transformation repmin(Tree → Tree) in attribute-major form {  
  Attribute definitions for min: {  
    Root(st) → this.min := st.min  
    Pair(st1,st2) → this.min := <min(st1.min,st2.min)>  
    Leaf(value:Integer) → this.min := value  
  }  
  Attribute definitions for global-min: {  
    Root(st) → st.global-min := st.min  
    Pair(st1,st2) → st1.global-min := this.global-min  
                   st2.global-min := this.global-min  
    Leaf(value:Integer) → st.global-min := st.min  
  }  
  Attribute definitions for replace { // tree-valued attribute  
    Root(st) → this.replace := Root(st.replace)  
    Pair(st1,st2) → this.replace := Pair(st1.replace,st2.replace)  
    Leaf(value:Integer) → this.replace := Leaf(this.global-min)  
  }  
}
```

Function-Major Format (very similar to functional programming)

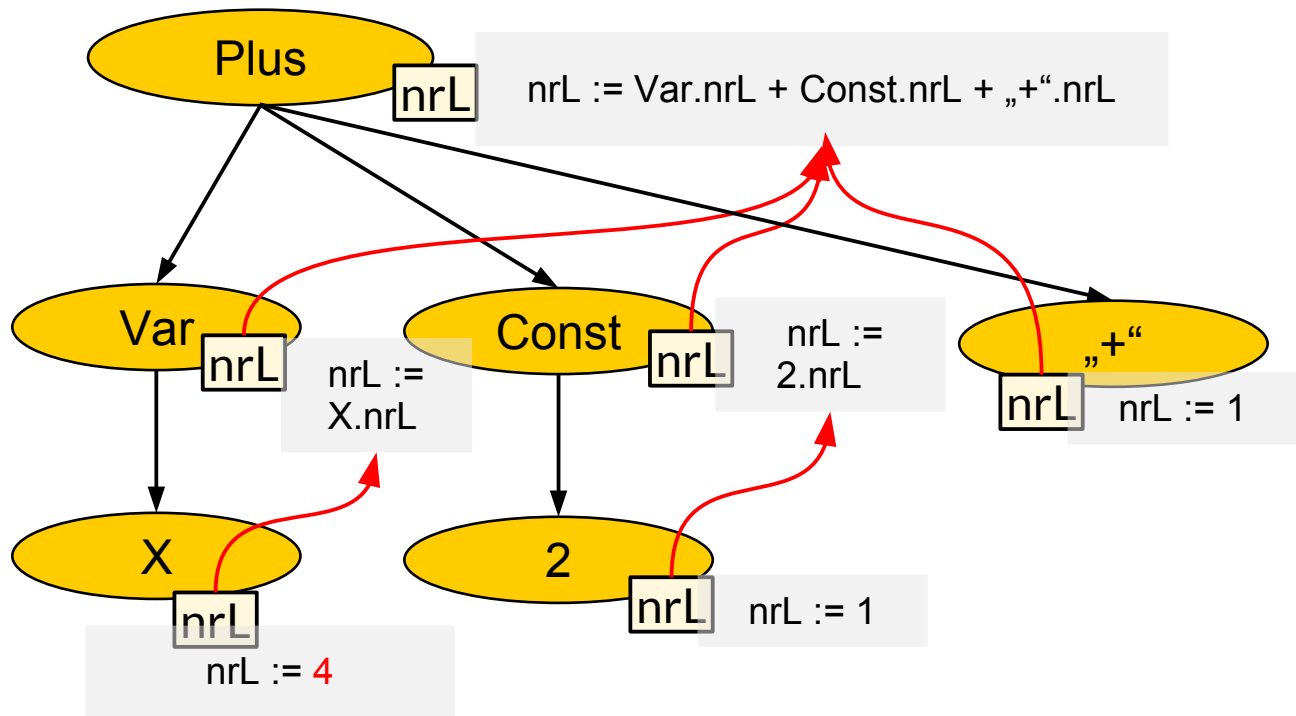
- ▶ Attribution functions are sorted *along functions* of a functional program, in which pattern matching is used inside the definition of the attribution function

```
Transformation repmin(Tree → Tree) in function-major form {  
  function compute_min: {  
    Root(st)          → this.min := compute_min(st.min)  
    Pair(st1,st2)     → this.min := compute_min(st1.min,st2.min)  
    Leaf(value:Integer) → this.min := id(value)  
  }  
  function compute_global-min: {  
    Root(st)          → st.global-min := compute_min(st.min)  
    Pair(st1,st2)     → st1.global-min := compute_min(this.global-min)  
                      st2.global-min := compute_min(this.global-min)  
    Leaf(value:Integer) → st.global-min := compute_min(st.min)  
  }  
  function compute_replace { // tree-valued attribute  
    Root(st)          → this.replace := compute_replace(st.replace)  
    Pair(st1,st2)     → this.replace := compute_replace(st1.replace,st2.replace)  
    Leaf(value:Integer) → this.replace := compute_replace(this.global-min)  
  }  
}
```

Attributed Grammars (AG) can Specify Metric Analyzers

- ▶ An attributed grammar can describe a **metric analyzer**, if the values are from a domain of a software metrics
- ▶ Then, the set of attribution rules (attribute equations) define a software metrics interpretation functions on the syntax tree

```
// simple expression  
// distributed over  
// several lines  
X  
+  
2
```



AG-based abstract interpreters can analyze syntax trees by metric interpretation



Attributed Grammars (AG) Can Specify Abstract Interpreters

- ▶ An attributed grammar can describe an **abstract interpreter**, if the values are from an abstract domain (a system of equivalence classes)
 - e.g., from a set of types, a type system, interval ranges, etc.
 - Then, the set of attribution rules (attribute equations) define abstract interpretation functions computing on equivalence classes
- ▶ Example: **Type analysis and checking**
 - The analysis of expressions on their types (int, real, char, string, etc) and the check whether their types are compatible is an abstract interpretation
 - Finitely many types ((int, real, char, string, user types)
 - Inclusion and compatibility rules for types
 - Char < int < real
 - Range < int
 - Person < Object

AG-based abstract interpreters can analyze syntax trees by abstract interpretation

The End

- ▶ Explain the differences of a concrete interpreter, a metric analyzer, and an abstract interpreter
- ▶ What are the differences of an abstract interpreter and an attribute grammar?
- ▶ Why is a reference attribute grammar more expressive than a pure AG?
- ▶ What happens at a control-flow join during an interpretation?
- ▶ Why is *metric interpretation* important?
- ▶ Explain how RTG and AG are related
- ▶ Explain the difference of pattern-major, attribute-major, and function-major form
- ▶ What is the difference of a functional program and an AG?
- ▶ Why is an abstract interpreter a functional program?